

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS For Other Than An Authorized Committee

RECEIVED

2013 OCT 17 AM 8:29

Office Use Only MAIL CENTER

1. NAME OF COMMITTEE (in full)

TYPE OR PRINT

Example: If typing, type over the lines.

12FE4M5

SMITH & NEPHEW, INC. PAC

ADDRESS (number and street)

7135 GOODLETT FARMS PARKWAY

Check if different than previously reported. (ACC)

CORDOVA TN 38016

2. FEC IDENTIFICATION NUMBER

CITY

STATE

ZIP CODE

000374066

3. IS THIS REPORT

NEW (N)

OR

AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

- April 15 Quarterly Report (Q1)
July 15 Quarterly Report (Q2)
October 15 Quarterly Report (Q3)
January 31 Year-End Report (YE)
July 31 Mid-Year Report (Non-election Year Only) (MY)
Termination Report (TER)

- (b) Monthly Report Due On: Feb 20 (M2), Mar 20 (M3), Apr 20 (M4), May 20 (M5), Jun 20 (M6), Jul 20 (M7), Aug 20 (M8), Sep 20 (M9), Oct 20 (M10), Nov 20 (M11), Dec 20 (M12), Jan 31 (YE)

- (c) 12-Day PRE-Election Report for the: Primary (12P), General (12G), Runoff (12R), Convention (12C), Special (12S)

Election on In the State of

- (d) 30-Day POST-Election Report for the: General (30G), Runoff (30R), Special (30S)

Election on In the State of

5. Covering Period

09/01/2013 through 09/30/2013

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Jean W. Mercer

Signature of Treasurer

Date 09/30/2013

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office Use Only

FEC FORM 3X Rev. 12/2004

13031121445

**SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

*Smith & Nephew, Inc. PAC*

Report Covering the Period:

From:

09 ' 01 ' 2013

To:

09 ' 30 ' 2013

**COLUMN A  
This Period**

**COLUMN B  
Calendar Year-to-Date**

6. (a) Cash on Hand January 1, 2013		35,267.09
(b) Cash on Hand at Beginning of Reporting Period.....	35,413.91	
(c) Total Receipts (from Line 19).....	1,740.87	17,887.69
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	37,154.78	53,254.78
7. Total Disbursements (from Line 31).....	0	16,100.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	37,154.78	37,154.78
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D).....		
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D).....		



This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

13031121446

**DETAILED SUMMARY PAGE  
of Receipts**

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

*Smith & Nephew, Inc PAC*

Report Covering the Period: From:

*09 / 01 / 2013*

To:

*09 / 30 / 2013*

**I. Receipts**

**COLUMN A  
Total This Period**

**COLUMN B  
Calendar Year-to-Date**

11. Contributions (other than loans) From:

(a) Individuals/Persons Other Than Political Committees

(i) Itemized (use Schedule A).....

*1740.00*

*17880.00*

(ii) Unitemized.....

(iii) TOTAL (add

Lines 11(a)(i) and (ii).....▶

*1740.00*

*17880.00*

(b) Political Party Committees.....

(c) Other Political Committees (such as PACs).....

(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5).....▶

*1740.00*

*17880.00*

12. Transfers From Affiliated/Other Party Committees.....

13. All Loans Received.....

14. Loan Repayments Received.....

15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....

16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....

17. Other Federal Receipts (Dividends, Interest, etc.).....

18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account (from Schedule H3).....

(b) Levin Funds (from Schedule H5).....

(c) Total Transfers (add 18(a) and 18(b))..

*0.87*

*7.69*

19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)).....▶

*1740.87*

*17887.69*

20. Total Federal Receipts (subtract Line 18(c) from Line 19).....▶

*1740.87*

*17887.69*

13031121447

**DETAILED SUMMARY PAGE**  
of Disbursements

**II. Disbursements**

**COLUMN A**  
Total This Period

**COLUMN B**  
Calendar Year-to-Date

21. Operating Expenditures:  
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)  
(i) Federal Share .....



(ii) Non-Federal Share.....

(b) Other Federal Operating Expenditures .....



(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....

22. Transfers to Affiliated/Other Party Committees.....



23. Contributions to Federal Candidates/Committees and Other Political Committees.....



24. Independent Expenditures (use Schedule E).....



25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....



