07/03/2001 11 : 21

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) — **USE FEC MAILING LABEL OR TYPE OR PRINT** ▼ — Example: If typing, type over the lines

The Financial Services Roundtable-PAC

ADDRESS (number and street) ▼ 805 15th Street, N.W. #600

Check if different than previously reported. (ACC)

Washington | DC | 20005 –

2. **FEC IDENTIFICATION NUMBER** ▼ — CITY ▲ — STATE ▲ — ZIPCODE ▲

C00193177

3. IS THIS REPORT — X NEW (N) **OR** AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

April 15 Quarterly Report(Q1)

July 15 Quarterly Report(Q2)

October 15 Quarterly Report(Q3)

January 31 Quarterly Report(YE)

July 31 Mid-Year Report(Non-election Year Only) (MY)

Termination Report (TER)

(b) Monthly Report Due On:

Feb 20 (M2)	May 20 (M5)	Aug 20 (M8)	Nov 20 (M11) (Non-Election Year Only)
Mar 20 (M3)	Jun 20 (M6)	Sep 20 (M9)	Dec 20 (M12) (Non-Election Year Only)
Apr 20 (M4)	Jul 20 (M7)	Oct 20 (M10)	Jan 31 (M13)

(c) 12-Day **PRE**-Election Report for the: Primary (12P) — General (12G) — Runoff (12R) — Convention (12C) — Special (12S)

Election on _____ in the State of _____

(d) 30-Day **P**ost -Election Report for the: General (30G) — Runoff (30R) — X Special (30S)

Election on 06 19 2001 in the State of VA

5. Covering Period 05 31 2001 through 07 09 2001

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Richard Whiting

Signature of Treasurer Electronically Filed by Richard Whiting Date 07 03 2001

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office Use Only			**FEC FORM 3X** (Revised 1/2001)

SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS

FEC **Form 3X** (Revised 1/2001)

Write or Type Committee Name
The Financial Services Roundtable-PAC

Report Covering the Period: From: 05 31 2001 To: 07 09 2001

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 2001		14720.13
(b) Cash on Hand at Begining of Reporting Period	119544.74	
(c) Total Receipts (from Line 19)	21000.00	177840.96
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	140544.74	192561.09
7. Total Disbursements (from Line 30)	11997.50	64013.85
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	128547.24	128547.24
9. Debts and Obligations owed **TO** the committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations owed **BY** the committee (Itemize all on Schedule C and/or Schedule D)	0.00	

This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-420-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
OF RECEIPTS

FEC Form 3X (Revised 1/2001)

Write or Type Committee Name

The Financial Services Roundtable-PAC

Report Covering the Period: From: 05 31 2001 To: 07 09 2001

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	1000.00	
(ii) Unitemized	0.00	
(iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ▶	1000.00	41950.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	20000.00	135750.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 32, page 4) ..... ▶	21000.00	177700.00
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 36, page 4)	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees	0.00	0.00
17. Other Federal Receipts Dividends, Interest, etc.)	0.00	140.96
18. Transfers from Nonfederal Account for Joint Activity	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18) ..... ▶	21000.00	177840.96
20. Total Federal Receipts (subtract Line 18 from Line 19) ..... ▶	21000.00	177840.96

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Revised 1/2001)

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating Expenditures	0.00	31.80
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)) ▶	0.00	31.80
22. Transfers to Affiliated/Other Party Committees	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees	11997.50	63982.05
24. Independent Expenditure (use Schedule E)	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)	0.00	0.00
26. Loan Repayments Made	0.00	0.00
27. Loans Made	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) ▶	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Total Disbursements (add Lines 21(c), 22, 23,24,25,26,27,28(d),and 29) ▶	11997.50	64013.85
31. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30) ▶	11997.50	64013.85

III. Net Contributions/Operating Expenditures		
32. Total Contributions (other than loans) from Line 11(d), page 3)	21000.00	177700.00
33. Total Contribution Refunds (from Line 28(d))	0.00	0.00
34. Net Contributions (other than loans) (subtract Line 33 from Line 32)	21000.00	177700.00
35. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ▶	0.00	31.80
36. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
37. Net Operating Expenditures (subtract Line 36 from Line 35) ▶	0.00	31.80

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 5 / 12

[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Financial Services Roundtable-PAC

A.
Full Name (Last, First, Middle Initial)
Edward Rust Jr.

Mailing Address
16 Downing Circle

City: Bloomington
State: IL
Zip Code: 61704

FEC ID number of contributing federal political committee.

Name of Employer: State Farm Insurance Companies
Occupation: Banker

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1000.00

Date of Receipt
06 17 2001

Amount of Each Receipt this Period
1000.00

Transaction ID: SA11A1.5056

B.

C.

