

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF  
COMMITTEE (in full)**USE FEC MAILING LABEL  
OR TYPE OR PRINT**Example: If typing, type  
over the lines

E\*TRADE Financial Corporation PAC

ADDRESS (number and street)

671 North Glebe Road

Ballston Tower

☐Check if different  
than previously  
reported. (ACC)

Arlington

VA

22203

2. **FEC IDENTIFICATION NUMBER**

CITY

STATE

ZIP CODE

C00356345

3. IS THIS  
REPORT☒NEW  
(N)

OR

☐AMENDED  
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

☐April 15  
Quarterly Report(Q1)☐July 15  
Quarterly Report(Q2)☐October 15  
Quarterly Report(Q3)☐January 31  
Quarterly Report(YE)☐July 31 Mid-Year  
Report(Non-election  
Year Only) (MY)☐Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐

Feb 20 (M2)

☐

May 20 (M5)

☐

Aug 20 (M8)

☐Nov 20 (M11)  
(Non-Election  
Year Only)☐

Mar 20 (M3)

☐

Jun 20 (M6)

☐

Sep 20 (M9)

☐Dec 20 (M12)  
(Non-Election  
Year Only)☐

Apr 20 (M4)

☐

Jul 20 (M7)

☒

Oct 20 (M10)

☐

Jan 31 (YE)

(c) 12-Day  
PRE-Election  
Report for the:☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12G)

Election on

in the  
State of(d) 30-Day  
Post -Election  
Report for the:☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the  
State of

5. Covering Period

09

01

2007

through

09

30

2007

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Jane Gelman

Signature of Treasurer

Electronically Filed by Jane Gelman

Date

10

18

2007

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office  
Use  
Only**FEC FORM 3X**  
(Rev. 02/2003)

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

E\*TRADE Financial Corporation PAC

Report Covering the Period:

From:

M	M	D	D	Y	Y	Y	Y
0	9	0	1	2	0	0	7

To:

M	M	D	D	Y	Y	Y	Y
0	9	3	0	2	0	0	7

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 <span>Y Y Y Y 2007</span>		153859.73
(b) Cash on Hand at Beginning of Reporting Period .....	231869.11	
(c) Total Receipts (from Line 19) .....	8849.67	96859.05
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) .....	240718.78	250718.78
7. Total Disbursements (from Line 31) .....	0.00	10000.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	240718.78	240718.78
9. Debts and Obligations owed <b>TO</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	
10. Debts and Obligations owed <b>BY</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	

☒ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE OF RECEIPTS**

FEC Form 3X (Rev. 02/2003)

Page 3

Write or Type Committee Name

E\*TRADE Financial Corporation PAC

Report Covering the Period:

From:

M	M	D	D	Y	Y	Y	Y
0	9	0	1	2	0	0	7

To:

M	M	D	D	Y	Y	Y	Y
0	9	3	0	2	0	0	7

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees	8497.36	84790.50
(i) Itemized (use Schedule A) .....		
(ii) Unitemized .....	197.70	10886.00
(iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ➡	8695.06	95676.50
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs) .....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b) and (c)) (Carry Totals to Line 33, page 5) ..... ➡	8695.06	95676.50
12. Transfers From Affiliated/Other Party Committees .....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received .....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) .....	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees .....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.) .....	154.61	1182.55
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....	8849.67	96859.05
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	8849.67	96859.05