26. Loan Repayments Made.....



27. Loans Made.....

28. Refunds of Contributions To:  
(a) Individuals/Persons Other Than Political Committees .....



(b) Political Party Committees .....

(c) Other Political Committees (such as PACs).....

(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....



29. Other Disbursements .....

0

100.00

30. Federal Election Activity (2 U.S.C. §431(20))

(a) Allocated Federal Election Activity (from Schedule H6)  
(i) Federal Share .....



(ii) "Levin" Share.....

(b) Federal Election Activity Paid Entirely With Federal Funds .....



(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)).....



31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..



32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....



13031121448

**DETAILED SUMMARY PAGE  
of Disbursements**

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....		
34. Total Contribution Refunds (from Line 28(d)) .....		
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....		
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) .....		
37. Offsets to Operating Expenditures (from Line 15, page 3) .....		
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....		

13031121449

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 1 OF 7  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
*Smith & Nephew, Inc. PAC*

A. Full Name (Last, First, Middle Initial)  
*Willis, Victoria*

Mailing Address  
*1630 Linden Ave*

City *Memphis* State *TN* Zip Code *38014*

FEC ID number of contributing federal political committee. *C*

Name of Employer *Smith & Nephew, Inc.* Occupation *Director*

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
*52500*

Date of Receipt  
*09 ' 27 ' 2013*

Amount of Each Receipt this Period  
*50.00*

B. Full Name (Last, First, Middle Initial)  
*Carlson, David*

Mailing Address  
*668 Rocky Road Point*

City *Memphis* State *TN* Zip Code *38018*

FEC ID number of contributing federal political committee. *C*

Name of Employer *Smith & Nephew, Inc.* Occupation *Director*

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
*210.00*

Date of Receipt  
*09 ' 27 ' 2013*

Amount of Each Receipt this Period  
*20.00*

C. Full Name (Last, First, Middle Initial)  
*Seltman, Paul*

Mailing Address  
*519 Ashford Road*

City *Silver Springs* State *MD* Zip Code *20910*

FEC ID number of contributing federal political committee. *C*

Name of Employer *Smith & Nephew, Inc.* Occupation *Sr VP*

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
*2100.00*

Date of Receipt  
*09 ' 27 ' 2013*

Amount of Each Receipt this Period  
*200.00*

SUBTOTAL of Receipts This Page (optional)..... ▶ *270.00*

TOTAL This Period (last page this line number only)..... ▶

13031121450

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 2 OF 7  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 17
13	14	15	16	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee, to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
*Smith & Nephew, Inc. PAC*

**A.** Full Name (Last, First, Middle Initial)  
*Reid, Scott*

Mailing Address  
*1404 Gladstone Drive*

City *Rockville* State *MD* Zip Code *20851*

FEC ID number of contributing federal political committee. *C*

Name of Employer *Smith & Nephew, Inc.* Occupation *VP*

Receipt For:  
 Primary  General  
 Other (specify)

Aggregate Year-to-Date *1050.00*

Date of Receipt *09/27/2013*

Amount of Each Receipt this Period *100.00*

**B.** Full Name (Last, First, Middle Initial)  
*Stacey, George*

Mailing Address  
*2301 Juniper Circle*

City *North Andover* State *MA* Zip Code *01845*

FEC ID number of contributing federal political committee. *C*

Name of Employer *Smith & Nephew, Inc.* Occupation *Chief Counsel*

Receipt For:  
 Primary  General  
 Other (specify)

Aggregate Year-to-Date *8250.00*

Date of Receipt *09/27/2013*

Amount of Each Receipt this Period *100.00*

**C.** Full Name (Last, First, Middle Initial)  
*Whitsitt, Laura*

Mailing Address  
*6265 Woodbridge*

City *Memphis* State *TN* Zip Code *38119*

FEC ID number of contributing federal political committee. *C*

Name of Employer *Smith & Nephew, Inc.* Occupation *DR VP*

Receipt For:  
 Primary  General  
 Other (specify)

Aggregate Year-to-Date *1050.00*

Date of Receipt *09/27/2013*

Amount of Each Receipt this Period *100.00*

SUBTOTAL of Receipts This Page (optional)..... *300.00*

TOTAL This Period (last page this line number only).....

