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Image# 201602199008493451

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

FORM 3X F	or Other Than An Authorize	d Committee	Office U	Jse Only
1. NAME OF COMMITTEE (in full)		ample: If typing, type er the lines.	12FE4M5	
The Caterpillar Inc. Em	ployee Political Action Co	mmittee		
ADDRESS (number and street)	100 N.E. Adams Street			
Check if different than previously reported. (ACC)	Peoria		IL 6162	29-1430
2. FEC IDENTIFICATION NU	MBER ▼ CITY ▲	:	STATE A	ZIP CODE ▲
C C00148031	3. IS THIS REPORT	X NEW (N) OR	AMENDED (A)	
4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15 Quarterly Report (Quarterly Report (Non-Election Year Only) (MY) Termination Report (TER)	PRE-Election Report for the: Election on (d) 30-Day	Jun 20 (M6)	Aug 20 (M8) Sep 20 (M9) Oct 20 (M10 General (12G) Special (12S) Runoff (30R)	(Non-Election Year Only) Dec 20 (M12) (Non-Election Year Only)
5. Covering Period 01	/ D D / Y Y Y Y Y Y Y D D D D D D D D D	through 01	3120	016
I certify that I have examined thi Type or Print Name of Treasurer	s Report and to the best of my known Benjamin Cordani	owledge and belief it is tru	ue, correct and comple	ete.
Signature of Treasurer Benjan	nin Cordani		Oate 02 1	
Office Office	ous, or incomplete information may s	subject the person signing th		C FORM 3X
Use Only				Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: 01 01 2016 To: 01 31 2016

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2016		651672.90
	(b) Cash on Hand at Beginning of Reporting Period	651672.90	
	(c) Total Receipts (from Line 19)	72455.40	72455.40
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	724128.30	724128.30
7.	Total Disbursements (from Line 31)	11963.33	11963.33
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	712164.97	712164.97
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: 01	01 2016 To	: 01 31 2016					
I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date					
1. Contributions (other than loans) From:	,						
(a) Individuals/Persons Other							
Than Political Committees	24692.97	24692.97					
(i) Itemized (use Schedule A)	24002.31	2 1002.07					
(ii) Unitemized(iii) TOTAL (add	47757.32	47757.32					
Lines 11(a)(i) and (ii)	72450.29	72450.29					
(b) Political Party Committees	0.00	0.00					
(c) Other Political Committees (such as PACs)	0.00	0.00					
(d) Total Contributions (add Lines							
11(a)(iii), (b), and (c)) (Carry							
Totals to Line 33, page 5)	72450.29	72450.29					
. Transfers From Affiliated/Other							
Party Committees	0.00	0.00					
	0.00	0.00					
All Loans Received	0.00	0.00					
Loan Repayments Received	0.00	0.00					
. Offsets To Operating Expenditures	7	7					
(Refunds, Rebates, etc.)							
(Carry Totals to Line 37, page 5)	0.00	0.00					
. Refunds of Contributions Made	7	7					
to Federal Candidates and Other							
Political Committees	0.00	0.00					
Other Federal Receipts							
·	5.11	5.11					
	7						
	0.00	0.00					
(7	, , , , , ,					
(b) Lavin France (france Cabadyla 115)	0.00	0.00					
(b) Levin Funds (from Schedule H5)	0.00	0.00					
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00					
(Dividends, Interest, etc.)	5.11 0.00 0.00 0.00						
0)	70455 40	70455.40					
(subtract Line 18(c) from Line 19)▶	72455.40	72455.40					

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

	II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
1.	Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4)		Calcinal Teal-to-Date
	(i) Federal Share	0.00	0.00
	(ii) Non-Federal Share	0.00	0.00
	(ii) Non-Federal Share(b) Other Federal Operating		3.00
	Expenditures	13.33	13.33
	(c) Total Operating Expenditures	13.33	13.33
	(add 21(a)(i), (a)(ii), and (b))▶ Transfers to Affiliated/Other Party	10.00	13.33
	Committees	0.00	0.00
	Contributions to Federal Candidates/Committees and Other Political Committees	0.00	0.00
	Independent Expenditures	0.00	0.00
	(use Schedule E) Coordinated Party Expenditures	0.00	0.00
	(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00
	Loan Repayments Made	0.00	0.00
	Loans MadeRefunds of Contributions To:	0.00	0.00
	(a) Individuals/Persons Other Than Political Committees	1200.00	1200.00
	(b) Political Party Committees	0.00	0.00
	(c) Other Political Committees (such as PACs)	0.00	0.00
	(d) Total Contribution Refunds		
	(add Lines 28(a), (b), and (c))▶	1200.00	1200.00
	Other Disbursements	10750.00	10750.00
	Federal Election Activity (2 U.S.C. §431(20))		
	(a) Allocated Federal Election Activity (from Schedule H6)		
	(i) Federal Share	0.00	0.00
	(ii) "Levin" Share	0.00	0.00
	(b) Federal Election Activity Paid Entirely		
	With Federal Funds	0.00	0.00
	Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00
	Total Disbursements (add Lines 21(c), 22,		
	23, 24, 25, 26, 27, 28(d), 29 and 30(c))	11963.33	11963.33
	(subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)	11963.33	11963.33

