

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) **USE FEC MAILING LABEL OR TYPE OR PRINT** Example: If typing, type over the lines
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

ADDRESS (number and street) 1401 H STREET NW SUITE 1200
 Check if different than previously reported. (ACC)
WASHINGTON DC 20005

2. **FEC IDENTIFICATION NUMBER** C00105981
3. IS THIS REPORT NEW (N) **OR** AMENDED (A)

4. **TYPE OF REPORT** (Choose One)
(a) Quarterly Reports:
 April 15 Quarterly Report(Q1)
 July 15 Quarterly Report(Q2)
 October 15 Quarterly Report(Q3)
 January 31 Quarterly Report(YE)
 July 31 Mid-Year Report(Non-election Year Only) (MY)
 Termination Report (TER)
(b) Monthly Report Due On:
 Feb 20 (M2) May 20 (M5) Aug 20 (M8) Nov 20 (M11) (Non-Election Year Only)
 Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) Dec 20 (M12) (Non-Election Year Only)
 Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (YE)
(c) 12-Day **PRE**-Election Report for the:
 Primary (12P) General (12G) Runoff (12R)
 Convention (12C) Special (12G)
Election on _____ in the State of _____
(d) 30-Day **Post**-Election Report for the:
 General (30G) Runoff (30R) Special (30S)
Election on _____ in the State of _____

5. Covering Period 04 01 2009 through 04 30 2009

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.
Type or Print Name of Treasurer Mr Peter Gallery
Signature of Treasurer Electronically Filed by Mr Peter Gallery Date 05 20 2009

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office Use Only **FEC FORM 3X** (Rev. 12/2004)

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

Write or Type Committee Name
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Report Covering the Period: From:

M	M
0	4

D	D
0	1

Y	Y	Y	Y
2	0	0	9

 To:

M	M
0	4

D	D
3	0

Y	Y	Y	Y
2	0	0	9

	COLUMN A This Period	COLUMN B Calendar Year-to-Date								
6. (a) Cash on Hand January 1 <table border="1" style="display: inline-table; border-collapse: collapse;"><tr><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr><tr><td>2</td><td>0</td><td>0</td><td>9</td></tr></table>	Y	Y	Y	Y	2	0	0	9		404955.26
Y	Y	Y	Y							
2	0	0	9							
(b) Cash on Hand at Beginning of Reporting Period	318099.02									
(c) Total Receipts (from Line 19)	82853.98	320847.74								
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	400953.00	725803.00								
7. Total Disbursements (from Line 31)	0.00	324850.00								
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	400953.00	400953.00								
9. Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D)	0.00									
10. Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D)	0.00									

This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

**DETAILED SUMMARY PAGE
OF RECEIPTS**

Write or Type Committee Name

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Report Covering the Period: From:

M	M
0	4

D	D
0	1

Y	Y	Y	Y
2	0	0	9

 To:

M	M
0	4

D	D
3	0

Y	Y	Y	Y
2	0	0	9

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees	76670.41	284774.95
(i) Itemized (use Schedule A)		
(ii) Unitemized	181.83	1045.45
(iii) TOTAL (add Lines 11(a)(i) and (ii)	76852.24	285820.40
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	6000.00	35000.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5)	82852.24	320820.40
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.)	1.74	27.34
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	82853.98	320847.74
20. Total Federal Receipts (subtract Line 18(c) from Line 19)	82853.98	320847.74

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share.....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures.....	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... ▶	0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees..... and Other Political Committees.....	0.00	306850.00
24. Independent Expenditure (use Schedule E)	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	18000.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	0.00	18000.00
29. Other Disbursements.....	0.00	0.00
30. Federal Election Activity (2 U.S.C 431(20))		
(a) Shared Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..	0.00	324850.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	0.00	324850.00

DETAILED SUMMARY PAGE
of Disbursements

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3	82852.24	320820.40
34. Total Contribution Refunds (from Line 28(d))	0.00	18000.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	82852.24	302820.40
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 25
(check only one)

11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A.

Full Name (Last, First, Middle Initial)
OppenheimerFunds Political Action Committee

Mailing Address Two World Financial Center

City State Zip Code
New York NY 10080

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
5000.00

Date of Receipt
MM / DD / YYYY
04 / 03 / 2009

Transaction ID: 7781722

Amount of Each Receipt this Period
5000.00

B.

