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FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

	or Other Than An Autho	orized Committee	(Office Use Only
1. NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5	
The Caterpillar Inc. Emp	ployee Political Action	Committee		
ADDRESS (number and street)	100 N.E. Adams Street			
Check if different than previously reported. (ACC)	Peoria		IIL L	61629-1430
2. FEC IDENTIFICATION NUI	MBER ▼ CITY	A	STATE ▲	ZIP CODE ▲
C C00148031	3. IS	THIS NEW (N) OR	AME (A)	NDED
4. TYPE OF REPORT (Choose One)	Report Due On:	0 (M2) May 20 (M5)		Year Only)
(a) Quarterly Reports:		20 (M3) x Jun 20 (M6)	H	Year Only)
April 15 Quarterly Report (Q1		0 (M4) Jul 20 (M7)	Oct 20	(M10) Jan 31 (YE)
July 15 Quarterly Report (Q2	(C) 12-Day	Primary (12P)	General (12	PG) Runoff (12R)
October 15 Quarterly Report (Q3	Report for the:	Convention (12C)	Special (12	S)
January 31 Year-End Report (YE	Floation	on/	Y I Y I Y I Y	in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day	General (30G)	Runoff (30F	R) Special (30S)
Termination Report (TER)	Election	on/	Y Y Y Y Y	in the State of
5. Covering Period 05	/ D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	through 05	/ D D / 31_	2020
I certify that I have examined this Type or Print Name of Treasurer	s Report and to the best of m James, Danielle, , ,	ny knowledge and belief it is to	rue, correct and o	complete.
Signature of Treasurer James,	Danielle, , ,	[Electronically Filed]	Date 06	18 2020
NOTE: Submission of false, erroned	ous, or incomplete information	may subject the person signing	this Report to the	penalties of 52 U.S.C. § 3010
Office Use				FEC FORM 3X Rev. 05/2016

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016) Page 2

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: 05 01 2020 To: 05 31 2020

		COLUMN A This Period	COLUMN B Calendar Year-to-Date				
6.	(a) Cash on Hand January 1, 2020		432423.22				
	(b) Cash on Hand at Beginning of Reporting Period	516118.20					
	(c) Total Receipts (from Line 19)	57643.50	306652.23				
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	573761.70	739075.45				
7.	Total Disbursements (from Line 31)	21512.00	186825.75				
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	552249.70	552249.70				
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00					
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00					

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

05 01 2020 05 31 2020 Report Covering the Period: From: To: **COLUMN A COLUMN B** I. Receipts **Total This Period** Calendar Year-to-Date 11. Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees 45811.77 186237.32 (i) Itemized (use Schedule A)..... 11827.43 120374.51 (ii) Unitemized (iii) TOTAL (add 306611.83 57639.20 Lines 11(a)(i) and (ii).....▶ 0.00 0.00 (b) Political Party Committees (c) Other Political Committees 0.00 0.00 (such as PACs)..... (d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry 306611.83 57639.20 Totals to Line 33, page 5)▶ 12. Transfers From Affiliated/Other 0.00 0.00 Party Committees..... 0.00 0.00 13. All Loans Received..... 0.00 0.00 14. Loan Repayments Received..... 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) 0.00 0.00 (Carry Totals to Line 37, page 5)..... 16. Refunds of Contributions Made to Federal Candidates and Other 0.00 0.00 Political Committees..... 17. Other Federal Receipts (Dividends, Interest, etc.)..... 4.30 40.40 18. Transfers from Non-Federal and Levin Funds (a) Non-Federal Account 0.00 (from Schedule H3)..... 0.00 0.00 0.00 (b) Levin Funds (from Schedule H5) (c) Total Transfers (add 18(a) and 18(b)).. 0.00 0.00 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))....... 306652.23 57643.50 20. Total Federal Receipts 57643.50 306652.23 (subtract Line 18(c) from Line 19)▶

DETAILED SUMMARY PAGE

of Disbursements

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II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date			
Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4)		5.1.5.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1			
(i) Federal Share	0.00	0.00			
(ii) Non-Federal Share	0.00	0.00			
(b) Other Federal Operating					
Expenditures	12.00	75.75			
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))▶	12.00	75.75			
Transfers to Affiliated/Other Party	7 7 7				
CommitteesContributions to	0.00	0.00			
Federal Candidates/Committees and Other Political Committees	17500.00	117000.00			
Independent Expenditures	0.00	0.00			
(use Schedule E)	0.00	0.00			
(52 U.S.C. § 30116(d)) (use Schedule F)	0.00	0.00			
Loan Repayments Made	0.00	0.00			
	4 4				
Loans MadeRefunds of Contributions To:	0.00	0.00			
(a) Individuals/Persons Other Than Political Committees	0.00	1750.00			
(b) Political Party Committees	0.00	0.00			
(b) Political Party Committees	0.00	0.00			
(such as PACs)	0.00	0.00			
(d) Total Contribution Refunds					
(add Lines 28(a), (b), and (c))	0.00	1750.00			
Other Disbursements (Including					
Non-Federal Donations)	4000.00	68000.00			
Federal Election Activity (52 U.S.C. § 30101(2 (a) Allocated Federal Election Activity (from Schedule H6)	20))				
(i) Federal Share	0.00	0.00			
(ii) "Levin" Share	0.00	0.00			
(b) Federal Election Activity Paid Entirely With Federal Funds	7 7 7	4 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1			
(c) Total Federal Election Activity (add	0.00	0.00			
Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00			
Total Disbursements (add Lines 21(c), 22,					
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	21512.00	186825.75			
Total Federal Disbursements	4 4	4 4 4			
(subtract Line 21(a)(ii) and Line 30(a)(ii)					
from Line 31)	21512.00	186825.75			

DETAILED SUMMARY PAGE

FEC Form 3X (Rev. 05/2016)

of Disbursements

Page 5 **COLUMN A** COLUMN B III. Net Contributions/ **Total This Period** Calendar Year-to-Date **Operating Expenditures** 33. Total Contributions (other than loans) 57639.20 306611.83 (from Line 11(d), page 3) 34. Total Contribution Refunds 1750.00 0.00 (from Line 28(d))..... 35. Net Contributions (other than loans) 304861.83 57639.20 (subtract Line 34 from Line 33) 36. Total Federal Operating Expenditures 12.00 75.75 (add Line 21(a)(i) and Line 21(b))▶ 37. Offsets to Operating Expenditures 0.00 0.00 (from Line 15, page 3)..... 38. Net Operating Expenditures 12.00 75.75 (subtract Line 37 from Line 36)