13031121451

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 3 OF 7  
(check only one)  
 11a  11b  11c  12  
 13  14  15  16  17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
*Smith & Nephew, Inc. PAC*

**A.** Full Name (Last, First, Middle Initial)  
*Metzger, Joe*

Mailing Address  
*15 Hope Hill Road*

City *Derry* State *NH* Zip Code *03038*

FEC ID number of contributing federal political committee. *C*

Name of Employer *Smith & Nephew, Inc.* Occupation *Sr VP*

Receipt For:  
 Primary  General  
 Other (specify)

Aggregate Year-to-Date *52500*

Date of Receipt *09 ' 27 ' 2013*

Amount of Each Receipt this Period *50.00*

**B.** Full Name (Last, First, Middle Initial)  
*Biringer, Keith*

Mailing Address  
*41 Brookdale Ave*

City *Methuen* State *MA* Zip Code *01844*

FEC ID number of contributing federal political committee. *C*

Name of Employer *Smith & Nephew, Inc.* Occupation *Director*

Receipt For:  
 Primary  General  
 Other (specify)

Aggregate Year-to-Date *21000*

Date of Receipt *09 ' 27 ' 2013*

Amount of Each Receipt this Period *20.00*

**C.** Full Name (Last, First, Middle Initial)  
*Gorman, Mark*

Mailing Address  
*3304 Redford Lane*

City *Georgetown* State *TRV* Zip Code *38139*

FEC ID number of contributing federal political committee. *C*

Name of Employer *Smith & Nephew, Inc.* Occupation *GH-Logal*

Receipt For:  
 Primary  General  
 Other (specify)

Aggregate Year-to-Date *35000*

Date of Receipt *09 ' 27 ' 2013*

Amount of Each Receipt this Period *100.00*

SUBTOTAL of Receipts This Page (optional)..... *170.00*

TOTAL This Period (last page this line number only).....

13031121452



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 4 OF 7  
(check only one)  
 11a  11b  11c  12  
 13  14  15  16  17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
Smith & Nephew, Inc. PAC

A. Full Name (Last, First, Middle Initial)  
Dawkins, Simon

Mailing Address  
655 Apacahce Circle NE

City St Petersburg State FL Zip Code 33702

FEC ID number of contributing federal political committee. C

Name of Employer Smith & Nephew, Inc. Occupation VP

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date 32500

Date of Receipt  
09 / 27 / 2013

Amount of Each Receipt this Period  
5000

B. Full Name (Last, First, Middle Initial)  
Hoisman, Christine

Mailing Address  
4 Bishop Way

City Groton State MA Zip Code 01450

FEC ID number of contributing federal political committee. C

Name of Employer Smith & Nephew, Inc. Occupation VP

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date 60000

Date of Receipt  
09 / 27 / 2013

Amount of Each Receipt this Period  
10000

C. Full Name (Last, First, Middle Initial)  
Haydock, Paul

Mailing Address  
11 Stanwood Ave

City Plaistow State NH Zip Code 03865

FEC ID number of contributing federal political committee. C

Name of Employer Smith & Nephew, Inc. Occupation DS VP

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date 30000

Date of Receipt  
09 / 27 / 2013

Amount of Each Receipt this Period  
5000

SUBTOTAL of Receipts This Page (optional)..... 20000

TOTAL This Period (last page this line number only).....

13031121453

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 5 OF 7  
(check only one)  
 11a  11b  11c  12  
 13  14  15  16  17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

*Smith & Nephew, Inc. PAC*

Full Name (Last, First, Middle Initial)

A. *Steele, David*

Mailing Address

*92 Robin Hill Rd*

City

*Groton*

State

*MA*

Zip Code

*01450*

FEC ID number of contributing federal political committee.

*C*

Name of Employer

*Smith & Nephew, Inc.*

Occupation

*S. VP*

Receipt For:

Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼

*600.00*

Date of Receipt

*09 ' 27 ' 2012*

Amount of Each Receipt this Period

*100.00*

Full Name (Last, First, Middle Initial)

B. *Finnerty, Thomas*

Mailing Address

*11 Hayden Drive*

City

*Foxboro*

State

*MA*

Zip Code

*02035*

FEC ID number of contributing federal political committee.