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. DISBURSEMENTS		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:			
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		0.00	0.00
(i) Federal Share.....			
(ii) Non-Federal Share.....		0.00	0.00
(b) Other Federal Operating Expenditures.....		0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)).....		0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....		0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....		0.00	10000.00
24. Independent Expenditure (use Schedule E) .....		0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....		0.00	0.00
26. Loan Repayments Made.....		0.00	0.00
27. Loans Made.....		0.00	0.00
28. Refunds of Contributions To:			
(a) Individuals/Persons Other Than Political Committees .....		0.00	0.00
(b) Political Party Committees		0.00	0.00
(c) Other Political Committees (such as PACs) .....		0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) .....		0.00	0.00
29. Other Disbursements.....		0.00	0.00
30. Federal Election Activity (2 U.S.C 431(20))			
(a) Shared Federal Election Activity (from Schedule H6)			
(i) Federal Share .....		0.00	0.00
(ii) "Levin" Share .....		0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....		0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....		0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..		0.00	10000.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30(a)(ii) from Line 31).....		0.00	10000.00

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3) .....	8695.06	95676.50
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	8695.06	95676.50
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3) .....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	0.00	0.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 29

(check only one)

☐ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☒ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

**A.**

Full Name (Last, First, Middle Initial)

E\*Trade Bank

Mailing Address PO Box 1542

City

Merrifield

State

VA

Zip Code

22110-9949

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ ☐

Primary

☐

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1182.55

Date of Receipt

M M / D D / Y Y Y Y  
0 9 / 3 0 / 2 0 0 7

Transaction ID: 14643163

Amount of Each Receipt this Period

154.61

Bank Interest Received

**SUBTOTAL** of Receipts This Page (optional) .....

154.61

**TOTAL** This Period (last page this line number only) .....

154.61

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

**A.** Full Name (Last, First, Middle Initial)  
Allison Jeannotte

Mailing Address 60 State Street

City State Zip Code  
 Boston MA 02109

FEC ID number of contributing federal political committee.

C

Name of Employer  
ETrade Finance CorpOccupation  
Senior Corp Comm Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1005718119622

Amount of Each Receipt this Period

30.00

P/R Deduction (\$15.00 Bi-Weekly)

**B.** Full Name (Last, First, Middle Initial)  
Charles Leonard

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*TRADE BankOccupation  
Senior Mgr, Customer Service

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1012220819622

Amount of Each Receipt this Period

30.00

P/R Deduction (\$15.00 Bi-Weekly)

**C.** Full Name (Last, First, Middle Initial)  
David Douglass

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*TRADE Capital HoldingsOccupation  
Sr Mgr, Brokerage Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1016861419622

Amount of Each Receipt this Period

30.00

P/R Deduction (\$15.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

90.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 8 / 29

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

**A.** Alison Mittelstadt

Mailing Address 671 North Glebe Rd.

City

Arlington

State

VA

Zip Code

22203

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Capital Holdings

Occupation

Sr Director, Marketing

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

780.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1030171919622

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**B.** Randall Brown

Mailing Address 4005 Windward Plaza Drive

City

Alpharetta

State

GA

Zip Code

30005

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Financial Corp.

Occupation

Director, Network & Systems Admin.

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

780.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045599619622

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**C.** Russell Ross

Mailing Address 4500 Bohannon Drive

City

Menlo Park

State

CA

Zip Code

94025

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Financial Corp.

Occupation

Director, Corporate Info Security

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045600019622

Amount of Each Receipt this Period

50.00

P/R Deduction (\$25.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

206.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Daniel Howe		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1045600419622
Mailing Address 440 South LaSalle		
City Chicago	State IL	Zip Code 60605
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 269.24
Name of Employer E*TRADE Brokerage Holdings	Occupation EVP, Retail	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 2692.40	
		P/R Deduction (\$134.62 Bi-Weekly)

<b>B.</b> Full Name (Last, First, Middle Initial) Adam Townsend		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1045600619622
Mailing Address 10951 White Rock Road		
City Rancho Cordova	State CA	Zip Code 95670
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 154.00
Name of Employer E*TRADE Financial Corp.	Occupation SVP, Investor Relations	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1540.00	
		P/R Deduction (\$77.00 Bi-Weekly)

<b>C.</b> Full Name (Last, First, Middle Initial) Steve Lingeman		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1045600819622
Mailing Address 671 North Glebe Road		
City Arlington	State VA	Zip Code 22203
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 78.00
Name of Employer E*TRADE Financial Corp	Occupation Director, Software Engineering	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 780.00	
		P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

501.24

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

**A.** Amit Banerjee

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Financial Corp.