FOR LINE NUMBER: PAGE 6 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Abbott, Brian, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1167562 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 122.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) WW Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 612.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Abston, Trey, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1167252 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 62.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Area Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 313.85 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Aiken, Kathryn, , , Date of Receipt Mailing Address 510 Lake Cook Road 29 2020 City State Zip Code Transaction ID: A2020-1167543 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Segment HR Director Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.30 Other (specify) 601.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 7 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Akif, Sammy, , , Date of Receipt Mailing Address 1208 14th St 2020 City Zip Code State Transaction ID: A2020-1083312 TX Northlake 76226-2139 Amount of Each Receipt this Period FEC ID number of contributing C 40.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 403.80 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Akif, Sammy, , , Date of Receipt Mailing Address 1208 14th St 2020 City State Zip Code Transaction ID: A2020-1120877 Northlake TX 76226-2139 Amount of Each Receipt this Period FEC ID number of contributing 40.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 444.18 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Alger, Matt, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 29 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1167591 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 161.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Life Cycle Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 806.70 Other (specify) 242.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Allen, Ali,,, Date of Receipt Mailing Address 500 N Morton Ave 2020 City Zip Code State Transaction ID: A2020-1167894 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. HR Shared Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Amengual, Gilbert, , , Date of Receipt Mailing Address 9370 Sky Park Court / Ruffin Road 2020 City State Zip Code Transaction ID: A2020-1167345 CA San Diego 92123 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Dir of Mktg & Product Strategy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ames, Sean, , , Date of Receipt Mailing Address 100 NE Adams 29 2020 City State Zip Code Transaction ID: A2020-1167064 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 166.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 833.75 Other (specify) 266.75 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 9 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Anderson, Mark, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2020 City Zip Code State Transaction ID: A2020-1167456 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 42.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Anderson, Mat, , , Date of Receipt Mailing Address 200 NE Adams Street 2020 City State Zip Code Transaction ID: A2020-1167310 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 199.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 995.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Anderson, Michael, , , Date of Receipt Mailing Address 7015 Ray Nash Dr Nw 2020 City Zip Code State Transaction ID: A2020-1083183 WA Gig Harbor 98335-5923 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager I Signal Engineering Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 266.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 10 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Anderson, Michael, , , Date of Receipt Mailing Address 7015 Ray Nash Dr Nw 2020 City Zip Code State Transaction ID: A2020-1120901 WA Gig Harbor 98335-5923 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager I Signal Engineering Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Armburger, Jay, , , Date of Receipt Mailing Address 100 Tractor Drive 05 2020 **Building CV** City State Zip Code Transaction ID : A2020-1167872 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Armistead, Tom, , , Date of Receipt Mailing Address 501 SW Jefferson 29 2020 City State Zip Code Transaction ID: A2020-1167835 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 40.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Parts & Svc Mktg Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 201.05 Other (specify) 115.21 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 11 OF 134 Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bailey, Doug, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2020 City State Zip Code Transaction ID: A2020-1168060 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 149.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Industry Territory Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 748.10 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Bainbridge, Mark, , , Date of Receipt Mailing Address 2120 West End Avenue 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1168123 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Balkema, Chris, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 29 2020 PO Box 348 City State Zip Code Transaction ID: A2020-1167629 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 169.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Supply Mgr III Receipt For: Aggregate Year-to-Date ▼ Primary General 846.95 Other (specify) 419.01 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 12 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Balmer Millar, Lou, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-1168055 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Engineering Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Baluis, Vic, , , Date of Receipt Mailing Address 954 NC Highway 42 East 05 2020 City State Zip Code Transaction ID: A2020-1167767 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bankes, Chester, , , Date of Receipt Mailing Address 3701 South Street 29 2020 City State Zip Code Transaction ID: A2020-1167482 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing C 63.25 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Facilities Engineering Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 328.89 Other (specify) 163.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 13 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Barber, Kathy, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1167914 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 122.37 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Governmental Affairs Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 611.85 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Beals, Andy, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City State Zip Code Transaction ID: A2020-1167131 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 55.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Beasley, George, , , Date of Receipt Mailing Address 2120 West End Avenue 29 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1167573 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Underwriter** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 227.47 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Beck, Brett, , , Date of Receipt Mailing Address 1720 W Kingsbury 2020 City Zip Code State Transaction ID: A2020-1167789 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) EHS Manager I Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Becker, Chaille, , , Date of Receipt Mailing Address 501 SW Jefferson 2020 City State Zip Code Transaction ID: A2020-1167032 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Digital Technology Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Belcher, Jeff, , , Date of Receipt Mailing Address 501 Cardinal Drive 29 2020 City State Zip Code Transaction ID: A2020-1168082 MS Corinth 38834 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) 175.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 15 OF 134 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Beldock, Teresa, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City Zip Code State Transaction ID: A2020-1167333 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 92.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Material Req Planning Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 463.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Belkin, Tony, , , Date of Receipt Mailing Address 540 W. Madison Street 2020 Floor 3 City State Zip Code Transaction ID : A2020-1167547 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Digital Platform Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 325.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Bennes, Bob, , , Date of Receipt Mailing Address 2120 West End Avenue 29 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1167487 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 227.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President CFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1138.15 Other (specify) 395.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 16 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Benz, Kevin, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-1167734 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 55.25 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 276.25 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Birkel, Lindsey, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1168040 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 375.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Blank, James, R, Date of Receipt Mailing Address 14009 Old Galena Rd. 29 2020 Bldg A City State Zip Code Transaction ID: A2020-1167054 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 46.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 231.35 Other (specify) 176.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 17 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Blichmann, Mary Ellen, , , Date of Receipt Mailing Address 3701 State Road 26 East 2020 City Zip Code State Transaction ID: A2020-1168120 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) NPI Program Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bloch, Stephen, E, , Date of Receipt Mailing Address 3701 South Street 05 2020 City State Zip Code Transaction ID : A2020-1167691 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 101.76 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Project Engr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 508.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Blum, Mary, , , Date of Receipt Mailing Address 100 NE Adams 29 2020 City State Zip Code Transaction ID: A2020-1167289 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 94.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Customer Programs Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 472.40 Other (specify) 246.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 18 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Blunier, Dave, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg E 2020 City State Zip Code Transaction ID: A2020-1167280 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Technologist-Test/Valid Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bowman, Greg, , , Date of Receipt Mailing Address 2120 West End Avenue 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1167102 ΤN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 60.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lease Services Support Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 301.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Braun, Eric, , , Date of Receipt Mailing Address 510 Lake Cook Road 29 2020 City State Zip Code Transaction ID: A2020-1167185 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 80.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 190.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 19 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Breitschwerdt, Otto, , , Date of Receipt Mailing Address 954 NC Highway 42 East 2020 City Zip Code State Transaction ID: A2020-1167773 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) General Manager BCP Loaders Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.30 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bromm, Jaron, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1167818 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 216.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1082.95 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Brooks, Jen, , , Date of Receipt Mailing Address 100 NE Adams 29 2020 City State Zip Code Transaction ID: A2020-1167056 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 59.49 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engr Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 297.45 Other (specify) 692.74 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 20 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bryant, Jenny, , , Date of Receipt Mailing Address 9401 85Th Avenue North 2020 City Zip Code State Transaction ID: A2020-1167283 MN Brooklyn Park 55445 Amount of Each Receipt this Period FEC ID number of contributing C 149.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Network Fac Mgr III Receipt For: Aggregate Year-to-Date ▼ Primary General 745.60 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Butikofer, Mark, , , Date of Receipt Mailing Address 812 W Washington Street 2020 City State Zip Code Transaction ID: A2020-1167612 East Peoria IL 61611 Amount of Each Receipt this Period FEC ID number of contributing 66.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Program Mgmt Developer III Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 333.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Cabay, Kenneth, , , Date of Receipt Mailing Address 6320 Hamlet Trail 2020 City State Zip Code Transaction ID: A2020-1083187 VARoanoke 24018-7791 Amount of Each Receipt this Period FEC ID number of contributing C 39.87 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 398.70 Other (specify) 255.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 21 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cabay, Kenneth, , , Date of Receipt Mailing Address 6320 Hamlet Trail 2020 City Zip Code State Transaction ID: A2020-1120905 VA Roanoke 24018-7791 Amount of Each Receipt this Period FEC ID number of contributing C 39.87 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 438.57 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Calamari, Michael, A, , Date of Receipt Mailing Address 1167 NC Hwy 42 East 05 2020 City State Zip Code Transaction ID: A2020-1167479 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 18.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 247.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Callear, Brian, , , Date of Receipt Mailing Address 108 Corporate Court 29 2020 City State Zip Code Transaction ID: A2020-1167961 SC Hodges 29653 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 107.87 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cansler, Lori, , , Date of Receipt Mailing Address 600 Balderson Blvd PO Box 6 2020 City State Zip Code Transaction ID: A2020-1167235 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing 45.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Communications Consultant** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 226.70 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carney, Rob, , , III Date of Receipt Mailing Address 600 S. Second Street 05 2020 Suite 101 City State Zip Code Transaction ID: A2020-1167343 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing 155.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 776.20 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Carr, Ron, , , Date of Receipt Mailing Address 2120 West End Avenue 29 2020 P O Box 340001 City State Zip Code Transaction ID : A2020-1167422 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 120.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Infrastructure Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 604.25 Other (specify) 321.43 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

