RQ-2

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

October 10, 2008

Richard J. Hunt, Treasurer
Securities Industry and Financial Markets
Association Political Action Committee
1101 New York Avenue, NW, 8th Floor
Washington, DC 20005

Response Due Date:
November 10, 2008

Identification Number: C00431312

Reference: Amended August Monthly Report (7/1/08-7/31/08), received 9/16/08

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Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following item:

-Your amended report discloses additional disbursements totaling $20,500 on Line(s) 23 of the Detailed Summary Page that were not disclosed on your original report. Please provide clarifying information as to why this activity was not disclosed on your original report. 11 CFR §104.3

Please note, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please

contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1139.

Sincerely,

Nicole Della Rocco

Nicole Della Rocco
Campaign Finance Analyst
Reports Analysis Division

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