Occupation  
VP, Software Engineering

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1540.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045600919622

Amount of Each Receipt this Period

154.00

P/R Deduction (\$77.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**B.** Karen Wall

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Bank

Occupation  
VP, Human Resources

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

780.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045607619622

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**C.** Michael Murphy

Mailing Address 135 E. 57th Street

City State Zip Code  
 New York NY 10022

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Securities, LLC

Occupation  
VP, Relationship Mgmt.

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

780.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1055089319622

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

310.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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FOR LINE NUMBER: PAGE 11 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Edouard Dejoux Mailing Address 135 East 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities, LLC Occupation SVP, Global Trading Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1540.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1067673019622 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Nicholas Utton Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corporation Occupation Chief Marketing Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3846.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1078579119622 Amount of Each Receipt this Period 384.60 P/R Deduction (\$192.30 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Roderick Mikus Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation Director, Relationship Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 780.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1083003719622 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

616.60

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) John Matos Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation Director, Product Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 780.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1083003819622 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) David Poole Mailing Address 4005 Windward Plaza Drive City State Zip Code Alpharetta GA 30005 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Securities LLC Occupation Senior Mgr, Corp. Relationship Mgmt. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1083003919622 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Ardwin Droessler Mailing Address 440 South LaSalle City State Zip Code Chicago IL 60605 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE--Dempsey Occupation Director, Trading Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 780.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1088490819622 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

186.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Christine Wolf Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corporation Occupation EVP, Human Resources Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1540.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1090244519622 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Paul Halpern Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer ETrade Financial Corporation Occupation SVP, Bank Marketing Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1540.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1142911319622 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Kevin Delo Mailing Address 4500 Bohannon Drive City Menlo Park State CA Zip Code 94025 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Securities LLC Occupation Director, Retail Product Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 780.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1179644519622 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**386.00**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Anthony Altobelli Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Securities LLC Occupation Dir. Relationship Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General Aggregate Year-to-Date 780.00 <input type="checkbox"/> Other (specify) ▼			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR122287719622 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Lea Stendahl Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corpora-tion Occupation Director, Active Trader Mktg. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General Aggregate Year-to-Date 780.00 <input type="checkbox"/> Other (specify) ▼			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1253490119622 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Craig Burton Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corpora-tion Occupation Director, Financial Planning and Analy Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General Aggregate Year-to-Date 780.00 <input type="checkbox"/> Other (specify) ▼			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1271748219622 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

234.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Powell Jones Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corporation Occupation Director, Metrics and Reporting Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 780.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1271748419622 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) David Goldberg Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corporation Occupation Director, Business Analysis Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 780.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1271748519622 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Mitchell Caplan Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation CEO Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3846.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR408625119622 Amount of Each Receipt this Period 384.60 P/R Deduction (\$192.30 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

540.60

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

A. Arlen W. Gelbard

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*TRADE BankOccupation  
President, E\*TRADE Bank

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3846.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 / /

Transaction ID: PR408625619622

Amount of Each Receipt this Period

384.60

P/R Deduction (\$192.30 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. Robert Lilien

Mailing Address 135 E. 57th Street

City State Zip Code  
 New York NY 10022

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*Trade Financial Corp.Occupation  
President & COO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3846.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 / /

Transaction ID: PR408626119622

Amount of Each Receipt this Period

384.60

P/R Deduction (\$192.30 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. Shane O. Mulron

Mailing Address 10951 White Rock Road

City State Zip Code  
 Rancho Cardova CA 95670

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*TRADE Brokerage HoldingsOccupation  
EVP, Retail Finance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2700.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 / /

