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FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

	r Other Than An Auth	onzed Committee	Office Use Only
NAME OF COMMITTEE (in full)	PE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5
The Caterpillar Inc. Emp	loyee Political Action	n Committee	
<u> </u>			
ADDRESS (number and street)	100 N.E. Adams Street		
Check if different			
than previously reported. (ACC)	Peoria		IL 61629-1430 –
2. FEC IDENTIFICATION NUM	BER ▼ CITY	/ ▲	STATE ▲ ZIP CODE ▲
C C00148031	3. IS	THIS NEW (N) OR	AMENDED (A)
4. TYPE OF REPORT (Choose One)	Report Due On:	20 (M2) May 20 (M:	(Non-Election Year Only)
(a) Quarterly Reports:		20 (M3) Jun 20 (M6	(Non-Election Year Only)
April 15 Quarterly Report (Q1)		20 (M4) Jul 20 (M7)	Oct 20 (M10) Jan 31 (YE)
July 15 Quarterly Report (Q2)	PRE-Election	Primary (12P)	General (12G) Runoff (12R)
October 15 Quarterly Report (Q3)	Report for the:	Convention (12C)	Special (12S)
January 31 Year-End Report (YE)	Election	on M M / D D /	in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day POST-Election Report for the:	General (30G)	Runoff (30R) Special (30S)
Termination Report (TER)	Election	on	in the State of
5. Covering Period 01	01 / 2019	through 01	M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
I certify that I have examined this		my knowledge and belief it is	true, correct and complete.
Type or Print Name of Treasurer	James, Danielle, , ,		
Signature of Treasurer	Danielle, , ,	[Electronically Filed]	Date 02 / 20 / 2019
NOTE: Submission of false, erroneou	us, or incomplete information	may subject the person signing	this Report to the penalties of 52 U.S.C. § 30109
Office Use Only			FEC FORM 3X Rev. 05/2016

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016)
Page 2

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

01 2019 01 31 2019 Report Covering the Period: From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 212187.66 January 1, 2019 (b) Cash on Hand at 212187.66 Beginning of Reporting Period..... 59082.95 59082.95 (c) Total Receipts (from Line 19) (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 271270.61 271270.61 6(a) and 6(c) for Column B)..... 13.35 13.35 Total Disbursements (from Line 31)......

Cash on Hand at Close of
 Reporting Period
 (subtract Line 7 from Line 6(d))......

					271257.26
_	-	-7	-	 -7	45

			_				
				27	7125	57.26	;
_	_	 _	_	 _	_		_

						0.00)	
ь.	-	7		7	-	 -70	-	

Debts and Obligations Owed BY
 the Committee (Itemize all on Schedule C and/or Schedule D)

							0.00	
	-	7	-	-	-7-	-		_

x

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

		COLUMN A	COLUMN P					
	I. Receipts	Total This Period	COLUMN B Calendar Year-to-Date					
. C	ontributions (other than loans) From:							
(a	,							
	Than Political Committees	17.150.70						
	(i) Itemized (use Schedule A)	17459.73	17459.73					
	(ii) Unitemized	41617.96	41617.96					
	(iii) TOTAL (add		1 1 1 1 1 1 1 1 1					
	Lines 11(a)(i) and (ii)▶	59077.69	59077.69					
/1-) Delitical Barty Committees	0.00	0.00					
(b	,	0.00	4 4					
(0	′	0.00	0.00					
1-	(such as PACs)	49. 49. 49.	4 4					
(C	,							
	11(a)(iii), (b), and (c)) (Carry	59077.69	59077.69					
т.	Totals to Line 33, page 5) ransfers From Affiliated/Other	3001100	4 4					
	ansiers From Affiliated/Other	0.00	0.00					
Г	arty Committees	0.00	4 4					
. A	I Loans Received	0.00	0.00					
L	pan Repayments Received	0.00	0.00					
	ffsets To Operating Expenditures	0.00	4 4					
	Refunds, Rebates, etc.)	0.00	0.00					
	Carry Totals to Line 37, page 5)efunds of Contributions Made	3.00	0.00					
	Federal Candidates and Other							
	plitical Committees	0.00	0.00					
	ther Federal Receipts	0.00	0.00					
	Dividends, Interest, etc.)	5.26	5.26					
	ransfers from Non-Federal and Levin Funds	5.26	3.20					
) Non-Federal Account							
(6	(from Schedule H3)	0.00	0.00					
	(IIOIII Odiloddio 110)	5.50	0.00					
(h) Levin Funds (from Schedule H5)	0.00	0.00					
(1	, Loviii i dildo (ilolii coneddie 110)	4 4						
) Total Transfers (add 18(a) and 18(b))	0.00	0.00					

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date					
Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4)		541511441 1541 15 5416					
(i) Federal Share	0.00	0.00					
(ii) Non-Federal Share	0.00	0.00					
(b) Other Federal Operating		7 7 7					
Expenditures(c) Total Operating Expenditures	13.35	13.35					
(add 21(a)(i), (a)(ii), and (b))▶	13.35	13.35					
Transfers to Affiliated/Other Party Committees	0.00	0.00					
Contributions to Federal Candidates/Committees							
and Other Political Committees Independent Expenditures	0.00	0.00					
(use Schedule E)	0.00	0.00					
(52 U.S.C. § 30116(d)) (use Schedule F)	0.00	0.00					
,		0.00					
Loan Repayments Made	0.00	0.00					
Loans Made Refunds of Contributions To:	0.00	0.00					
(a) Individuals/Persons Other Than Political Committees	0.00	0.00					
4) 5 111 15 1 6 111	4 4 4	1 1 1 1 1 1 1 1 1					
(b) Political Party Committees(c) Other Political Committees	0.00	0.00					
(such as PACs)	0.00	0.00					
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	0.00	0.00					
•	0.00	0.00					
Other Disbursements (Including Non-Federal Donations)	0.00	0.00					
,	4 4	0.00					
Federal Election Activity (52 U.S.C. § 30101(2) (a) Allocated Federal Election Activity	20))						
(from Schedule H6)							
(i) Federal Share	0.00	0.00					
(ii) "Levin" Share	0.00	0.00					
(b) Federal Election Activity Paid Entirely With Federal Funds	200	0.00					
(c) Total Federal Election Activity (add	0.00	0.00					
Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00					
Total Disbursements (add Lines 21(c), 22,							
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	13.35	13.35					
Total Federal Disbursements		, , , , , , , , , , , , , , , , , , ,					
(subtract Line 21(a)(ii) and Line 30(a)(ii)							
from Line 31)	13.35	13.35					

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016) III. Net Contributions/

Operating Expenditures

(from Line 11(d), page 3)

(from Line 28(d)).....

(subtract Line 34 from Line 33)

(add Line 21(a)(i) and Line 21(b))▶

(from Line 15, page 3).....

(subtract Line 37 from Line 36)

33. Total Contributions (other than loans)

35. Net Contributions (other than loans)

36. Total Federal Operating Expenditures

37. Offsets to Operating Expenditures

38. Net Operating Expenditures

34. Total Contribution Refunds

Page 5 **COLUMN A** COLUMN B **Total This Period** Calendar Year-to-Date 59077.69 59077.69 0.00 0.00 59077.69 59077.69 13.35 13.35 0.00 0.00

13.35

13.35

Primary

Other (specify)

General

SCHEDULE A (FEC Form 3X)

23 FOR LINE NUMBER: **PAGE** 6 OF Use separate schedule(s) (check only one) ITEMIZED RECEIPTS for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bennes, Robert, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2019 City Zip Code State Transaction ID: A2019-277505 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 221.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President CFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 221.90 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bluth, Thomas, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2019 Bldg A City State Zip Code Transaction ID : A2019-277643 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 416.67 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Breitschwerdt, Otto, , , Date of Receipt Mailing Address 100 NE Adams 2019 City Zip Code State Transaction ID: A2019-277655 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 228.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution Svcs Reg Mgr Receipt For: Aggregate Year-to-Date ▼

										 _
SUBTOTAL of Receipts This Page (optional)				Ī				86	7.07	
TOTAL This Period (last page this line number only)	_	_	-	Ξ	_	-	_	Ξ	-	
										1

228.50

: 97 A = G7 9 @ G5 B9 CI G'H9 LHF9 @ 5 H98 'HC '5 F9 DCF HZ G7 < 98 I @ 'CF' + H9 A = N5 H= CB

Form/Schedule: SA11AI

Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule: Transaction ID:

FOR LINE NUMBER: PAGE Use separate schedule(s) (check only one) **X** 11a 11b 11c

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8 OF

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carter, Janda, , , Date of Receipt Mailing Address 100 NE Adams 2019 City Zip Code State Transaction ID: A2019-277880 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 202.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 202.23 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Cole, Keri, , , Date of Receipt Mailing Address 1600 Progress Drive 2019 P.O. Box 1037 City State Zip Code Transaction ID : A2019-277852 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 416.67 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Eastwood, Patricia, , , Date of Receipt Mailing Address 2120 West End Avenue 2019 P O Box 340001 City State Zip Code Transaction ID: A2019-278016 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 229.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 229.80 Other (specify) 848.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 9 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ferguson, Steven, M,, Date of Receipt Mailing Address 6800 Doerr Lane 2019 City Zip Code State Transaction ID: A2019-277376 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Frake, Thomas, G, , Date of Receipt Mailing Address 100 NE Adams 2019 City State Zip Code Transaction ID : A2019-277663 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 416.67 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Girard, Kristin, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 2019 PO Box 348 City State Zip Code Transaction ID: A2019-278275 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. WW Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) 1250.01 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

23 FOR LINE NUMBER: PAGE 10 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name High, Lance, , , Date of Receipt Mailing Address 100 NE Adams 2019 City Zip Code State Transaction ID: A2019-277648 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Johnson, Alicia, , , Date of Receipt Mailing Address 510 Lake Cook Road 2019 City State Zip Code Transaction ID : A2019-277165 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 208.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Segment HR Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 208.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Johnson, Cheryl, , , Date of Receipt Mailing Address 510 Lake Cook Road 2019 City State Zip Code Transaction ID: A2019-278263 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 595.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Human Resources Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 595.23 Other (specify) 1220.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

23 FOR LINE NUMBER: PAGE 11 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Craig, , , Date of Receipt Mailing Address 100 NE Adams 2019 City Zip Code State Transaction ID: A2019-277993 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Johnson, Denise, , , Date of Receipt Mailing Address 510 Lake Cook Road 2019 City State Zip Code **Transaction ID: A2019-277959** Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Group President** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 416.67 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Karol, Kathryn, , , Date of Receipt Mailing Address Suite 400 2019 1425 K Street City Zip Code State Transaction ID: A2019-278211 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) 1250.01 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

23 FOR LINE NUMBER: PAGE 12 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kibby, Steven, , , Date of Receipt Mailing Address 200 NE Adams Street 2019 City Zip Code State Transaction ID: A2019-277474 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 224.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 224.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Kozlowski, Patrick, , , Date of Receipt Mailing Address 501 SW Jefferson 2019 City State Zip Code Transaction ID : A2019-277562 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 222.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. CI Digital Retail Strategy Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 222.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Lagacy, Julie, , , Date of Receipt Mailing Address 100 NE Adams 2019 City State Zip Code Transaction ID: A2019-277530 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) 863.75 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

23 FOR LINE NUMBER: PAGE 13 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Long, Suzette, , , Date of Receipt Mailing Address 510 Lake Cook Road 2019 City Zip Code State Transaction ID: A2019-277735 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing C 696.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Counsel-Corp Secretary Receipt For: Aggregate Year-to-Date ▼ Primary General 696.42 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Maples, Gregory, , , Date of Receipt Mailing Address 2673 Bethsaida Rd. 2019 City State Zip Code Transaction ID : A2019-277109 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing 67.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Analyst I Financial Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 203.13 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McCartney, Sandra, , , Date of Receipt Mailing Address 100 Tractor Drive 2019 **Building CV** City State Zip Code Transaction ID: A2019-277425 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 207.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 207.51 Other (specify) 971.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

23 FOR LINE NUMBER: PAGE 14 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McGuire, Michael, A,, Date of Receipt Mailing Address 100 NE Adams 2019 City Zip Code State Transaction ID: A2019-277704 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Milman, Kelsey, , , Date of Receipt Mailing Address 100 NE Adams 2019 City State Zip Code Transaction ID : A2019-277347 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 416.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Myers, Christopher, , , Date of Receipt Mailing Address Suite 400 2019 1425 K Street City Zip Code State Transaction ID: A2019-277842 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 295.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Federal Govt. Affairs Director Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 295.53 Other (specify) 1128.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

23 FOR LINE NUMBER: PAGE 15 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name O'Neal, Edward, A, , Date of Receipt Mailing Address 2540 Bruce Rd. 2019 City Zip Code State Transaction ID: A2019-277103 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing C 85.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Health & Safety **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 255.57 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. O'Neil, Edwin, , , Date of Receipt Mailing Address 100 Tractor Drive 2019 **Building CV** City State Zip Code Transaction ID : A2019-277481 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Earthmoving Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Paternoga, Robert, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2019 PO Box 610 City State Zip Code Transaction ID : A2019-277681 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Reman Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) 751.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