Full Name (Last, First, Middle Initial)
AEGON USA Political Action Committee

Mailing Address 1111 North Charles St.
MS #17

City State Zip Code
Baltimore MD 21201

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1000.00

Date of Receipt
MM / DD / YYYY
04 / 14 / 2009

Transaction ID: 7819064

Amount of Each Receipt this Period
1000.00

SUBTOTAL of Receipts This Page (optional) ► **6000.00**

TOTAL This Period (last page this line number only) ► **6000.00**

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 25
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A. Full Name (Last, First, Middle Initial)
Mr. William F. Truscott

Mailing Address 13 Westport Lane

City State Zip Code
Marblehead MA 01945-1267

FEC ID number of contributing federal political committee. C

Name of Employer Occupation
Ameriprise Financial Services, Inc. President, U.S. Asset Mgmt & CIO

Receipt For: Aggregate Year-to-Date ▼
 Primary General
 Other (specify) ▼ 5000.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	4		1	4		2	0	0	9

Transaction ID: 7819063

Amount of Each Receipt this Period
5000.00

B. Full Name (Last, First, Middle Initial)
Mr. Richard W Gilbert

Mailing Address 5040 Arbor Lane #302

City State Zip Code
Northfield IL 60093-3365

FEC ID number of contributing federal political committee. C

Name of Employer Occupation
Principal Funds Director

Receipt For: Aggregate Year-to-Date ▼
 Primary General
 Other (specify) ▼ 1000.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	4		1	4		2	0	0	9

Transaction ID: 7819065

Amount of Each Receipt this Period
1000.00

C. Full Name (Last, First, Middle Initial)
Mr. Mark A Grimmatt

Mailing Address 6310 Deerfield Ave

City State Zip Code
San Gabriel CA 91775-1818

FEC ID number of contributing federal political committee. C

Name of Employer Occupation
Merle Norman Cosmetics, Inc. Director

Receipt For: Aggregate Year-to-Date ▼
 Primary General
 Other (specify) ▼ 1000.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	4		1	4		2	0	0	9

Transaction ID: 7819066

Amount of Each Receipt this Period
1000.00

SUBTOTAL of Receipts This Page (optional)	7000.00
TOTAL This Period (last page this line number only)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 8 / 25
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12	
	<input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A.	Full Name (Last, First, Middle Initial) Mr. Fritz Hirsch	Date of Receipt MM / DD / YYYY 04 / 14 / 2009
	Mailing Address 933 Kenton Road	Transaction ID: 7819067
	City State Zip Code Deerfield IL 60015-3303	Amount of Each Receipt this Period 1000.00
	FEC ID number of contributing federal political committee. C	
	Name of Employer Occupation Principal Investors Fund Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	

B.	Full Name (Last, First, Middle Initial) Mr. William C Kimball	Date of Receipt MM / DD / YYYY 04 / 14 / 2009
	Mailing Address 6725 Augustine Ct	Transaction ID: 7819068
	City State Zip Code Johnston IA 50131-4700	Amount of Each Receipt this Period 1000.00
	FEC ID number of contributing federal political committee. C	
	Name of Employer Occupation Kimball-Porter Investments LLC Partner	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	

C.	Full Name (Last, First, Middle Initial) Ms. Elizabeth Ballantine	Date of Receipt MM / DD / YYYY 04 / 14 / 2009
	Mailing Address 1113 Basil Road	Transaction ID: 7819069
	City State Zip Code McLean VA 22101-1803	Amount of Each Receipt this Period 1000.00
	FEC ID number of contributing federal political committee. C	
	Name of Employer Occupation EBA Associates Partner	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	

SUBTOTAL of Receipts This Page (optional)	▶	3000.00
TOTAL This Period (last page this line number only)	▶	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 25

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A.

Full Name (Last, First, Middle Initial)

Kristianne Blake

Mailing Address 12605 N. Eagle Bluff Lane

City State Zip Code
Spokane WA 99208-9211

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Principal Funds Managing Director

Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ 1500.00

Date of Receipt

M M / D D / Y Y Y Y
0 4 / 1 4 / 2 0 0 9

Transaction ID: 7819070

Amount of Each Receipt this Period

1500.00

B.

Full Name (Last, First, Middle Initial)

Mr. Craig Damos

Mailing Address 1623 Plum Thicket Lane

City State Zip Code
West Des Moines IA 50266-6604

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Weitz Company Account Manager

Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ 1000.00

Date of Receipt

M M / D D / Y Y Y Y
0 4 / 1 4 / 2 0 0 9

Transaction ID: 7819071

Amount of Each Receipt this Period

1000.00

C.