		FOR LINE NUMBER:					2	23 OF	:	134
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carroll, Troy, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1167776 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 57.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sales Support Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 286.55 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carter, Janda, , , Date of Receipt Mailing Address 100 NE Adams 05 2020 City State Zip Code Transaction ID: A2020-1167321 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 209.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Assistant General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1046.55 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Chen, Leo, , , Date of Receipt Mailing Address 28194 Caterpillar Lane 29 2020 City State Zip Code Transaction ID: A2020-1167983 IL Washington 61571 Amount of Each Receipt this Period FEC ID number of contributing 182.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 910.20 Other (specify) 448.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Chu, Maggie, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City Zip Code State Transaction ID: A2020-1167544 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Segment HR Director Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.30 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Clements, Mary, , , Date of Receipt Mailing Address 2120 West End Avenue 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1167416 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Digtl & Glb Transformation Dir Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 750.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Cobb, Daniel, , , Date of Receipt Mailing Address 500 N Morton Ave 29 2020 City State Zip Code Transaction ID: A2020-1167218 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 50.16 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Packaging Ops Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 210.64 Other (specify) 616.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Combs, Miles, , , Date of Receipt Mailing Address 6611 Hoke Road 2020 City Zip Code State Transaction ID: A2020-1167843 OH Clayton 45315 Amount of Each Receipt this Period FEC ID number of contributing C 43.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Logistics Operations Mgr III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 216.99 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cone, Beth, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-1168033 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 126.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Elec Comp Sr. Project Lead Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 633.30 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Conley, Patrick, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 29 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1167733 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lean Transactional Sr. Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 219.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Craig, Curtis, , , Date of Receipt Mailing Address 3701 State Road 26 East 2020 City Zip Code State Transaction ID: A2020-1167690 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 51.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 257.95 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Cross, Johnny, , , Date of Receipt Mailing Address 4174 E. US Hwy 36 2020 City State Zip Code Transaction ID: A2020-1083309 **New Castle** IN 47362 Amount of Each Receipt this Period FEC ID number of contributing 35.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 353.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Cross, Johnny, , , Date of Receipt Mailing Address 4174 E. US Hwy 36 2020 City State Zip Code Transaction ID: A2020-1120874 IN **New Castle** 47362 Amount of Each Receipt this Period FEC ID number of contributing C 35.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 389.18 Other (specify) 122.35 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Crowell, Tj, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-1167686 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 93.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 466.35 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Daniell, Bruce, , , Date of Receipt Mailing Address 2500 N. 22nd Street 2020 City State Zip Code Transaction ID: A2020-1167204 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 63.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 317.02 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Davidson, Janet, , , Date of Receipt Mailing Address 200 NE Adams Street 29 2020 City State Zip Code Transaction ID: A2020-1167358 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 54.76 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Accounts Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 273.80 Other (specify) 211.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Davis, Tim, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-1167753 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Daw, Andy, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg A City State Zip Code Transaction ID : A2020-1167017 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director of Procurement** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 750.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Deckard, Mitch, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 29 2020 Suite 4000 City State Zip Code Transaction ID: A2020-1167702 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 300.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 31 OF 134 Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Denis, Andrew, , , Date of Receipt Mailing Address 4-H Park Road P O Box 740 2020 City Zip Code State Transaction ID: A2020-1167782 IL Pontiac 61764 Amount of Each Receipt this Period FEC ID number of contributing C 43.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Developmental Assignment** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 219.25 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Diccion, Amory, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 05 2020 PO Box 348 City State Zip Code Transaction ID: A2020-1167153 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Engineer-Design Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Dickerson, Douglas, , , Date of Receipt Mailing Address 100 Tractor Drive 29 2020 Building CV Dock CV City State Zip Code Transaction ID: A2020-1167137 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 47.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engr Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 239.20 Other (specify) 136.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 32 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Doherty, Chris, , , Date of Receipt Mailing Address 27Th & Pershing Rd PO Box 1430 2020 City State Zip Code Transaction ID: A2020-1167274 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing C 43.73 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engineer 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 229.24 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Driscoll, Jennifer, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City State Zip Code Transaction ID : A2020-1167551 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director Investor Relations** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Dudek, Nicholas, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 29 2020 City Zip Code State Transaction ID: A2020-1167781 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 46.49 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Supplier Development Eng III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 232.45 Other (specify) 140.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Duhon, Jason, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1167831 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Healthcare Design Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Dunbar, Nathan, , , Date of Receipt Mailing Address 3701 South Street 05 2020 City State Zip Code Transaction ID : A2020-1167661 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 166.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Quality Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 820.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Duncan, Michael, , , Date of Receipt Mailing Address 100 NE Adams 29 2020 City State Zip Code Transaction ID: A2020-1167509 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 291.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 34 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Duzinskas, Deb, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-1167699 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Earle, Kevin, C, , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID : A2020-1167761 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Easley, Will, , , Date of Receipt Mailing Address 100 NE Adams 29 2020 City State Zip Code Transaction ID: A2020-1167140 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 155.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 35 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Eastwood, Patricia, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-1167585 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 237.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1189.10 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Edwards, Brian, , , Date of Receipt Mailing Address 4517 Montalcino Blvd 2020 City State Zip Code Transaction ID: A2020-1083185 TX Flower Mound 75022 Amount of Each Receipt this Period FEC ID number of contributing 71.09 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 695.30 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Edwards, Brian, , , Date of Receipt Mailing Address 4517 Montalcino Blvd 2020 City State Zip Code Transaction ID: A2020-1120903 TX Flower Mound 75022 Amount of Each Receipt this Period FEC ID number of contributing C 71.09 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 766.39 Other (specify) 380.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name English, Paul, , , Date of Receipt Mailing Address 28194 Caterpillar Lane 2020 City Zip Code State Transaction ID: A2020-1167991 IL Washington 61571 Amount of Each Receipt this Period FEC ID number of contributing C 59.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Specialist-Des Receipt For: Aggregate Year-to-Date ▼ Primary General 295.55 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Evans, Josh, , , Date of Receipt Mailing Address 2120 West End Avenue 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1167221 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 67.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Asset Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 338.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Evans, Mike, , , Date of Receipt Mailing Address 875 W Cushing Street 29 2020 City State Zip Code Transaction ID: A2020-1168094 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 171.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 857.60 Other (specify) 298.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 37 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Evenson, Eric, , , Date of Receipt Mailing Address 875 W Cushing Street 2020 City Zip Code State Transaction ID: A2020-1167869 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 57.96 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Support Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 289.80 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Ewing, Charles, , , Date of Receipt Mailing Address 1667 Chalone Court 2020 City State Zip Code Transaction ID: A2020-1083193 Crown Point IN 46307 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ewing, Charles, , , Date of Receipt Mailing Address 1667 Chalone Court 2020 City State Zip Code Transaction ID: A2020-1120911 IN Crown Point 46307 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 107.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 38 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Fassino, Tony,,, Date of Receipt Mailing Address 400 Regency Forest Drive Suite 400 2020 City Zip Code State Transaction ID: A2020-1167777 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 42.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Ferguson, Michael, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 05 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1167207 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 46.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Product Service Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 234.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ferguson, Steve, M, Date of Receipt Mailing Address 6800 Doerr Lane 29 2020 City State Zip Code Transaction ID: A2020-1168105 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 505.57 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Feucht, Joel, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-1167515 61552 IL Mossville Amount of Each Receipt this Period FEC ID number of contributing 89.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Engine Systems Products** Receipt For: Aggregate Year-to-Date ▼ Primary General 446.05 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Filer, Matt, , , Date of Receipt Mailing Address 875 W Cushing Street 2020 City State Zip Code Transaction ID : A2020-1167711 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Finn, Bart, , , Date of Receipt Mailing Address 100 NE Adams 29 2020 City State Zip Code Transaction ID: A2020-1168103 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 164.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Area Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 824.10 Other (specify) 304.03 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Flannery, Mark, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1167136 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 180.93 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 904.65 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Foster, Brad, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 05 2020 Bldg A City State Zip Code Transaction ID: A2020-1167330 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Foster, Forrest, , , Date of Receipt Mailing Address 3250 E HWY 44 29 2020 Suite 200 City State Zip Code Transaction ID: A2020-1167828 SD Rapid City 57703 Amount of Each Receipt this Period FEC ID number of contributing C 44.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Engineer-Design Receipt For: Aggregate Year-to-Date ▼ Primary General 220.90 Other (specify) 275.11 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Foster, Steve, S,, Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-1168017 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 70.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Enterprise Architect** Receipt For: Aggregate Year-to-Date ▼ Primary General 352.20 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Fox, Isaac, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 05 2020 Suite 4000 City State Zip Code Transaction ID: A2020-1167240 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Systems Application Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 208.70 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Frake, Tom, G, Date of Receipt Mailing Address 100 NE Adams 29 2020 City State Zip Code Transaction ID: A2020-1168126 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 497.11 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Friend, Paul, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-1167824 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 61.95 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Electronic Engrg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 309.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Frohberg, Dave, , , Date of Receipt Mailing Address 3701 State Road 26 East 05 2020 City State Zip Code Transaction ID: A2020-1167516 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Garman, Scott, , , Date of Receipt Mailing Address 3971 S Chana Rd 2020 City State Zip Code Transaction ID: A2020-1083195 IL Chana 61015-9770 Amount of Each Receipt this Period FEC ID number of contributing 40.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 403.50 Other (specify) 152.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Garman, Scott, , , Date of Receipt Mailing Address 3971 S Chana Rd 2020 City Zip Code State Transaction ID: A2020-1120892 IL Chana 61015-9770 Amount of Each Receipt this Period FEC ID number of contributing C 40.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 443.85 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gaw, Matt, , , Date of Receipt Mailing Address 500 N Morton Ave 2020 City State Zip Code Transaction ID : A2020-1167708 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. GM Network Solutions & Spt Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 375.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Geisz, John, , , Date of Receipt Mailing Address 500 N Morton Ave 29 2020 City State Zip Code Transaction ID: A2020-1167587 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Prove Design Supervisor III Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 165.35 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	NAME OF COMMITTEE (In Full)												
$ \rangle$	The Caterpillar Inc. Employee Po	olitical A	∖cti	on Committee									
Α.	Full Name of Individual (Last, First, Middle Initial Gentry-Stuenkel, Wendi, , ,	l) or Full	Orga	nization Name		Date of	Receipt						
<i>,</i>	Mailing Address 540 W. Madison Street				$\overline{}$	_ u u		D / V	Y	V			
	Floor 3					05	2		2020	1			
	City	State		Zip Code		Trans	action ID	: A2020-11	67549	_			
	Chicago	IL		60661				Receipt this					
	FEC ID number of contributing federal political committee.	С	Ξ				-		416.6	6			
	Name of Employer (for Individual)	Ос	cupa	tion (for Individual)		Me	emo Item						
	Caterpillar Inc.	Diı	recto	r Integrated Logistics									
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	Other (specify) ▼		7	2083.30	4								
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В.	George, Brian, W, ,					Date of	Receipt						
	Mailing Address Illinois Route 29 at Old Galena F	₹о				M = M	/ D	D / Y	YY	Υ			
	PO Box 610	1-		T		05 29 2020							
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	Mossville	IL		61552		Amount of Each Receipt this Period							
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	Name of Employer (for Individual) Caterpillar Inc.			tion (for Individual) t Manager		Memo Item							
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	Primary General	00 0	-		٦.								
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	Full Name of Individual (Last, First, Middle Initial Giesfeldt, James, , ,	l) or Full	Orga	nization Name		Date of	Receipt						
٠.	Mailing Address 1100 Milwaukee Ave					M = M	/ D	D / V	Y	V			
	PO Box 500					05		9 /	2020	'			
	City	State		Zip Code		Trans	action ID	: A2020-11	67860				
	South Milwaukee	WI		53172-0500		Amount	of Each	Receipt this	s Period				
	FEC ID number of contributing		-										
	federal political committee.	C	-			<u>_</u>	-		187.2	8			
	Name of Employer (for Individual)	Oc	cupa	tion (for Individual)		Me	emo Item						
	Caterpillar Inc.	Pro	oduct	Support Manager									
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FOR LINE NUMBER: PAGE 45 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gilbert, Dan, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1167620 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 57.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Glb Category Procurement Mgr I Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 401.19 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Ginzel, Jon, , , Date of Receipt Mailing Address 9370 Sky Park Court / Ruffin Road 2020 City State Zip Code Transaction ID : A2020-1167451 CA San Diego 92123 Amount of Each Receipt this Period FEC ID number of contributing 113.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Mgr Central Human Resources Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 567.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Girard, Kristin, , , Date of Receipt Mailing Address 100 NE Adams 29 2020 City State Zip Code Transaction ID: A2020-1167565 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution Svcs Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 587.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 46 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Glawe, Regg,,, Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg E 2020 City State Zip Code Transaction ID: A2020-1167693 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 180.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 902.55 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gorman, Chris, , , Date of Receipt Mailing Address 954 NC Highway 42 East 05 2020 City State Zip Code Transaction ID: A2020-1167814 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 151.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 759.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Green, Leah, , , Date of Receipt Mailing Address 1600 Progress Drive 29 2020 P.O. Box 1037 City State Zip Code Transaction ID: A2020-1167890 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Assistant General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 382.41 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 47 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Greuel, Matthew, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City Zip Code State Transaction ID: A2020-1167719 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 65.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineering Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 329.55 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Guilford, Jerome, , , Date of Receipt Mailing Address 100 NE Adams 05 2020 City State Zip Code Transaction ID: A2020-1167379 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager BHL/TH Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gustafson, Ryan, , , Date of Receipt Mailing Address 100 NE Adams 29 2020 City State Zip Code Transaction ID: A2020-1168013 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 120.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **GM Excavator Operations** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 481.32 Other (specify) 436.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 48 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gutgesell, Peg, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-1167494 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 164.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Principal IT Architect Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 824.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hall, Donald, R, Date of Receipt Mailing Address 510 Lake Cook Road 2020 City State Zip Code Transaction ID : A2020-1167571 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Rgnl/Group Financial Officer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 375.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name C. Hall, John, , , Date of Receipt Mailing Address 3000 North 27th Street 29 2020 City State Zip Code Transaction ID: A2020-1167582 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 48.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Technical Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 243.10 Other (specify) 288.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 49 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Halloran, Jim, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1167724 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 180.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. California Reg Affairs Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 903.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hanback, Corey, B, , Date of Receipt Mailing Address 11601 93rd Ave N 2020 City State Zip Code Transaction ID: A2020-1167576 MapleGrove MN 55369 Amount of Each Receipt this Period FEC ID number of contributing 160.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. WW Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 800.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hardin, Eric, , , Date of Receipt Mailing Address 100 NE Adams 29 2020 City State Zip Code Transaction ID: A2020-1168042 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 385.68 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 50 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hargis, Keith, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-1167876 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Facilities Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Harris, Lester, , , Date of Receipt Mailing Address 600 Balderson Blvd PO Box 6 05 2020 City State Zip Code Transaction ID: A2020-1167233 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing 40.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Production Scheduler 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 201.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Harris, Stephen, , , Date of Receipt Mailing Address 12085 New Day Dr 2020 City State Zip Code Transaction ID: A2020-1083194 TX Fort Worth 76179-9168 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 900.00 Other (specify) 185.27 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 51 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Harris, Stephen, , , Date of Receipt Mailing Address 12085 New Day Dr 2020 City Zip Code State Transaction ID: A2020-1120912 TX Fort Worth 76179-9168 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hart, Andrea, , , Date of Receipt Mailing Address 2120 West End Avenue 05 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1167648 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 165.58 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 827.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hartmann, Melissa, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 29 2020 Bldg L City State Zip Code Transaction ID: A2020-1167303 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 48.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **EHS Professional II** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 242.20 Other (specify) 314.02 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 52 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Haycraft, Martin, , , Date of Receipt Mailing Address 404 E Main St 2020 City Zip Code State Transaction ID: A2020-1083172 AL Albertville 35950-2444 Amount of Each Receipt this Period FEC ID number of contributing C 200.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP/CMO LRS Sales **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 1996.76 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Haycraft, Martin, , , Date of Receipt Mailing Address 404 E Main St 05 2020 City State Zip Code Transaction ID: A2020-1120886 AL Albertville 35950-2444 Amount of Each Receipt this Period FEC ID number of contributing 200.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP/CMO LRS Sales Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2196.98 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hays, Katie, , , Date of Receipt Mailing Address Suite 400 29 2020 1425 K Street City Zip Code State Transaction ID: A2020-1167857 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 188.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Int'l Fed & Govt Affairs Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 941.75 Other (specify) 588.79 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 53 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Heavrin, Katie, , , Date of Receipt Mailing Address 1720 W Kingsbury 2020 City Zip Code State Transaction ID: A2020-1167290 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Heiar, Keith, J, , Date of Receipt Mailing Address 501 SW Jefferson 05 2020 City State Zip Code Transaction ID: A2020-1167454 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 163.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 815.15 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Henderson, Dan, E, Date of Receipt Mailing Address 14009 Old Galena Rd. 29 2020 Bldg A City State Zip Code Transaction ID: A2020-1168109 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Innovation & Emerging Tech Dtr Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 413.03 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 54 OF 134 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Henley, Dave, , , Date of Receipt Mailing Address 1627 Veterans Memorial Pkwy E 2020 City Zip Code State Transaction ID: A2020-1167760 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Logistics Node Manager III Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Henry, Michael, , , Date of Receipt Mailing Address 1904 South First Street 05 2020 2nd Floor City State Zip Code Transaction ID: A2020-1167160 IL Champaign 61820 Amount of Each Receipt this Period FEC ID number of contributing 95.99 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 479.95 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hepler, Greg, , , Date of Receipt Mailing Address 100 Tractor Drive 29 2020 **Building CV** City State Zip Code Transaction ID: A2020-1167300 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 195.99 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 55 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Herbert, David, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City Zip Code State Transaction ID: A2020-1167021 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Herman, Jason, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City State Zip Code Transaction ID: A2020-1167264 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 63.94 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Logistics Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 319.70 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hermann, Eric, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 29 2020 Suite 4000 City State Zip Code Transaction ID: A2020-1167563 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 177.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Integrated Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 889.30 Other (specify) 291.80 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 56 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Heussner, Roy, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1167511 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 69.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 348.05 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hillman, Jonathan, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg A City State Zip Code Transaction ID: A2020-1167624 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 213.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1065.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Hindert, Brad, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 29 2020 Dock 55 City State Zip Code Transaction ID: A2020-1167411 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Technologist - Design Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 327.71 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 57 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hitchcox, Stephanie, , , Date of Receipt Mailing Address 1720 W Kingsbury 2020 City Zip Code State Transaction ID: A2020-1167329 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 49.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Supply Chn Performance Engr 2 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 248.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hodges, Susan, , , Date of Receipt Mailing Address 100 NE Adams 05 2020 City State Zip Code Transaction ID: A2020-1168038 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Learning Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hoefft, Tim, , , Date of Receipt Mailing Address 14009 N Old Galena Rd 29 2020 Tech Center Bldg K City State Zip Code Transaction ID: A2020-1167763 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Materials Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 149.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 58 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hoeft, David, , , Date of Receipt Mailing Address 200 NE Adams Street 2020 City Zip Code State Transaction ID: A2020-1167346 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 187.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 938.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hoepfinger, Dean, , , Date of Receipt Mailing Address 2901 Gateway Blvd. 05 2020 City State Zip Code Transaction ID: A2020-1167816 TX Waco 76712 Amount of Each Receipt this Period FEC ID number of contributing 51.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 259.15 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Holland, Sally, , , Date of Receipt Mailing Address 2120 West End Avenue 29 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1168114 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 66.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Receivables Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 331.90 Other (specify) 305.91 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hollingshead-Cook, Vanessa, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1167267 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Region Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Husar, Lucian, M, , Date of Receipt Mailing Address 9401 85Th Avenue North 2020 City State Zip Code Transaction ID: A2020-1167126 Brooklyn Park MN 55445 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Specialist-Design** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Hutt, Joe, , , Date of Receipt Mailing Address 812 W Washington Street 29 2020 City Zip Code State Transaction ID: A2020-1167446 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 178.97 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 894.85 Other (specify) 278.97 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ibrahim, Daniel, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City Zip Code State Transaction ID: A2020-1167758 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 195.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 975.70 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. James, Danielle, , , Date of Receipt Mailing Address Suite 400 2020 1425 K Street City State Zip Code Transaction ID: A2020-1167910 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 72.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Political Action Committee Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 363.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Johnson, Alicia, , , Date of Receipt Mailing Address 510 Lake Cook Road 29 2020 City State Zip Code Transaction ID: A2020-1167968 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 220.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Segment HR Director Receipt For: Aggregate Year-to-Date ▼ Primary General 1104.15 Other (specify) 488.72 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Brad, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City Zip Code State Transaction ID: A2020-1167058 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Mgr Adv Comp Mfg Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Johnson, Cheryl, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City State Zip Code Transaction ID: A2020-1167532 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Human Resources Officer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2083.30 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Johnson, Craig, , , Date of Receipt Mailing Address 2200 Pacific Highway 29 2020 City State Zip Code Transaction ID: A2020-1167362 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 883.33 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Denise, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City Zip Code State Transaction ID: A2020-1167848 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Group President** Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Johnson, Jerry, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2020 City State Zip Code Transaction ID : A2020-1167791 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 58.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Program Specialist III Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 292.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Johnson, Nathan, , , Date of Receipt Mailing Address 100 NE Adams 29 2020 City State Zip Code Transaction ID: A2020-1167871 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 46.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Recruiter III Receipt For: Aggregate Year-to-Date ▼ Primary General 232.10 Other (specify) 521.57 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnston, Dayven, , , Date of Receipt Mailing Address 2045 West Pike Street 2020 City State Zip Code Transaction ID: A2020-1167118 PA Houston 15342 Amount of Each Receipt this Period FEC ID number of contributing C 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kaiser, Jack, , , Date of Receipt Mailing Address 100 NE Adams 05 2020 City State Zip Code Transaction ID: A2020-1168020 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Karol, Kathryn, , , Date of Receipt Mailing Address Suite 400 29 2020 1425 K Street City Zip Code State Transaction ID: A2020-1167893 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 576.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kearns, Patrick, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1167409 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 86.78 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Industry Region Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 433.90 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kibby, Steve, , , Date of Receipt Mailing Address 200 NE Adams Street 2020 City State Zip Code Transaction ID : A2020-1167472 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 225.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1126.10 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kim, Charlie, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 29 2020 Bldg E City State Zip Code Transaction ID: A2020-1167261 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 58.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Eng Project Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 290.00 Other (specify) 370.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kinsey, Don, , , Date of Receipt Mailing Address 751 International Dr 2020 City Zip Code State Transaction ID: A2020-1167434 IN Franklin 46131 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Knepp, Wes, , , Date of Receipt Mailing Address 100 NE Adams 05 2020 City State Zip Code Transaction ID: A2020-1167594 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 180.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Labor & Employee Relations Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 903.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Knotts, Christine, , , Date of Receipt Mailing Address 100 NE Adams 29 2020 City State Zip Code Transaction ID: A2020-1167602 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 64.05 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Eng Project Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 320.25 Other (specify) 294.73 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kobrak, Harry, , , Date of Receipt Mailing Address 100 NE Adams Ocean Gate Minato Mirai 2020 City Zip Code State Transaction ID: A2020-1167469 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 198.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 990.90 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kruse, Brian, , , Date of Receipt Mailing Address 560 Rehoboth Road 05 2020 City State Zip Code Transaction ID : A2020-1167958 Griffin GA 30224 Amount of Each Receipt this Period FEC ID number of contributing 100.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Solutions Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 501.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kupferschmid, Jason, , , Date of Receipt Mailing Address 3501 South FM Hwy 1417 29 2020 City State Zip Code Transaction ID: A2020-1167764 TX Denison 75020 Amount of Each Receipt this Period FEC ID number of contributing C 86.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 433.20 Other (specify) 385.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kurumbailmadam, Sankar, , , Date of Receipt Mailing Address 500 N Morton Ave 2020 City Zip Code State Transaction ID: A2020-1167040 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lagacy, Julie, , , Date of Receipt Mailing Address 812 W Washington Street 05 2020 City State Zip Code Transaction ID: A2020-1167503 East Peoria IL 61611 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. LaHood, Dan, , , Date of Receipt Mailing Address 100 NE Adams 29 2020 City State Zip Code Transaction ID: A2020-1167564 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 172.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Region Finance Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 860.90 Other (specify) 738.85 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lautzenheiser, Scott, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1168065 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 117.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 565.53 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lawley, Jimmy, , , Date of Receipt Mailing Address 208 Gwindale Rd 2020 City State Zip Code Transaction ID: A2020-1083175 AL Gadsden 35901-5616 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services **VP Information Technology** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lawley, Jimmy, , , Date of Receipt Mailing Address 208 Gwindale Rd 2020 City State Zip Code Transaction ID: A2020-1120889 AL Gadsden 35901-5616 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services **VP Information Technology** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 167.39 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Leamon, Brittany, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City Zip Code State Transaction ID: A2020-1167906 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 49.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Treasury Ops Rep Funding Receipt For: Aggregate Year-to-Date ▼ Primary General 229.86 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Leary, Lawrence, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 05 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1167262 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 53.74 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Eng Project Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 268.70 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** LeBaron, Liz, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 29 2020 PO Box 348 City State Zip Code Transaction ID: A2020-1167288 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 153.40 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Long, Suzette, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City Zip Code State Transaction ID: A2020-1167187 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Counsel-Corp Secretary Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.30 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lueker, Josh, , , Date of Receipt Mailing Address 812 W Washington Street 2020 City State Zip Code Transaction ID: A2020-1167920 East Peoria IL 61611 Amount of Each Receipt this Period FEC ID number of contributing 40.17 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Staff Acct I Legal Entity Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 200.85 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Lusher, Jason, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 29 2020 Bldg L City State Zip Code Transaction ID: A2020-1167050 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 157.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 789.25 Other (specify) 614.68 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Markun, Joe, , , Date of Receipt Mailing Address 3701 State Road 26 East 2020 City Zip Code State Transaction ID: A2020-1167673 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **GM Large Engine Operations** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Martin, Alex, , , Date of Receipt Mailing Address 2120 West End Avenue 05 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1167259 Nashville TN 37203 Amount of Each Receipt this Period FEC ID number of contributing 136.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Funding Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 681.95 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Martin, Arthur, , , Date of Receipt Mailing Address 9330 Sky Park Court 29 2020 City State Zip Code Transaction ID: A2020-1167834 CA San Diego 92123-5398 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Dir Bus Management-Oil & Gas Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 286.39 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 73 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Martin, David, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City Zip Code State Transaction ID: A2020-1167550 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing C 500.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Rgnl/Group Financial Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Matheny, Steve, , , Date of Receipt Mailing Address 1600 Progress Drive 05 2020 P.O. Box 1037 City State Zip Code Transaction ID: A2020-1167326 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 205.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Assistant General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1025.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Matheson, Sean, , , Date of Receipt Mailing Address 500 N Morton Ave 29 2020 City State Zip Code Transaction ID: A2020-1167325 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 53.05 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Supply Network Lead I Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 270.94 Other (specify) 758.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 74 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Matteo, James, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1168133 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 113.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager III Receipt For: Aggregate Year-to-Date ▼ Primary General 567.85 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McCartan, Patrick, , , Date of Receipt Mailing Address 2120 West End Avenue 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1167756 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. CFSC Vice President / CFO Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McCartney, Sandi, , , Date of Receipt Mailing Address 100 Tractor Drive 29 2020 **Building CV** City State Zip Code Transaction ID: A2020-1167427 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 218.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1090.00 Other (specify) 381.57 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 75 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McClure, Rebecca, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-1167258 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 56.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Customer Relations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 282.95 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. McGuire, Andrew, A,, Date of Receipt Mailing Address 200 NE Adams Street 05 2020 City State Zip Code Transaction ID: A2020-1167154 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McKain, Rachel, , , Date of Receipt Mailing Address 100 NE Adams 29 2020 City Zip Code State Transaction ID: A2020-1167316 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Developmental Assignment** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 523.26 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McKay, Heather Rae, R,, Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1167133 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 48.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Business Process Specialist Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 242.25 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McMullen, Karen, , , Date of Receipt Mailing Address 100 NE Adams 05 2020 City State Zip Code Transaction ID: A2020-1168091 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 75.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Tax COE Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 379.15 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Mellott, Lauren, , , Date of Receipt Mailing Address 2120 West End Avenue 29 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1167318 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 54.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Credit Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 270.90 Other (specify) 178.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Menke, Charlie, , , Date of Receipt Mailing Address 28194 Caterpillar Lane 2020 City Zip Code State Transaction ID: A2020-1167396 IL Washington 61571 Amount of Each Receipt this Period FEC ID number of contributing C 213.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Machine Development Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1065.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Menssen, Jim, J,, Date of Receipt Mailing Address 4-H Park Road 05 2020 P O Box 740 City State Zip Code Transaction ID: A2020-1168011 IL **Pontiac** 61764 Amount of Each Receipt this Period FEC ID number of contributing 41.