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2004 OCT 14 A 10:31

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) — TYPE OR PRINT ▼ — Example: If typing, type over the lines. 12934M5

SWALES AND ASSOCIATES INC. POLITICAL ACTION COMMITTEE

ADDRESS (number and street) ▼ 5050 POWDER MILL ROAD

☐ Check if different than previously reported. (ACC)

BELTSVILLE | MD | 20705 - 1971

CITY ▲ STATE ▲ ZIP CODE ▲

2. FEC IDENTIFICATION NUMBER ▼ C00390682

3. IS THIS REPORT ☐ NEW (N) OR ☐ AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

- ☐ April 15 Quarterly Report (Q1)
- ☐ July 15 Quarterly Report (Q2)
- ☒ October 15 Quarterly Report (Q3)
- ☐ January 31 Year-End Report (YE)
- ☐ July 31 Mid-Year Report (Non-election Year Only) (MY)
- ☐ Termination Report (TER)

(b) Monthly Report Due On:

- ☐ Feb 20 (M2)
- ☐ Mar 20 (M3)
- ☐ Apr 20 (M4)
- ☐ May 20 (M5)
- ☐ Jun 20 (M6)
- ☐ Jul 20 (M7)
- ☐ Aug 20 (M8)
- ☐ Sep 20 (M9)
- ☐ Oct 20 (M10)
- ☐ Nov 20 (M11) (Non-Election Year Only)
- ☐ Dec 20 (M12) (Non-Election Year Only)
- ☐ Jan 31 (YE)

(c) 12-Day PRE-Election Report for the: ☐ Primary (12P) ☐ General (12G) ☐ Runoff (12R) ☐ Convention (12C) ☐ Special (12S)

Election on ___ / ___ / ___ in the State of ___

(d) 30-Day POST-Election Report for the: ☐ General (30G) ☐ Runoff (30R) ☐ Special (30S)

Election on ___ / ___ / ___ in the State of ___

5. Covering Period 09 / 01 / 2004 through 09 / 30 / 2004

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer JOSEPH T. HIGGINS

Signature of Treasurer _____ Date 10 / 13 / 2004

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office Use Only							

FEC FORM 3X (Rev. 02/2003)

FE3ANK07

SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

SWALES AND ASSOCIATES INC. POLITICAL ACTION COMMITTEE

Report Covering the Period: From: 07 / 01 / 2004 To: 09 / 30 / 2004

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1. 0		0
(b) Cash on Hand at Beginning of Reporting Period	37,472.51	
(c) Total Receipts (from Line 19)	17,750.00	55,222.51
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	55,222.51	55,222.51
7. Total Disbursements (from Line 31)	0	0
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	55,222.51	55,222.51
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0	

☐ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
of Receipts

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name
SWALES AND ASSOCIATES INC. POLITICAL ACTION COMMITTEE

Report Covering the Period: From: 07 01 2004 To: 09 30 2004

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	1,775.00	
(ii) Unitemized		
(iii) TOTAL (add Lines 11(a)(i) and (ii) ▶	1,775.00	5,522.25
(b) Political Party Committees		
(c) Other Political Committees (such as PACs)		
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) ▶	1,775.00	5,522.25
12. Transfers From Affiliated/Other Party Committees		
13. All Loans Received		
14. Loan Repayments Received		
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)		
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees		
17. Other Federal Receipts (Dividends, Interest, etc.)		
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)		
(b) Levin Funds (from Schedule H5)		
(c) Total Transfers (add 18(a) and 18(b))		
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) ▶	1,775.00	5,522.25
20. Total Federal Receipts (subtract Line 18(c) from Line 19) ▶	1,775.00	5,522.25

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2005)

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share		
(ii) Non-Federal Share		
(b) Other Federal Operating Expenditures		
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) ▶		
22. Transfers to Affiliated/Other Party Committees		
23. Contributions to Federal Candidates/Committees and Other Political Committees		
24. Independent Expenditures (use Schedule E)		
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F)		
26. Loan Repayments Made		
27. Loans Made		
28. Refunds of Contributions To: (a) Individuals/Persons Other Than Political Committees		
(b) Political Party Committees		
(c) Other Political Committees (such as PACs)		
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) ▶		
29. Other Disbursements		
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share		
(ii) 'Levin' Share		
(b) Federal Election Activity Paid Entirely With Federal Funds		
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)) ▶		
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))		
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31) ▶		