Transaction ID: PR408626419622

Amount of Each Receipt this Period

270.00

P/R Deduction (\$135.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

1039.20

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Connie Dotson Mailing Address 10951 White Rock Road City Rancho Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation Chief Communications Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3820.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR540115719622 Amount of Each Receipt this Period 382.00 P/R Deduction (\$191.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Todd Mackay Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation Mg Director, Corp. Dev. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2680.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR540117019622 Amount of Each Receipt this Period 268.00 P/R Deduction (\$134.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Curtis Smith Mailing Address 1650 Union Hill Road City Alpharetta State GA Zip Code 30005 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation SVP, Global Infrastructure Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1540.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR540118519622 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**804.00**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Lee Thompson Mailing Address 111 Congress Avenue City Austin State TX Zip Code 78701 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation VP, Chief Technologist Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 780.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR540118819622 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Christopher V. Reyes Mailing Address 10951 White Rock Road City Rancho Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Brokerage Holdings Occupation Business Mgr, Regulatory Reporting Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR559347619622 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Jonathan K. Law Mailing Address 4500 Bohannon Drive City Menlo Park State CA Zip Code 94025 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation Business Mgr, Software Engineering Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR650307419622 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**138.00**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 19 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Jane Gelman Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation VP, Administrative Services Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 780.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR673132019622 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Greg Framke Mailing Address 535 Madison Ave 35th Floor City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation Mg Director, CIO Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2700.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR799168119622 Amount of Each Receipt this Period 270.00 P/R Deduction (\$135.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Kenneth Marienau Mailing Address 4005 Windward Plaza Drive City State Zip Code Alpharetta GA 30005 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation VP, General Services Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1540.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR799168219622 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

502.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

**A.** Full Name (Last, First, Middle Initial)  
Matthew J. Audette  
Mailing Address 671 North Glebe Road

City State Zip Code  
Arlington VA 22203

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Bank

Occupation  
EVP, Corp. Controller

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3092.40

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR799168419622

Amount of Each Receipt this Period

309.24

P/R Deduction (\$154.62 Bi-Weekly)

**B.** Full Name (Last, First, Middle Initial)  
Michael Curcio  
Mailing Address 135 East 57th St. 31st Floor

City State Zip Code  
New York NY 10022

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Securities LLC

Occupation  
Mg Director, Global Retail

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2692.40

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR799168619622

Amount of Each Receipt this Period

269.24

P/R Deduction (\$134.62 Bi-Weekly)

**C.** Full Name (Last, First, Middle Initial)  
Dennis E. Webb  
Mailing Address 671 North Glebe Road

City State Zip Code  
Arlington VA 22203

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Global Asset Mgmt,  
Inc.

Occupation  
President, Capital Markets

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1540.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR799168819622

Amount of Each Receipt this Period

154.00

P/R Deduction (\$77.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

732.48

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 21 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Robert Simmons Mailing Address 10951 White Rock Road City Rancho Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation Chief Financial Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3800.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR799169019622 Amount of Each Receipt this Period 380.00 P/R Deduction (\$190.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Lance Ullom Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Global Asset Mgmt Occupation EVP, Fixed Income Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1540.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR946040719622 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Hartley Caldwell Mailing Address 1650 Union Hill Road City Alpharetta State GA Zip Code 30005 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corp. Occupation VP, Re-Engineering Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1540.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR950171219622 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**688.00**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 22 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Matthew Geary Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Bank Occupation EVP, Risk & Credit Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2692.40		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR950171319622 Amount of Each Receipt this Period 269.24 P/R Deduction (\$134.62 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Eric Seasholtz Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Global Asset Mgmt. Inc. Occupation VP, Mortgage Portfolio Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 780.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR950172719622 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Michael Klena Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation SVP, Investing Ops Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1540.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR950173019622 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