SUBTOTAL of Receipts This Page (optional) ..... ▶	**1000.00**
TOTAL This Period (last page this line number only) ..... ▶	**1000.00**

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 6 / 12

☐ 11a ☐ 11b ☒ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Financial Services Roundtable-PAC

A. Full Name (Last, First, Middle Initial)
AEGON USA INC POLITICAL ACTION COMMITTEE

Mailing Address
1111 NORTH CHARLES STREET

City: BALTIMORE State: MD Zip Code: 21201

FEC ID number of contributing federal political committee: C00236414

Name of Employer:

Occupation:

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 5000.00

Date of Receipt: 06 07 2001

Amount of Each Receipt this Period: 5000.00

Transaction ID: SA11C.5060

B. Full Name (Last, First, Middle Initial)
BRANCH BANKING & TRUST COMPANY PAC

Mailing Address
C/O ACCT AND FINANCIAL REPORTING/P.O. BOX 1290

City: WINSTON-SALEM State: NC Zip Code: 27102

FEC ID number of contributing federal political committee: C00075291

Name of Employer:

Occupation:

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 5000.00

Date of Receipt: 06 26 2001

Amount of Each Receipt this Period: 5000.00

Transaction ID: SA11C.5062

C. Full Name (Last, First, Middle Initial)
GOLDMAN SACHS GROUP INC POLITICAL ACTION COMMITTEE

Mailing Address
1101 PENNSYLVANIA AVE NW SUITE 900

City: WASHINGTON State: DC Zip Code: 20004

FEC ID number of contributing federal political committee: C00350744

Name of Employer:

Occupation:

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 5000.00

Date of Receipt: 06 27 2001

Amount of Each Receipt this Period: 5000.00

Transaction ID: SA11C.5058

SUBTOTAL of Receipts This Page (optional) ..... ▶ **15000.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 7 / 12

☐ 11a ☐ 11b ☒ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Financial Services Roundtable-PAC

A. Full Name (Last, First, Middle Initial)
REGIONS FINANCIAL CORPORATION POLITICAL ACTION COMMITTEE

Mailing Address
417 20TH STREET NORTH

City: BIRMINGHAM State: AL Zip Code: 35203

FEC ID number of contributing federal political committee: C00179473

Name of Employer:

Occupation:

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 5000.00

Date of Receipt: 06 26 2001

Amount of Each Receipt this Period: 5000.00

Transaction ID: SA11C.5061

B.

C.

SUBTOTAL of Receipts This Page (optional) ..... ▶ **5000.00**

TOTAL This Period (last page this line number only) ..... ▶ **20000.00**

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)
The Financial Services Roundtable-PAC

A.
Full Name (Last, First, Middle Initial)
ANNA ESHOO FOR CONGRESS

Mailing Address
555 CAPITOL MALL SUITE 1425
City: SACRAMENTO State: CA Zip Code: 95814

Purpose of Disbursement

Candidate Name

Category/Type

Office Sought: X House / Senate / President
State: CA District: 14

Disbursement For: Primary / General / Other (specify)

Date of Disbursement: 06 / 25 / 2001

Amount of Each Disbursement this Period: 750.00

Transaction ID: SB23.5068

B.
Full Name (Last, First, Middle Initial)
BILL THOMAS CAMPAIGN COMMITTEE

Mailing Address
PO BOX 395
City: BAKERSFIELD State: CA Zip Code: 93302

Purpose of Disbursement

Candidate Name

Category/Type

Office Sought: X House / Senate / President
State: CA District: 21

Disbursement For: Primary / General / Other (specify)

Date of Disbursement: 06 / 13 / 2001

Amount of Each Disbursement this Period: 1000.00

Transaction ID: SB23.5060

C.
Full Name (Last, First, Middle Initial)
BILLY TAUZIN CONGRESSIONAL COM

Mailing Address
412 SOUTH VAN
City: HOUMA State: LA Zip Code: 70360

Purpose of Disbursement

Candidate Name

Category/Type

Office Sought: X House / Senate / President
State: LA District: 03

Disbursement For: Primary / General / Other (specify)

Date of Disbursement: 06 / 26 / 2001

Amount of Each Disbursement this Period: 1000.00

Transaction ID: SB23.5065

SUBTOTAL of Receipts This Page (optional) ..... ▶ **2750.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)
The Financial Services Roundtable-PAC

A.
Full Name (Last, First, Middle Initial)
ENZI FOR US SENATE

Mailing Address
PO BOX 2775
City: CODY State: WY Zip Code: 82414

Purpose of Disbursement

Candidate Name

Category/Type

Office Sought: House / X Senate / President
State: WY District: 00

Disbursement For: Primary / General / Other (specify)

Date of Disbursement: 06 / 13 / 2001

Amount of Each Disbursement this Period: 1000.00

Transaction ID: SB23.5078

B.
Full Name (Last, First, Middle Initial)
FRIENDS OF MAX BAUCUS 2002

Mailing Address
PO BOX 586
City: HELENA State: MT Zip Code: 59624

Purpose of Disbursement

Candidate Name

Category/Type

Office Sought: House / X Senate / President
State: MT District: 00

Disbursement For: Primary / General / Other (specify)

