

**FEC
FORM 3X**

**REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (In full)

USE FEC MAILING LABEL OR TYPE OR PRINT

Example: If typing, type over the lines

The Caterpillar Employee Political Action Committee

ADDRESS (number and street)

100 N.E. Adams

Check if different than previously reported. (ACC)

Peoria

IL

61629

1430

2. FEC IDENTIFICATION NUMBER

CITY

STATE

ZIP CODE

C00148031

3. IS THIS REPORT

X

NEW (N)

OR

AMENDED (A)

4. TYPE OF REPORT (Choose One)

(b) Monthly Report Due On:

Feb 20 (M2)

May 20 (M5)

X

Aug 20 (M8)

Nov 20 (M11) (Non-Election Year Only)

(a) Quarterly Reports:

April 15 Quarterly Report(Q1)

July 15 Quarterly Report(Q2)

October 15 Quarterly Report(Q3)

January 31 Quarterly Report(YE)

July 31 Mid-Year Report(Non-election Year Only) (MY)

Termination Report (TER)

(c) 12-Day PRE-Election Report for the:

Primary (12P)

General (12G)

Runoff (12R)

Convention (12C)

Special (12S)

Election on

in the State of

(d) 30-Day Post-Election Report for the:

General (30G)

Runoff (30R)

Special (30S)

Election on

in the State of

5. Covering Period

07

01

2004

through

07

31

2004

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Gary Vest

Signature of Treasurer

Electronically Filed by Gary Vest

Date

08

19

2004

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office Use Only

FEC FORM 3X
(Rev. 02/2003)

SUMMARY PAGE

OF RECEIPTS AND DISBURSEMENTS

Write or Type Committee Name

The Caterpillar Employee Political Action Committee

Report Covering the Period: From: ^M07 ^D01 ^Y2004 To: ^M07 ^D31 ^Y2004

| | COLUMN A This Period | COLUMN B Calendar Year-to-Date |
|---|-------------------------|-----------------------------------|
| 6. (a) Cash on Hand January 1 ^Y 2004 ^Y | | 75528.66 |
| (b) Cash on Hand at Beginning of Reporting Period | 26828.66 | |
| (c) Total Receipts (from Line 19) | 14530.11 | 103375.12 |
| (d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) | 41358.77 | 178903.77 |
| <hr/> | | |
| 7. Total Disbursements (from Line 31) | 10000.00 | 147545.00 |
| <hr/> | | |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) | 31358.77 | 31358.77 |
| <hr/> | | |
| 9. Debts and Obligations owed TO the committee (itemize all on Schedule C and/or Schedule D) | 0.00 | |
| 10. Debts and Obligations owed BY the committee (itemize all on Schedule C and/or Schedule D) | 0.00 | |

This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

**DETAILED SUMMARY PAGE
OF RECEIPTS**

FEC Form 3X (Rev. 02/2003)

Page 3

Write or Type Committee Name

The Caterpillar Employee Political Action Committee

Report Covering the Period: From: ^M07 ⁻01 ⁻2004 To: ^M07 ⁻31 ⁻2004

| I. Receipts | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 11. Contributions (other than loans) From: | | |
| (a) Individuals/Persons Other Than Political Committees | | |
| (i) Itemized (use Schedule A) | 4420.50 | |
| (ii) Unitemized | 10094.41 | |
| (iii) TOTAL (add Lines 11(a)(i) and (ii)) | 14514.91 | 103269.18 |
| (b) Political Party Committees | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs) | 0.00 | 0.00 |
| (d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5) | 14514.91 | 103269.18 |
| 12. Transfers From Affiliated/Other Party Committees | 0.00 | 0.00 |
| 13. All Loans Received | 0.00 | 0.00 |
| 14. Loan Repayments Received | 0.00 | 0.00 |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) | 0.00 | 0.00 |
| 16. Refunds of Contributions Made to Federal candidates and Other Political Committees | 0.00 | 0.00 |
| 17. Other Federal Receipts (Dividends, Interest, etc.) | 15.20 | 105.94 |
| 18. Transfers from Non-Federal and Levin Funds | | |
| (a) Non-Federal Account (from Schedule H3) | 0.00 | 0.00 |
| (b) Levin Funds (from Schedule H5) | 0.00 | 0.00 |
| (c) Total Transfer (add 18(a) and 18(b)) | 0.00 | 0.00 |
| 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) | 14530.11 | 103375.12 |
| 20. Total Federal Receipts (subtract Line 18(c) from Line 19) | 14530.11 | 103375.12 |

