REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

RECEIVED FEC MAIL ROOM 2000 JUL 10 P 3:41

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (In Full)
National Association of Small Business Investment Companies Political Action Committee

ADDRESS (number and street) ☐ Check if different than previously reported
666 11th Street, NW #750

CITY, STATE and ZIP CODE
Washington, DC 20001

2. FEC IDENTIFICATION NUMBER
C001109991

3. ☐ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report
☒ July 15 Quarterly Report
☐ October 15 Quarterly Report
☐ January 31 Year End Report
☐ July 31 Mid Year Report (Non-election Year Only)
☐ Termination Report

Monthly Report Due On:
☐ February 20 ☐ June 20 ☐ October 20
☐ March 20 ☐ July 20 ☐ November 20
☐ April 20 ☐ August 20 ☐ December 20
☐ May 20 ☐ September 20 ☐ January 31

☐ 12-Day Pre-Election Report for the _____ _____ (Type of Election) election on _____ in the State of _____.

☐ 30-Day Post-Election Report following the General Election on _____ in the State of _____.

(b) Is this Report an Amendment? ☐ YES ☒ NO

SUMMARY	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period 4/1/00 through 6/30/00		
6. (a) Cash on Hand January 1, 19000		$ $30,206.33
(b) Cash on Hand at Beginning of Reporting Period	$ 44,331.33	
(c) Total Receipts (from Line 19)	$ 6,600.00	$ 37,475.00
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	$ 50,931.33	$ 67,681.33
7. Total Disbursements (from Line 30)	$ 28,500.00	$ 45,250.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	$ 22,431.33	$ 22,431.33
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	$ 0	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	$ 0	

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-694-1100

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
Lee W. Mercer

Signature of Treasurer
Lee W Mercer

Date
3 July 2000

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X
(revised 9/99)

DETAILED SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS
PAGE 2, FEC FORM 3X

(revised 1/1/91)

NAME OF COMMITTEE: National Association of Small Business Investment Companies Political Action Committee

REPORT COVERING PERIOD FROM 4/1/00 TO: 6/30/00

	COLUMN A Total This Period	COLUMN B Calendar Year	
I. Receipts			
11. Contributions (other than loans) From:			
a. Individual/Persons Other Than Political Committees			
i. Itemized (use Schedule A)	$4,250.00	33,875.00	11(a)(i)
ii. Unitemized	850.00	1,100.00	11(a)(ii)
iii. Total (add i and ii) ➤	5,100.00	34,975.00	11(a)(iii)
b. Political Party Committees			11(b)
c. Other Political Committees (such as PACs)	1,500.00	2,500.00	11(c)
d. Total Contributions (add a iii, b and c) ➤	6,600.00	37,475.00	11(d)
12. Transfers From Affiliated/Other Party Committees			12
13. All Loans Received			13
14. Loan Repayments Received			14
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)			15
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees			16
17. Other Federal Receipts (Dividends, Interest, etc.)			17
18. Transfers from Nonfederal Account for Joint Activity			18
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) ➤	$6,600.00	$37,475.00	19
20. Total Federal Receipts (subtract line 18 from line 19) ➤	$6,600.00	$37,475.00	20
II. Disbursements			
21. Operating Expenditures:			
a. Shared Federal/Non-Federal Activity (from Schedule H4)			
i. Federal Share			21(a)(i)
ii. Non-Federal Share			21(a)(ii)
b. Other Federal Operating Expenditures			21(b)
c. Total Operating Expenditures (add a i, a ii, and b) ➤			21(c)
22. Transfers to Affiliated/Other Party Committees			22
23. Contributions to Federal Candidates/Committees and Other Political Committees	$28,500.00	$45,250.00	23
24. Independent Expenditures (use Schedule E)			24
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)			25
26. Loan Repayments Made			26
27. Loans Made			27
28. Refunds of Contributions To:			
a. Individuals/Persons Other Than Political Committees			28(a)
b. Political Party Committees			28(b)
c. Other Political Committees (such as PACs)			28(c)
d. Total Contribution Refunds (add a, b and c) ➤			28(d)
29. Other Disbursements			29
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) ➤	$28,500.00	$45,250.00	30
31. Total Federal Disbursements (subtract line 21 a ii from line 30) ➤	$28,500.00	$45,250.00	31
III. Net Contributions/Operating Expenditures			
32. Total Contributions (other than loans)(from line 11d)	$6,600.00	$37,475.00	32
33. Total Contribution Refunds (from line 28d)			33
34. Net Contributions (other than loans)(subtract line 33 from 32)	$6,600.00	$37,475.00	34
35. Total Federal Operating Expenditures (add 21 a i and 21 b) ➤			35
36. Offsets to Operating Expenditures (from line 15)			36
37. Net Operating Expenditures (subtract line 36 from 35) ➤			37

SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 1

FOR LINE NUMBER 11 (c)

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NAME OF COMMITTEE (in Full)
National Association of Small Business Investment Companies Political Action Committee

