PAGE 1/5 STATEMENT OF **FEC ORGANIZATION** FORM 1 Office Use Only NAME OF (Check if name Example: If typing, type 12FE4M5 COMMITTEE (in full) over the lines. is changed) The Caterpillar Inc. Employee Political Action Committee 100 N.E. Adams Street ADDRESS (number and street) (Check if address is changed) Peoria 61629-1430 IL CITY A STATE A ZIP CODE A COMMITTEE'S E-MAIL ADDRESS catpac@cat.com (Check if address is changed) Optional Second E-Mail Address COMMITTEE'S WEB PAGE ADDRESS (URL) (Check if address is changed) DATE 09 2016 C00148031 FEC IDENTIFICATION NUMBER 3. X IS THIS STATEMENT NEW (N) OR AMENDED (A) I certify that I have examined this Statement and to the best of my knowledge and belief it is true, correct and complete. Benjamin Cordani Type or Print Name of Treasurer Benjamin Cordani [Electronically Filed] 05 2016 Signature of Treasurer Date NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Statement to the penalties of 2 U.S.C. §437g. ANY CHANGE IN INFORMATION SHOULD BE REPORTED WITHIN 10 DAYS. FEC FORM 1 (Revised 06/2012)

| Office | | | For further information contact: |
|--------|--|--|----------------------------------|
| Use | | | Federal Election Commission |
| Only | | | Toll Free 800-424-9530 |
| | | | Local 202-694-1100 |

| FEC F | orm 1 (Revised 02/2009) | Page 2 |
|----------------------------|--|---------------------------|
| | COMMITTEE e Committee: | |
| (a) | This committee is a principal campaign committee. (Complete the candidate information below. |) |
| (b) | This committee is an authorized committee, and is NOT a principal campaign committee. (Con information below.) | nplete the candidate |
| Name of Candidate | | |
| Candidate Party Affilia | Office Sought: House Senate President | State |
| (c) | This committee supports/opposes only one candidate, and is NOT an authorized committee. | |
| Name of Candidate | | |
| Party Co | nmittee: (National, State | (Democratic, |
| (d) | This committee is a or subordinate) committee of the | Republican, etc.) Party. |
| Political A | Action Committee (PAC): | |
| (e) X | This committee is a separate segregated fund. (Identify connected organization on line 6.) Its co | nnected organization is a |
| | X Corporation Corporation w/o Capital Stock | Labor Organization |
| | Membership Organization Trade Association | Cooperative |
| | In addition, this committee is a Lobbyist/Registrant PAC. | |
| (f) | This committee supports/opposes more than one Federal candidate, and is NOT a separate s committee. (i.e., nonconnected committee) | egregated fund or party |
| | In addition, this committee is a Lobbyist/Registrant PAC. | |
| | In addition, this committee is a Leadership PAC. (Identify sponsor on line 6.) | |
| Joint Fun | draising Representative: | |
| (g) | This committee collects contributions, pays fundraising expenses and disburses net proceeds for t committees/organizations, at least one of which is an authorized committee of a federal candidate. | |
| (h) | This committee collects contributions, pays fundraising expenses and disburses net proceeds for to committees/organizations, none of which is an authorized committee of a federal candidate. | |
| Con | nmittees Participating in Joint Fundraiser | |
| 1. | FEC ID number | |
| 2. | FEC ID number | |
| 3. | FEC ID number | |
| 4 | | |

| | _ | | |
|----|---|--|---------------------|
| | FEC Form 1 (Revised | 02/2009) | Page 3 |
| W | /rite or Type Committee Name | e | |
| _ | The Caterpillar | Inc. Employee Political Action Committee | |
| 6. | Name of Any Connected (| Organization, Affiliated Committee, Joint Fundraising Representative, or Leadershi | p PAC Sponsor |
| С | aterpillar Inc. | | |
| L | | | |
| | Mailing Address | 100 N.E. Adams Street | |
| | | | |
| | | Peoria IL 61629-143 | 0 |
| | | CITY STATE Z | IP CODE |
| | Relationship: X Connecte | d Organization Affiliated Committee Joint Fundraising Representative Lead | lership PAC Sponsor |
| • | Custodian of Records: Idea books and records. | ntify by name, address (phone number optional) and position of the person in poss | ession of committee |
| | Christoph | er Myers | |
| | Full Name | | |
| | Mailing Address | | |
| | | | |
| | | Washington DC 20005 | |
| | Title or Position | CITY STATE Z | IP CODE |
| | Custodian of Records | Telephone number 202 - 4 | 66 0671 |
| 3. | Treasurer: List the name an any designated agent (e.g., | d address (phone number optional) of the treasurer of the committee; and the nam assistant treasurer). | e and address of |
| | Full Name Benjamin of Treasurer | Cordani | |
| | Mailing Address | 1100 Milwaukee Ave. | |
| | | | |
| | | South Milwaukee WI 53172 | |
| | Title or Position | CITY STATE Z | IP CODE |
| | Treasurer | | 88 - 4883 |

| FEC Form | 1 (Revised 02/2009) | | Page 4 | | | |
|--|--------------------------------|---------------------|----------------|--|--|--|
| | | | | | | |
| Full Name of Designated Agent | Danielle M James | | | | | |
| Mailing Address | 1425 K Street NW, Suite 400 | | | | | |
| | | | | | | |
| | Washington CITY | DC 20005 STATE | ZIP CODE | | | |
| Title or Position Assistant Treasu | irer | mber <u>202</u> – [| 898 - 3218 | | | |
| Banks or Other Depositories: List all banks or other depositories in which the committee deposits funds, holds accounts, rents safety deposit boxes or maintains funds. Name of Bank, Depository, etc. 1st Mid-Illinois Bank & Trust | | | | | | |
| Mailing Address | 230 S.W. Adams Street Suite 10 | | | | | |
| | | | | | | |
| | Peoria | IL 61602 | | | | |
| | CITY | STATE | ZIP CODE | | | |
| Name of Bank, Depository, etc. | | | | | | |
| | | | | | | |
| Mailing Address | | | | | | |
| | | | | | | |
| | | | 1 1-1 1 1 1 | | | |
| | | | | | | |

: 97 'A = G7 9 @ G B9 CI G'H9 LH F9 @ 5 H98 'HC '5 'F9 DC FHZ G7 < 98 I @ 'C F' + H9 A = N5 H+ C B

Form/Schedule: F1A
Transaction ID:

This amended registration is being filed to disclose the committee's new Assistant Treasurer, update the Treasurer's address and make a correction to the connected organization. Please make the necessary changes to your records.

Form/Schedule: Transaction ID: