

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

The Recording Industry Association of America Political Action Committee

ADDRESS (number and street) ▼

1025 F Street NW

10th Floor

☐ Check if different than previously reported. (ACC)

Washington

DC

20004

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00009357

3. IS THIS
REPORT☒NEW
(N)

OR

☐AMENDED
(A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15
Quarterly Report (Q1)☐ July 15
Quarterly Report (Q2)☒ October 15
Quarterly Report (Q3)☐ January 31
Year-End Report (YE)☐ July 31 Mid-Year
Report (Non-election
Year Only) (MY)☐ Termination Report
(TER)(b) Monthly
Report
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)
(Non-Election
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)
(Non-Election
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M / D D D / Y Y Y Y Y Y

in the
State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M / D D D / Y Y Y Y Y Y

in the
State of

5. Covering Period

M M M / D D D / Y Y Y Y Y Y
07 01 2015

through

M M M / D D D / Y Y Y Y Y Y
09 30 2015

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Mitch Glazier

Signature of Treasurer

Mitch Glazier

[Electronically Filed]

Date

M M M / D D D / Y Y Y Y Y Y
10 09 2015

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

The Recording Industry Association of America Political Action Committee

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y
07 / 01 / 2015 To: M M / D D / Y Y Y Y Y Y
09 / 30 / 2015

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, Y Y Y Y Y Y 2015		19174.60
(b) Cash on Hand at Beginning of Reporting Period.....	13599.62	
(c) Total Receipts (from Line 19)	22160.00	38085.02
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	35759.62	57259.62
7. Total Disbursements (from Line 31)	5500.00	27000.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	30259.62	30259.62
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

The Recording Industry Association of America Political Action Committee

Report Covering the Period:

From:

 M M / D D / Y Y Y Y Y
 07 01 2015

To:

 M M / D D / Y Y Y Y Y
 09 30 2015
I. Receipts
COLUMN A
Total This Period
COLUMN B
Calendar Year-to-Date

11. Contributions (other than loans) From:

(a) Individuals/Persons Other

Than Political Committees

(i) Itemized (use Schedule A).....

22160.00

37085.02

(ii) Unitemized

0.00

0.00

(iii) TOTAL (add

Lines 11(a)(i) and (ii)..... ▶

22160.00

37085.02

(b) Political Party Committees

0.00

0.00

(c) Other Political Committees

(such as PACs).....

0.00

0.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5) ▶

22160.00

37085.02

12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

13. All Loans Received

0.00

0.00

14. Loan Repayments Received.....

0.00

0.00

15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.00

0.00

16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

1000.00

17. Other Federal Receipts

(Dividends, Interest, etc.).....

0.00

0.00

18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3).....

0.00

0.00

(b) Levin Funds (from Schedule H5)

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),
12, 13, 14, 15, 16, 17, and 18(c))..... ▶

22160.00

38085.02

20. Total Federal Receipts

(subtract Line 18(c) from Line 19) ▶

22160.00

38085.02

DETAILED SUMMARY PAGE of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	5500.00	27000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	5500.00	27000.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	5500.00	27000.00

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	22160.00	37085.02
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	22160.00	37085.02
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ►	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ►	0.00	0.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 6 OF 13

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Recording Industry Association of America Political Action Committee

Full Name (Last, First, Middle Initial)

A. Tom Corson

Mailing Address 90 Orchard Dr.

City

Greenwich

State

CT

Zip Code

06830

FEC ID number of contributing
federal political committee.

C

Name of Employer

SonyBMG Entertainment

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

08 / 27 / 2015

Transaction ID : SA11AI.5738

Amount of Each Receipt this Period

1000.00

deposit

Full Name (Last, First, Middle Initial)

B. Clive Davis

Mailing Address 465 Park Avenue

City

New York

State

NY

Zip Code

10022

FEC ID number of contributing
federal political committee.

C

Name of Employer

SONYBMG Music Entertainment

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

08 / 27 / 2015

Transaction ID : SA11AI.5739

Amount of Each Receipt this Period

5000.00

deposit

Full Name (Last, First, Middle Initial)

C. Andrea Finkelstein

Mailing Address 308 W.91st Street

City

New York

State

NY

Zip Code

10024

FEC ID number of contributing
federal political committee.

