

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines. **12FE4M5**
The Caterpillar Inc. Employee Political Action Committee

ADDRESS (number and street) 100 N.E. Adams Street
Peoria IL 61629-1430

Check if different than previously reported. (ACC)

2. FEC IDENTIFICATION NUMBER ▼ CITY ▲ STATE ▲ ZIP CODE ▲
C C00148031 3. IS THIS REPORT NEW (N) OR AMENDED (A)

4. TYPE OF REPORT (Choose One)
(a) Quarterly Reports:
 April 15 Quarterly Report (Q1)
 July 15 Quarterly Report (Q2)
 October 15 Quarterly Report (Q3)
 January 31 Year-End Report (YE)
 July 31 Mid-Year Report (Non-election Year Only) (MY)
 Termination Report (TER)
(b) Monthly Report Due On:
 Feb 20 (M2) May 20 (M5) Aug 20 (M8) Nov 20 (M11) (Non-Election Year Only)
 Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) Dec 20 (M12) (Non-Election Year Only)
 Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (YE)
(c) 12-Day PRE-Election Report for the:
 Primary (12P) General (12G) Runoff (12R)
 Convention (12C) Special (12S)
Election on [MM] / [DD] / [YYYY] in the State of []
(d) 30-Day POST-Election Report for the:
 General (30G) Runoff (30R) Special (30S)
Election on [MM] / [DD] / [YYYY] in the State of []

5. Covering Period [01] / [01] / [2017] through [01] / [31] / [2017]

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.
Cordani, Benjamin, , ,
Type or Print Name of Treasurer

Signature of Treasurer Cordani, Benjamin, , , [Electronically Filed] Date [02] / [17] / [2017]

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 52 U.S.C. § 30109.

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: / / To: / /

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <input type="text" value="2017"/>	<input type="text" value="362537.41"/>	<input type="text" value="362537.41"/>
(b) Cash on Hand at Beginning of Reporting Period.....	<input type="text" value="362537.41"/>	
(c) Total Receipts (from Line 19)	<input type="text" value="66582.68"/>	<input type="text" value="66582.68"/>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<input type="text" value="429120.09"/>	<input type="text" value="429120.09"/>
7. Total Disbursements (from Line 31).....	<input type="text" value="-2986.35"/>	<input type="text" value="-2986.35"/>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	<input type="text" value="432106.44"/>	<input type="text" value="432106.44"/>
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	<input type="text" value="0.00"/>	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	<input type="text" value="0.00"/>	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 05/2016)

Page 3

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: M M / D D / Y Y Y Y 01 / 01 / 2017 To: M M / D D / Y Y Y Y 01 / 31 / 2017

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	20697.71	20697.71
(ii) Unitemized	45881.88	45881.88
(iii) TOTAL (add Lines 11(a)(i) and (ii)).....▶	66579.59	66579.59
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)	66579.59	66579.59
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	3.09	3.09
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)).....▶	66582.68	66582.68
20. Total Federal Receipts (subtract Line 18(c) from Line 19).....▶	66582.68	66582.68

DETAILED SUMMARY PAGE
of Disbursements

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	13.65	13.65
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	13.65	13.65
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	0.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (52 U.S.C. § 30116(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements (Including Non-Federal Donations).....	-3000.00	-3000.00
30. Federal Election Activity (52 U.S.C. § 30101(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	-2986.35	-2986.35
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	-2986.35	-2986.35

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 5

III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	66579.59	66579.59
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	66579.59	66579.59
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	13.65	13.65
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)	13.65	13.65

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 6 OF 25
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name A. Adams, Kent, , ,		Date of Receipt MM / DD / YYYY 01 / 31 / 2017 Transaction ID : A2017-140133
Mailing Address 2120 West End Avenue P O Box 340001		Amount of Each Receipt this Period 416.67
City Nashville	State TN	Zip Code 37203
FEC ID number of contributing federal political committee. C		<input type="checkbox"/> Memo Item
Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) Vice President	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 416.67	

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Bluth, Thomas, , ,		Date of Receipt MM / DD / YYYY 01 / 31 / 2017 Transaction ID : A2017-139640
Mailing Address 100 NE Adams		Amount of Each Receipt this Period 416.67
City Peoria	State IL	Zip Code 61629
FEC ID number of contributing federal political committee. C		<input type="checkbox"/> Memo Item
Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) Vice President	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 416.67	

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name C. Breitschwerdt, Otto, , ,		Date of Receipt MM / DD / YYYY 01 / 31 / 2017 Transaction ID : A2017-139649
Mailing Address 400 Regency Forest Drive Suite 400		Amount of Each Receipt this Period 211.53
City Cary	State NC	Zip Code 27518
FEC ID number of contributing federal political committee. C		<input type="checkbox"/> Memo Item
Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) Director Supply Chain Mgmt.	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Aggregate Year-to-Date ▼ 211.53	