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures			
3. Total Contributions (other than loans) (from Line 11(d), page 3)	72450.29	72450.29	
4. Total Contribution Refunds (from Line 28(d))	1200.00	1200.00	
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	71250.29	71250.29	
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	13.33	13.33	
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00	
8. Net Operating Expenditures (subtract Line 37 from Line 36)	13.33	13.33	

	FOF	R LINE	NU	MBER	:	PAGE	Ξ.	6	OF	3	34
Use separate schedule(s)	(che	eck only	or or	ne)							
for each category of the Detailed Summary Page	X	11a		11b		11c		12			
	iΓ	13		14		15		16		٦.	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kent Adams Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 29 City State Zip Code Transaction ID: A2016-135929 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 416 67

Other (specify)	710.07	
Full Name (Last, First, Middle Initial) J. Blumenshine		Date of Receipt
Mailing Address 100 NE Adams St.		01 29 2016
City	State Zip Code	Transaction ID : A2016-136227
Peoria	IL 61629	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	416.67
Name of Employer	Occupation	
Caterpillar Inc.	Deputy General Counsel	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	416.67	
Full Name (Last, First, Middle Initial) Thomas Bluth		Date of Receipt
Mailing Address 100 NE Adams St		M M / D D / Y Y Y

01 29 2016 City State Zip Code Transaction ID: A2016-136319 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 416.67

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FOR LINE NUMBER: **PAGE** 7 Use separate schedule(s) (check only one) X 11a 11b 11c

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34

for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) David Bozeman Date of Receipt Mailing Address 100 NE Adams St. 2016 29 City State Zip Code Transaction ID: A2016-136645 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Senior Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) **B.** Otto Breitschwerdt Date of Receipt Mailing Address 400 Regency Forest Drive Suite 400 01 29 2016 City State Zip Code Transaction ID: A2016-136330 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing 211.53 federal political committee. Name of Employer Occupation Caterpillar Inc. Director Supply Chain Mgmt. Receipt For: Aggregate Year-to-Date ▼ Primary General 211.53 Other (specify) Full Name (Last, First, Middle Initial) c. James Buda Date of Receipt Mailing Address 100 NE Adams St. 29 01 2016 City State Zip Code Transaction ID: A2016-136115 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Caterpillar Inc. Exec. VP Law & Public Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) 1044.87 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 9

FOR LINE NUMBER: **PAGE** 8 OF 34 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael Carr Date of Receipt Mailing Address 501 SW Jefferson 2016 29 City State Zip Code Transaction ID: A2016-136243 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) Full Name (Last, First, Middle Initial) B. John J Cheek Date of Receipt Mailing Address 100 NE Adams St. 01 29 2016 City State Zip Code Transaction ID: A2016-135585 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 213.32 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 213.32 Other (specify) Full Name (Last, First, Middle Initial) c. Frank Crespo Date of Receipt Mailing Address 12301 Old Galena Road 01 29 2016 City State Zip Code Transaction ID: A2016-136658 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 С federal political committee. Name of Employer Occupation Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) 1046.65 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

SCHEDULE A (FEC Form 3X) ITE

FOR LINE NUMBER: PAGE 9

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An or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael DeWalt Date of Receipt Mailing Address 100 NE Adams St. 2016 29 City State Zip Code Transaction ID: A2016-135591 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) B. James Duensing Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 01 29 2016 City State Zip Code Transaction ID: A2016-136132 Nashville TN 37203 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Name of Employer Occupation Caterpillar Inc. Executive VP / CFO Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) c. Patricia Eastwood Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 01 29 2016 Zip Code City State Transaction ID: A2016-135678 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 218.21 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 218.21 Other (specify) 1051.55 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOF	LINE	NU	MBER	:	PAGE	· 1	10 OF	34
Use separate schedule(s)	(che	ck only	or	ne)					
for each category of the Detailed Summary Page	X	11a		11b		11c		12	
		13		14		15		16	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Bonnie Fetch Date of Receipt Mailing Address Building H Dock 13 2016 29 City State Zip Code Transaction ID: A2016-136267 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 231.92 federal political committee. Name of Employer Occupation Caterpillar Inc. Business Unit Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 231.92 Other (specify) Full Name (Last, First, Middle Initial) **B.** Gregory Folley Date of Receipt Mailing Address 12301 Old Galena Road 01 29 2016 City State Zip Code Transaction ID: A2016-136085 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) c. Thomas G Frake Date of Receipt Mailing Address 100 NE Adams St. 29 01 2016 City State Zip Code Transaction ID: A2016-136338 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼