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)		
34. Total Contribution Refunds (from Line 28(d))		
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)		
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ▶		
37. Offsets to Operating Expenditures (from Line 15, page 3)		
38. Net Operating Expenditures (subtract Line 37 from Line 36) ▶		

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SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) [X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

PAGE 1 OF 6

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
SWALES AND ASSOCIATES INC. POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial): CULLY, MICHAEL J.
Mailing Address: 4503 SPEEDWELL COURT
City: ELLICOTT CITY State: MD Zip Code: 21042
FEC ID number of contributing federal political committee: C 0 0 3 9 0 6 8 2
Name of Employer: SWALES AND ASSOCIATES
Occupation: DIRECTOR
Receipt For: [ ] Primary [X] General [ ] Other (specify)
Aggregate Year-to-Date: 3 8 0 0 0
Date of Receipt: 0 9 / 3 0 / 2 0 0 4
Amount of Each Receipt this Period: 2 0 5 0 0

B.
Full Name (Last, First, Middle Initial): WILSON, THOMAS L., JR.
Mailing Address: 8000 OXBOW PLACE
City: LAUREL State: MD Zip Code: 20724
FEC ID number of contributing federal political committee: C 0 0 3 9 0 6 8 2
Name of Employer: SWALES AND ASSOCIATES INC.
Occupation: DIRECTOR
Receipt For: [ ] Primary [X] General [ ] Other (specify)
Aggregate Year-to-Date: 3 8 0 0 0
Date of Receipt: 0 9 / 3 0 / 2 0 0 4
Amount of Each Receipt this Period: 1 0 5 0 0

C.
Full Name (Last, First, Middle Initial): TRAVIS, ELMER W.
Mailing Address: 14819 SAPLING WAY
City: GLENELG State: MD Zip Code: 21737
FEC ID number of contributing federal political committee: C 0 0 3 9 0 6 8 2
Name of Employer: SWALES AND ASSOCIATES INC.
Occupation: PRESIDENT
Receipt For: [ ] Primary [X] General [ ] Other (specify)
Aggregate Year-to-Date: 3 8 0 0 0
Date of Receipt: 0 9 / 3 0 / 2 0 0 4
Amount of Each Receipt this Period: 1 0 5 0 0

SUBTOTAL of Receipts This Page (optional) ▶ 4 1 5 0 0

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) [X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (in Full)
SWALES AND ASSOCIATES INC. POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial): SANNEMAN, PAUL A.
Mailing Address: 2803 BEECHMONT LANE
City: SILVER SPRING State: MD Zip Code: 20906
FEC ID number of contributing federal political committee: C 0 0 3 9 0 6 8 2
Name of Employer: SWALES AND ASSOCIATES INC.
Occupation: DIRECTOR
Receipt For: [ ] Primary [X] General [ ] Other (specify)
Aggregate Year-to-Date: 3 8 0 0 0
Date of Receipt: 0 9 / 3 0 / 2 0 0 4
Amount of Each Receipt this Period: 1 0 5 0 0

B.
Full Name (Last, First, Middle Initial): CHOMAS, ARTHUR
Mailing Address: 994 WAYSON WAY
City: DAVIDSONVILLE State: MD Zip Code: 21035
FEC ID number of contributing federal political committee: C 0 0 3 9 0 6 8 2
Name of Employer: SWALES AND ASSOCIATES INC.
Occupation: DIRECTOR
Receipt For: [ ] Primary [X] General [ ] Other (specify)
Aggregate Year-to-Date: 3 8 0 0 0
Date of Receipt: 0 9 / 3 0 / 2 0 0 4
Amount of Each Receipt this Period: 1 0 5 0 0