Full Name (Last, First, Middle Initial)

Mr. Ralph C Eucher

Mailing Address 4655 Turnberry Dr.

City State Zip Code
West Des Moines IA 50265-5246

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Principal Mutual Funds CEO

Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ 5000.00

Date of Receipt

M M / D D / Y Y Y Y
0 4 / 1 4 / 2 0 0 9

Transaction ID: 7819072

Amount of Each Receipt this Period

5000.00

SUBTOTAL of Receipts This Page (optional)

7500.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 25
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A. Full Name (Last, First, Middle Initial)
Ms. Barbara A Lukavsky

Mailing Address 7522 E. Visao Dr

City State Zip Code
Scottsdale AZ 85266-2748

FEC ID number of contributing federal political committee. **C**

Name of Employer Principal Funds Occupation Director

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: 04 / 14 / 2009
Transaction ID: 7819073
Amount of Each Receipt this Period: 1000.00

B. Full Name (Last, First, Middle Initial)
Mr. William G Papesh

Mailing Address 2011 S. Overbluff Estates Lane

City State Zip Code
Spokane WA 99203

FEC ID number of contributing federal political committee. **C**

Name of Employer Edge Asset Management, Inc. Occupation President

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 3000.00

Date of Receipt: 04 / 14 / 2009
Transaction ID: 7819074
Amount of Each Receipt this Period: 3000.00

C. Full Name (Last, First, Middle Initial)
Mr. Daniel Pavelich

Mailing Address 4311 S. Madison Rd.

City State Zip Code
Spokane Valley WA 99206-8320

FEC ID number of contributing federal political committee. **C**

Name of Employer WM Group of Funds Occupation Executive

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 3000.00

Date of Receipt: 04 / 14 / 2009
Transaction ID: 7819075
Amount of Each Receipt this Period: 3000.00

SUBTOTAL of Receipts This Page (optional) ► 7000.00

TOTAL This Period (last page this line number only) ►

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 11 / 25
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A.	Full Name (Last, First, Middle Initial) Ms. Nora M Everett	Date of Receipt MM / DD / YYYY 04 / 14 / 2009
	Mailing Address 3825 John Lynde Rd	Transaction ID: 7819076
	City State Zip Code Des Moines IA 50312-3033	Amount of Each Receipt this Period 500.00
	FEC ID number of contributing federal political committee. C	
	Name of Employer Principal Funds Occupation President Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 500.00	

B.	Full Name (Last, First, Middle Initial) Mr. Joshua Gonze	Date of Receipt MM / DD / YYYY 04 / 23 / 2009
	Mailing Address 119 East Marcy St	Transaction ID: 7859503
	City State Zip Code Santa Fe NM 87501-2084	Amount of Each Receipt this Period 1000.00
	FEC ID number of contributing federal political committee. C	
	Name of Employer Thornburg Investment Management, Inc. Occupation Associate Portfolio Manager Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1000.00	

C.	Full Name (Last, First, Middle Initial) Mr. Robert C McInerney	Date of Receipt MM / DD / YYYY 04 / 23 / 2009
	Mailing Address 16 Heritage Court	Transaction ID: 7859504
	City State Zip Code Randolph NJ 07869-3532	Amount of Each Receipt this Period 1000.00
	FEC ID number of contributing federal political committee. C	
	Name of Employer Thornburg Investment Management, Inc. Occupation Managing Director Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1000.00	

SUBTOTAL of Receipts This Page (optional)	2500.00
TOTAL This Period (last page this line number only)	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 12 / 25
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A.	Full Name (Last, First, Middle Initial) Ms. Carolyn M Dobbs		Date of Receipt MM / DD / YYYY 04 / 23 / 2009		
	Mailing Address 3200 Ann Cr. SE		Transaction ID: 7859505		
	City Rio Rancho	State NH	Zip Code 87124	Amount of Each Receipt this Period 1000.00	
	FEC ID number of contributing federal political committee. C				
	Name of Employer Thornburg Investment Management, Inc.	Occupation Managing Director	Aggregate Year-to-Date 1000.00		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼					

B.	Full Name (Last, First, Middle Initial) Mr. Jason H Brady		Date of Receipt MM / DD / YYYY 04 / 23 / 2009		
	Mailing Address 1404 Bishops Lodge Road		Transaction ID: 7859506		
	City Santa Fe	State NM	Zip Code 87506-0003	Amount of Each Receipt this Period 1500.00	
	FEC ID number of contributing federal political committee. C				
	Name of Employer Thornburg Investment Management, Inc.	Occupation Managing Director	Aggregate Year-to-Date 1500.00		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼					

C.	Full Name (Last, First, Middle Initial) Ms. Susan Dubin		Date of Receipt MM / DD / YYYY 04 / 23 / 2009		
	Mailing Address 52 W. Brothers Drive		Transaction ID: 7859507		
	City Greenwich	State CT	Zip Code 06830-6750	Amount of Each Receipt this Period 1000.00	
	FEC ID number of contributing federal political committee. C				
	Name of Employer Thornburg Investment Trust	Occupation Executive	Aggregate Year-to-Date 1000.00		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼					

SUBTOTAL of Receipts This Page (optional)	▶	3500.00
TOTAL This Period (last page this line number only)	▶	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 13 / 25
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A. Full Name (Last, First, Middle Initial)
Mr. Leon Sandersfeld

Mailing Address 115 W. Berger St #3

City State Zip Code
Santa Fe NM 87505-2700

FEC ID number of contributing federal political committee. **C**

Name of Employer Thornburg Investment Management, Inc. Occupation Managing Director

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **500.00**

Date of Receipt **04 / 23 / 2009**

Transaction ID: 7859508

Amount of Each Receipt this Period **500.00**

B. Full Name (Last, First, Middle Initial)
Mr. Edward E Maran

Mailing Address 1508 Summit Ridge

City State Zip Code
Santa Fe NM 87501-8343

FEC ID number of contributing federal political committee. **C**

Name of Employer Thornburg Investment Management, Inc. Occupation Associate Portfolio Manager

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **1000.00**

Date of Receipt **04 / 23 / 2009**

Transaction ID: 7859509

Amount of Each Receipt this Period **1000.00**

C. Full Name (Last, First, Middle Initial)
Mr. Alexander M Motola

Mailing Address 2 Hacienda Ct

City State Zip Code
Santa Fe NM 87506-8239

FEC ID number of contributing federal political committee. **C**

Name of Employer Thornburg Investment Management, Inc. Occupation Portfolio Manager and Managing Director

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **5000.00**

Date of Receipt **04 / 23 / 2009**

Transaction ID: 7859510

Amount of Each Receipt this Period **5000.00**

SUBTOTAL of Receipts This Page (optional) **6500.00**

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 25
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A. Full Name (Last, First, Middle Initial)
Mr. Jack Gardner, AIFA

Mailing Address 215 West Alicante Rd

City State Zip Code
Santa Fe NM 87505-4603

FEC ID number of contributing federal political committee. **C**

Name of Employer Thornburg Securities Corporation
Occupation Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1500.00

Date of Receipt
M M / D D / Y Y Y Y Y
0 4 / 2 3 / 2 0 0 9

Transaction ID: 7859511

Amount of Each Receipt this Period
1500.00

B. Full Name (Last, First, Middle Initial)
Mr. W. Vinson Walden

Mailing Address 868 Paseo Del Sur

City State Zip Code
Santa Fe NM 87501-8836

FEC ID number of contributing federal political committee. **C**

Name of Employer Thornburg Investment Management, Inc.
Occupation Associate Portfolio Manager

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt
M M / D D / Y Y Y Y Y
0 4 / 2 3 / 2 0 0 9

Transaction ID: 7859512

Amount of Each Receipt this Period
1000.00

C. Full Name (Last, First, Middle Initial)
Mr. William V Fries

Mailing Address 110 Portside Dr.