501.24

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Christopher Larkin Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation VP, Active Trader Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1135.00		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950173119622 Amount of Each Receipt this Period 100.00 P/R Deduction (\$50.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Lori Smith Mailing Address 10951 White Rock Road City State Zip Code Rancho Cordova CA 95670 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corp. Occupation Manager, Communications Center Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950173419622 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) W Chris Staab Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Global Asset Mgmt Occupation Director, Credit Cards Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 780.00		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950173619622 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

208.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Paul Vienick Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation SVP, Prodcut Dev. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1540.00		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950173719622 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Lisa Romano Mailing Address 4005 Windward Plaza Drive City State Zip Code Alpharetta GA 30005 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corp. Occupation Manager, Procurement Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR956826819622 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Francisco Gutierrez Mailing Address 4500 Bohannon Drive City State Zip Code Menlo Park CA 94025 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer ETrade Finance Corp Occupation Sr Manager, Software Engineer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR956827419622 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

214.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 25 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Michael Schoenberger Mailing Address 4005 Windward Plaza Drive City State Zip Code Alpharetta GA 30005 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities, LLC Occupation Senior Mgr, Relationship Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR956828319622 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Joseph Corso Mailing Address 440 S. LaSalle St. Suite 3030 City State Zip Code Chicago IL 60605 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE GVR Occupation Manager, Trading Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 500.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR971302019622 Amount of Each Receipt this Period 50.00 P/R Deduction (\$25.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Noel Dalzell Mailing Address 440 S. LaSalle St. City State Zip Code Chicago IL 60605 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE--GVR Occupation Director, Trading Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 780.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR971302119622 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶		158.00
<b>TOTAL</b> This Period (last page this line number only) ..... ▶		

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Colleen Ryan Mailing Address 440 S. LaSalle St. Suite 3030 City Chicago State IL Zip Code 60605 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE GVR Occupation Director, ETCM Trading Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 500.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR971302419622 Amount of Each Receipt this Period 50.00 P/R Deduction (\$25.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) June Batcheller Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer ETrade Capitol Holdings Occupation Director, Compliance Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR971302619622 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Kris Simpson Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Bank Occupation Attorney Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR971303319622 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

110.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Christopher Amato Mailing Address 440 S. LaSalle Street City State Zip Code Chicago IL 60605 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE-GVR Occupation Senior Mgr, Trading Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR971303519622 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Eric Tassell Mailing Address 9255 Town Center Drive City State Zip Code San Diego CA 92121 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities, LLC Occupation Senior Mgr, Retail Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR971303919622 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Ken Elder Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Global Asset Mgmt Occupation Sr. Portfolio Manager Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR971304019622 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

90.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Marco Pellini Mailing Address 440 South LaSalle City Chicago State IL Zip Code 60605 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE--Dempsey Occupation VP, Trading Ops Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 400.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR971304119622 Amount of Each Receipt this Period 40.00 P/R Deduction (\$20.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Michael Alpert Mailing Address 671 North Glebe Rd. City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation Director, Corp Dev. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 540.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR971304419622 Amount of Each Receipt this Period 54.00 P/R Deduction (\$27.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Heather Carroll-Cox Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Bank Occupation VP, Retail Banking Ops Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 780.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR971304519622 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

172.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 29 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

**A.** Full Name (Last, First, Middle Initial)  
Adam McChane  
Mailing Address 4500 Bohannon Drive

City State Zip Code  
Menlo Park CA 94025

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Financial Corp.

Occupation  
Executive Producer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971304819622

Amount of Each Receipt this Period

30.00

P/R Deduction (\$15.00 Bi-Weekly)

**B.** Full Name (Last, First, Middle Initial)  
Dirk Wyckoff  
Mailing Address 671 North Glebe Road

City State Zip Code  
Arlington VA 22203

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Bank

Occupation  
Director, Ass't Controller

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971305019622

Amount of Each Receipt this Period

40.00

P/R Deduction (\$20.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

70.00

**TOTAL** This Period (last page this line number only) .....

8497.36