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Commerce Bancshares, Inc. Political Action Committee 11 South Meramec St. Louis, MO 63105	Commerce Bank	5/18/00	$1,500.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation: National Bank Aggregate Year-to-Date > $ 1,500.00		
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
	Occupation		
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date > $		
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
	Occupation		
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date > $		
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
	Occupation		
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date > $		
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
	Occupation		
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date > $		
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
	Occupation		
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date > $		
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
	Occupation		
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date > $		

SUBTOTAL of Receipts This Page (optional) $1,500.00

TOTAL This Period (last page this line number only) $1,500.00

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SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 2

FOR LINE NUMBER 11(a)(i)

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NAME OF COMMITTEE (in Full)

National Association of Small Business Investment Companies Political Action Committee

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. James F. O'Donnell 11 South Meramec, #1430 St. Louis, MO 63105 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Capital For Business Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 500.00	5/18/00	$500.00
B. Mr. Stephen Broun 11 South Meramec #1430 St. Louis, MO 63105 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Capital For Business Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 250.00	5/18/00	$250.00
C. Ms. Hollis Huels 11 South Meramec #1430 St. Louis, MO 63105 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Capital For Business Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 250.00	5/18/00	$250.00
D. Mr. Keith Bares 7499 Metro Blvd. #100 Edina, MN 55439 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Convergent Capital Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 250.00	5/11/00	$250.00
E. Mr. John Mason 7499 Metro Blvd. #100 Edina, MN 55439 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Convergent Capital Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 250.00	5/11/00	$250.00
F. Mr. Richard Klaffky 100 Pearl Street Hartford, CT 06103 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	First New England Capital Corp. Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 500.00	5/1/00	$500.00
G. Mr. John Ritter 100 Pearl Street Hartforld, CT 06103 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	First New England Capital Corp. Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 250.00	5/1/00	$250.00

SUBTOTAL of Receipts This Page (optional) $2,250.00

TOTAL This Period (last page this line number only) .....

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SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 2 OF 2

FOR LINE NUMBER 11(a)(i)

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NAME OF COMMITTEE (In Full)
National Association of Small Business Investment Companies Political Action Committee

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Mr. Clifford Lusso 10 Post Office Square #1225 Boston, MA 02109 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Marathon Investment Partners Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 250.00	5/17/00	$250.00
B. Mr. Tom Bolger 10 Post Office Square #1225 Boston, MA 02109 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Marathon Investment Partners Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 250.00	5/10/00	$250.00
C. Mr. Wayne Clevenger 466 Southern Blvd. Chatham, NJ 07928 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	MidMark Investments Inc. Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 1,000.00	6/26/00	$500.00
D. Mr. David Shyrock 7936 Cottage Grove Ave. Chicago, IL 60619 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Shorebank Capital Corp. Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 1,000.00	6/9/00	$1,000.00
E. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year-to-Date $	Date (month, day, year)	Amount of Each Receipt this Period
F. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year-to-Date $	Date (month, day, year)	Amount of Each Receipt this Period
G. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year-to-Date $	Date (month, day, year)	Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional) $2,000.00

TOTAL This Period (last page this line number only) $4,250.00

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SCHEDULE B **ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page	PAGE 1 OF 3
	FOR LINE NUMBER 31

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NAME OF COMMITTEE (in Full)
National Association of Small Business Investment Companies Political Action Committee

Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
A. Committee to Re-Elect Nydia Velazquez 271 Grand Street Brooklyn, NY 11211	H-NY-12 Disbursement for: [ ] Primary [XX] General [ ] Other (specify)	4/12/00	$1,500.00
B. Sue Kelly For Congress P.O. Box 16021 Alexandria, VA 22302	H-NY-19 Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	4/12/00	$500.00
C. Serrano for Congress 275 Madison Ave. New York, NY 10016	H-NY-16 Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	4/12/00	$2,000.00
D. Santorum 2000 128 North Columbus St. Alexandria, VA 22314	S-PA Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	4/25/00	$1,500.00
E. Keep Our Majority PAC 1100 Poydras Street New Orleans, LA	Leadership Party Disbursement for: [ ] Primary [ ] General [XX] Other (specify) Leadership PAC	4/26/00	$1,000.00
F. Friends of Conrad Burns 425 Seconrd Street, NE Washington, DC 20002	S-MT Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	5/1/00	$1,000.00
G. DeWine for U.S. Senate 425 Second Street, NE Washington, DC 20002	S-OH Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	5/1/00	$1,000.00
H. Kay Bailey Hutchison for Senate 425 Second Street, NE Washington,DC 20002	S-TX Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	5/1/00	$1,000.00
I. Snowe for Senate 425 Seconrd Street, NE Washington,DC 20001	S-ME Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	5/1/00	$1,000.00

SUBTOTAL of Disbursements This Page (optional)	$10,500.00
TOTAL This Period (last page this line number only)	

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SCHEDULE B **ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 2 OF 3

FOR LINE NUMBER 31

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NAME OF COMMITTEE (In Full)
National Association of Small Business Investment Companies Political Action Committee