City State Zip Code
Coppell TX 75019-6345

FEC ID number of contributing federal political committee. **C**

Name of Employer Thornburg Investment Management, Inc.
Occupation Portfolio Manager and Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 3500.00

Date of Receipt
M M / D D / Y Y Y Y Y
0 4 / 2 3 / 2 0 0 9

Transaction ID: 7859513

Amount of Each Receipt this Period
3500.00

SUBTOTAL of Receipts This Page (optional) ► **6000.00**

TOTAL This Period (last page this line number only) ►

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 15 / 25
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A.	Full Name (Last, First, Middle Initial) Mr. Lei Wang	Date of Receipt
	Mailing Address 16 Camino Botanica	<input type="text"/> M M / <input type="text"/> D D / <input type="text"/> Y Y Y Y 04 / 23 / 2009
	City State Zip Code Santa Fe NM 87507-5203	Transaction ID: 7859514
	FEC ID number of contributing federal political committee. <input type="text"/> C <input type="text"/>	Amount of Each Receipt this Period <input type="text"/> 1000.00
	Name of Employer Thornburg Investment Management, Inc. Occupation Managing Director Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ <input type="text"/> 1000.00	

B.	Full Name (Last, First, Middle Initial) Ms. Leigh Moiola	Date of Receipt
	Mailing Address 357 Hillside Ave #2	<input type="text"/> M M / <input type="text"/> D D / <input type="text"/> Y Y Y Y 04 / 23 / 2009
	City State Zip Code Santa Fe NM 87501-2217	Transaction ID: 7859515
	FEC ID number of contributing federal political committee. <input type="text"/> C <input type="text"/>	Amount of Each Receipt this Period <input type="text"/> 1000.00
	Name of Employer Thornburg Funds Occupation Head of Marketing Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ <input type="text"/> 1000.00	

C.	Full Name (Last, First, Middle Initial) Mr. Connor R Browne	Date of Receipt
	Mailing Address 717 S Columbus Blvd #10	<input type="text"/> M M / <input type="text"/> D D / <input type="text"/> Y Y Y Y 04 / 23 / 2009
	City State Zip Code Philadelphia PA 19147-3521	Transaction ID: 7859516
	FEC ID number of contributing federal political committee. <input type="text"/> C <input type="text"/>	Amount of Each Receipt this Period <input type="text"/> 1000.00
	Name of Employer Thornburg Investment Management, Inc. Occupation Managing Director Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ <input type="text"/> 1000.00	

SUBTOTAL of Receipts This Page (optional)	<input type="text"/> 3000.00
TOTAL This Period (last page this line number only)	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 25
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A. Full Name (Last, First, Middle Initial)
Mr. Christopher Ihlefeld
Mailing Address 1029 Cielo Azul

City State Zip Code
Santa Fe NM 87501-1607

FEC ID number of contributing federal political committee. **C**

Name of Employer Thornburg Funds Group Occupation Managing Director

Receipt For: Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt
M M / D D / Y Y Y Y Y
0 4 / 2 3 / 2 0 0 9

Transaction ID: 7859518

Amount of Each Receipt this Period
1000.00

B. Full Name (Last, First, Middle Initial)
Mr. Randall Dry
Mailing Address Box 9555

City State Zip Code
Santa Fe NM 87504-9555

FEC ID number of contributing federal political committee. **C**

Name of Employer Thornburg Investment Management, Inc. Occupation Managing Director-Separate Accounts

Receipt For: Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt
M M / D D / Y Y Y Y Y
0 4 / 2 3 / 2 0 0 9

Transaction ID: 7859519

Amount of Each Receipt this Period
1000.00

C. Full Name (Last, First, Middle Initial)
Ms. Sasha Wilcoxon
Mailing Address 824 Dunlap St

City State Zip Code
Santa Fe NM 87501-2544

FEC ID number of contributing federal political committee. **C**

Name of Employer Thornburg Investment Management, Inc. Occupation Managing Director

Receipt For: Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt
M M / D D / Y Y Y Y Y
0 4 / 2 3 / 2 0 0 9

Transaction ID: 7859520

Amount of Each Receipt this Period
500.00

SUBTOTAL of Receipts This Page (optional) ► 2500.00

TOTAL This Period (last page this line number only) ►

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 17 / 25
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A.	Full Name (Last, First, Middle Initial) Mr. Brian McMahon	Date of Receipt MM / DD / YYYY 04 / 23 / 2009
	Mailing Address 1545 Cerro Gordo Rd	Transaction ID: 7859521
	City State Zip Code Santa Fe NM 87501-6144	Amount of Each Receipt this Period 3000.00
	FEC ID number of contributing federal political committee. C	
	Name of Employer Thornburg Investment Management, Inc. Occupation Chief Executive Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3000.00	

B.	Full Name (Last, First, Middle Initial) Mr. Rocco DiBruno	Date of Receipt MM / DD / YYYY 04 / 23 / 2009
	Mailing Address 12 N Deer Pl	Transaction ID: 7859522
	City State Zip Code Hainesport NJ 08036-4828	Amount of Each Receipt this Period 1500.00
	FEC ID number of contributing federal political committee. C	
	Name of Employer Thornburg Investment Management, Inc. Occupation Managing Director Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1500.00	