416.67

Primary

Other (specify)

General

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 11 OF 34 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Alan Frese Date of Receipt Mailing Address 6744 S Howell Ave 2016 29 City Zip Code State Transaction ID: A2016-136113 WI Oak Creek 53154 Amount of Each Receipt this Period FEC ID number of contributing C 238.44 federal political committee. Name of Employer Occupation Caterpillar Inc. Solutions & Technology Mgr. Receipt For: Aggregate Year-to-Date ▼ Primary General 238.44 Other (specify) Full Name (Last, First, Middle Initial) B. Deborah S Furness Date of Receipt Mailing Address 100 Tractor Drive **Building CV** 01 29 2016 City State Zip Code Transaction ID: A2016-135828 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 206.28 federal political committee. Name of Employer Occupation Caterpillar Inc. Melbourne PD&S Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 206.28 Other (specify) Full Name (Last, First, Middle Initial) c. Kristin Girard Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 01 29 2016 PO Box 348 City State Zip Code Transaction ID: A2016-136767 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 416.67 С federal political committee. Name of Employer Occupation Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) 861.39 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOF	PAGE	1	12 OF	:	34				
Use separate schedule(s) for each category of the	(che	ck only	or or	ne)						
Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Gerald Gorman Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 29 City State Zip Code Transaction ID: A2016-136164 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Chief Information Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) B. Timothy Gryl Date of Receipt Mailing Address 500 N Morton Ave 01 29 2016 City State Zip Code Transaction ID: A2016-135989 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 239.49 federal political committee. Name of Employer Occupation Caterpillar Inc. Caterpillar Service Parts Mgr. Receipt For: Aggregate Year-to-Date ▼ Primary General 239.49 Other (specify) Full Name (Last, First, Middle Initial) c. Bradley Halverson Date of Receipt Mailing Address 100 NE Adams St. 29 01 2016 City State Zip Code Transaction ID: A2016-136200 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Group President & CFO Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify)

FEC Schedule A (Form 3X) Rev. 02/2003

1072.83

SUBTOTAL of Receipts This Page (optional).....

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	FOF	LINE	NU	MBER	PAGE	1	13 OF	Ξ	34	
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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) John Hassiepen Date of Receipt Mailing Address 100 NE Adams St. 2016 29 City State Zip Code Transaction ID: A2016-136191 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 226.71 federal political committee. Name of Employer Occupation Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 226.71 Other (specify) Full Name (Last, First, Middle Initial) B. Kimberly S Hauer Date of Receipt Mailing Address 100 NE Adams St. 01 29 2016 City State Zip Code Transaction ID: A2016-136321 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) c. James D Hawkins Date of Receipt Mailing Address 100 Tractor Drive 29 01 2016 **Building CV** City State Zip Code Transaction ID: A2016-136769 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Mach Prod & Proc Design Cntr M Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼

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416.67

Primary

Other (specify)

General

FOR LINE NUMBER: PAGE 14 OF 34 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Gwenne Henricks Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2016 29 City State Zip Code Transaction ID: A2016-136154 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) B. Barbara Hodel Date of Receipt Mailing Address 500 N Morton Ave 01 29 2016 City State Zip Code Transaction ID: A2016-135941 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Name of Employer Occupation Caterpillar Inc. Dir. Cat Parts Distribution Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) **c.** Craig Johnson Date of Receipt Mailing Address 100 NE Adams St. 01 29 2016 City State Zip Code Transaction ID: A2016-135652 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) 1250.01 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	FOF	R LINE	NU	MBER	:	PAGE	 15 OF	-	34
Use separate schedule(s)	(che	ck only	or	ne)					
for each category of the Detailed Summary Page	X	11a		11b		11c	12		
_ common common, cage		13		14		15	16		17

Any information copied from such Reports and S or for commercial purposes, other than using the	Statements may not be sold or used by any perse name and address of any political committee to	on for the purpose of soliciting contributions of solicit contributions from such committee.
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee I	Political Action Committee	
Full Name (Last, First, Middle Initial) Denise Johnson Mailing Address 100 Tractor Drive Building CV		Date of Receipt M = M / D = D / Y = Y = Y = Y = Y = Y = Y = Y = Y = Y
City	State Zip Code	
East Peoria	IL 61630	Transaction ID : A2016-136676 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	416.67
Name of Employer	Occupation	
Caterpillar Inc.	Vice President	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 416.67	
Full Name (Last, First, Middle Initial) 3. Kathryn Karol		Date of Receipt
Mailing Address Suite 400 1425 K Street		01 29 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID : A2016-136727
Washington	DC 20005	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	416.67
Name of Employer Caterpillar Inc.	Occupation Vice President	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 416.67	
Full Name (Last, First, Middle Initial) C. Zachary Kauk		Date of Receipt
Mailing Address 100 NE Adams St.		01 29 2016
City Peoria	State Zip Code IL 61629	Transaction ID : A2016-135978 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	207.72
Name of Employer	Occupation	
Caterpillar Inc.	WW Product Manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	207.72	
SUBTOTAL of Receipts This Page (optional)		1041.06
TOTAL This Period (last page this line number	only)	