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Technologist-Test/Validation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 209.20 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Michael, Dave, , , Date of Receipt Mailing Address 100 NE Adams St. 29 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1167448 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **AFS Commercial Director** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 354.94 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Miller, Scott, , , Date of Receipt Mailing Address 501 SW Jefferson 2020 City Zip Code State Transaction ID: A2020-1167664 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Strategy Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Mills, Desmond, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg A City State Zip Code Transaction ID: A2020-1167847 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Milman, Kelsey, , , Date of Receipt Mailing Address 100 NE Adams 29 2020 City State Zip Code Transaction ID: A2020-1168078 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 2080.00 Other (specify) 566.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mitts, Marsha, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1168090 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 145.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Manager II-Export Controls Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 727.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Mohrman, Patrick, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 05 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1167569 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 88.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 442.60 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Monteleone, Arnold, , , Date of Receipt Mailing Address 1000 Morningside Cir 2020 City State Zip Code Transaction ID: A2020-1083176 TX Denison 75020-4154 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Trackwork Division Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 259.02 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Monteleone, Arnold, , , Date of Receipt Mailing Address 1000 Morningside Cir 2020 City Zip Code State Transaction ID: A2020-1120890 TX Denison 75020-4154 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Trackwork Division **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Montgomery, Djuana, , , Date of Receipt Mailing Address 812 W Washington Street 05 2020 City State Zip Code Transaction ID: A2020-1168102 East Peoria IL 61611 Amount of Each Receipt this Period FEC ID number of contributing 147.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Information Technology Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 735.55 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Mooney, Martin, , , Date of Receipt Mailing Address 3000 North 27th Street 29 2020 City State Zip Code Transaction ID : A2020-1167670 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 164.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineering Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 823.85 Other (specify) 336.88 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Moore, Jann, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1167903 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 125.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Governmental Affairs Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 628.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Moore, Rich, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City State Zip Code Transaction ID: A2020-1167497 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Treasurer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1000.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Morton, Susan, , , Date of Receipt Mailing Address 100 NE Adams 29 2020 City State Zip Code Transaction ID: A2020-1167889 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 53.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Guest Relations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 269.45 Other (specify) 379.57 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Morzy, Adam, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2020 City State Zip Code Transaction ID: A2020-1167873 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 62.76 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Accounting Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 313.80 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Moss, Jarrod, , , Date of Receipt Mailing Address 6000 W. Caterpillar Trail 2020 City State Zip Code Transaction ID: A2020-1167619 Green Valley ΑZ 85614 Amount of Each Receipt this Period FEC ID number of contributing 169.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 844.93 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Mund, Brian, , , Date of Receipt Mailing Address 875 W Cushing Street 29 2020 City State Zip Code Transaction ID: A2020-1167364 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 62.94 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Engineering Proj Team Leadr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 314.70 Other (specify) 295.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Muthekepalli, Srinivas, , , Date of Receipt Mailing Address 500 N. Morton Ave 2020 City Zip Code State Transaction ID: A2020-1167771 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 32.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior IS Specialist Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 262.67 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Myers, Bart, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 05 2020 Suite 4000 City State Zip Code Transaction ID: A2020-1167560 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Mgr EP Diesel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Myers, Chris, , , Date of Receipt Mailing Address Suite 400 29 2020 1425 K Street City Zip Code State Transaction ID: A2020-1167279 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 301.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Federal Government Affairs Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1507.20 Other (specify) 433.77 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Myers, Kirsten, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-1167268 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 83.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Lease Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 417.95 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Newell, Dontae, , , Date of Receipt Mailing Address 510 Lake Cook Road 05 2020 City State Zip Code Transaction ID: A2020-1167633 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 88.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 443.55 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Nguyen, Chau, , , Date of Receipt Mailing Address 540 W. Madison Street 29 2020 Floor 3 City State Zip Code Transaction ID: A2020-1167512 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing 111.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing & Ops Support Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 559.40 Other (specify) 283.91 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Nicoll, David, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg E 2020 City State Zip Code Transaction ID: A2020-1167970 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 55.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Norlin, John, , , Date of Receipt Mailing Address 14009 N Old Galena Rd 2020 Tech Center Bldg K City State Zip Code Transaction ID: A2020-1168037 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 164.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 824.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** O'Neil, Ed, , , Date of Receipt Mailing Address 100 Tractor Drive 29 2020 **Building CV** City State Zip Code Transaction ID: A2020-1167476 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Earthmoving Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) 319.88 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Osborn, Sheri, , , Date of Receipt Mailing Address 2200 Pacific Highway 2020 City Zip Code State Transaction ID: A2020-1167780 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing 71.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Supply Chain Area Sr. Mgr Solar Turbines Receipt For: Aggregate Year-to-Date ▼ Primary General 359.05 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Parks, Brett, , , Date of Receipt Mailing Address 2120 West End Avenue 05 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1167036 ΤN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 200.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1001.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Paternoga, Bob, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 29 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1167095 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Reman Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 688.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pellette, Tom, , , Date of Receipt Mailing Address 2200 Pacific Hwy 2020 City Zip Code State Transaction ID: A2020-1167107 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Senior Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Perez Sandi, Alex, , , Date of Receipt Mailing Address 8826 2 Route 24 05 2020 City State Zip Code Transaction ID : A2020-1167407 IL Mapleton 61547 Amount of Each Receipt this Period FEC ID number of contributing 73.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 456.43 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Peterson, Vanessa, , , Date of Receipt Mailing Address 100 NE Adams 29 2020 City State Zip Code Transaction ID: A2020-1167423 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 49.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Tax COE Receipt For: Aggregate Year-to-Date ▼ Primary General 248.15 Other (specify) 539.33 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pfautsch, Brian, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City Zip Code State Transaction ID: A2020-1167496 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Pierce, Robert, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg A City State Zip Code Transaction ID: A2020-1167374 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 82.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Performance Mgr 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 412.20 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Pitts, Dave, , , Date of Receipt Mailing Address 2120 West End Avenue 29 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1167632 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 66.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Executive Receipt For: Aggregate Year-to-Date ▼ Primary General 331.90 Other (specify) 198.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pladers, Missy, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City Zip Code State Transaction ID: A2020-1168057 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 42.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Staff Acct II - Accountable Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 214.10 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Potts, Rachel, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City State Zip Code Transaction ID: A2020-1167205 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir Enterprise Communications Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1000.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Price, Kyle, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 29 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1167483 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director of Procurement** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 292.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Qualey, Richard, , , Date of Receipt Mailing Address 5122 N. Jefferson Pl. 2020 City Zip Code State Transaction ID: A2020-1167553 WA Oak Harbor 98277 Amount of Each Receipt this Period FEC ID number of contributing C 55.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 278.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Quick, Hallie,, Date of Receipt Mailing Address 2120 West End Avenue 05 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1167055 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 47.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Compliance Risk Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 235.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Quigg, Jim, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 29 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1168118 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Product Source Plng. & RE Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.30 Other (specify) 519.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rasmussen, Marie, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg L 2020 City State Zip Code Transaction ID: A2020-1167631 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 53.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Specialist-Design** Receipt For: Aggregate Year-to-Date ▼ Primary General 267.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rassi, A.J., , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg A City State Zip Code Transaction ID: A2020-1167138 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 110.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 542.92 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rathman, Carol, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 29 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1167752 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 64.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Electronic Engrg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 322.25 Other (specify) 227.85 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rea, David, M,, Date of Receipt Mailing Address 875 W Cushing Street 2020 City Zip Code State Transaction ID: A2020-1168058 ΑZ 85745 Tucson Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.30 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Reiners, Eric, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-1167384 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Automation Sr. Tech Strategist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rich, Steve, , , Date of Receipt Mailing Address 875 W Cushing Street 29 2020 City State Zip Code Transaction ID: A2020-1167676 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mining General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) 666.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rider, Woody,,, Date of Receipt Mailing Address 501 Cardinal Drive 2020 City Zip Code State Transaction ID: A2020-1167415 Corinth MS 38834 Amount of Each Receipt this Period FEC ID number of contributing C 48.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 241.65 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Riggle, Robert, , , Date of Receipt Mailing Address 875 W Cushing Street 05 2020 City State Zip Code Transaction ID: A2020-1167862 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 67.92 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Performance Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 339.60 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ringel, Michael, , , Date of Receipt Mailing Address 500 N Morton Ave 29 2020 City State Zip Code Transaction ID: A2020-1167613 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 154.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Regional Packaging Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 309.22 Other (specify) 270.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Robbins, Ryan, , , Date of Receipt Mailing Address 812 W Washington Street 2020 City Zip Code State Transaction ID: A2020-1168080 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 54.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Category Buyer IV Receipt For: Aggregate Year-to-Date ▼ Primary General 271.90 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Rochford, Mike, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 05 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1167679 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. GM Gas & Med Speed Engines Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Roethler, Mary, , , Date of Receipt Mailing Address 100 NE Adams 29 2020 City State Zip Code Transaction ID: A2020-1167369 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 191.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Support Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 955.90 Other (specify) 305.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rolinitis, Steve, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1167078 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director Risk Management** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Rooney, Jim, , , Date of Receipt Mailing Address 100 NE Adams 05 2020 City State Zip Code Transaction ID: A2020-1167984 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Roos, Kimberly, , , Date of Receipt Mailing Address 28194 Caterpillar Lane 29 2020 City State Zip Code Transaction ID: A2020-1167335 IL Washington 61571 Amount of Each Receipt this Period FEC ID number of contributing 52.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Support Consultant** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 263.85 Other (specify) 569.44 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rosebraugh, Jeff, , , Date of Receipt Mailing Address 3701 South Street 2020 City Zip Code State Transaction ID: A2020-1167047 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing C 58.37 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 291.85 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rubel, Juergen, , , Date of Receipt Mailing Address 15550 NW 59Th Avenue 2020 City State Zip Code Transaction ID: A2020-1167644 FL Miami Lakes 33014 Amount of Each Receipt this Period FEC ID number of contributing 82.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Logistics Mgmt Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 412.20 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ruppel, Jeff, , , Date of Receipt Mailing Address 100 NE Adams 29 2020 City State Zip Code Transaction ID: A2020-1167785 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Assistant General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 240.81 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Russell, Melissa, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2020 City Zip Code State Transaction ID: A2020-1167928 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 167.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Governmental Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 835.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Saad, Jeffrey, , , Date of Receipt Mailing Address 100 NE Adams 05 2020 City State Zip Code Transaction ID: A2020-1167464 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 206.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1033.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Sage, Jason, , , Date of Receipt Mailing Address 400 Regency Forest Drive 29 2020 Suite 400 City State Zip Code Transaction ID: A2020-1168048 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 226.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1133.45 Other (specify) 600.44 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Salahuddin, Jihad, , , Date of Receipt Mailing Address 501 SW Jefferson 2020 City Zip Code State Transaction ID: A2020-1167600 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 153.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Developmental Assignment** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 767.05 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sale, Ronald, , Date of Receipt Mailing Address 8826 2 Route 24 05 2020 City State Zip Code Transaction ID: A2020-1167324 IL Mapleton 61547 Amount of Each Receipt this Period FEC ID number of contributing 54.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Section Mgr 4 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 388.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Sallowicz, Marcos, , , Date of Receipt Mailing Address 701 Waterford Way 29 2020 Suite 600 City State Zip Code Transaction ID: A2020-1167774 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing & Ops Support Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 307.74 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Santilli, Stefano, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-1167548 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 188.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Risk Analytics Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 943.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Schaffroth, Bill, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1167455 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Steel Products** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Schell, Bill, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 29 2020 Bldg L City State Zip Code Transaction ID: A2020-1167034 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 288.57 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Schmillen, Kenneth, , , Date of Receipt Mailing Address 501 SW Jefferson 2020 City Zip Code State Transaction ID: A2020-1167837 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 44.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Parts & Svc Mktg Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 222.95 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Seely, Mary, , , Date of Receipt Mailing Address 6800 Doerr Lane 05 2020 City State Zip Code Transaction ID: A2020-1167018 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing 343.17 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1715.85 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Selburg, Brent, , , Date of Receipt Mailing Address 100 NE Adams 29 2020 City State Zip Code Transaction ID: A2020-1168043 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Parts Operations Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 437.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shankwitz, Phil, , , Date of Receipt Mailing Address 600 Balderson Blvd PO Box 6 2020 City State Zip Code Transaction ID: A2020-1167478 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing C 188.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 940.65 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Shapland, Brad, , , Date of Receipt Mailing Address 812 W Washington Street 05 2020 City State Zip Code Transaction ID: A2020-1168119 East Peoria IL 61611 Amount of Each Receipt this Period FEC ID number of contributing 88.96 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IT Architect Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 444.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Shea, Ryan, , , Date of Receipt Mailing Address 600 Balderson Blvd 29 2020 PO Box 6 City State Zip Code Transaction ID: A2020-1168003 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing C 56.05 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. 6 Sigma Black Belt-2 Receipt For: Aggregate Year-to-Date ▼ Primary General 280.25 Other (specify) 333.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sheffield, Maria, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-1167931 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 203.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **President Insurance Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 1015.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Shepherd, Scott, , , Date of Receipt Mailing Address 500 N Morton Ave 2020 City State Zip Code Transaction ID: A2020-1167884 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 90.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir Aftermarket Parts Dist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 450.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Shurman, Rod, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 29 2020 Suite 4000 City State Zip Code Transaction ID: A2020-1167368 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 270.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) General Manager Oil & Gas Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1353.30 Other (specify) 563.74 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shute, Truman, , , Date of Receipt Mailing Address 2800 E. Cedar Hills Drive 2020 City Zip Code State Transaction ID: A2020-1167623 IL 61523 Chillicothe Amount of Each Receipt this Period FEC ID number of contributing 70.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Solutions Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 358.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sivertsen, Nick, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1167339 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Transportation Manager III Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sluga, Maureen, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 29 2020 Suite 4000 City State Zip Code Transaction ID : A2020-1167450 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 173.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 867.20 Other (specify) 294.35 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Smith, Kristen, , , Date of Receipt Mailing Address Suite 400 2020 1425 K Street City Zip Code State Transaction ID: A2020-1167546 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Communications Rep Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Smith, Mark, , , Date of Receipt Mailing Address 875 W Cushing Street 05 2020 City State Zip Code Transaction ID: A2020-1167863 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 54.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product & Tech Training Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 272.85 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Smith, Mary, , , Date of Receipt Mailing Address 3101 Bethsaida Rd 2020 City State Zip Code Transaction ID: A2020-1083181 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing C 122.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1208.22 Other (specify) 251.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Smith, Mary, , , Date of Receipt Mailing Address 3101 Bethsaida Rd 2020 City Zip Code State Transaction ID: A2020-1120899 Boaz AL 35957 Amount of Each Receipt this Period FEC ID number of contributing C 122.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1330.41 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Smith, Wayne, , , Date of Receipt Mailing Address 500 N Morton Ave 05 2020 City State Zip Code Transaction ID: A2020-1167957 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 151.55 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Process Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 757.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sonnemaker, Brian, , , Date of Receipt Mailing Address 812 W Washington Street 29 2020 City State Zip Code Transaction ID: A2020-1167769 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group CIO IT Engagement Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1250.00 Other (specify) 523.74 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Speake, Laura, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1167917 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 147.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Regional Chief Economist Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 738.20 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Spears, Drew, , , Date of Receipt Mailing Address 2120 West End Avenue 05 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1167181 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assn - 4 Pillars Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Spitznagle, Matt, , , Date of Receipt Mailing Address 560 Rehoboth Road 29 2020 City State Zip Code Transaction ID: A2020-1167696 GΑ Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 46.94 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 234.70 Other (specify) 239.58 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Staley, Daniel, , , Date of Receipt Mailing Address 200 NE Adams Street 2020 City Zip Code State Transaction ID: A2020-1167915 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 149.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 745.35 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Stanley, Jim, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg E City State Zip Code Transaction ID: A2020-1167265 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 103.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Technology Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 516.95 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Starr, Jim, , , Date of Receipt Mailing Address 875 W Cushing Street 29 2020 City State Zip Code Transaction ID: A2020-1168001 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 187.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Aftermarket Services Reg. Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 935.00 Other (specify) 439.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Steiner, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1167964 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 151.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 758.45 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stevens, Janet, , , Date of Receipt Mailing Address 3701 State Road 26 East 2020 City State Zip Code Transaction ID: A2020-1167918 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 153.17 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 765.85 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stevens, Matt.,, Date of Receipt Mailing Address 9401 85Th Avenue North 29 2020 City Zip Code State Transaction ID: A2020-1167312 MN Brooklyn Park 55445 Amount of Each Receipt this Period FEC ID number of contributing C 40.79 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Manufacturing Engineer 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 203.95 Other (specify) 345.65 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stevenson, Brad, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1167465 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 144.55 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) EHS Manager II Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 722.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stimeling, John, , , Date of Receipt Mailing Address 1720 W Kingsbury 05 2020 City State Zip Code Transaction ID: A2020-1167172 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing 49.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 247.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stockwell, Tammi, , , Date of Receipt Mailing Address 200 NE Adams Street 29 2020 City State Zip Code Transaction ID: A2020-1167360 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 122.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Risk & Compliance Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 610.40 Other (specify) 316.13 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stone, Brian, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-1167143 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 118.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engrg Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 591.60 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Stone, Casey, , , Date of Receipt Mailing Address 100 Tractor Drive 2020 **Building CV** City State Zip Code Transaction ID: A2020-1167353 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 67.01 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Information Technology Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 335.05 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stone, Jonathan, , , Date of Receipt Mailing Address 27Th & Pershing Rd 29 2020 PO Box 1430 City State Zip Code Transaction ID: A2020-1167144 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing 164.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Developmental Assignment** Receipt For: Aggregate Year-to-Date ▼ Primary General 824.31 Other (specify) 349.89 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stratton, Mark, , , Date of Receipt Mailing Address 1720 W Kingsbury 2020 City Zip Code State Transaction ID: A2020-1167675 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **GM IPSD Large Engines** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Strother, Art, , , Date of Receipt Mailing Address 100 NE Adams 2020 Cileungsi Bogor City State Zip Code Transaction ID: A2020-1168064 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 159.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 799.30 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stroup, Dana, , , Date of Receipt Mailing Address 100 Tractor Drive 29 2020 **Building CV** City State Zip Code Transaction ID: A2020-1168027 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 213.92 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager III Receipt For: Aggregate Year-to-Date ▼ Primary General 1069.60 Other (specify) 790.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sundell, Dan, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-1167507 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 136.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **GM of Operations** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 683.25 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Swick, Chris, , , Date of Receipt Mailing Address 5012 Womack Rd 2020 City State Zip Code Transaction ID: A2020-1168052 NC Sanford 27330 Amount of Each Receipt this Period FEC ID number of contributing 82.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 410.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Taluc, Chris, , , Date of Receipt Mailing Address 812 W Washington Street 29 2020 City State Zip Code Transaction ID: A2020-1167067 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 153.96 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Portfolio Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 461.88 Other (specify) 372.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Taphorn, Matt, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2020 City Zip Code State Transaction ID: A2020-1167212 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 123.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 616.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Taylor, Carietha, , , Date of Receipt Mailing Address 1720 W Kingsbury 05 2020 City State Zip Code Transaction ID: A2020-1167173 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing 117.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Master Black Belt Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 585.55 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Thaker, Hrishikesh, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 29 2020 City Zip Code State Transaction ID: A2020-1167998 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 66.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 333.15 Other (specify) 307.09 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Thompson, Clay, , , Date of Receipt Mailing Address 701 Waterford Way Suite 600 2020 City State Zip Code Transaction ID: A2020-1168129 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President CFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.30 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Tilly, Brad, , , Date of Receipt Mailing Address 875 W Cushing Street 05 2020 City State Zip Code Transaction ID : A2020-1167575 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 92.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Region Mgr - Global Accounts Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 461.60 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Tippey, Trent, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 29 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1167663 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 608.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Tobias, Mitch, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV Dock CV 2020 City Zip Code State Transaction ID: A2020-1167399 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 125.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 570.74 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Toher, Susan, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 05 2020 City State Zip Code Transaction ID: A2020-1167654 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Tomlins, Greg, , , Date of Receipt Mailing Address 100 Tractor Drive 29 2020 **Building CV** City State Zip Code Transaction ID: A2020-1167749 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 164.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 820.40 Other (specify) 389.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Tomsovic, Brian, , , Date of Receipt Mailing Address 1720 W Kingsbury 2020 City Zip Code State Transaction ID: A2020-1168028 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 78.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 390.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Towne, Ursula, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 05 2020 Bldg L City State Zip Code Transaction ID: A2020-1167956 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 45.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Eng Project Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 229.05 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Umpleby, Donald, , , III Date of Receipt Mailing Address 100 NE Adams 29 2020 City State Zip Code Transaction ID: A2020-1167945 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Executive Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 540.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Utley, Tana, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-1167492 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Vanek, Jeff, , , Date of Receipt Mailing Address 100 NE Adams 2020 Suite 902 City State Zip Code Transaction ID: A2020-1167087 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 138.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 691.15 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Varela, Antonio, , , Date of Receipt Mailing Address 875 W Cushing Street 29 2020 City State Zip Code Transaction ID: A2020-1167183 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 45.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Product Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 247.25 Other (specify) 600.37 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Varghese, Asha,,, Date of Receipt Mailing Address 510 Lake Cook Road 2020 City Zip Code State Transaction ID: A2020-1167552 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing C 193.02 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) President Cat Foundation Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 965.10 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. VeZain, Darilynn, , , Date of Receipt Mailing Address 501 SW Jefferson 05 2020 City State Zip Code Transaction ID: A2020-1167855 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.78 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Digital Consultant 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 253.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Volz, Carl, , , Date of Receipt Mailing Address 100 NE Adams 29 2020 City State Zip Code Transaction ID: A2020-1167728 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 72.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General 362.80 Other (specify) 316.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Walters, Tom, , , Date of Receipt Mailing Address 600 S. Second Street Suite 101 2020 City State Zip Code Transaction ID: A2020-1167997 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing C 225.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US State & Americas GGCA Dir. Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1125.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Walton, Dave, , , Date of Receipt Mailing Address 2120 West End Avenue 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1168112 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2083.30 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Walton, Jay, , , Date of Receipt Mailing Address 100 NE Adams 29 2020 City State Zip Code Transaction ID: A2020-1167093 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Caterpillar Defense** Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.30 Other (specify) 1058.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Warning, Kenny, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-1167245 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 44.72 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Electronic Black Belt Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 223.60 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Waters, Bill, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1167628 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 151.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 756.15 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Weaver, Travis, , , Date of Receipt Mailing Address 540 W. Madison Street 29 2020 Floor 3 City State Zip Code Transaction ID: A2020-1167899 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing 112.95 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mgr Strategic Bus Planning Receipt For: Aggregate Year-to-Date ▼ Primary General 341.51 Other (specify) 308.90 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Weer, Noel, S,, Date of Receipt Mailing Address 3000 North 27th Street 2020 City Zip Code State Transaction ID: A2020-1167962 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 72.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sales & Oprns Plng Coord 2 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 359.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Weiss, Karl, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 05 2020 Bldg A City State Zip Code Transaction ID : A2020-1167561 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2083.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Weston, Douglas, , , Date of Receipt Mailing Address 100 NE Adams 29 2020 City State Zip Code Transaction ID: A2020-1167498 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director of Procurement** Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) 563.75 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name White, Christopher, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City Zip Code State Transaction ID: A2020-1167989 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Product Supply Mgr I Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Whiteley, Douglas, , , Date of Receipt Mailing Address 2416 Mid-America Industrial Dr 2020 City State Zip Code Transaction ID: A2020-1168032 MO Boonville 65233-2635 Amount of Each Receipt this Period FEC ID number of contributing 180.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 903.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Wiest, Valerie, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 29 2020 Bldg F City State Zip Code Transaction ID: A2020-1167744 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 91.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager 4** Receipt For: Aggregate Year-to-Date ▼ Primary General 456.15 Other (specify) 321.91 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wiklund, Bob, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-1167426 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **CPF Managing Director** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wilcoxen, Sam, , , Date of Receipt Mailing Address 27Th & Pershing Rd 05 2020 PO Box 1430 City State Zip Code Transaction ID: A2020-1167960 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing 59.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mfg Engineering Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 295.65 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wilkerson, Jeff, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 29 2020 Bldg A City State Zip Code Transaction ID : A2020-1167292 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 148.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 296.54 Other (specify) 257.40 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Williamson, Ted,,, Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1167976 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 68.76 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CPS Black Belt-3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 343.80 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wilson, lan, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1167022 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Winkel, Chris, , , Date of Receipt Mailing Address 100 NE Adams 29 2020 City State Zip Code Transaction ID: A2020-1167491 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 52.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Prod Compliance & Support Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 262.05 Other (specify) 171.17 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Winkler, Michelle, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2020 City State Zip Code Transaction ID: A2020-1167881 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 58.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Division HR Communication Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 289.79 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Withers, Chad, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City State Zip Code Transaction ID: A2020-1167929 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Tax Officer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2083.30 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wolbert, Robert, , , Date of Receipt Mailing Address 3570 Granite Way 2020 City State Zip Code Transaction ID: A2020-1083170 GΑ Martinez 30907-8974 Amount of Each Receipt this Period FEC ID number of contributing C 76.98 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 769.80 Other (specify) 551.71 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wolbert, Robert, , , Date of Receipt Mailing Address 3570 Granite Way 2020 City Zip Code State Transaction ID: A2020-1120884 GA Martinez 30907-8974 Amount of Each Receipt this Period FEC ID number of contributing C 76.98 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 846.78 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Wu, Christina, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2020 City State Zip Code Transaction ID: A2020-1167930 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 45.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Staff Acct I Cost / DS Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 228.30 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Wurtzbacher, Corey, , , Date of Receipt Mailing Address 100 Sanford Street 29 2020 City State Zip Code Transaction ID: A2020-1167249 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 210.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1050.00 Other (specify) 332.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Yergler, Daren, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1167739 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 167.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Human Resource Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 837.55 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Yordy, Christine, , , Date of Receipt Mailing Address 500 N. Morton Ave. 05 2020 City State Zip Code Transaction ID: A2020-1167309 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 50.98 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Division Talent Developmnt Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 254.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Younessi, Ramin, , , Date of Receipt Mailing Address 510 Lake Cook Road 29 2020 City State Zip Code Transaction ID: A2020-1167907 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Group President** Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.35 Other (specify) 635.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Young, Michael, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City Zip Code State Transaction ID: A2020-1167277 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Zdanowski, John, , , Date of Receipt Mailing Address 812 W Washington Street 2020 City State Zip Code Transaction ID: A2020-1167944 East Peoria IL 61611 Amount of Each Receipt this Period FEC ID number of contributing 52.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IT Architect I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 262.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Zemke, Wayne, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 29 2020 Suite 4000 City State Zip Code Transaction ID: A2020-1167969 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) 227.40 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 129 OF 134 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Zircher, Jeff, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg E 29 City State Zip Code Transaction ID: A2020-1168092 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 50.00 SUBTOTAL of Receipts This Page (optional)..... 45811.77 TOTAL This Period (last page this line number only).....