C.	Full Name (Last, First, Middle Initial) Mr. Lewis Kaufman	Date of Receipt MM / DD / YYYY 04 / 23 / 2009
	Mailing Address 803 Acequia Madre	Transaction ID: 7859523
	City State Zip Code Santa Fe NM 87505-2315	Amount of Each Receipt this Period 1000.00
	FEC ID number of contributing federal political committee. C	
	Name of Employer Thornburg Investment Management, Inc. Occupation Managing Director Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1000.00	

SUBTOTAL of Receipts This Page (optional)	5500.00
TOTAL This Period (last page this line number only)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 25
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	12
<input type="checkbox"/>	13	<input type="checkbox"/>	14	<input type="checkbox"/>	15	<input type="checkbox"/>	16
							17

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A.

Full Name (Last, First, Middle Initial)
Mr. Brian Cesare

Mailing Address 3695 24th Ave

City Marion State IA Zip Code 52302-6400

FEC ID number of contributing federal political committee. **C**

Name of Employer Thornburg Companies Occupation Managing Director, SVP Human Resources

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: 04 / 23 / 2009
Transaction ID: 7859524
 Amount of Each Receipt this Period: 500.00

B.

Full Name (Last, First, Middle Initial)
Mr. David D Chase

Mailing Address 1562 Upper Canyon RD

City Santa Fe State NM Zip Code 87501-6136

FEC ID number of contributing federal political committee. **C**

Name of Employer Vestor Partners, L.P. Occupation Partner

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: 04 / 23 / 2009
Transaction ID: 7859525
 Amount of Each Receipt this Period: 1000.00

C.

Full Name (Last, First, Middle Initial)
Eliot R Cutler, Esq.

Mailing Address 1172 Shore Road

City Cape Elizabeth State ME Zip Code 04107-2115

FEC ID number of contributing federal political committee. **C**

Name of Employer Akin Gump Strauss Hauer & Feld LLP Occupation Partner

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: 04 / 23 / 2009
Transaction ID: 7859526
 Amount of Each Receipt this Period: 1000.00

SUBTOTAL of Receipts This Page (optional) ► 2500.00

TOTAL This Period (last page this line number only) ►

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 19 / 25
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A. Full Name (Last, First, Middle Initial)
Mr. Owen Van Essen

Mailing Address 1281 Este Ln

City Santa Fe State NM Zip Code 87501-8872

FEC ID number of contributing federal political committee. **C**

Name of Employer Dirks, Van Essen & Murray Occupation President

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 1500.00

Date of Receipt: 04 / 23 / 2009
Transaction ID: 7859527
Amount of Each Receipt this Period: 1500.00

B. Full Name (Last, First, Middle Initial)
Mr. David Ater

Mailing Address Box 1052

City Santa Fe State NM Zip Code 87504-1052

FEC ID number of contributing federal political committee. **C**

Name of Employer Ater and Associates Occupation President

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: 04 / 23 / 2009
Transaction ID: 7859528
Amount of Each Receipt this Period: 1000.00

C. Full Name (Last, First, Middle Initial)
Mr. George Strickland

Mailing Address 40 Palo Duro Rd

City Santa Fe State NM Zip Code 87506-0037

FEC ID number of contributing federal political committee. **C**

Name of Employer Thornburg Investment Management, Inc. Occupation Portfolio Manager and Managing Director

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 2000.00

Date of Receipt: 04 / 23 / 2009
Transaction ID: 7859529
Amount of Each Receipt this Period: 2000.00

SUBTOTAL of Receipts This Page (optional) ► 4500.00

TOTAL This Period (last page this line number only) ►

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 20 / 25
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A.	Full Name (Last, First, Middle Initial) Ms. Laura Hillstrom	Date of Receipt MM / DD / YYYY 04 / 23 / 2009
	Mailing Address 723 Gildersleeve St	Transaction ID: 7860177
	City State Zip Code Santa Fe NM 87505-2635	Amount of Each Receipt this Period 1000.00
	FEC ID number of contributing federal political committee. C	
	Name of Employer Thornburg Investment Management, Inc. Occupation Managing Director IT Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1000.00	