SUBTOTAL of Receipts This Page (optional).....	1044.87
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 7 OF 25
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Buda, James, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Exec. VP Law & Public Policy
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-140315
 Amount of Each Receipt this Period
 416.67
 Memo Item

B. Carr, Michael, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 501 SW Jefferson
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Sr. Business Resource Manager
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 416.66

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-139566
 Amount of Each Receipt this Period
 416.66
 Memo Item

C. Crespo, Frank, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 12301 Old Galena Road
 City Mossville State IL Zip Code 61552
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Vice President
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-139983
 Amount of Each Receipt this Period
 416.67
 Memo Item

SUBTOTAL of Receipts This Page (optional).....	1250.00
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER:	PAGE 8 OF 25
	(check only one)	
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 12
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. DeWalt, Michael, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Vice President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-140401
 Amount of Each Receipt this Period
 416.67
 Memo Item

B. Duensing, James, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 2120 West End Avenue
 P O Box 340001
 City Nashville State TN Zip Code 37203
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Executive VP / CFO
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-140327
 Amount of Each Receipt this Period
 416.67
 Memo Item

C. Eastwood, Patricia, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 2120 West End Avenue
 P O Box 340001
 City Nashville State TN Zip Code 37203
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Senior Corporate Counsel
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 218.21

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-140489
 Amount of Each Receipt this Period
 218.21
 Memo Item

SUBTOTAL of Receipts This Page (optional).....▶ 1051.55
TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 9 OF 25
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Ferguson, Steven, M, ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 12301 Old Galena Road

City Mossville	State IL	Zip Code 61552
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FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) General MgrACS Operations
-------------------------------------------------------	----------------------------------------------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
416.67

Date of Receipt

M M	/	D D	/	Y Y Y Y
01		31		2017

Transaction ID : A2017-140154

Amount of Each Receipt this Period
416.67

Memo Item

B. Fetch, Bonnie, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 500 N Morton Ave

City Morton	State IL	Zip Code 61550
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FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) Dir. Cat Parts Distribution
-------------------------------------------------------	------------------------------------------------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
243.52

Date of Receipt

M M	/	D D	/	Y Y Y Y
01		31		2017

Transaction ID : A2017-139592

Amount of Each Receipt this Period
243.52

Memo Item

C. Folley, Gregory, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 100 Ne Adams

City Peoria	State IL	Zip Code 61629
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FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) Vice President
-------------------------------------------------------	-----------------------------------------------

Receipt For:
 Primary General
 Other (specify)

Aggregate Year-to-Date ▼
416.67

Date of Receipt

M M	/	D D	/	Y Y Y Y
01		31		2017

Transaction ID : A2017-140286

Amount of Each Receipt this Period
416.67

Memo Item

SUBTOTAL of Receipts This Page (optional).....	1076.86
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 10 OF 25
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Frake, Thomas, G, ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Vice President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-139657
 Amount of Each Receipt this Period
 416.67
 Memo Item

B. Girard, Kristin, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 325 Route 31 South (Caterpillar Dr PO Box 348)
 City Aurora State IL Zip Code 60507
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) WW Product Manager
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-140085
 Amount of Each Receipt this Period
 416.67
 Memo Item

C. Gorman, Gerald, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 2120 West End Avenue P O Box 340001
 City Nashville State TN Zip Code 37203
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Chief Information Officer
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-140351
 Amount of Each Receipt this Period
 416.67
 Memo Item

SUBTOTAL of Receipts This Page (optional).....	1250.01
TOTAL This Period (last page this line number only).....	

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 11 OF 25
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12	
	<input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Gryl, Timothy, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 500 N Morton Ave

City Morton	State IL	Zip Code 61550
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FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) Caterpillar Service Parts Mgr.
-------------------------------------------------------	---------------------------------------------------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
416.67

Date of Receipt

M M	/	D D	/	Y Y Y Y
01		31		2017

Transaction ID : A2017-140191

Amount of Each Receipt this Period
416.67

Memo Item

B. Halverson, Bradley, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 100 NE Adams

City Peoria	State IL	Zip Code 61629
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FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) Group President & CFO
-------------------------------------------------------	------------------------------------------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
416.67

Date of Receipt

M M	/	D D	/	Y Y Y Y
01		31		2017

Transaction ID : A2017-140380

Amount of Each Receipt this Period
416.67

Memo Item

C. Hassiepen, John, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 100 Ne Adams

City Peoria	State IL	Zip Code 61629
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FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) Senior Corporate Counsel
-------------------------------------------------------	---------------------------------------------------------