C

Name of Employer

SonyBMG Entertainment

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

08 / 25 / 2015

Transaction ID : SA11AI.5736

Amount of Each Receipt this Period

500.00

deposit

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

6500.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

The Recording Industry Association of America Political Action Committee

Full Name (Last, First, Middle Initial)

A. Jennifer Jacobsen

Mailing Address 1025 F Street, NW
10th Floor

City State Zip Code
Washington DC 20004

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sony Music Entertainment

Occupation

VP, Industry & Government Relations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

08 / 25 / 2015

Transaction ID : SA11AI.5735

Amount of Each Receipt this Period

250.00

deposit

Full Name (Last, First, Middle Initial)

B. Mr Kevin Kelleher

Mailing Address 159 Beach St. 141st Street

City State Zip Code
Belle Harbor NY 11694

FEC ID number of contributing
federal political committee.

C

Name of Employer

SONY BMG Music Entertainment

Occupation

Executive VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3000.00

Date of Receipt

08 / 27 / 2015

Transaction ID : SA11AI.5740

Amount of Each Receipt this Period

3000.00

deposit

Full Name (Last, First, Middle Initial)

C. Dennis Kooker

Mailing Address 550 Madison Ave

City State Zip Code
New York NY 10022

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sony Music Entertainment

Occupation

EVP, Operations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2500.00

Date of Receipt

09 / 16 / 2015

Transaction ID : SA11AI.5744

Amount of Each Receipt this Period

2500.00

deposit

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

5750.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 8 OF 13
(check only one)

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NAME OF COMMITTEE (In Full)

The Recording Industry Association of America Political Action Committee

Full Name (Last, First, Middle Initial)

A. Wade Leak

Mailing Address 39 E. 19th St., NO. 6

City
New York

State Zip Code
NY 10003

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sony Music Entertainment

Occupation

SVP, Deputy General Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 16 / 2015

Transaction ID : SA11AI.5743

Amount of Each Receipt this Period

500.00

deposit

Full Name (Last, First, Middle Initial)

B. Deirdre Mcdonald

Mailing Address 31 Jane Street
Apt 15H

City
New York

State Zip Code
NY 10014

FEC ID number of contributing
federal political committee.

C

Name of Employer

SONY BMG

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 15 / 2015

Transaction ID : SA11AI.5730

Amount of Each Receipt this Period

500.00

deposit

Full Name (Last, First, Middle Initial)

C. Douglas Morris

Mailing Address 1755 Broadway
7th floor

City
New York

State Zip Code
NY 10019

FEC ID number of contributing
federal political committee.

C

Name of Employer

Universal Music Group

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 16 / 2015

Transaction ID : SA11AI.5745

Amount of Each Receipt this Period

5000.00

deposit

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

6000.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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NAME OF COMMITTEE (In Full)

The Recording Industry Association of America Political Action Committee

Full Name (Last, First, Middle Initial)

A. Employees RIAA

Mailing Address 1025 F Street
10th Floor

City State Zip Code
Washington DC 20004

FEC ID number of contributing
federal political committee.

C

Name of Employer

RIAA

Occupation
employee

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4555.02

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
07 / 03 / 2015

Transaction ID : SA11AI.5728

Amount of Each Receipt this Period

130.00

deposit

Full Name (Last, First, Middle Initial)

B. Employees RIAA

Mailing Address 1025 F Street
10th Floor

City State Zip Code
Washington DC 20004

FEC ID number of contributing
federal political committee.

C

Name of Employer

RIAA

Occupation
employee

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4685.02

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
07 / 17 / 2015

Transaction ID : SA11AI.5731

Amount of Each Receipt this Period

130.00

deposit

Full Name (Last, First, Middle Initial)

C. Employees RIAA

Mailing Address 1025 F Street
10th Floor

City State Zip Code
Washington DC 20004

FEC ID number of contributing
federal political committee.

C

Name of Employer

RIAA

Occupation
employee

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4815.02

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
07 / 31 / 2015

Transaction ID : SA11AI.5733

Amount of Each Receipt this Period

130.00

deposit

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

390.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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FOR LINE NUMBER:
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NAME OF COMMITTEE (In Full)

The Recording Industry Association of America Political Action Committee

Full Name (Last, First, Middle Initial)

A. Employees RIAA

Mailing Address 1025 F Street
10th Floor

City State Zip Code
Washington DC 20004

FEC ID number of contributing
federal political committee.