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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Steven Kibby Date of Receipt Mailing Address 100 NE Adams St. 2016 29 City State Zip Code Transaction ID: A2016-136094 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 213.58 federal political committee. Name of Employer Occupation Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 213.58 Other (specify) Full Name (Last, First, Middle Initial) B. Jason Kitchel Date of Receipt Mailing Address 3450 Executive Way 01 29 2016 City State Zip Code Transaction ID: A2016-136398 FL Miramar 33025 Amount of Each Receipt this Period FEC ID number of contributing 206.97 federal political committee. Name of Employer Occupation Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 206.97 Other (specify) Full Name (Last, First, Middle Initial) c. Pablo Koziner Date of Receipt Mailing Address 2200 Pacific Hwy 01 29 2016 City State Zip Code Transaction ID: A2016-135955 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee.

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TOTAL This Period (last page this line number only)		_	7	_	_	7	_	_]

416.67

Occupation

Distribution Svcs Reg Mgr

Aggregate Year-to-Date ▼

Name of Employer

Primary

Other (specify)

General

Caterpillar Inc. Receipt For:

FOR LINE NUMBER: PAGE 17 OF 34 Use separate schedule(s) (check only one) X 11a 11b 12 11c 14 13 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Julie Lagacy Date of Receipt Mailing Address 100 NE Adams St. 2016 29 City State Zip Code Transaction ID: A2016-136194 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) B. Douglas McCallen Date of Receipt Mailing Address 100 NE Adams St. 01 29 2016 City State Zip Code Transaction ID: A2016-136766 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Caterpillar Inc. Rgnl/Group Financial Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) c. Michael A McGuire Date of Receipt Mailing Address 9301 West 55th Street 01 29 2016 City State Zip Code Transaction ID: A2016-136423 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing 416.67 С federal political committee. Name of Employer Occupation Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) 1250.01 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: PAGE 18 OF 34 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kelsey Milman Date of Receipt Mailing Address 100 NE Adams St. 2016 29 City State Zip Code Transaction ID: A2016-135922 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 226.32 federal political committee. Name of Employer Occupation Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 226.32 Other (specify) Full Name (Last, First, Middle Initial) B. Richard Moore Date of Receipt Mailing Address 100 NE Adams St. 01 29 2016 City State Zip Code Transaction ID: A2016-136179 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 223.67 federal political committee. Name of Employer Occupation Caterpillar Inc. **Director Investor Relations** Receipt For: Aggregate Year-to-Date ▼ Primary General 223.67 Other (specify) Full Name (Last, First, Middle Initial) c. Christopher Myers Date of Receipt Mailing Address Suite 400 01 29 2016 1425 K Street City Zip Code State Transaction ID: A2016-136524 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 279.24 С federal political committee. Name of Employer Occupation Caterpillar Inc. Federal Govt. Affairs Director Receipt For: Aggregate Year-to-Date ▼ Primary General 279.24 Other (specify) 729.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: PAGE 19 OF 34 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Steven Niehaus Date of Receipt Mailing Address 560 Rehoboth Road 2016 29 City State Zip Code Transaction ID: A2016-136348 GA Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 460.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 460.00 Other (specify) Full Name (Last, First, Middle Initial) B. Edwin O'Neil Date of Receipt Mailing Address 100 NE Adams St. 01 29 2016 City State Zip Code Transaction ID: A2016-136100 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Mfg. Logistics & Transp. Mgr. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Douglas Oberhelman Date of Receipt Mailing Address 100 NE Adams 01 29 2016 City State Zip Code Transaction ID: A2016-136081 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 1333.34 federal political committee. Name of Employer Occupation Caterpillar Inc. CEO Chairman of the Board Receipt For: Aggregate Year-to-Date ▼ Primary General 1333.34 Other (specify) 2043.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 9

	FOR LINE	E NUMBER	: PAG	E 20 OF	F 34
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Barrington Owens Date of Receipt Mailing Address 501 SW Jefferson 2016 29 City State Zip Code Transaction ID: A2016-136502 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 218.28 federal political committee. Name of Employer Occupation Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 218.28 Other (specify) Full Name (Last, First, Middle Initial) B. Robert Paternoga Date of Receipt Mailing Address 12301 Old Galena Road 01 29 2016 City State Zip Code Transaction ID: A2016-136361 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Name of Employer Occupation Caterpillar Inc. Reman BU Mgr - Engines Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) c. Thomas Pellette Date of Receipt Mailing Address 100 NE Adams St. 29 01 2016 City State Zip Code Transaction ID: A2016-136375 IL Peoria 61629

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416.67

C

Occupation Vice President

Aggregate Year-to-Date ▼

416.67

Amount of Each Receipt this Period

FEC ID number of contributing

General

federal political committee.