Receipt For:
 Primary General
 Other (specify)

Aggregate Year-to-Date ▼
226.71

Date of Receipt

M M	/	D D	/	Y Y Y Y
01		31		2017

Transaction ID : A2017-140371

Amount of Each Receipt this Period
226.71

Memo Item

SUBTOTAL of Receipts This Page (optional).....	1060.05
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 12 OF 25
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Henricks, Gwenne, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 14009 Old Galena Rd.
 Bldg A
 City Mossville State IL Zip Code 61552
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Vice President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-140346
 Amount of Each Receipt this Period
 416.67
 Memo Item

B. Johnson, Craig, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Deputy General Counsel
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-140463
 Amount of Each Receipt this Period
 416.67
 Memo Item

C. Johnson, Denise, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Group President
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-140000
 Amount of Each Receipt this Period
 416.67
 Memo Item

SUBTOTAL of Receipts This Page (optional).....	1250.01
TOTAL This Period (last page this line number only).....	

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 13 OF 25
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Karol, Kathryn, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address Suite 400
1425 K Street

City Washington State DC Zip Code 20005

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Vice President

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 416.67

Date of Receipt
MM / DD / YYYY
01 / 31 / 2017
Transaction ID : A2017-140040

Amount of Each Receipt this Period
416.67

Memo Item

B. Kauk, Zachary, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 100 NE Adams

City Peoria State IL Zip Code 61629

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Vice President

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 416.67

Date of Receipt
MM / DD / YYYY
01 / 31 / 2017
Transaction ID : A2017-140181

Amount of Each Receipt this Period
416.67

Memo Item

C. Kibby, Steven, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 100 NE Adams
No. 16 Chaoyangmenwai Ave

City Peoria State IL Zip Code 61629

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Senior Corporate Counsel

Receipt For: Primary General Other (specify)

Aggregate Year-to-Date ▼ 213.58

Date of Receipt
MM / DD / YYYY
01 / 31 / 2017
Transaction ID : A2017-140297

Amount of Each Receipt this Period
213.58

Memo Item

SUBTOTAL of Receipts This Page (optional)..... ▶ 1046.92

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 14 OF 25
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Kozlowski, Patrick, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 330 Sw Adams
 City Peoria State IL Zip Code 61602
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) BCP Innovation Manager
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 202.80

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-139554
 Amount of Each Receipt this Period
 202.80
 Memo Item

B. Lagacy, Julie, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Vice President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-140374
 Amount of Each Receipt this Period
 416.67
 Memo Item

C. McCallen, Douglas, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Rgnl/Group Financial Officer
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-140084
 Amount of Each Receipt this Period
 416.67
 Memo Item

SUBTOTAL of Receipts This Page (optional).....	1036.14
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 15 OF 25
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. McGuire, Michael, A, ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Deputy General Counsel
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-139741
 Amount of Each Receipt this Period
 416.67
 Memo Item

B. Milman, Kelsey, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Assistant General Counsel
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 237.64

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-140127
 Amount of Each Receipt this Period
 237.64
 Memo Item

C. Myers, Christopher, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address Suite 400
 1425 K Street
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Federal Govt. Affairs Director
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 279.24

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-139853
 Amount of Each Receipt this Period
 279.24
 Memo Item

SUBTOTAL of Receipts This Page (optional).....	933.55
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 16 OF 25
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. O'Neil, Edwin, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) GM Excavator Operations
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 250.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-140304
 Amount of Each Receipt this Period
 250.00
 Memo Item

B. Oberhelman, Douglas, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) CEO Chairman of the Board
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1333.34

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-140283
 Amount of Each Receipt this Period
 1333.34
 Memo Item

C. Paternoga, Robert, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 12301 Old Galena Road
 City Mossville State IL Zip Code 61552
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) General Manager Reman
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-139678
 Amount of Each Receipt this Period
 416.67
 Memo Item

SUBTOTAL of Receipts This Page (optional).....▶	2000.01
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 17 OF 25
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Pellette, Thomas, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Group President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-139694
 Amount of Each Receipt this Period
 416.67
 Memo Item

B. Petterson, Douglas, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address Highway 42 East P O Box 999
 City Clayton State NC Zip Code 27528
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) General Manager
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-140252
 Amount of Each Receipt this Period
 416.67
 Memo Item

C. Rooney, James, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Deputy General Counsel
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-140635
 Amount of Each Receipt this Period
 416.67
 Memo Item

