



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

RQ-2

November 5, 2017

JAMES SCHWARTZ, SR., TREASURER  
STEVE CHABOT FOR CONGRESS  
3030 HARRISON AVE.  
CINCINNATI, OH 45211

**Response Due Date**  
**12/11/2017**

IDENTIFICATION NUMBER: C00301838

REFERENCE: OCTOBER QUARTERLY REPORT (07/01/2017 - 09/30/2017)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 5 item(s):

1. The totals listed on Line(s) 6(a), 6(c), 11(a)(iii), 11(c), 11(e) and 16, Column B of the Summary and Detailed Summary Page(s) appear to be incorrect. Column B figures for the Summary and Detailed Summary Pages should equal the sum of the Column B figures on your previous report and the Column A figures on this report. Please file an amendment to your report to correct the Column B discrepancies for this report and all subsequent report(s) which may be affected by this correction. Note that Column B should reflect only the election cycle-to-date totals (11/9/16 through 11/6/18). (52 U.S.C. § 30104(b) (formerly 2 U.S.C. § 434(b)) and 11 CFR § 104.3)
2. The beginning cash balance of this report does not equal the ending balance of your July Quarterly Report. Please correct this discrepancy and amend all subsequent reports that may be affected by the correction. (52 U.S.C. § 30104(b) (1) (formerly 2 U.S.C. § 434(b)(1)) and 11 CFR § 104.3(a)(1))
3. Commission Regulations require that a committee discloses the identification of all individuals who contribute in excess of \$200 in an election cycle. (11 CFR § 104.3(a)(4)(i)) Identification for an individual is defined as the full name (initials for first or last name are not acceptable), complete mailing address, occupation, and name of employer. (11 CFR § 100.12) Your report discloses contributions from individuals for which the identification is not complete.

The following employer and occupation entries appear on your report and are not

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considered acceptable: "Information Requested/Information Requested," "Information Requested/M.D.," "Information Requested/Owner," "Null/Null," "Null/President."

You must provide the missing information, or if you are unable to do so, you must demonstrate that "best efforts" have been used to obtain the information. To establish "best efforts," you must provide the Commission with a detailed description of your procedures for requesting the information. Establishing "best efforts" is a three-fold process.

First, your original solicitation must include a clear and conspicuous request for the contributor information and must inform the contributor of the requirements of federal law for the reporting of such information. (11 CFR § 104.7(b)(1)) See 11 CFR § 104.7(b)(1)(i)(B) for examples of acceptable statements regarding the requirements of federal law.

Second, if the information is not provided, you must make one follow-up, stand alone effort to obtain this information, regardless of whether the contribution(s) was solicited or not. This effort must occur no later than 30 days after receipt of the contribution and may be in the form of a written request or an oral request documented in writing. (11 CFR § 104.7(b)(2)) The requests must:

- clearly ask for the missing information, without soliciting a contribution;
- inform the contributor of the requirements of federal law for the reporting of such information, and
- if the request is written, include a pre-addressed post card or return envelope.

Third, if you receive contributor information after the contribution(s) has been reported, you should either a) file with your next regularly scheduled report, an amended memo Schedule A listing all the contributions for which additional information was received; or b) file on or before your next regularly scheduled reporting date, amendments to the report(s) originally disclosing the contribution(s). (11 CFR § 104.7(b)(4))

Please amend your report to provide the missing information or a detailed description of your procedures for requesting the information. For more information on demonstrating "best efforts," please refer to the Campaign Guide.

**4.** Schedule A of your report discloses contributions from Hunton & Williams that appear to be from an unincorporated proprietorship or partnership. Please be advised that these contributions should be attributed to each member in direct proportion to his or her share of the organization's profit or by agreement

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of its members. Each person who has contributed in excess of \$200 since > [insert beginning of election cycle] should be identified by name, address, occupation, name of employer, amount of contribution, and aggregate total as a memo entry on Schedule A. (11 CFR §110.1(e) and (g))

5. Schedule A of your report discloses contributions from political committees and fails to include the full and/or recognizable name. Reporting only the name of the connected organization, abbreviating the name of the committee so it is unrecognizable, or using an indistinguishable acronym is inadequate. (11 CFR §§ 102.14(c) and 104.3(a)(4))

A listing of the full FEC-registered committee names, FEC Committee ID numbers, and approved acronyms can be found on the FEC web site ([www.fec.gov](http://www.fec.gov)). The following entries disclosed on your report are considered inadequate: "Patheon Pharmaceuticals Services Ince," "Precision Machined Products Association," "National Roofing Contractors Association," "National Association of Surety Bond," "National Beer Wholesalers Association," "Siemens Corporation," "Associated Builders & Contractors."

Please amend your report to include the missing information.

**Please note, you will not receive an additional notice from the Commission on this matter.** Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. For additional information about the report review process or specific filing information for your committee type, please visit <http://transition.fec.gov/rad/>. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1132.

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Sincerely,

A handwritten signature in black ink, appearing to read "Chris Jones", written in a cursive style.

Chris Jones  
Campaign Finance Analyst  
Reports Analysis Division