



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-3

May 16, 1996

Jim Pledger, Treasurer
The Democratic Party of
Arkansas Federal Account
1300 West Capitol
Little Rock, AR 72201

Identification Number: C00024372

Reference: Mid-Year (1/1/95-6/30/95) and Year End (7/1/95-
12/31/95) Reports

Dear Mr. Pledger:

This letter is to inform you that as of May 15, 1996, the Commission has not received your response to our requests for additional information, dated April 24, 1996. These notices request information essential to full public disclosure of your federal election campaign finances. To ensure compliance with the provisions of the Federal Election Campaign Act (the Act), please respond to these requests (copies enclosed).

If no response is received within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement action.

If you should have any questions related to this matter, please contact Edward D. Ryan on our toll-free number (800) 424-9530 or our local number (202) 219-3580.

Sincerely,

John D. Gibson
Assistant Staff Director
Reports Analysis Division

Enclosures



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

Jim Pledger, Treasurer
The Democratic Party of Arkansas
Federal Account
1300 West Capitol
Little Rock, AR 72201

APR 24 1996

Identification Number: C00024372

Reference: Mid-Year Report (1/1/95-6/30/95)

Dear Mr. Pledger:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Your report discloses \$115,532.80 in total receipts on Line 19 of the Detailed Summary Page. However, you have failed to itemize these receipts on the appropriate lines of the Detailed Summary Page. Please amend your report to disclose the breakdown of this total on Lines 11(a)(i) through 18.

-For your information, each category on the Detailed Summary Page for which your committee discloses activity must have a separate schedule. Please note this for future filings.

-You must make an attempt to obtain the full name, mailing address, occupation and name of employer for all individuals who contribute more than \$200 in a calendar year. Please amend your report to include the omitted information.

A committee may demonstrate "best efforts" to obtain the required information by providing the Commission with a description of its procedures for requesting the information and may also supply a copy of a solicitation: the committee must request the contributor information in initial solicitations; make follow-up requests (if necessary); report the information; and file amendments to disclose previously unreported information. Each solicitation must include a clear and

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DEDICATED TO KEEPING THE PUBLIC INFORMED

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conspicuous request for the information. If a committee receives a contribution that exceeds the \$200 threshold but lacks contributor information, the committee must, within 30 days, make an additional written or oral request for the information. Please note that a written request may not include an additional solicitation or material on any other subject, other than thanking the contributor for the donation and must include a pre-addressed return post card or envelope for the contributor's response. An oral request must be documented in writing. Committees must also disclose information that was not provided by the contributor, but is available in any of the committee's records for that current election cycle. Furthermore, if a committee receives contributor information after the contributions have been reported, it must submit, with its next report, an amended memo Schedule A listing all the contributions for which additional information was received or file, on or before the next reporting date, amendments to the previous reports on which the contributions were originally disclosed. See 11 CFR §104.3(a)(4)(i) and 11 CFR §104.7.

-Your report disclosed a category of financial activity that has been reflected on the wrong line of the Detailed Summary Page. Transfers from affiliated/other party committees should be properly disclosed on a separate Schedule A, supporting Line 12 of the Detailed Summary Page. Please refer to the instructions contained on the forms to determine the proper categorization when preparing your next filing.

-Schedule A of your report (pertinent portion(s) attached) discloses a receipt(s) of \$57,977.71 from the Democratic Party of Arkansas. Please clarify whether this transfer(s) is from an account maintained by your committee for non-federal activity. If so, be advised that such a transfer is prohibited by 11 CFR §102.5(a)(1)(i) and the full amount of the transfer(s) should be returned to the non-federal account. Please inform the Commission of your corrective action immediately in writing and provide a photocopy of your check for the transfer-out. In addition, the transfer-out should be disclosed on Schedule B supporting Line 22 of your next report.

If this transaction represents an "internal transfer" of funds from one federal account to another, and the source(s) of such funds has been identified in previous reports of receipts and disbursements, please note that

such transfers should not be itemized as doing so inflates total receipts and cash on hand. If this is the case, please amend your report accordingly.

Although the Commission may take further legal action regarding the acceptance of funds from a non-federal account, your prompt transfer-out of the impermissible funds or clarification of the transaction, will be taken into consideration.