SUBTOTAL of Receipts This Page (optional).....	1250.01
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 18 OF 25
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name A. Sage, Jason, , ,		Date of Receipt M M / D D / Y Y Y Y Y Y 01 / 31 / 2017 Transaction ID : A2017-140096
Mailing Address 400 Regency Forest Drive Suite 400		Amount of Each Receipt this Period 218.28
City Cary	State NC	Zip Code 27518
FEC ID number of contributing federal political committee. C		<input type="checkbox"/> Memo Item
Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) Lead Human Resources Mgr	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 218.28	

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Savage, E Jean, , ,		Date of Receipt M M / D D / Y Y Y Y Y Y 01 / 31 / 2017 Transaction ID : A2017-139925
Mailing Address 12301 Old Galena Road		Amount of Each Receipt this Period 416.07
City Mossville	State IL	Zip Code 61552
FEC ID number of contributing federal political committee. C		<input type="checkbox"/> Memo Item
Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) Vice President	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 416.07	

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name C. Schwarzentraub, Barbara, , ,		Date of Receipt M M / D D / Y Y Y Y Y Y 01 / 31 / 2017 Transaction ID : A2017-140143
Mailing Address 100 NE Adams		Amount of Each Receipt this Period 300.00
City Peoria	State IL	Zip Code 61629
FEC ID number of contributing federal political committee. C		<input type="checkbox"/> Memo Item
Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) GM ISCD Ops & Supply Chain	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Aggregate Year-to-Date ▼ 300.00	

SUBTOTAL of Receipts This Page (optional).....	934.35
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 19 OF 25
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name A. Seely, Mary, , ,		Date of Receipt MM / DD / YYYY 01 / 31 / 2017 Transaction ID : A2017-140390
Mailing Address 12301 Old Galena Road		Amount of Each Receipt this Period 212.95
City Mossville	State IL	Zip Code 61552
FEC ID number of contributing federal political committee. C		<input type="checkbox"/> Memo Item
Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) Lead Human Resources Mgr	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 212.95	

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Shurman, Rodney, , ,		Date of Receipt MM / DD / YYYY 01 / 31 / 2017 Transaction ID : A2017-140177
Mailing Address 3701 State Road 26 East		Amount of Each Receipt this Period 229.20
City Lafayette	State IN	Zip Code 47905
FEC ID number of contributing federal political committee. C		<input type="checkbox"/> Memo Item
Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) Product Manager	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 229.20	

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name C. Simison, Theodore, , ,		Date of Receipt MM / DD / YYYY 01 / 31 / 2017 Transaction ID : A2017-140318
Mailing Address 12301 Old Galena Road		Amount of Each Receipt this Period 416.67
City Mossville	State IL	Zip Code 61552
FEC ID number of contributing federal political committee. C		<input type="checkbox"/> Memo Item
Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) Sr. Business Resource Manager	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Aggregate Year-to-Date ▼ 416.67	

SUBTOTAL of Receipts This Page (optional).....▶	858.82
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 20 OF 25
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Skarvan, Dennis, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Deputy General Counsel
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-140593
 Amount of Each Receipt this Period
 416.67
 Memo Item

B. Steiner, Kevin, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Engineering Manager-1
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-140613
 Amount of Each Receipt this Period
 416.67
 Memo Item

C. Stiles, Sally, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Director of Global Tax & Trade
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-139579
 Amount of Each Receipt this Period
 416.67
 Memo Item

SUBTOTAL of Receipts This Page (optional).....	1250.01
TOTAL This Period (last page this line number only).....	

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 21 OF 25
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Stratton, Mark, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 100 NE Adams
Eastfield

City Peoria	State IL	Zip Code 61629
----------------	-------------	-------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) General Manager IPSD
-------------------------------------------------------	-----------------------------------------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
416.67

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
01		31		2017

Transaction ID : A2017-139540

Amount of Each Receipt this Period
416.67

Memo Item

B. Strong, Robert, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 27Th & Pershing Rd

City Decatur	State IL	Zip Code 62526
-----------------	-------------	-------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) WW Product Manager
-------------------------------------------------------	---------------------------------------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
230.55

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
01		31		2017

Transaction ID : A2017-140257

Amount of Each Receipt this Period
230.55

Memo Item

C. Thompson, Robert, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 2120 West End Avenue
P O Box 340001

City Nashville	State TN	Zip Code 37203
-------------------	-------------	-------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) President Insurance Services
-------------------------------------------------------	-------------------------------------------------------------

Receipt For:
 Primary General
 Other (specify)

Aggregate Year-to-Date ▼
435.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
01		31		2017