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SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE	
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(check only 21b 28a	7 one) 22 X 23 26 27 28b 28c 29 30b
Any information copied from such Reports and State or for commercial purposes, other than using the national state of the commercial purposes.			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Poli	tical Action Committ	tee	
Full Name (Last, First, Middle Initial)			Data of Diabura areas
Steve Daines for Montana			Date of Disbursement
Mailing Address PO Box 1598	Otata 7in Onda		05 08 2020
City Helena	State Zip Code MT 59624		FEC Identification Number
Purpose of Disbursement Contribution		011	C C00491357
Candidate Name		Category/	Transaction ID: B762503 Amount of Each Disbursement this Period
Daines, Steven, , ,		Type	
x Senate x	ment For: 2020 Primary General		2500.00
State: MT District:	Other (specify) ▼		Memo Item
Full Name (Last, First, Middle Initial)			
B. Team Graham Inc.			Date of Disbursement
Mailing Address PO Box 1801			05 08 2020
City	State Zip Code		FEC Identification Number
Columbia Purpose of Disbursement	SC 29202		C 000450000
Contribution		011	C C00458828
Candidate Name		Category/	Transaction ID: B762504 Amount of Each Disbursement this Period
Graham, Lindsey, O, ,		Type	Table 5. 233. 2.334.35million for the
	ment For: 2020		5000.00
Senate x	Primary General		
State: SC District:	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial) C. Tom Reed For Congress			Date of Disbursement
Mailing Address PO Box 94			05 08 2020
City	State Zip Code		FEC Identification Number
Corning	NY 14830		
Purpose of Disbursement Contribution		011	C C00464032
Candidate Name		011	Transaction ID : B762505
Reed, Tom, , ,		Category/ Type	Amount of Each Disbursement this Period
	ment For: 2020	rype	2500.00
Senate Seagn.	Primary General		7 7 7
President	Other (specify) ▼		Memo Item
State: NY District: 23	·		Wellio Itelli
SUBTOTAL of Disbursements This Page (optional).			10000.00
·			
TOTAL This Period (last page this line number only	")		