-Schedule A of your report (pertinent portions attached) discloses an apparent contribution(s) from a corporation(s). 2 U.S.C. §441b(a) prohibits the receipt of contributions from corporations unless made from a separate segregated fund established by the corporation.

If the contribution(s) in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information. If you have received a corporate contribution(s), you must transfer-out the impermissible funds to an account not used to influence federal elections or refund the full amount to the donor(s) in accordance with 11 CFR §103.3(b). In the best interest of your committee, all transfers-out and refunds should be made within thirty days of the treasurer's receipt of the impermissible funds. In order to protect the donor's interests, the Commission recommends that you inform the contributor(s) in writing to provide the donor(s) with the option of receiving a refund or granting written authorization for a transfer to another account.

Please inform the Commission of your corrective action immediately in writing and provide a photocopy of your check for the transfer-out or refund. In addition, any transfers-out or refunds should be disclosed on Schedule B supporting Line 22 or 28 of the report covering the period during which the transaction was made.

Although the Commission may take further legal action concerning the acceptance of a prohibited contribution, prompt action by your committee to transfer-out or refund the amount will be taken into consideration.

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-Itemized disbursements must include a brief statement or description of why the disbursements were made. Please amend Schedule B of your report to clarify the following description(s): expense reimbursement. For further guidance regarding acceptable purposes of disbursements, please refer to 11 CFR §104.3(b)(3).

-Payments made to credit card companies must identify the original vendors from which you have purchased an item or service if your payments to these vendors have exceeded \$200 this year. Please amend your report by providing the date, amount, and purpose of such payments as required by 11 CFR §104.9(b).

-Your report includes computer produced formats of the Form 3X. Computer produced formats may only be used upon prior approval of the Commission. You should submit a separate sample format with a cover letter requesting approval. Until your format has been approved, FEC forms must be used. 11 CFR §104.2(d)

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 219-3580.

Sincerely,



Edward D. Ryan
Reports Analyst
Reports Analysis Division

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SCHEDULE A
 CONTRIBUTIONS FROM INDIVIDUALS/PERSONS

NAME OF COMMITTEE (in Full)
 Democratic Party of Arkansas - Federal Account C00024372

Any information copied from such Reports and Statements may not be sold or used by any person for the purposes of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Full Name Mailing Address	Name of Employer Occupation	Date MM/DD/YY	Amount
Arkansas Power and Light Co. P.O. Box 551 Little Rock, AR 72203- Receipt for []	No Recorded Employer No Recorded Occupation	03/31/95	\$347.45
Aggregate YTD >			\$347.45
Pulaski Co. Dem. Com 1300 West Capitol Little Rock, AR 72201 Receipt for [X] Primary	No Recorded Employer No Recorded Occupation	01/03/95	\$750.00
Aggregate YTD >			\$750.00
John Purtle Rt. 1, Box 115 England, AR 72046-9617 Receipt for [X] Primary	Retired	05/15/95	\$250.00
Aggregate YTD >			\$250.00
Lottie Shackelford 1720 Abigail Little Rock, AR 72204- Receipt for []	No Recorded Employer No Recorded Occupation	01/11/95	\$500.00
Aggregate YTD >			\$500.00
Robert Shults 5108 Edgewood Little Rock, AR 72207- Receipt for [X] Primary	No Recorded Employer No Recorded Occupation	01/04/95	\$500.00
Aggregate YTD >			\$500.00
Robert Smith, III 4805 Crestwood Little Rock, AR 72207- Receipt for [X] Primary	No Recorded Employer No Recorded Occupation	01/11/95	\$500.00
Aggregate YTD >			\$500.00
W.H. Sutton 2000 1st Commercial Bld. Little Rock, AR 72201- Receipt for [X] Primary	No Recorded Employer No Recorded Occupation	01/04/95	\$1000.00
Aggregate YTD >			\$1000.00
Woodson Walker 1 Union National Bank Bld., Ste 990 Little Rock, AR 72201- Receipt for []	No Recorded Employer No Recorded Occupation	01/11/95	\$1000.00
Aggregate YTD >			\$1000.00
SUBTOTAL of Receipts This Page.....>			\$4847.45
TOTAL This Period.....>			

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