*C*

Name of Employer

*Smith & Nephew, Inc.*

Occupation

*Sr VP*

Receipt For:

Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼

*275.00*

Date of Receipt

*09 ' 27 ' 2012*

Amount of Each Receipt this Period

*50.00*

Full Name (Last, First, Middle Initial)

C. *Kamp, Dana*

Mailing Address

*222 Dogwood Oaks Drive*

City

*Germantown*

State

*TN*

Zip Code

*38129*

FEC ID number of contributing federal political committee.

*C*

Name of Employer

*Smith & Nephew, Inc.*

Occupation

*Legal*

Receipt For:

Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼

*105.00*

Date of Receipt

*09 ' 27 ' 2012*

Amount of Each Receipt this Period

*30.00*

SUBTOTAL of Receipts This Page (optional).....▶

*180.00*

TOTAL This Period (last page this line number only).....▶

13031121454

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE <u>6</u> OF <u>7</u>	
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
Smith & Nephew, Inc. PAC

A. Full Name (Last, First, Middle Initial)  
Clemmons, William

Mailing Address  
9570 Memphis Arlington Rd

City Lakeland State TN Zip Code 38002

FEC ID number of contributing federal political committee. C

Name of Employer Smith & Nephew, Inc. Occupation Patent attorney

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date 70.00

Date of Receipt  
09' 27' 2013

Amount of Each Receipt this Period  
20.00

B. Full Name (Last, First, Middle Initial)  
Grove, Karel

Mailing Address  
6323 Dykes way

City Dallas State TX Zip Code 75220

FEC ID number of contributing federal political committee. C

Name of Employer Smith & Nephew, Inc. Occupation SA Director

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date 100.00

Date of Receipt  
09' 27' 2013

Amount of Each Receipt this Period  
40.00

C. Full Name (Last, First, Middle Initial)  
Bancroft, Rob

Mailing Address  
6780 St. Moritz Parkway

City Colleyville State TX Zip Code 76024

FEC ID number of contributing federal political committee. C

Name of Employer Smith & Nephew, Inc. Occupation President

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date 750.00

Date of Receipt  
09' 27' 2013

Amount of Each Receipt this Period  
300.00

SUBTOTAL of Receipts This Page (optional)..... 360.00

TOTAL This Period (last page this line number only).....

13031121455

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE **7** OF **7**  
(check only one)  
 11a  11b  11c  12  
 13  14  15  16  17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**Smith & Nephew, Inc. PAC**

**A.** Full Name (Last, First, Middle Initial)  
**Graf, John**

Mailing Address  
**49 Bay State Rd**

City **Peabody** State **MA** Zip Code **01960**

FEC ID number of contributing federal political committee. **C**

Name of Employer **Smith & Nephew Inc** Occupation **Manager ASD**

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **260.00**

Date of Receipt **09 / 16 / 2013**

Amount of Each Receipt this Period **260.00**

**B.** Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

**C.** Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)..... ▶ **260.00**

TOTAL This Period (last page this line number only)..... ▶ **1740.00**

13031121456

13031121457

J. M. **We a**



**Smith & Nephew, Inc.**  
7135 Goodlett Farms Parkway  
Cordova, TN 38016 USA

**Hasler**

09/30/2013

**US POSTAGE**

**\$01.12<sup>00</sup>**



ZIP 38016  
011D11622275

RECEIVED

2013 OCT 17 AM 8:29

FEC MAIL CENTER

Federal Election Commission  
999 E Street, N.W.  
Washington, DC 20463

Federal Election Commission  
**ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS**  
 The FEC added this page to the end of this filing to indicate how it was received.

Hand Delivered Date of Receipt

USPS First Class Mail Postmarked  
9/30/2013

USPS Registered/Certified Postmarked (R/C)

USPS Priority Mail Postmarked

USPS Priority Mail Express Postmarked

Postmark Illegible

No Postmark

Overnight Delivery Service (Specify): Shipping Date  
Next Business Day Delivery

Received from House Records & Registration Office Date of Receipt

Received from Senate Public Records Office Date of Receipt

Received from Electronic Filing Office Date of Receipt

Other (Specify): Date of Receipt or Postmarked

JB  
 PREPARER  
 (8/2013)

10/17/2013  
 DATE PREPARED

13031121458