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	FOR LINE NUMBER: PAGE 131 OF 134 (check only one)		
	Detailed Summary Page	21b 28a	22 X 23 26 27 28b 28c 29 30b		
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam					
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Polit					
Full Name (Last, First, Middle Initial) A. CoalPAC			Date of Disbursement		
Mailing Address 101 Constitution Avenue NW 500 B	E		05 26 2020		
Washington	State Zip Code DC 20001		FEC Identification Number		
Purpose of Disbursement Contribution Candidate Name		011	C C00109819 Transaction ID : B763025 Amount of Each Disbursement this Period		
	ment For: 2020	Category/ Type	Amount of Each Disbursement this Period 2500.00		
President x	Primary General Other (specify) ▼		Memo Item		
State: District: Full Name (Last, First, Middle Initial)	Not Applicab	e			
Hoyer for Congress			Date of Disbursement		
Mailing Address 700 13th Street NW #600	01-1-2		05 26 2020		
,	State Zip Code 20005		FEC Identification Number		
Purpose of Disbursement Contribution		011	C C00140715 Transaction ID : B763026		
Candidate Name Hoyer, Steny, H, ,		Category/ Type	Amount of Each Disbursement this Period		
Office Sought: X House Disburser Senate X	ment For: 2020 Primary General		2500.00		
State: MD District: 05	Other (specify)		Memo Item		
Full Name (Last, First, Middle Initial) C. MinePAC			Date of Disbursement		
Mailing Address 101 Constitution Avenue NW 500 I	E		05 26 2020		
City Washington Purpose of Disbursement Contribution	State Zip Code DC 20001		FEC Identification Number		
Candidate Name		O11 Category/ Type	Transaction ID: B763024 Amount of Each Disbursement this Period		
Senate	ment For: 2020 Primary General Other (specify)	1,100	2500.00		
State: District:	Not Applicable	e	Memo Item		
SUBTOTAL of Disbursements This Page (optional)			7500.00		
TOTAL This Period (last page this line number only)	<u> </u>		17500.00		