23 FOR LINE NUMBER: PAGE 16 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pellette, Thomas, , , Date of Receipt Mailing Address 510 Lake Cook Road 2019 City Zip Code State Transaction ID: A2019-278173 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Group President** Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Petterson, Douglas, , , Date of Receipt Mailing Address 954 NC Highway 42 East 2019 City State Zip Code **Transaction ID: A2019-277429** NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager BCP Loaders Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 416.67 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Quigg, James, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2019 PO Box 610 City State Zip Code Transaction ID : A2019-277391 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Product Source Plng. & RE Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) 1250.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rooney, James, , , Date of Receipt Mailing Address 100 NE Adams 2019 City Zip Code State Transaction ID: A2019-277181 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sage, Jason, , , Date of Receipt Mailing Address 400 Regency Forest Drive 2019 Suite 400 City State Zip Code Transaction ID : A2019-277315 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing 222.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 222.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Savage, E Jean, , , Date of Receipt Mailing Address 97 E. Congress St. 2019 City State Zip Code Transaction ID: A2019-277766 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing C 458.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 458.40 Other (specify) 1097.75 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Schwarzentraub, Barbara, , , Date of Receipt Mailing Address 100 NE Adams 2019 City Zip Code State Transaction ID: A2019-277364 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Seely, Mary, , , Date of Receipt Mailing Address 97 E. Congress St. 2019 City State Zip Code Transaction ID: A2019-277248 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing 224.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 224.03 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Shurman, Rodney, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2019 Suite 4000 City State Zip Code Transaction ID : A2019-277407 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 262.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Oil & Gas Receipt For: Aggregate Year-to-Date ▼ Primary General 262.56 Other (specify) 903.26 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Smith, Mary, , , Date of Receipt Mailing Address 3101 Bethsaida Rd 2019 City Zip Code State Transaction ID: A2019-277081 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing C 112.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 224.62 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Smith, Mary, , , Date of Receipt Mailing Address 3101 Bethsaida Rd 2019 City State Zip Code Transaction ID : A2019-277108 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing 112.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 336.93 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Steiner, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 2019 City State Zip Code Transaction ID: A2019-277160 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) 641.29 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stratton, Mark, , , Date of Receipt Mailing Address 1720 W Kingsbury 2019 City Zip Code State Transaction ID: A2019-277550 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **GM IPSD Large Engines** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stroup, Dana, , , Date of Receipt Mailing Address 100 Tractor Drive 2019 **Building CV** City State Zip Code Transaction ID : A2019-278111 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 206.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager III Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 206.89 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Thompson, Robert, , , Date of Receipt Mailing Address 2120 West End Avenue 2019 P O Box 340001 City State Zip Code Transaction ID: A2019-277666 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 435.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. President Insurance Services Receipt For: Aggregate Year-to-Date ▼ Primary General 435.00 Other (specify) 1058.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Umpleby, Donald, , , III Date of Receipt Mailing Address 100 NE Adams 31 2019 City Zip Code State Transaction ID: A2019-277141 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Executive Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Utley, Tana, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2019 City State Zip Code Transaction ID : A2019-277513 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 416.67 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Walters, Thomas, , , Date of Receipt Mailing Address 600 S. Second Street 2019 Suite 101 City State Zip Code Transaction ID: A2019-277196 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing 215.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US State & Americas GGCA Dir. Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 215.00 Other (specify) 1048.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Walton, David, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2019 City Zip Code State Transaction ID: A2019-277382 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 358.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 358.85 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Walton, Jay, , , Date of Receipt Mailing Address 100 NE Adams 2019 City State Zip Code **Transaction ID: A2019-277679** IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 625.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Caterpillar Defense** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 625.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Weiss, Karl, , , Date of Receipt Mailing Address 100 Tractor Drive 2019 **Building CV** City State Zip Code Transaction ID: A2019-278270 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) 1400.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wolbert, Robert, , , Date of Receipt Mailing Address 3570 Granite Way 2019 City Zip Code State Transaction ID: A2019-277095 GA Martinez 30907-8974 Amount of Each Receipt this Period FEC ID number of contributing C 75.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 225.57 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Younessi, Ramin, , , Date of Receipt Mailing Address 510 Lake Cook Road 2019 City State Zip Code Transaction ID : A2019-278225 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Group President** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 416.67 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Zmugg, Leslie, , , Date of Receipt Mailing Address 2120 West End Avenue 2019 P O Box 340001 City Zip Code State Transaction ID: A2019-277672 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) 908.53 SUBTOTAL of Receipts This Page (optional)..... 17459.73 TOTAL This Period (last page this line number only).....