01/31/2002 16 : 52

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) — **USE FEC MAILING LABEL OR TYPE OR PRINT** ▼ — Example: If typing, type over the lines

Security Traders Association Political Action Committee

ADDRESS (number and street) ▼ 157-29 11th Ave.

X Check if different than previously reported. (ACC)

Whitestone | NY | 11357 – 1518

CITY ▲ STATE ▲ ZIPCODE ▲

2. **FEC IDENTIFICATION NUMBER** ▼

C00326256

3. IS THIS REPORT X NEW (N) **OR** AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

April 15 Quarterly Report(Q1)

July 15 Quarterly Report(Q2)

October 15 Quarterly Report(Q3)

X January 31 Quarterly Report(YE)

July 31 Mid-Year Report(Non-election Year Only) (MY)

Termination Report (TER)

(b) Monthly Report Due On:

Feb 20 (M2)	May 20 (M5)	Aug 20 (M8)	Nov 20 (M11) (Non-Election Year Only)
Mar 20 (M3)	Jun 20 (M6)	Sep 20 (M9)	Dec 20 (M12) (Non-Election Year Only)
Apr 20 (M4)	Jul 20 (M7)	Oct 20 (M10)	Jan 31 (M13)

(c) 12-Day **PRE**-Election Report for the: Primary (12P) General (12G) Runoff (12R) Convention (12C) Special (12S)

Election on in the State of

(d) 30-Day **P**ost -Election Report for the: General (30G) Runoff (30R) Special (30S)

Election on in the State of

5. Covering Period 07 01 2001 through 12 31 2001

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Barbara W. Bonfiglio

Signature of Treasurer Electronically Filed by Barbara W. Bonfiglio Date 01 31 2002

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office Use Only			**FEC FORM 3X** (Revised 1/2001)

SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS

FEC **Form 3X** (Revised 1/2001)

Write or Type Committee Name
Security Traders Association Political Action Committee

Report Covering the Period: From: 07 01 2001 To: 12 31 2001

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 2001		25158.41
(b) Cash on Hand at Begining of Reporting Period	10779.04	
(c) Total Receipts (from Line 19)	7033.00	11617.23
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	17812.04	36775.64
7. Total Disbursements (from Line 30)	4245.98	23209.58
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	13566.06	13566.06
9. Debts and Obligations owed **TO** the committee (Itemize all on Schedule C and/or Schedule D)	.00	
10. Debts and Obligations owed **BY** the committee (Itemize all on Schedule C and/or Schedule D)	.00	

X This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
OF RECEIPTS

FEC Form 3X (Revised 1/2001)

Write or Type Committee Name

Security Traders Association Political Action Committee

Report Covering the Period: From: 07 01 2001 To: 12 31 2001

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	2000.00	
(ii) Unitemized	0.00	
(iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ▶	2000.00	6500.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 32, page 4) ..... ▶	2000.00	6500.00
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 36, page 4)	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees	5000.00	5000.00
17. Other Federal Receipts Dividends, Interest, etc.)	33.00	117.23
18. Transfers from Nonfederal Account for Joint Activity	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18) ..... ▶	7033.00	11617.23
20. Total Federal Receipts (subtract Line 18 from Line 19) ..... ▶	7033.00	11617.23

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Revised 1/2001)

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating Expenditures	245.98	2709.58
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)) ▶	245.98	2709.58
22. Transfers to Affiliated/Other Party Committees	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees	4000.00	20500.00
24. Independent Expenditure (use Schedule E)	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)	0.00	0.00
26. Loan Repayments Made	0.00	0.00
27. Loans Made	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) ▶	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Total Disbursements (add Lines 21(c), 22, 23,24,25,26,27,28(d),and 29) ▶	4245.98	23209.58
31. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30) ▶	4245.98	23209.58
III. Net Contributions/Operating Expenditures		
32. Total Contributions (other than loans) from Line 11(d), page 3)	2000.00	6500.00
33. Total Contribution Refunds (from Line 28(d))	0.00	0.00
34. Net Contributions (other than loans) (subtract Line 33 from Line 32)	2000.00	6500.00
35. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ▶	245.98	2709.58
36. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
37. Net Operating Expenditures (subtract Line 36 from Line 35) ▶	245.98	2709.58

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 5 / 9

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Security Traders Association Political Action Committee

A.
Full Name (Last, First, Middle Initial)
Michael Bird

Mailing Address
96 Louises Lane

City: New Canaan | State: CT | Zip Code: 06840

FEC ID number of contributing federal political committee.

Name of Employer:

Occupation: Securities Professional

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: 11 15 2001

Amount of Each Receipt this Period: 1000.00

Transaction ID: Rece00113845W&J001

B.
Full Name (Last, First, Middle Initial)
Mr. Lee Korins

Mailing Address
One World Trade Center Suite 4511

City: New York | State: NY | Zip Code: 10048

FEC ID number of contributing federal political committee.