Other (specify)

Name of Employer

Primary

Caterpillar Inc. Receipt For:

Other (specify)

Primary

Other (specify)

General

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

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for each category of the Detailed Summary Page	×	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Douglas Petterson Date of Receipt Mailing Address Highway 42 East P O Box 999 2016 29 City State Zip Code Transaction ID: A2016-136050 NC Clayton 27528 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation General Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) B. Edward Rapp Date of Receipt Mailing Address 100 NE Adams St. 01 29 2016 City State Zip Code Transaction ID: A2016-136764 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Name of Employer Occupation Caterpillar Inc. Group President Receipt For: Aggregate Year-to-Date ▼ Primary General

Full Name (Last, First, Middle Initial) C. James Rooney		Date of Receipt
Mailing Address 100 NE Adams St.		01 29 2016
City	State Zip Code	Transaction ID : A2016-135824
Peoria	IL 61629	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	416.67
Name of Employer	Occupation	
Caterpillar Inc.	Deputy General Counsel	
Receipt For:	Aggregate Year-to-Date ▼	

416.67

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

Jason Sage

Mailing Address 400 Regency Forest Drive

Suite 400

Date of Receipt

O1 29 2016

1. Jason Sage		Date of Receipt
Mailing Address 400 Regency Forest Driv	e	M = M / D = D / Y = Y = Y
Suite 400		01 29 2016
City	State Zip Code	Transaction ID : A2016-135889
Cary	NC 27518	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	236.81
Name of Employer	Occupation	
Caterpillar Inc.	Div HR Sup Chain & Qual Mgr	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 236.81	
Full Name (Last, First, Middle Initial) 3. Evelyn Savage		Date of Receipt
Mailing Address 12301 Old Galena Road		01 29 2016
City	State Zip Code	Transaction ID : A2016-136597
Mossville	IL 61552	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	417.50
Name of Employer	Occupation	
Caterpillar Inc.	Manager	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 417.50	
Full Name (Last, First, Middle Initial) C. Edward Scott		Date of Receipt
Mailing Address 100 NE Adams St.		01 29 2016
City	State Zip Code	Transaction ID : A2016-136177
Peoria	IL 61629	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	416.67
Name of Employer	Occupation	
Caterpillar Inc.	Corporate Treasurer	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	416.67	
SUBTOTAL of Receipts This Page (optional	al)	1070.98

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 23 OF 34 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Ryan Shea Date of Receipt Mailing Address 600 Balderson Blvd P O Box 6 2016 29 City State Zip Code Transaction ID: A2016-135841 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing C 215.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 215.00 Other (specify) Full Name (Last, First, Middle Initial) B. Rodney Shurman Date of Receipt Mailing Address 3701 State Road 26 East 01 29 2016 City State Zip Code Transaction ID: A2016-135974 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 218.28 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 218.28 Other (specify) Full Name (Last, First, Middle Initial) c. James Sibley Date of Receipt Mailing Address Route 29 & Old Galena Road 29 01 2016 Dock 55 City State Zip Code Transaction ID: A2016-136147 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 212.37 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 212.37 Other (specify) 645.65 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Theodore Simison Date of Receipt Mailing Address 12301 Old Galena Road 2016 29 City State Zip Code Transaction ID: A2016-136121 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) B. Dennis Skarvan Date of Receipt Mailing Address 100 NE Adams St. 01 29 2016 City State Zip Code Transaction ID: A2016-135779 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Name of Employer Occupation Caterpillar Inc. Deputy General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) c. Sally Stiles Date of Receipt Mailing Address 100 NE Adams St. 29 01 2016 City State Zip Code Transaction ID: A2016-136256 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Dir. of Tax & Trade Operations Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General

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Other (specify)

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	d Statements may not be sold or used by any pers the name and address of any political committee t	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	e Political Action Committee	
Full Name (Last, First, Middle Initial) Mark Stratton Mailing Address 100 NE Adams St. City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary Other (specify)	State Zip Code IL 61629 C Occupation General Manager Reman Aggregate Year-to-Date ▼ 416.67	Date of Receipt O1 29 2016 Transaction ID : A2016-136215 Amount of Each Receipt this Period 416.67
Full Name (Last, First, Middle Initial) Robert Strong Mailing Address 27Th & Pershing Rd City Decatur FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 62526 C Occupation Technical Services Manager 2 Aggregate Year-to-Date ▼ 230.55	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Full Name (Last, First, Middle Initial) Larry Tate Mailing Address 100 Tractor Drive Building CV City East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61630 C Occupation Product Manager Aggregate Year-to-Date ▼ 416.67	Date of Receipt O1 29 2016 Transaction ID : A2016-136158 Amount of Each Receipt this Period 416.67
SUBTOTAL of Receipts This Page (optional)	>	1063.89
TOTAL This Period (last page this line numb	per only)	