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Jon Kyle for U.S. Senate 425 Second Street, NE Washington, DC 20002	S-AZ Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	5/1/00	$1,000.00
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Federal Victory Fund P.O. Box 483 Dunn Loring, VA 22027	Leadership Party Disbursement for: [ ] Primary [ ] General [X] Other (specify) Leadership PAC	5/3/00	$1,000.00
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Americans for a Republican Majority (ARMPAC) P.O. Box 5711 Arlington, VA 22205	Leadership Party Disbursement for: [ ] Primary [ ] General [XX] Other (specify) Leadership PAC	5/10/00	$1,500.00
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Rely of Your Beliefs Fund (RoyB Fund) P.O. Box 5711 Arlington, VA 22205	Leadership Party Disbursement for: [ ] Primary [ ] General [XX] Other (specify) Leadership PAC	5/10/00	$1,500.00
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Friends of Robert C. Byrd Committee 422 C Street, NE Washington, DC 20002	S-WV Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	6/8/00	$1,000.00
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Friends of Chris Dodd 2004 P.O. Box 270701 West Hartford, CT 06127	S-CT Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	6/8/00	$1,000.00
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Sue Kelly for Congress P.O. Box 16021 Alexandria, VA 22302	H-NY-19 Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	6/19/00	$1,000.00
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Hal Rogers for COngress P.O. Box 1214 East Mr. Vernon Street Somerset, KY 42502	H-KY-5 Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	6/19/00	$1,000.00
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Sweeney for Congress P.O. Box 4698 Saratoga Springs, NY 12866	H-NY-22 Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	6/23/00	$1,000.00

SUBTOTAL of Disbursements This Page (optional) $10,000.00

TOTAL This Period (last page this line number only) .....

FEC/A/103

SCHEDULE B **ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER 31

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NAME OF COMMITTEE (In Full)
National Association of Small Business Investment Companies Political Action Committee

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement / Disbursement for:	Date (month, day, year)	Amount of Each Disbursement This Period
Tom DeLay Congressional Committee 10707 Corporate Drive #130 Stafford, TX 77477	H-TX-22 [X] Primary [ ] General [ ] Other (specify)	6/23/00	$1,000.00
B. Full Name, Mailing Address and ZIP Code	**Purpose of Disbursement / Disbursement for:**	**Date (month, day, year)**	**Amount of Each Disbursement This Period**
Talent for Governor 4426 34th Street, South Arlington, VA 22206	MO-Gov. [X] Primary [ ] General [ ] Other (specify)	6/23/00	$1,000.00
C. Full Name, Mailing Address and ZIP Code	**Purpose of Disbursement / Disbursement for:**	**Date (month, day, year)**	**Amount of Each Disbursement This Period**
Baker for Congress P.O. Box 1694 Baton Rouge, LA 70821	H-LA-06 [X] Primary [ ] General [ ] Other (specify)	6/23/00	$1,000.00
D. Full Name, Mailing Address and ZIP Code	**Purpose of Disbursement / Disbursement for:**	**Date (month, day, year)**	**Amount of Each Disbursement This Period**
National Republican Congressional Committee 320 First Street, SE Washington, DC 20003	Leadership Party [ ] Primary [ ] General [ ] Other (specify) Leadership Comm.	6/23/00	$5,000.00
E. Full Name, Mailing Address and ZIP Code	**Purpose of Disbursement / Disbursement for:**	**Date (month, day, year)**	**Amount of Each Disbursement This Period**
	[ ] Primary [ ] General [ ] Other (specify)		
F. Full Name, Mailing Address and ZIP Code	**Purpose of Disbursement / Disbursement for:**	**Date (month, day, year)**	**Amount of Each Disbursement This Period**
	[ ] Primary [ ] General [ ] Other (specify)		
G. Full Name, Mailing Address and ZIP Code	**Purpose of Disbursement / Disbursement for:**	**Date (month, day, year)**	**Amount of Each Disbursement This Period**
	[ ] Primary [ ] General [ ] Other (specify)		
H. Full Name, Mailing Address and ZIP Code	**Purpose of Disbursement / Disbursement for:**	**Date (month, day, year)**	**Amount of Each Disbursement This Period**
	[ ] Primary [ ] General [ ] Other (specify)		
I. Full Name, Mailing Address and ZIP Code	**Purpose of Disbursement / Disbursement for:**	**Date (month, day, year)**	**Amount of Each Disbursement This Period**
	[ ] Primary [ ] General [ ] Other (specify)		

SUBTOTAL of Disbursements This Page (optional) $8,000.00

TOTAL This Period (last page this line number only) $28,500.00

FEDAN103

Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

☐	Hand Delivered	Date of Receipt
☐	First Class Mail	POSTMARKED
☑	Registered/Certified Mail	POSTMARKED (R/C) 7-5-00
☐	No Postmark	
☐	Postmark Illegible	
☐	Received from the House office of Records and Registration	Date of Receipt
☐	Received from the Senate Office of Public Records	Date of Receipt
☐	Other (Specify):	Postmarked _____ and/or Date of Receipt
☐	Electronic Filing	

Sel	7-10-00
PREPARER	DATE PREPARED

(6/2000)