Name of Employer: Security Traders Assoc.

Occupation:

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 2000.00

Date of Receipt: 10 01 2001

Amount of Each Receipt this Period: 1000.00

Transaction ID: Rece00113847W&J001

C.

SUBTOTAL of Receipts This Page (optional) ..... ▶ **2000.00**

TOTAL This Period (last page this line number only) ..... ▶ **2000.00**

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 6 / 9

☐ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☒ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
Security Traders Association Political Action Committee

A.
Full Name (Last, First, Middle Initial)
Friends of Phil Gramm

Mailing Address
PO Box 601329

City: Dallas
State: TX
Zip Code: 75360-1329

FEC ID number of contributing federal political committee.

Name of Employer

Occupation

Date of Receipt
M M D D Y Y Y Y
11 20 2001

Amount of Each Receipt this Period
5000.00

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
5000.00

Transaction ID: Rece00101767W&J001

B.

C.

SUBTOTAL of Receipts This Page (optional) ..... ▶ **5000.00**

TOTAL This Period (last page this line number only) ..... ▶ **5000.00**

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

[X] 21b [ ] 22 [ ] 23 [ ] 24 [ ] 25
[ ] 26 [ ] 27 [ ] 28a [ ] 28b [ ] 28c [ ] 29

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NAME OF COMMITTEE (In Full)
Security Traders Association Political Action Committee

A.

Full Name (Last, First, Middle Initial)
U.S. Treasury

Mailing Address
Internal Revenue Service

City: Ogden
State: UT
Zip Code: 84201

Purpose of Disbursement
Taxes

Candidate Name
U.S. Treasury

Category/Type

Office Sought: House / Senate / President
State: District:

Disbursement For: Primary / General / Other (specify)

Date of Disbursement
07 19 2001

Amount of Each Disbursement this Period
150.78

Transaction ID: Disb00006344W&J001

B.

C.

SUBTOTAL of Disbursements This Page (optional) ..... ▶	**150.78**
TOTAL This Period (last page this line number only) ..... ▶	**150.78**

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

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NAME OF COMMITTEE (In Full)
Security Traders Association Political Action Committee

A.
Full Name (Last, First, Middle Initial): Evan Bayh
Mailing Address: P.O. BOX 40977
City: INDIANAPOLIS State: IN Zip Code: 46240
Purpose of Disbursement: Contribution
Candidate Name: Evan Bayh
Category/Type
Office Sought: House / X Senate / President
State: IN District: 00
Disbursement For: 2004 / X Primary / General / Other (specify)
Date of Disbursement: 07 / 11 / 2001
Amount of Each Disbursement this Period: 1000.00
Transaction ID: Disb00006147W&J001

B.
Full Name (Last, First, Middle Initial): Michael B. Enzi
Mailing Address: PO Box 2775
City: Cody State: WY Zip Code: 82414
Purpose of Disbursement: Contribution
Candidate Name: Michael B. Enzi
Category/Type
Office Sought: House / X Senate / President
State: District:
Disbursement For: 2002 / X Primary / General / Other (specify)
Date of Disbursement: 11 / 01 / 2001
Amount of Each Disbursement this Period: 1000.00
Transaction ID: Disb00009655W&J001

C.
Full Name (Last, First, Middle Initial): Sam Johnson
Mailing Address: 1912 Ave. K, Suite 104
City: Plano State: TX Zip Code: 75074
Purpose of Disbursement: Contribution
Candidate Name: Sam Johnson
Category/Type
Office Sought: X House / Senate / President
State: TX District: 03
Disbursement For: 2002 / X Primary / General / Other (specify)
Date of Disbursement: 07 / 07 / 2001
Amount of Each Disbursement this Period: 1000.00
Transaction ID: Disb00006156W&J001

SUBTOTAL of Disbursements This Page (optional) ..... ▶ **3000.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

☐ 21b	☐ 22	☒ 23	☐ 24	☐ 25	
☐ 26	☐ 27	☐ 28a	☐ 28b	☐ 28c	☐ 29

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NAME OF COMMITTEE (In Full)
Security Traders Association Political Action Committee

A.

Full Name (Last, First, Middle Initial)
Robert Menendez

Mailing Address
PO Box 846

City: Union City
State: NJ
Zip Code: 07087

Purpose of Disbursement
Contribution

Candidate Name
Robert Menendez

Category/Type

Office Sought: X House / Senate / President
State: NJ District: 13

Disbursement For: 2002
X Primary / General / Other (specify)

Date of Disbursement
11 01 2001

Amount of Each Disbursement this Period
1000.00

Transaction ID: Disb00009654W&J001

B.

C.

SUBTOTAL of Disbursements This Page (optional) ..... ▶	**1000.00**
TOTAL This Period (last page this line number only) ..... ▶	**4000.00**