Transaction ID : A2017-139663

Amount of Each Receipt this Period
435.00

Memo Item

SUBTOTAL of Receipts This Page (optional).....	1082.22
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 22 OF 25
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Umpleby, Donald, , , III
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Chief Executive Officer
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-140596
 Amount of Each Receipt this Period
 416.67
 Memo Item

B. Utley, Tana, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address Route 29 & Old Galena Road Dock 55
 City Mossville State IL Zip Code 61552
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Vice President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-140345
 Amount of Each Receipt this Period
 416.67
 Memo Item

C. Walton, David, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Vice President CFSC
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 238.98

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-140163
 Amount of Each Receipt this Period
 238.98
 Memo Item

SUBTOTAL of Receipts This Page (optional)..... ▶ 1072.32
TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 23 OF 25
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Weiss, Karl, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 Tractor Drive
 Building CV
 City East Peoria State IL Zip Code 61630
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Vice President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-140078
 Amount of Each Receipt this Period
 416.67
 Memo Item

B. Younessi, Ramin, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 6800 Doerr Lane
 City Schertz State TX Zip Code 78154
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Vice President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-140053
 Amount of Each Receipt this Period
 416.67
 Memo Item

C. Zmugg, Leslie, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 2120 West End Avenue
 P O Box 340001
 City Nashville State TN Zip Code 37203
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Deputy General Counsel
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2017
Transaction ID : A2017-139670
 Amount of Each Receipt this Period
 416.67
 Memo Item

SUBTOTAL of Receipts This Page (optional).....	1250.01
TOTAL This Period (last page this line number only).....	20697.71

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Travis Hutson for Florida Senate

Mailing Address 1960 US Highway 1 South PMB 2

City St. Augustine State FL Zip Code 32086

Purpose of Disbursement P-2016 State Senate 07 FL

011

Category/Type

Candidate Name Hutson, Travis, , ,

Office Sought: House Senate President
Disbursement For: 2016 Primary General Other (specify) ▼

State: FL District: 07

Date of Disbursement

MM / DD / YYYY
01 / 19 / 2017

FEC Identification Number

C
Transaction ID : B600907
Amount of Each Disbursement this Period
-1000.00

Memo Item 05/09/16
Voided: Original check dated

Full Name (Last, First, Middle Initial)

B. Friends of Regina Quick

Mailing Address 150 E Washington Street

City Athens State GA Zip Code 30601

Purpose of Disbursement G-2016 State House 117 GA

011

Category/Type

Candidate Name Quick, Regina, M, ,

Office Sought: House Senate President
Disbursement For: 2016 Primary General Other (specify) ▼

State: GA District: 11

Date of Disbursement

MM / DD / YYYY
01 / 19 / 2017

FEC Identification Number

C
Transaction ID : B604455
Amount of Each Disbursement this Period
-1000.00

Memo Item 06/03/16
Voided: Original check dated

Full Name (Last, First, Middle Initial)

C. Friends of Mac Schneider

Mailing Address 1806 University Avenue

City Grand Forks State ND Zip Code 58203

Purpose of Disbursement G-2016 State Senate 42 ND

011

Category/Type

Candidate Name Schneider, Mac, , ,

Office Sought: House Senate President
Disbursement For: 2016 Primary General Other (specify) ▼

State: ND District: 42

Date of Disbursement

MM / DD / YYYY
01 / 19 / 2017

FEC Identification Number

C
Transaction ID : B604474
Amount of Each Disbursement this Period
-500.00

Memo Item 06/03/16
Voided: Original check dated

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

-2500.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Celia Israel for State Representative District 50

Mailing Address PO Box 141246

City Austin State TX Zip Code 78714

Purpose of Disbursement
G-2016 State House 50 TX

Category/
Type

Candidate Name
Israel, Celia, , ,

Office Sought: House Senate President
Disbursement For: 2016
 Primary General
 Other (specify) ▼
State: TX District: 50

Date of Disbursement
MM / DD / YYYY
01 / 19 / 2017

FEC Identification Number

Transaction ID : B627635
Amount of Each Disbursement this Period

 Memo Item 09/23/16
Voided: Original check dated

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/
Type

Candidate Name

Office Sought: House Senate President
Disbursement For:
 Primary General
 Other (specify)
State: District:

Date of Disbursement
MM / DD / YYYY

FEC Identification Number

Amount of Each Disbursement this Period

 Memo Item

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/
Type

Candidate Name

Office Sought: House Senate President
Disbursement For:
 Primary General
 Other (specify) ▼
State: District:

Date of Disbursement
MM / DD / YYYY

FEC Identification Number

Amount of Each Disbursement this Period

 Memo Item

SUBTOTAL of Disbursements This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