

FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20543  
4-11-83-12

**The Options Clearing Corporation**  
449 South LaSalle Street, Suite 302  
Chicago, Illinois 60605-1150

**JUL 23 1 28 PM '93**

James E. Yong  
Vice President and Deputy General Counsel  
(312) 322-4451

July 19, 1993

**VIA CERTIFIED MAIL**  
**RETURN RECEIPT REQUESTED**

Federal Election Commission  
999 E Street, N.W.  
Washington, D.C. 20463

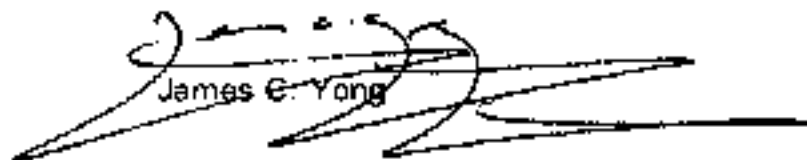
To Whom It May Concern:

On behalf of The Options Clearing Corporation Political Action Committee ("OCC PAC"), I am enclosing two sets of FEC Form 3X, a report of receipts and disbursements of OCC PAC (the "Report"). The first Report (the "Amended Report") amends the Report (the "Old Report") filed with the Federal Election Commission for the period April 1, 1993 through and including June 30, 1993. The Amended Report reflects changes in Column B of the Summary Page and the Detailed Summary Page. The Old Report contained figures in Column B which reflected amounts from the beginning of OCC PAC to and including June 30, 1993. The figures used in the Amended Report properly reflect "Calendar Year to Date" amounts. We apologize for any inconvenience caused by the Old Report.

We also recently noticed that the FEC Form 3X filed with you on July 15, 1993 failed to contain the signature of our Assistant Treasurer. Accordingly, we are filing herewith an executed copy of FEC Form 3X. The enclosed FEC Form 3X is identical to the one filed on or about July 15, 1993.

If you have any questions with respect to these Reports or OCC PAC, please call me at (312) 322-4451.

Very truly yours,

  
James E. Yong

JCY:jmr  
Enclosure

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# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20543  
MAY 1993

Jul 23 1 28 PM '93

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full) The Options Clearing Corporation <del>Political Action Committee</del> ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 440 South LaSalle, Suite 2400 CITY, STATE and ZIP CODE Chicago, IL 60605	2. FEC IDENTIFICATION NUMBER C00255877 3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date).
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### 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report  
 July 15 Quarterly Report  
 October 15 Quarterly Report  
 January 31 Year End Report  
 July 31 Mid Year Report (Non-election Year Only)  
 Termination Report
- Monthly Report Due On:  
 February 20     June 20     October 20  
 March 20     July 20     November 20  
 April 20     August 20     December 20  
 May 20     September 20     January 31
- Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_
- (b) Is this Report an Amendment?     YES     NO

SUMMARY	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period <u>4/1/93</u> through <u>6/30/93</u>		
6. (a) Cash on Hand January 1, 19 <u>93</u>		\$ 2,388.22
(b) Cash on Hand at Beginning of Reporting Period	\$ 1,488.22	
(c) Total Receipts (from Line 19)	\$ 725.00	\$ 825.00
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$ 2,213.22	\$ 3,213.22
7. Total Disbursements (from Line 30)	\$ -0-	\$ 1,000.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$ 2,213.22	\$ 2,213.22
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$ -0-	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20543 Toll Free 800-424-9530 Local 202-218-3420
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$ -0-	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Frank J. Larocca, Assistant Treasurer Signature of Treasurer 	Date 7/15/93
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NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

**DETAILED SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS  
PAGE 2, FEC FORM 3X**

(revised 1/1/91)

NAME OF COMMITTEE		REPORT COVERING PERIOD	
The Options Clearing Corporation Political Action Committee		FROM 4/1/93	TO: 6/30/93
		COLUMN A Total This Period	COLUMN B Calendar Year
<b>I. Receipts</b>			
11. Contributions (other than loans) From:			
a. Individuals/Persons Other Than Political Committees			
i. Itemized (use Schedule A)	725.00	825.00	
ii. Unitemized	-0-	-0-	
iii. Total (add i and ii)	725.00	825.00	
b. Political Party Committees	-0-	-0-	
c. Other Political Committees (such as PACs)	-0-	-0-	
d. Total Contributions (add a ii, b and c)	725.00	825.00	
12. Transfers From Affiliated/Other Party Committees	-0-	-0-	
13. All Loans Received	-0-	-0-	
14. Loan Repayments Received	-0-	-0-	
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)	-0-	-0-	
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees	-0-	-0-	
17. Other Federal Receipts (Dividends, Interest, etc.)	-0-	-0-	
18. Transfers from Nonfederal Account for Joint Activity	-0-	-0-	
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18)	725.00	825.00	
20. Total Federal Receipts (subtract line 18 from line 19)	725.00	825.00	
<b>II. Disbursements</b>			
21. Operating Expenditures:			
a. Shared Federal/Non-Federal Activity (from Schedule H4)			
i. Federal Share	-0-	-0-	
ii. Non-Federal Share	-0-	-0-	
b. Other Federal Operating Expenditures	-0-	-0-	
c. Total Operating Expenditures (add a i, a ii, and b)	-0-	-0-	
22. Transfers to Affiliated/Other Party Committees	-0-	-0-	
23. Contributions to Federal Candidates/Committees and Other Political Committees	-0-	1,000.00	
24. Independent Expenditures (use Schedule E)	-0-	-0-	
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)	-0-	-0-	
26. Loan Repayments Made	-0-	-0-	
27. Loans Made	-0-	-0-	
28. Refunds of Contributions To:			
a. Individuals/Persons Other Than Political Committees	-0-	-0-	
b. Political Party Committees	-0-	-0-	
c. Other Political Committees (such as PACs)	-0-	-0-	
d. Total Contribution Refunds (add a, b and c)	-0-	-0-	
29. Other Disbursements	-0-	-0-	
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29)	-0-	1,000.00	
31. Total Federal Disbursements (subtract line 21 a ii from line 30)	-0-	1,000.00	
<b>III. Net Contributions/Operating Expenditures</b>			
32. Total Contributions (other than loans) (from line 11d)	725.00	825.00	
33. Total Contribution Refunds (from line 28d)	-0-	-0-	
34. Net Contributions (other than loans) (subtract line 33 from line 32)	725.00	825.00	
35. Total Federal Operating Expenditures (add 21 a i and 21 b)	-0-	-0-	
36. Offsets to Operating Expenditures (from line 15)	-0-	-0-	
37. Net Operating Expenditures (subtract line 36 from line 35)	-0-	-0-	