	FOR LINE NUMBER: PAGE	26 OF 34
Use separate schedule(s) for each category of the	(check only one)	_
Detailed Summary Page	X 11a 11b 11c	12
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	d Statements may not be sold or used by any pers the name and address of any political committee to	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee		
Full Name (Last, First, Middle Initial) Robert Thompson Mailing Address 2120 West End Avenue P O Box 340001 City Nashville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) Other (specify)	State Zip Code TN 37203 C Occupation President Insurance Services Aggregate Year-to-Date ▼ 435.00	Date of Receipt O1 29 2016 Transaction ID: A2016-136345 Amount of Each Receipt this Period 435.00
Full Name (Last, First, Middle Initial) Donald Umpleby III Mailing Address 100 NE Adams St. City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation Group President Aggregate Year-to-Date ▼ 416.67	Date of Receipt O1 29 2016 Transaction ID: A2016-135782 Amount of Each Receipt this Period 416.67
Full Name (Last, First, Middle Initial) C. Tana Utley Mailing Address 12301 Old Galena Road City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61552 C Occupation Vice President Aggregate Year-to-Date ▼ 416.67	Date of Receipt O1 29 2016 Transaction ID : A2016-136153 Amount of Each Receipt this Period 416.67
	per only)	1268.34

FOR LINE NUMBER: PAGE 27 OF 34 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) **David Walton** Date of Receipt Mailing Address 100 NE Adams St. 2016 29 City State Zip Code Transaction ID: A2016-135959 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 238.98 federal political committee. Name of Employer Occupation Vice President CFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 238.98 Other (specify) Full Name (Last, First, Middle Initial) B. Karl Weiss Date of Receipt Mailing Address 100 Tractor Drive Building CV 01 29 2016 City State Zip Code Transaction ID: A2016-136759 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) c. Ramin Younessi Date of Receipt Mailing Address 12301 Old Galena Road 29 01 2016 City State Zip Code Transaction ID: A2016-136739 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 С federal political committee. Name of Employer Occupation Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) 1072.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Leslie Zmugg Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 29 City State Zip Code Transaction ID: A2016-136352 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) В. Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify)

Full Name (Last, First, Middle Initial) Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General

Other (specify)