C.
Full Name (Last, First, Middle Initial): LEADERMAN, ARTHUR I.
Mailing Address: 4322 WOODBERRY STREET
City: UNIVERSITY PARK State: MD Zip Code: 20783
FEC ID number of contributing federal political committee: C 0 0 3 9 0 6 8 2
Name of Employer: SWALES AND ASSOCIATES INC.
Occupation: GENERAL COUNSEL
Receipt For: [ ] Primary [X] General [ ] Other (specify)
Aggregate Year-to-Date: 3 8 0 0 0
Date of Receipt: 0 9 / 3 0 / 2 0 0 4
Amount of Each Receipt this Period: 1 0 5 0 0

SUBTOTAL of Receipts This Page (optional) ▶ 3 1 5 0 0

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 3 OF 6

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
SWALES AND ASSOCIATES INC. POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial): HORNBUCKLE, FRANK
Mailing Address: 9900 BALD CYPRESS DRIVE
City: ROCKVILLE State: MD Zip Code: 20850
FEC ID number of contributing federal political committee: C00390682
Name of Employer: SWALES AND ASSOCIATES INC.
Occupation: COO
Receipt For: [ ] Primary [X] General [ ] Other (specify)
Aggregate Year-to-Date: 3,800.00
Date of Receipt: 09 / 30 / 2004
Amount of Each Receipt this Period: 1,050.00

B.
Full Name (Last, First, Middle Initial): HAUGHT, ERIC J.
Mailing Address: 3472 RANGER ROAD
City: DAVIDSONVILLE State: MD Zip Code: 21035
FEC ID number of contributing federal political committee: C00390682
Name of Employer: SWALES AND ASSOCIATES INC.
Occupation: DIRECTOR
Receipt For: [ ] Primary [X] General [ ] Other (specify)
Aggregate Year-to-Date: 3,800.00
Date of Receipt: 09 / 30 / 2004
Amount of Each Receipt this Period: 2,050.00

C.
Full Name (Last, First, Middle Initial): HIGGINS, JOSEPH T.
Mailing Address: 11365 BISHOPS GATE
City: LAUREL State: MD Zip Code: 20723
FEC ID number of contributing federal political committee: C00390682
Name of Employer: SWALES AND ASSOCIATES
Occupation: CFO
Receipt For: [ ] Primary [X] General [ ] Other (specify)
Aggregate Year-to-Date: 3,800.00
Date of Receipt: 09 / 30 / 2004
Amount of Each Receipt this Period: 1,050.00

SUBTOTAL of Receipts This Page (optional) ▶ 4,150.00

TOTAL This Period (last page this line number only) ▶

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SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 4 OF 6
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
SWALES AND ASSOCIATES INC. POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial): WILSON, THOMAS
Mailing Address: 5687 CHANDOLY DRIVE
City: CLARKSVILLE State: MD Zip Code: 21209
FEC ID number of contributing federal political committee: C00390693
Name of Employer: SWALES AND ASSOCIATES INC. Occupation: CEO
Receipt For: [ ] Primary [X] General [ ] Other (specify)
Aggregate Year-to-Date: 3800
Date of Receipt: 09/30/2004
Amount of Each Receipt this Period: 1050

B.
Full Name (Last, First, Middle Initial): MCCARTHY, DENNIS K.
Mailing Address: 2916 COX NECK ROAD - EAST
City: CHESTER State: MD Zip Code: 21619
FEC ID number of contributing federal political committee: C00390662
Name of Employer: SWALES AND ASSOCIATES INC. Occupation: DIRECTOR
Receipt For: [ ] Primary [X] General [ ] Other (specify)
Aggregate Year-to-Date: 3800
Date of Receipt: 09/30/2004
Amount of Each Receipt this Period: 1050

C.
Full Name (Last, First, Middle Initial): LUZIER, RONALD A.
Mailing Address: 12213 LAKE POTOMAC TERRACE
City: POTOMAC State: MD Zip Code: 20854
FEC ID number of contributing federal political committee: C00390652
Name of Employer: SWALES AND ASSOCIATES INC. Occupation: CTO
Receipt For: [ ] Primary [X] General [ ] Other (specify)
Aggregate Year-to-Date: 3800
Date of Receipt: 09/30/2004
Amount of Each Receipt this Period: 1050

SUBTOTAL of Receipts This Page (optional) ▶ 3150
TOTAL This Period (last page this line number only) ▶