Date of Disbursement: 06 / 21 / 2001

Amount of Each Disbursement this Period: 1000.00

Transaction ID: SB23.5082

C.
Full Name (Last, First, Middle Initial)
GORDON SMITH FOR US SENATE 2002 INC

Mailing Address
228 S WASHINGTON ST STE 200
City: ALEXANDRIA State: VA Zip Code: 22314

Purpose of Disbursement

Candidate Name

Category/Type

Office Sought: House / X Senate / President
State: OR District: 00

Disbursement For: Primary / General / Other (specify)

Date of Disbursement: 06 / 12 / 2001

Amount of Each Disbursement this Period: 1000.00

Transaction ID: SB23.5072

SUBTOTAL of Receipts This Page (optional) ..... ▶ **3000.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)
The Financial Services Roundtable-PAC

A.
Full Name (Last, First, Middle Initial)
MARTIN FROST CAMPAIGN COMMITTEE

Mailing Address
P O BOX 4219
City: DALLAS State: TX Zip Code: 75209

Purpose of Disbursement

Candidate Name

Category/Type

Office Sought: X House / Senate / President
State: TX District: 24

Disbursement For: Primary / General / Other (specify)

Date of Disbursement: 06 / 19 / 2001

Amount of Each Disbursement this Period: 1000.00

Transaction ID: SB23.5084

B.
Full Name (Last, First, Middle Initial)
MEEKS FOR CONGRESS 2000

Mailing Address
PO BOX 900297
City: FAR ROCKAWAY State: NY Zip Code: 11690

Purpose of Disbursement

Candidate Name

Category/Type

Office Sought: X House / Senate / President
State: NY District: 06

Disbursement For: Primary / General / Other (specify)

Date of Disbursement: 06 / 28 / 2001

Amount of Each Disbursement this Period: 1000.00

Transaction ID: SB23.5064

C.
Full Name (Last, First, Middle Initial)
PETE'S POLITICAL ACTION COMMITTEE

Mailing Address
1155 21ST STREET NW SUITE 300
City: WASHINGTON State: DC Zip Code: 20036

Purpose of Disbursement

Candidate Name

Category/Type

Office Sought: House / Senate / President
State: District:

Disbursement For: Primary / General / Other (specify)

Date of Disbursement: 06 / 25 / 2001

Amount of Each Disbursement this Period: 1000.00

Transaction ID: SB23.5067

SUBTOTAL of Receipts This Page (optional) ..... ▶ **3000.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)
The Financial Services Roundtable-PAC

A.
Full Name (Last, First, Middle Initial)
RE-ELECT HAROLD FORD JR

Mailing Address
5120 BARRY ROAD SUITE 1300
City: MEMPHIS State: TN Zip Code: 38117

Purpose of Disbursement

Candidate Name

Category/Type

Office Sought: X House / Senate / President
State: TN District: 09

Disbursement For: Primary / General / Other (specify)

Date of Disbursement: 06 05 2001

Amount of Each Disbursement this Period: 1000.00

Transaction ID: SB23.5076

B.
Full Name (Last, First, Middle Initial)
SHELBY FOR U S SENATE

Mailing Address
POST OFFICE BOX 1081
City: TUSCALOOSA State: AL Zip Code: 35401

Purpose of Disbursement

Candidate Name

Category/Type

Office Sought: House / X Senate / President
State: AL District: 00

Disbursement For: Primary / General / Other (specify)

Date of Disbursement: 06 20 2001

Amount of Each Disbursement this Period: 1000.00

Transaction ID: SB23.5081

C.
Full Name (Last, First, Middle Initial)
SHELLEY MOORE CAPITO FOR CONGRESS

Mailing Address
PO BOX 11519
City: CHARLESTON State: WV Zip Code: 25339

Purpose of Disbursement

Candidate Name

Category/Type

Office Sought: X House / Senate / President
State: WV District: 02

Disbursement For: Primary / General / Other (specify)

Date of Disbursement: 06 05 2001

Amount of Each Disbursement this Period: 1000.00

Transaction ID: SB23.5074

SUBTOTAL of Receipts This Page (optional) ..... ▶ **3000.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

☐ 21b	☐ 22	☒ 23	☐ 24	☐ 25	
☐ 26	☐ 27	☐ 28a	☐ 28b	☐ 28c	☐ 29

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)
The Financial Services Roundtable-PAC

A.

Full Name (Last, First, Middle Initial)
Sodexho Marriott Services

Mailing Address
P.O. Box 905374

City: Charlotte
State: NC
Zip Code: 28290

Purpose of Disbursement
In-Kind Cont. Cong. Anne Eshoo

Candidate Name

Category/ Type

Office Sought: House / Senate / President
State: District:

Disbursement For: Primary / General / Other (specify)

Date of Disbursement
06 25 2001

Amount of Each Disbursement this Period
247.50

Transaction ID: SB23.507D

B.

C.

SUBTOTAL of Receipts This Page (optional) ..... ▶	**247.50**
TOTAL This Period (last page this line number only) ..... ▶	**11997.50**