

# FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines. **12FE4M5**  
**BATS GLOBAL MARKETS, INC POLITICAL ACTION COMMITTEE**

ADDRESS (number and street) **701 8th Street, NW**  
**Suite 500**  
 Check if different than previously reported. (ACC) **Washington DC 20001**

2. FEC IDENTIFICATION NUMBER ▼ CITY ▲ STATE ▲ ZIP CODE ▲  
**C C00458653** 3. IS THIS REPORT  NEW (N) OR  AMENDED (A)

4. TYPE OF REPORT (Choose One)  
(a) Quarterly Reports:  
 April 15 Quarterly Report (Q1)  
 July 15 Quarterly Report (Q2)  
 October 15 Quarterly Report (Q3)  
 January 31 Year-End Report (YE)  
 July 31 Mid-Year Report (Non-election Year Only) (MY)  
 Termination Report (TER)  
(b) Monthly Report Due On:  
 Feb 20 (M2)  May 20 (M5)  Aug 20 (M8)  Nov 20 (M11) (Non-Election Year Only)  
 Mar 20 (M3)  Jun 20 (M6)  Sep 20 (M9)  Dec 20 (M12) (Non-Election Year Only)  
 Apr 20 (M4)  Jul 20 (M7)  Oct 20 (M10)  Jan 31 (YE)  
(c) 12-Day PRE-Election Report for the:  
 Primary (12P)  General (12G)  Runoff (12R)  
 Convention (12C)  Special (12S)  
Election on  /  /  in the State of   
(d) 30-Day POST-Election Report for the:  
 General (30G)  Runoff (30R)  Special (30S)  
Election on  /  /  in the State of

5. Covering Period  /  /  2016 through  /  /  2016

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.  
Swanson, Eric, , ,  
Type or Print Name of Treasurer

Signature of Treasurer *Swanson, Eric, , ,* [Electronically Filed] Date  /  /  2016

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 52 U.S.C. § 30109.

**SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS**

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Page 2

Write or Type Committee Name

**BATS GLOBAL MARKETS, INC POLITICAL ACTION COMMITTEE**

Report Covering the Period: From:  /  /  To:  /  /

|  | COLUMN A<br>This Period | COLUMN B<br>Calendar Year-to-Date |
|--|-------------------------|-----------------------------------|
| 6. (a) Cash on Hand<br>January 1, <input type="text" value="2016"/>  |                         | 39066.59                          |
| (b) Cash on Hand at<br>Beginning of Reporting Period.....  | 38166.59                |                                   |
| (c) Total Receipts (from Line 19) .....  | 0.0                     | 10000.0                           |
| (d) Subtotal (add Lines 6(b) and<br>6(c) for Column A and Lines<br>6(a) and 6(c) for Column B).....              | 38166.59                | 49066.59                          |
| 7. Total Disbursements (from Line 31).....   | 0.0                     | 10900.0                           |
| 8. Cash on Hand at Close of<br>Reporting Period<br>(subtract Line 7 from Line 6(d)).....                         | 38166.59                | 38166.59                          |
| 9. Debts and Obligations Owed <b>TO</b><br>the Committee (Itemize all on<br>Schedule C and/or Schedule D) .....  | 0.0                     |                                   |
| 10. Debts and Obligations Owed <b>BY</b><br>the Committee (Itemize all on<br>Schedule C and/or Schedule D) ..... | 0.0                     |                                   |