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SCHEDULE B (FEC Form 3X)	Han agreement of the		NUMBER: PAGE 132 OF 134
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	ne Concor only	y one) 22 23 26 27
	Detailed Summary Pag		28b 28c 29 30b
Any information copied from such Reports and Staten	nents may not be sold or	r used by any pers	son for the purpose of soliciting contributions
or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)			
$\Big angle$ The Caterpillar Inc. Employee Polit	ical Action Comm	nittee	
Full Name (Last, First, Middle Initial)			Data of Bishamouran
A. Koshere, Jo-Anne, , ,			Date of Disbursement
Mailing Address 8124 South 57th St.			05 21 2020
City Franklin	State Zip Code WI 53132		FEC Identification Number
Purpose of Disbursement	771 53132		
Refund to Employee		010	C Proposition ID - P744994
Candidate Name		Category/	Transaction ID: B741984 Amount of Each Disbursement this Period
		Type	
	ment For: 2019		- 100.00
Senate	Primary General	al	Voided: Original check date
State: President x	Other (specify) ▼ Not Applic	able	Memo Item 09/27/19
Full Name (Last, First, Middle Initial)	- Пот Аррію	abic	
B. Koshere, Jo-Anne, , ,			Date of Disbursement
Noshere, 30-Anne, , ,			M M / D D / Y Y Y Y
Mailing Address 8124 South 57th St.			05 26 2020
City	State Zip Code		FEC Identification Number
Franklin	WI 53132		
Purpose of Disbursement Refund to Employee		010	C
Candidate Name			Transaction ID : B762999
		Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disbursen	ment For: 2020		100.00
Senate	Primary General	al	4 4
	Other (specify)		Memo Item
State: District:	Not Applic	cable	В
Full Name (Last, First, Middle Initial) C.			Date of Disbursement
5 .			
Mailing Address			M M / D D / Y Y Y Y
City	State Zip Code		FEC Identification Number
Purpose of Disbursement			C
Candidate Name			
Candidate Name		Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disburser	ment For:	Туро	
Senate	Primary Genera	al	7 7
President	Other (specify) ▼		Memo Item
State: District:			
			0.00
SUBTOTAL of Disbursements This Page (optional)		···········	0.00
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SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE N	
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 28a	22 23 26 27 28b 28c x 29 30b
Any information copied from such Reports and Staten or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Polit	,,		
Full Name (Last, First, Middle Initial) A. Rommel for State House			Date of Disbursement
Mailing Address 7633 Mulberry Lane			05 12 / 2020
City Naples Purpose of Disbursement	State Zip Code FL 34114		FEC Identification Number
P-2020 State House 106 FL Candidate Name	[011	Transaction ID : B722661 Amount of Each Disbursement this Period
	ment For: 2020 Primary General Other (specify) ▼	Category/ Type	Voided: Original check dated Memo Item 02/28/19
Full Name (Last, First, Middle Initial) B. Georgia House Republican Trust Ir Mailing Address P.O. Box 430	nc		Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Monroe Purpose of Disbursement	State Zip Code GA 30655		FEC Identification Number
State Party Cmte Candidate Name	I	011 Category/ Type	Transaction ID : B762502 Amount of Each Disbursement this Period
Senate	ment For: 2020 Primary General Other (specify) Not Applicable		2500.00 Memo Item
Full Name (Last, First, Middle Initial) Friends of Win Stoller			Date of Disbursement
Mailing Address 8415 N. Allen Road			05 08 2020
City Peoria Purpose of Disbursement G-2020 State Senate 37 IL	State Zip Code IL 61615	011	FEC Identification Number C Transaction ID : B762501
Candidate Name Stoller, Win, , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2020 Primary General Other (specify) ▼		5000.00 Memo Item
SUBTOTAL of Disbursements This Page (optional)		······ •	6500.00
TOTAL This Period (last page this line number only))		