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**SCHEDULE A**

**ITEMIZED RECEIPTS**

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

**NAME OF COMMITTEE (in Full)**

The Options Clearing Corporation Political Action Committee

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Edward J. Adinolfi 36 E. 55th Street Hinsdale, IL 60521	The Options Clearing Corporation	4/30/93	25.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation Vice President National Operations		Aggregate Year-to-Date > \$ 25.00
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Jean M. Cawley 733 N. Forestview Park Ridge, IL 60068	The Options Clearing Corporation	4/30/93	50.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation Director and Special Counsel		Aggregate Year-to-Date > \$ 100.00
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
David P. Engaldo 58 Boxwood Lane Cary, IL 60013	The Options Clearing Corporation	6/18/93	100.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation Vice President Administration		Aggregate Year-to-Date > \$ 100.00
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Richard C. Mersitz 9 Galway Drive Mendham, NJ 07945	The Options Clearing Corporation	4/30/93	50.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation Director New York Operations		Aggregate Year-to-Date > \$ 50.00
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Joseph A. Molloy 710 Woodhollow Lane Buffalo Grove, IL 60089	The Options Clearing Corporation	4/29/93	100.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation Assistant Vice President-Administration		Aggregate Year-to-Date > \$ 100.00
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Andrew Naughton 30 Mandel Lane Prospect Heights, IL 60070	The Options Clearing Corporation	5/3/93	100.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation Director Financial Surveillance		Aggregate Year-to-Date > \$ 100.00
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Leonard E. Neuzil 919-A Abbotsford Lane Frankfort, IL 60423	The Options Clearing Corporation	4/23/93	100.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation First Vice President Systems & Programming		Aggregate Year-to-Date > \$ 100.00

**SUBTOTAL** of Receipts This Page (optional) ..... 525.00

**TOTAL** This Period (last page this line number only) .....

SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 2 OF 2  
FOR LINE NUMBER

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

The Options Clearing Corporation Political Action Committee

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Ralph E. Pfaff 190 Bradford Ct. Lake Bluff, IL 60044 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	The Options Clearing Corporation Occupation Executive V.P. & Corporate Information Officer Aggregate Year-to-Date > \$ 100.00	4/26/93	100.00
Samuel D. Pincus 1160 Parker Avenue Downers Grove, IL 60516 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	The Options Clearing Corporation Occupation Vice President Technical Analysis Aggregate Year-to-Date > \$ 100.00	6/29/93	100.00
C. Full Name, Mailing Address and ZIP Code Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Name of Employer Occupation Aggregate Year-to-Date > \$	Date (month, day, year)	Amount of Each Receipt this Period
D. Full Name, Mailing Address and ZIP Code Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Name of Employer Occupation Aggregate Year-to-Date > \$	Date (month, day, year)	Amount of Each Receipt this Period
E. Full Name, Mailing Address and ZIP Code Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Name of Employer Occupation Aggregate Year-to-Date > \$	Date (month, day, year)	Amount of Each Receipt this Period
F. Full Name, Mailing Address and ZIP Code Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Name of Employer Occupation Aggregate Year-to-Date > \$	Date (month, day, year)	Amount of Each Receipt this Period
G. Full Name, Mailing Address and ZIP Code Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Name of Employer Occupation Aggregate Year-to-Date > \$	Date (month, day, year)	Amount of Each Receipt this Period

<b>SUBTOTAL</b> of Receipts This Page (optional)	200.00
<b>TOTAL</b> This Period (last page this line number only)	725.00

**SCHEDULE B**

**ITEMIZED DISBURSEMENTS**

Use separate schedules for each category of the Detailed Summary Page

PAGE	OF
1	1
FOR LINE NUMBER	

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NAME OF COMMITTEE (In Full)

The Options Clearing Corporation Political Action Committee

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
NONE			
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional) .....

TOTAL This Period (last page this line number only) .....