C

Name of Employer

RIAA

Occupation

employee

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4945.02

Date of Receipt

08 / 14 / 2015

Transaction ID : SA11AI.5734

Amount of Each Receipt this Period

130.00

deposit

Full Name (Last, First, Middle Initial)

B. Employees RIAA

Mailing Address 1025 F Street
10th Floor

City State Zip Code
Washington DC 20004

FEC ID number of contributing
federal political committee.

C

Name of Employer

RIAA

Occupation

employee

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5075.02

Date of Receipt

08 / 28 / 2015

Transaction ID : SA11AI.5741

Amount of Each Receipt this Period

130.00

deposit

Full Name (Last, First, Middle Initial)

C. Employees RIAA

Mailing Address 1025 F Street
10th Floor

City State Zip Code
Washington DC 20004

FEC ID number of contributing
federal political committee.

C

Name of Employer

RIAA

Occupation

employee

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5205.02

Date of Receipt

09 / 11 / 2015

Transaction ID : SA11AI.5742

Amount of Each Receipt this Period

130.00

deposit

SUBTOTAL of Receipts This Page (optional)..... ►

390.00

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

The Recording Industry Association of America Political Action Committee

Full Name (Last, First, Middle Initial)

A. Employees RIAA

Mailing Address 1025 F Street
10th Floor

City State Zip Code
Washington DC 20004

FEC ID number of contributing
federal political committee.

C

Name of Employer

RIAA

Occupation

employee

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5335.02

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 25 / 2015

Transaction ID : SA11AI.5746

Amount of Each Receipt this Period

130.00

deposit

Full Name (Last, First, Middle Initial)

B. Julie Swidler

Mailing Address 215 W 90th Street

City State Zip Code
New York NY 10024

FEC ID number of contributing
federal political committee.

C

Name of Employer

SonyBMG Entertainment

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2500.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
08 / 25 / 2015

Transaction ID : SA11AI.5737

Amount of Each Receipt this Period

2500.00

deposit

Full Name (Last, First, Middle Initial)

C. Jeff Walker

Mailing Address 789 West End Ave

City State Zip Code
New York NY 10025

FEC ID number of contributing
federal political committee.

C

Name of Employer

SonyBMG Entertainment

Occupation

Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 15 / 2015

Transaction ID : SA11AI.5729

Amount of Each Receipt this Period

500.00

deposit

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

3130.00

22160.00

	21b		22	X	23		24		25		26
	27		28a		28b		28c		29		30b

The Recording Industry Association of America Political Action Committee

A. RICHMOND FOR CONGRESS

Mailing Address 1631 ELYSIAN FIELDS
SUITE 150

City	State	Zip Code
NEW ORLEANS	LA	70126

Transaction ID : SB23.5748

Purpose of Disbursement
Political Contribution

011

Amount of Each Disbursement this Period

Candidate Name

Category/
Type

1000.00

Office Sought:	<input checked="" type="checkbox"/>	House
	<input type="checkbox"/>	Senate
	<input type="checkbox"/>	President
State: LA	District:	02

Disbursement For: 2016

☐ Primary ☒ General

☐ Other (specify) ▼

Full Name (Last, First, Middle Initial)

B. SCOTT PETERS FOR CONGRESS

Date of Disbursement

09 / 10 / 2015

Mailing Address PO BOX 75357

City	State	Zip Code
WASHINGTON	DC	20002

Transaction ID : SB23.5749

Purpose of Disbursement	Political Contribution

011

Amount of Each Disbursement this Period

Candidate Name

Category/
Type

1000.00

Office Sought: ☒ House
☐ Senate
☐ President
 State: CA District: 52

Disbursement For: 2016

☐ Primary ☒ General

☐ Other (specify) ▼

Full Name (Last, First, Middle Initial)

C.

Date of Disbursement

Mailing Address

City	State	Zip Code
------	-------	----------

Purpose of Disbursement

Amount of Each Disbursement this Period

Candidate Name

Category/
Type

Office Sought:	<input type="checkbox"/>	House
	<input type="checkbox"/>	Senate
	<input type="checkbox"/>	President
State:	District:	

Disbursement For:

<input type="checkbox"/>	Primary	<input type="checkbox"/>	General
<input type="checkbox"/>	Other (specify) ▼		

SUBTOTAL of Disbursements This Page (optional).....

2000.00

TOTAL This Period (last page this line number only).....

5500.00