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SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) [X] 11a [ ] 11b [ ] 11c [ ] 11d [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
SWALES AND ASSOCIATES INC. POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial): BASCI, MEHMET I.
Mailing Address: 12521 PALATINE COURT
City: POTOMAC State: MD Zip Code: 20854
FEC ID number of contributing federal political committee: C00390682
Name of Employer: SWALES AND ASSOCIATES INC. Occupation: DIRECTOR
Receipt For: [ ] Primary [X] General [ ] Other (specify)
Aggregate Year-to-Date: 380.00
Date of Receipt: 09 30 2004
Amount of Each Receipt this Period: 1050.00

B.
Full Name (Last, First, Middle Initial): REINKER, RICHARD F.
Mailing Address: 1344 MARIANNA ROAD
City: PASADENA State: CA Zip Code: 91105
FEC ID number of contributing federal political committee: C00390682
Name of Employer: SWALES AND ASSOCIATES INC. Occupation: DIRECTOR
Receipt For: [ ] Primary [X] General [ ] Other (specify)
Aggregate Year-to-Date: 1050.00
Date of Receipt: 09 30 2004
Amount of Each Receipt this Period: 0

C.
Full Name (Last, First, Middle Initial): WEINBERGER, PETER S.
Mailing Address: 2608 BRIGHTON DAM ROAD
City: BROOKEVILLE State: MD Zip Code: 20833
FEC ID number of contributing federal political committee: C00390682
Name of Employer: SWALES AND ASSOCIATES INC. Occupation: DIRECTOR
Receipt For: [ ] Primary [X] General [ ] Other (specify)
Aggregate Year-to-Date: 280.00
Date of Receipt: 09 30 2004
Amount of Each Receipt this Period: 1050.00

SUBTOTAL of Receipts This Page (optional) ▶ 2100.00

TOTAL This Period (last page this line number only) ▶

24038543493

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 6
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
SWALES AND ASSOCIATES INC. POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial): O'BRIEN, THOMAS F.
Mailing Address: 128 CHIP COURT
City: DUCK State: NC Zip Code: 27949
FEC ID number of contributing federal political committee: C00390584
Name of Employer: SWALES AND ASSOCIATES INC.
Occupation: DIRECTOR
Receipt For: [ ] Primary [X] General [ ] Other (specify)
Aggregate Year-to-Date: 2800.00
Date of Receipt: 09 30 2004
Amount of Each Receipt this Period: 1050.00

B.
Full Name (Last, First, Middle Initial):
Mailing Address:
City: State: Zip Code:
FEC ID number of contributing federal political committee: C
Name of Employer:
Occupation:
Receipt For: [ ] Primary [ ] General [ ] Other (specify)
Aggregate Year-to-Date:
Date of Receipt:
Amount of Each Receipt this Period:

C.
Full Name (Last, First, Middle Initial):
Mailing Address:
City: State: Zip Code:
FEC ID number of contributing federal political committee: C
Name of Employer:
Occupation:
Receipt For: [ ] Primary [ ] General [ ] Other (specify)
Aggregate Year-to-Date:
Date of Receipt:
Amount of Each Receipt this Period:

SUBTOTAL of Receipts This Page (optional) ▶ 1050.00

TOTAL This Period (last page this line number only) ▶ 1750.00

FE2AN307

24038543494

Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The FEC added this page to the end of this filing to indicate how it was received.

☐ Hand Delivered	Date of Receipt
☐ USPS First Class Mail	Postmarked
☐ USPS Registered/Certified	Postmarked (R/C)
☐ USPS Priority Mail	Postmarked Delivery Confirmation ™ Label ☐
☐ USPS Express Mail	Postmarked
☐ Postmark Illegible	
☐ No Postmark	
☑ Overnight Delivery Service (Specify): Fedex	Shipping Date 10-13-04
☐ Received from House Records & Registration Office	Date of Receipt
☐ Received from Senate Public Records Office	Date of Receipt
☐ Received from Electronic Filing Office	Date of Receipt
☐ Other (Specify):	Date of Receipt or Postmarked
PREPARER	10-14-04 DATE PREPARED

(5/2004)