SCHEDULE B (FEC Form 3X)		FOR LI	IE NUMBER:	PAGE 29 OF 34
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NAME OF COMMITTEE (In Full)				
angle The Caterpillar Inc. Employee Polit	ical Action Commit	tee		
5 0 N				
Full Name (Last, First, Middle Initial)			Date of Disbu	reamant
· Jo-Anne Koshere				
Mailing Address 8124 South 57 Street			01	12 2016
City	State Zip Code		Transaction	ID : B592472
Franklin	WI 53132		Transaction	ID . DJ32472
Purpose of Disbursement Refund of payroll overpayment		010	Amount of Fo	ah Diahuraamant this Daviad
Candidate Name		010	Amount of Ea	ch Disbursement this Period
Candidato Hamo		Category/ Type		1200.00
Office Sought: House Disburser	nent For: 2016	Турс		7
Senate	Primary General			
President	Other (specify) ▼			
State: District:	Not Applicab	е		
Full Name (Last, First, Middle Initial)				
•			Date of Disbu	rsement
Mailing Address			M = M / I	D D / Y Y Y Y
Mailing Address				
City	State Zip Code			
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Purpose of Disbursement				ala Dialaura arra di 11 D. 1 1
Candidate Name			Amount of Ea	ch Disbursement this Period
Candidate Name		Category/ Type		
Office Sought: House Disburser	nent For:	Type		7
Senate	Primary General			
President	Other (specify) ▼			
State: District:				
Full Name (Last, First, Middle Initial)				
•			Date of Disbu	rsement
Mailing Address			M = M / I	D D / Y Y Y Y
Mailing Address				
City	State Zip Code			
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Purpose of Disbursement			1	
Candidate Name			Amount of Ea	ch Disbursement this Period
Candidate Ivallie		Category/		
Office Sought: House Disburser	ment For:	Туре		
	Primary General			
President	Other (specify) ▼			
State: District:	· · · ·			
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	for commercial purposes, other than using the nam											
\setminus	NAME OF COMMITTEE (In Full)											
	The Caterpillar Inc. Employee Politi	ical Act	ion Committe	ee								
_	Full Name (Last, First, Middle Initial)					-	5					
Α.	Citizens for Michael E. Hastings						Disburse	_				
	Mailing Address P.O. Box 162					01	2		_ 20	16	Y	
	City	State	Zip Code									-
	Tinley Park	IL	60477			Irans	action ID	: B592	932			
	Purpose of Disbursement P-2016 State Senate 19 IL			011		Amount	of Each	Disburs	ement	this F	Period	
	Candidate Name			Categor	v/			-	-		_	
	Michael Hastings			Type	,					750	.00	
	X Senate	nent For: Primary Other (spe	General									
	State: IL District: 19		, v									
	Full Name (Last, First, Middle Initial)											
В.	Citizens for David Reis					Date of	Disburse	ment	V	Y	V	
	Mailing Address 219 E Main St					01		2		16		
	City	State	Zip Code				aatian ID	· B502	929			_
	Olney	IL	62450			Trans	action ib	. 0332	J_J			
	Olney Purpose of Disbursement				-	Trans	action ib	. 0332	525			
	Olney Purpose of Disbursement P-2016 State House 109 IL			011			of Each			this F	Period	
	Olney Purpose of Disbursement P-2016 State House 109 IL Candidate Name			Categor	y/					this F	-	
	Olney Purpose of Disbursement P-2016 State House 109 IL Candidate Name David Reis	IL	62450		y/					-	-	
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C.	Olney Purpose of Disbursement P-2016 State House 109 IL Candidate Name David Reis Office Sought: House Senate President Disbursem	nent For:	62450 2016 General	Categor	y/	Amount		Disburs	ement	1000	.00	
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<u> </u>	Olney Purpose of Disbursement P-2016 State House 109 IL Candidate Name David Reis Office Sought: State: IL District: 10 Full Name (Last, First, Middle Initial) Friends of Sue Rezin Mailing Address PO Box 932	nent For: Primary Other (spe	2016 General ecify) •	Categor	y/	Date of	Disburse	ment / 2	ement	1000	.00	_
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\setminus	NAME OF COMMITTEE (In Full)												
$ \; angle$	The Caterpillar Inc. Employee Polit	ical Actio	on Committ	ee									
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_	Full Name (Last, First, Middle Initial)												
Α.	Citizens for Dale Righter						Date o	t Dis	sburse	ment			
	Moiling Address D.O. Day 249						M M M	/	0.1		20	1 Y	Y
	Mailing Address P.O. Box 348						Ül	٠.	22	2	_20	10	
	City	State	Zip Code										
	Charleston	IL	61920				Trans	sacti	on ID	: B5929	30		
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	P-2016 State Senate 55 IL			0	11		Amoun	t of	Each	Disburs	ement	this P	eriod
	Candidate Name				egory	'/						2000.	00
	Dale A Righter Office Sought: House Disbursen	nent For: 20	046	T	уре				7	7		130.	
		nent For: 20 Primary	016 General										
	President	Other (speci											
	State: IL District: 55	CC. (0p00	, ∀										
_	Full Name (Last, First, Middle Initial)												
В.	Citizens to Elect Andre Thapedi						Date o	f Dis	sburse	ment			
							M M	/	D	D /	Y Y	Y	Υ
	Mailing Address 6800 S. Michigan Ave. Suite 100						01		2	2	_ 20	16	
	,	State IL	Zip Code 60637				Trans	sacti	ion ID	: B5929	922		
	Chicago Purpose of Disbursement	<u> </u>	00037	_									
	P-2016 State House 32 IL			C)11		Amoun	t of	Each	Disburs	ement	this P	eriod
	Candidate Name			Cate	egory	,		-	-	-	-		
	Andre M Thapedi				ype	´			7			500.	00
	Office Sought: House Disbursen	nent For: 2	016										
		Primary	General										
		Other (speci	fy) ▼										
_	State: IL District: 32												
_	Full Name (Last, First, Middle Initial)						Date o	f Die	huroo	mont			
C.	Brian Birdwell Campaign							_		_			
	Mailing Address P.O. Box 1111						M M M	'	22		20	16	Y
	City	State	Zip Code				Trans	eacti	ion ID	: B5929	226		
		TX	76048				man	sacti		. 0002.	,20		
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	Brian Birdwell				egory ype	'/						1500.	00
		nent For: 20	<u> </u>	- 1	уре			-	7	7		_	
		Primary	General										
	President	Other (speci	ify) ▼										
	State: TX District: 22												
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SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE		PAGE 32 OF 34
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(check only 21b 27	one) 22 23 28 28b	24 25 26 28c X 29 30b
Any information copied from such Reports and States or for commercial purposes, other than using the nar				
NAME OF COMMITTEE (In Full)				
The Caterpillar Inc. Employee Poli	tical Action Committe	ee		
Full Name (Last, First, Middle Initial)			Date of Disbursemen	
A. Dennis Bonnen for State Rep. Car	npaign		M M / D D	/
Mailing Address 122 E Mrytle			01 22	2016
City	State Zip Code		Transaction ID : B5	92925
Angleton Purpose of Disbursement	TX 77515		Transaction is . Bo	J2J2J
P-2016 State House 25 TX		011	Amount of Each Disb	ursement this Period
Candidate Name		Category/		1000.00
Dennis Bonnen Office Sought: House Disburse	ment For: 2016	Туре		1000.00
Senate President	Primary General Other (specify)			
State: TX District: 25	\(\)			
Full Name (Last, First, Middle Initial)				
B. Lois W. Kolkhorst for Texas Senat	е		Date of Disbursemen	
Mailing Address PO Box 2546			01 22	2016
City Brenham Purpose of Disbursement	State Zip Code TX 77834		Transaction ID : B5	92927
P-2016 State Senate 18 TX		011	Amount of Each Disb	ursement this Period
Candidate Name		Category/		1500.00
Lois Kolkhorst		Type		1300.00
	ment For: 2016 Primary ☐ General Other (specify) ▼			
Full Name (Last, First, Middle Initial)				
^{C.} John Kuempel Campaign			Date of Disbursemen	t
Mailing Address 902 E. College St.			01 22	2016
City Seguin	State Zip Code TX 78155		Transaction ID : B5	92923
Purpose of Disbursement P-2016 State House 44 TX		· · ·		
Candidate Name		011	Amount of Each Disb	ursement this Period
John Kuempel		Category/ Type		1000.00
	nent For: 2016 Primary General Other (specify)	71		
The state of the s				
SUBTOTAL of Disbursements This Page (optional)		······	4	3500.00
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SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE	
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(check only 21b 27	one) 22 23 24 25 26 28a 28b 28c X 29 30b
Any information copied from such Reports and State or for commercial purposes, other than using the na			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Pol	itical Action Committe	ee	
Full Name (Last, First, Middle Initial)			
A. Geanie Morrison Campaign			Date of Disbursement
Mailing Address P.O. Box 4642			01 22 2016
City	State Zip Code		Transaction ID : B592924
Victoria Purpose of Disbursement	TX 77903		
P-2016 State House 30 TX		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Geanie Morrison Office Sought: House Disburse	oment For: 0040	Туре	1000.00
Office Sought: House Disburse Senate President	ement For: 2016 Primary General Other (specify)		
State: TX District: 30			
Full Name (Last, First, Middle Initial)			
B. The Robert Nichols Campaign			Date of Disbursement
Mailing Address P.O. Box 2347			01 22 2016
City Jacksonville	State Zip Code TX 75766		Transaction ID: B592933
Purpose of Disbursement P-2018 State Senate 03 TX		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1500.00
Robert Nichols	l l	Туре	1300.00
Senate President	ement For: 2018 Primary General Other (specify)		
State: TX District: 03 Full Name (Last, First, Middle Initial)			
c. Morrisey for West Virginia			Date of Disbursement
Mailing Address P.O. Box 820			01 20 2016
City Charlestown	State Zip Code WV 25414		Transaction ID : B582595
Purpose of Disbursement	20111		
O-2012 State Att. General WV		011	Amount of Each Disbursement this Period
Candidate Name Patrick Morrissey		Category/	-1000.00
	ement For: 2012	Туре	
Senate President	Primary General Other (specify) ▼		Voided: Original check dated 09/04/15
State: WV District:	Debt Retiremer	nt	
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SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE	34 OF 34	
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the		(check only one)		
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or for commercial purposes, other than using the name	e and address of any politic	al committee to	solicit contributions from such co	mmittee.	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Polit	ical Action Committe	20			
Full Name (Last, First, Middle Initial) Norrisey for West Virginia			Date of Disbursement		
" Mornsey for west virginia					
Mailing Address P.O. Box 820)16	
,	State Zip Code		Transaction ID : B582596		
	WV 25414		Halisaction ID . B302330		
Purpose of Disbursement P-2016 State Att. General WV		011	Amount of Each Disbursement	this Period	
Candidate Name Category/				1000.00	
Patrick Morrissey		Type		-1000.00	
	nent For: 2016 Primary General		V 0	10.4/4.5	
	Other (specify)		Voided: Original check dated 09/	/04/15	
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В.			Date of Disbursement		
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Candidate Name Category.					
Office Sought: House Disbursen	ant For	Туре			
	Primary General				
	Other (specify) ▼				
State: District:					
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C.			Date of Disbursement		
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Office Sought: House Disbursen	nent For:	- 7	7	1 / 1	
	Primary General				
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