B.	Full Name (Last, First, Middle Initial) Mr. David Miller	Date of Receipt MM / DD / YYYY 04 / 23 / 2009
	Mailing Address 150 Washington Avenue	Transaction ID: 7860178
	City State Zip Code Santa Fe NM 87501-2073	Amount of Each Receipt this Period 1000.00
	FEC ID number of contributing federal political committee. C	
	Name of Employer Thornburg Funds Group Occupation Managing Director, SVP Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1000.00	

C.	Full Name (Last, First, Middle Initial) Mr. Thomas Garcia	Date of Receipt MM / DD / YYYY 04 / 23 / 2009
	Mailing Address 6 Arroyo Ridge	Transaction ID: 7860179
	City State Zip Code Santa Fe NM 87508-1360	Amount of Each Receipt this Period 1000.00
	FEC ID number of contributing federal political committee. C	
	Name of Employer Thornburg Investment Management, Inc. Occupation Associate Portfolio Manager Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1000.00	

SUBTOTAL of Receipts This Page (optional)	3000.00
TOTAL This Period (last page this line number only)	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER:	PAGE 21 / 25
	(check only one)	
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15
<input type="checkbox"/> 12	<input type="checkbox"/> 16	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A.	Full Name (Last, First, Middle Initial) Mr. Jim Weyhrauch	Date of Receipt MM / DD / YYYY 04 / 23 / 2009
	Mailing Address 64A Pinon Jay Trail	Transaction ID: 7860180
	City State Zip Code Santa Fe NM 87505-4512	Amount of Each Receipt this Period 1000.00
	FEC ID number of contributing federal political committee. C	
	Name of Employer Occupation Santa Fe Properties President & CEO	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	

B.	Full Name (Last, First, Middle Initial) Mr. Johnathan Burnham	Date of Receipt MM / DD / YYYY 04 / 23 / 2009
	Mailing Address 2300 North Ridgetop Rd.	Transaction ID: 7860181
	City State Zip Code Santa Fe NM 87506-8361	Amount of Each Receipt this Period 1000.00
	FEC ID number of contributing federal political committee. C	
	Name of Employer Occupation Thornburg Investment Management, Inc. Director, Research Communications	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	

C.	Full Name (Last, First, Middle Initial) Mr. Daniel M Reilly	Date of Receipt MM / DD / YYYY 04 / 23 / 2009
	Mailing Address 1101 Sudbrook Place	Transaction ID: 7860182
	City State Zip Code Crownsville MD 21032-1527	Amount of Each Receipt this Period 625.00
	FEC ID number of contributing federal political committee. C	
	Name of Employer Occupation Thornburg Investment Management, Inc. Managing Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 625.00	

SUBTOTAL of Receipts This Page (optional)	2625.00
TOTAL This Period (last page this line number only)	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 22 / 25		
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A.	Full Name (Last, First, Middle Initial) Mr. Garrett Thornburg		Date of Receipt	
	Mailing Address 150 Washington Ave. Ste. 302		M M / D D / Y Y Y Y Y 0 4 / 2 3 / 2 0 0 9	
	City	State	Zip Code	Transaction ID: 7860183
	Santa Fe	NM	87501-2038	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee. C		5000.00	
Name of Employer Thornburg Investment Mgmt Inc.		Occupation Chairman		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 5000.00		

B.	Full Name (Last, First, Middle Initial) Sloan E Swanson		Date of Receipt	
	Mailing Address 2300 North Ridgetop Rd.		M M / D D / Y Y Y Y Y 0 4 / 2 3 / 2 0 0 9	
	City	State	Zip Code	Transaction ID: 7860187
	Santa Fe	NM	87506-8361	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee. C		500.00	
Name of Employer Thornburg Investment Management, Inc.		Occupation Managing Director		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 500.00		

C.	Full Name (Last, First, Middle Initial) Mr. Christopher M Ryon		Date of Receipt	
	Mailing Address 2300 North Ridgetop Rd.		M M / D D / Y Y Y Y Y 0 4 / 2 3 / 2 0 0 9	
	City	State	Zip Code	Transaction ID: 7860189
	Santa Fe	NM	87506-8361	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee. C		1500.00	
Name of Employer Thornburg Investment Management, Inc.		Occupation Managing Director		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 1500.00		

SUBTOTAL of Receipts This Page (optional)	▶	7000.00
TOTAL This Period (last page this line number only)	▶	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 / 25

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A.