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

DETAILED SUMMARY PAGE  
of Receipts

Write or Type Committee Name

BATS GLOBAL MARKETS, INC POLITICAL ACTION COMMITTEE

Report Covering the Period: From: 10 / 20 / 2016 To: 11 / 28 / 2016

| I. Receipts   | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |
|---|-------------------------------|-----------------------------------|
| 11. Contributions (other than loans) From:  |                               |                                   |
| (a) Individuals/Persons Other Than Political Committees   |                               |                                   |
| (i) Itemized (use Schedule A).....  | 0.0                           | 10000.0                           |
| (ii) Unitemized .....   | 0.0                           | 0.0                               |
| (iii) TOTAL (add Lines 11(a)(i) and (ii)).....▶   | 0.0                           | 10000.0                           |
| (b) Political Party Committees .....  | 0.0                           | 0.0                               |
| (c) Other Political Committees (such as PACs).....  | 0.0                           | 0.0                               |
| (d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) .....  | 0.0                           | 10000.0                           |
| 12. Transfers From Affiliated/Other Party Committees.....   | 0.0                           | 0.0                               |
| 13. All Loans Received .....  | 0.0                           | 0.0                               |
| 14. Loan Repayments Received.....   | 0.0                           | 0.0                               |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)..... | 0.0                           | 0.0                               |
| 16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....           | 0.0                           | 0.0                               |
| 17. Other Federal Receipts (Dividends, Interest, etc.).....   | 0.0                           | 0.0                               |
| 18. Transfers from Non-Federal and Levin Funds  |                               |                                   |
| (a) Non-Federal Account (from Schedule H3).....   | 0.00                          | 0.00                              |
| (b) Levin Funds (from Schedule H5) .....  | 0.00                          | 0.00                              |
| (c) Total Transfers (add 18(a) and 18(b))..   | 0.00                          | 0.00                              |
| 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)).....▶                         | 0.0                           | 10000.0                           |
| 20. Total Federal Receipts (subtract Line 18(c) from Line 19).....▶                                   | 0.0                           | 10000.0                           |

**DETAILED SUMMARY PAGE**  
of Disbursements

| II. Disbursements  | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 21. Operating Expenditures:  |                               |                                   |
| (a) Allocated Federal/Non-Federal Activity (from Schedule H4)                                  |                               |                                   |
| (i) Federal Share .....  | 0.00                          | 0.00                              |
| (ii) Non-Federal Share.....  | 0.00                          | 0.00                              |
| (b) Other Federal Operating Expenditures .....   | 0.0                           | 0.0                               |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....                        | 0.0                           | 0.0                               |
| 22. Transfers to Affiliated/Other Party Committees.....  | 0.0                           | 0.0                               |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees.....         | 0.0                           | 10900.0                           |
| 24. Independent Expenditures (use Schedule E) .....  | 0.0                           | 0.0                               |
| 25. Coordinated Party Expenditures (52 U.S.C. § 30116(d)) (use Schedule F).....                | 0.0                           | 0.0                               |
| 26. Loan Repayments Made.....  | 0.0                           | 0.0                               |
| 27. Loans Made.....  | 0.00                          | 0.00                              |
| 28. Refunds of Contributions To:   |                               |                                   |
| (a) Individuals/Persons Other Than Political Committees .....                                  | 0.0                           | 0.0                               |
| (b) Political Party Committees .....   | 0.0                           | 0.0                               |
| (c) Other Political Committees (such as PACs).....   | 0.0                           | 0.0                               |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....                            | 0.0                           | 0.0                               |
| 29. Other Disbursements (Including Non-Federal Donations).....                                 | 0.0                           | 0.0                               |
| 30. Federal Election Activity (52 U.S.C. § 30101(20))  |                               |                                   |
| (a) Allocated Federal Election Activity (from Schedule H6)                                     |                               |                                   |
| (i) Federal Share .....  | 0.00                          | 0.00                              |
| (ii) "Levin" Share.....  | 0.00                          | 0.00                              |
| (b) Federal Election Activity Paid Entirely With Federal Funds .....                           | 0.00                          | 0.00                              |
| (c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)) .....            | 0.00                          | 0.00                              |
| 31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..      | 0.0                           | 10900.0                           |
| 32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)..... | 0.0                           | 10900.0                           |

**DETAILED SUMMARY PAGE**  
of Disbursements

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| <b>III. Net Contributions/<br/>Operating Expenditures</b>                            | <b>COLUMN A<br/>Total This Period</b> | <b>COLUMN B<br/>Calendar Year-to-Date</b> |
|--|---------------------------------------|---|
| 33. Total Contributions (other than loans)<br>(from Line 11(d), page 3) .....        | 0.0                                   | 10000.0                                   |
| 34. Total Contribution Refunds<br>(from Line 28(d)) .....                            | 0.0                                   | 0.0                                       |
| 35. Net Contributions (other than loans)<br>(subtract Line 34 from Line 33) .....    | 0.0                                   | 10000.0                                   |
| 36. Total Federal Operating Expenditures<br>(add Line 21(a)(i) and Line 21(b)) ..... | 0.0                                   | 0.0                                       |
| 37. Offsets to Operating Expenditures<br>(from Line 15, page 3).....                 | 0.0                                   | 0.0                                       |
| 38. Net Operating Expenditures<br>(subtract Line 37 from Line 36) .....              | 0.0                                   | 0.0                                       |