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	for each	arate schedule(s) category of the Summary Page	FOR LINE (check only	one) 22 23 26 27
ny information copied from such Reports and Statements may r		2		28b 28c x 29 30b
or for commercial purposes, other than using the nan				
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Polit	tical Acti	on Committe	e	
Full Name (Last, First, Middle Initial) A. Friends Of Jehan Gordon				Date of Disbursement
Mailing Address PO Box 6591				05 12 2020
Peoria	State IL	Zip Code 61601		FEC Identification Number
Purpose of Disbursement P-2020 State House 92 IL			011	C Transaction ID : B736835
Candidate Name Gordon, Jehan, , ,			Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disburser	ment For: 2 Primary Other (spec	General		- 2500.00 Voided: Original check date Memo Item 07/29/19
State: IL District: 92	-			L Womo Rom 37,25,10
Full Name (Last, First, Middle Initial) 3.				Date of Disbursement
Mailing Address				
City	State	Zip Code		FEC Identification Number
Purpose of Disbursement				С
Candidate Name			Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disburser Senate President	ment For: Primary Other (spec	General Cify)		Memo Item
State: District: Full Name (Last, First, Middle Initial)				Memo Item
C.				Date of Disbursement
Mailing Address				M M / D D / Y Y Y Y
City	State	Zip Code		FEC Identification Number
Purpose of Disbursement			C	
Candidate Name			Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disburser Senate	ment For: Primary	General	21 -	
President State: District:	Other (spec			Memo Item
SUBTOTAL of Disbursements This Page (optional)				- 2500.00
TOTAL This Period (last page this line number only)				4000.00