Full Name (Last, First, Middle Initial)

Bettie Kroutil

Mailing Address 2300 North Ridgetop Rd.

City State Zip Code
Santa Fe NM 87506-8361

FEC ID number of contributing federal political committee. **C**

Name of Employer Thornburg Investment Management, Inc. Occupation Managing Director

Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ 1000.00

Date of Receipt

M M / D D / Y Y Y Y
0 4 / 2 3 / 2 0 0 9

Transaction ID: 7860190

Amount of Each Receipt this Period

1000.00

B.

Full Name (Last, First, Middle Initial)

Mr. Dean J Mesquite

Mailing Address 2300 North Ridgetop Rd.

City State Zip Code
Santa Fe NM 87506-8361

FEC ID number of contributing federal political committee. **C**

Name of Employer Thornburg Investment Management, Inc. Occupation Managing Director

Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ 1000.00

Date of Receipt

M M / D D / Y Y Y Y
0 4 / 2 3 / 2 0 0 9

Transaction ID: 7860191

Amount of Each Receipt this Period

1000.00

C.

Full Name (Last, First, Middle Initial)

Mr. Donald J Boteler

Mailing Address 1401 H Street NW Suite 1200

City State Zip Code
Washington DC 20005-2202

FEC ID number of contributing federal political committee. **C**

Name of Employer Investment Company Institute Occupation VP - Operations & Training

Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ 227.25

Date of Receipt

M M / D D / Y Y Y Y
0 4 / 3 0 / 2 0 0 9

Transaction ID: 7860199

Amount of Each Receipt this Period

90.90

SUBTOTAL of Receipts This Page (optional)

2090.90

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 / 25

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A.	Full Name (Last, First, Middle Initial) Mr. Paul S Stevens		Date of Receipt MM / DD / YYYY 04 / 30 / 2009		
	Mailing Address 630 South Fairfax St		Transaction ID: 7860203		
	City Alexandria	State VA	Zip Code 22314-3834	Amount of Each Receipt this Period 454.54	
	FEC ID number of contributing federal political committee. C		Aggregate Year-to-Date 1136.35		
Name of Employer Investment Company Institute		Occupation President			
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼					

B.	Full Name (Last, First, Middle Initial) Ms. Karrie McMillan		Date of Receipt MM / DD / YYYY 04 / 30 / 2009		
	Mailing Address 6411Furlong Rd		Transaction ID: 7860204		
	City McLean	State VA	Zip Code 22101	Amount of Each Receipt this Period 181.80	
	FEC ID number of contributing federal political committee. C		Aggregate Year-to-Date 454.50		
Name of Employer Willkie Farr & Gallagher LLP		Occupation General Counsel			
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼					

C.	Full Name (Last, First, Middle Initial) Ms. Karrie McMillan		Date of Receipt MM / DD / YYYY 04 / 09 / 2009		
	Mailing Address 6411Furlong Rd		Transaction ID: 7860559		
	City McLean	State VA	Zip Code 22101	Amount of Each Receipt this Period 90.90	
	FEC ID number of contributing federal political committee. C		Aggregate Year-to-Date 272.70		
Name of Employer Willkie Farr & Gallagher LLP		Occupation General Counsel			
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼					

SUBTOTAL of Receipts This Page (optional)

727.24

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER:		PAGE 25 / 25	
	(check only one)			
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A.

Full Name (Last, First, Middle Initial) Mr. Paul S Stevens		Date of Receipt																					
Mailing Address 630 South Fairfax St		<table border="1"> <tr> <td>M</td><td>M</td><td>/</td><td>D</td><td>D</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>0</td><td>4</td><td></td><td>0</td><td>9</td><td></td><td>2</td><td>0</td><td>0</td><td>9</td> </tr> </table>		M	M	/	D	D	/	Y	Y	Y	Y	0	4		0	9		2	0	0	9
M	M	/	D	D	/	Y	Y	Y	Y														
0	4		0	9		2	0	0	9														
City	State	Zip Code	Transaction ID: 7860560																				
Alexandria	VA	22314-3834	Amount of Each Receipt this Period																				
FEC ID number of contributing federal political committee.		C	227.27																				
Name of Employer Investment Company Institute		Occupation President																					
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼																					
		681.81																					

SUBTOTAL of Receipts This Page (optional)	227.27
TOTAL This Period (last page this line number only)	76670.41