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

| II. DISBURSEMENTS | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|-------------------------------|-----------------------------------|
| 21. Operating Expenditures: | | |
| (a) Shared Federal/Non-Federal Activity (from Schedule H4) | | |
| (i) Federal Share..... | 0.00 | 0.00 |
| (ii) Non-Federal Share..... | 0.00 | 0.00 |
| (b) Other Federal Operating Expenditures..... | 0.00 | 45.00 |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... ▶ | 0.00 | 45.00 |
| 22. Transfers to Affiliated/Other Party Committees..... | 0.00 | 0.00 |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees..... | 10000.00 | 115000.00 |
| 24. Independent Expenditure (use Schedule E)..... | 0.00 | 0.00 |
| 25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)..... | 0.00 | 0.00 |
| 26. Loan Repayments Made..... | 0.00 | 0.00 |
| 27. Loans Made..... | 0.00 | 0.00 |
| 28. Refunds of Contributions To: | | |
| (a) Individuals/Persons Other Than Political Committees..... | 0.00 | 0.00 |
| (b) Political Party Committees..... | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs)..... | 0.00 | 0.00 |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c))..... ▶ | 0.00 | 0.00 |
| 29. Other Disbursements..... | 0.00 | 32500.00 |
| 30. Federal Election Activity (2 U.S.C 431(20)) | | |
| (a) Shared Federal Election Activity (from Schedule H6) | | |
| (i) Federal Share..... | 0.00 | 0.00 |
| (ii) "Levin" Share..... | 0.00 | 0.00 |
| (b) Federal Election Activity Paid Entirely With Federal Funds..... | 0.00 | 0.00 |
| (c) Total Federal Election ActMty (add Lines 30(a)(i), 30(a)(ii) and 30(b)).... | 0.00 | 0.00 |
| 31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)).. | 10000.00 | 147545.00 |
| 32. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30(a)(ii) from Line 31)..... | 10000.00 | 147545.00 |

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

| III. Net Contributions/Operating Expenditures | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|-------------------------------|-----------------------------------|
| 33. Total Contributions (other than loans) from Line 11(d), page 3) | 14514.91 | 103269.18 |
| 34. Total Contribution Refunds (from Line 28(d)) | 0.00 | 0.00 |
| 35. Net Contributions (other than loans) (subtract Line 34 from Line 33) | 14514.91 | 103269.18 |
| 36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))..... | 0.00 | 45.00 |
| 37. Offsets to Operating Expenditures (from Line 15, page 3) | 0.00 | 0.00 |
| 38. Net Operating Expenditures (subtract Line 37 from Line 36) | 0.00 | 45.00 |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 35

(check only one)

| | | | | | | | | | | | | | | | | | |
|-------------------------------------|-----|--------------------------|-----|--------------------------|-----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|
| <input checked="" type="checkbox"/> | 11a | <input type="checkbox"/> | 11b | <input type="checkbox"/> | 11c | <input type="checkbox"/> | 12 | <input type="checkbox"/> | 13 | <input type="checkbox"/> | 14 | <input type="checkbox"/> | 15 | <input type="checkbox"/> | 16 | <input type="checkbox"/> | 17 |
|-------------------------------------|-----|--------------------------|-----|--------------------------|-----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|--|---|---|
| Full Name (Last, First, Middle Initial) A. Paulette JACKSON | | Date of Receipt M / D / Y |
| Mailing Address 444 Elmington Ave Apt 825 | | Transaction ID: PR380553913156 |
| City Nashville | State TN | Zip Code 37205 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 35.00 |
| Name of Employer Caterpillar Inc. | Occupation CFSC Executive Vice President | P/R Deduction (\$35.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 225.00 | |

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) B. Mark HANBACK | | Date of Receipt M / D / Y |
| Mailing Address 28421 Cooper Rd. | | Transaction ID: PR380526913156 |
| City Morton | State IL | Zip Code 61550 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Operations Director | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

| | | |
|--|---|---|
| Full Name (Last, First, Middle Initial) C. James GREEN | | Date of Receipt M / D / Y |
| Mailing Address 1133 W Brookforest Dr | | Transaction ID: PR380522813156 |
| City Peoria | State IL | Zip Code 61615 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 45.00 |
| Name of Employer Caterpillar Inc. | Occupation General Engineering Manager | P/R Deduction (\$45.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 315.00 | |

| | | |
|---|---|---------------|
| SUBTOTAL of Receipts TN's Page (optional) | ▶ | 130.00 |
| TOTAL This Period (last page this line number only) | ▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 35

(check only one)

| | | | | | | | | |
|---|------------------------------|------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 | <input type="checkbox"/> 17 |
|---|------------------------------|------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|---|--|---|
| Full Name (Last, First, Middle Initial) A. Albert BROWN | | Date of Receipt M / D / Y |
| Mailing Address 100 N.E. Adams Street | | Transaction ID: PR380448913156 |
| City Peoria | State IL | Zip Code 61629 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 35.00 |
| Name of Employer Caterpillar Inc. | Occupation General Manager, Asia Trak Ltd | P/R Deduction (\$35.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 245.00 | |

| | | |
|---|--------------------------------------|---|
| Full Name (Last, First, Middle Initial) B. John A. CAMPBELL | | Date of Receipt M / D / Y |
| Mailing Address 16877 Springfield Rd | | Transaction ID: PR380457213156 |
| City Pekin | State IL | Zip Code 61554 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Product Manager - 3400 | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 280.00 | |

| | | |
|---|--|---|
| Full Name (Last, First, Middle Initial) C. John DISHARDON | | Date of Receipt M / D / Y |
| Mailing Address 100 N.E. Adams Street | | Transaction ID: PR380488713156 |
| City Peoria | State IL | Zip Code 61629 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Director Of Public Affairs-Eur | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 250.00 | |

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| SUBTOTAL of Receipts This Page (optional) | ▶ | 135.00 |
| TOTAL This Period (last page this line number only) | ▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 35
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|--|---|--|
| Full Name (Last, First, Middle Initial) A. Donald DRAKE JR | | Date of Receipt M / D / Y |
| Mailing Address 303 Rustic View | | |
| City Decatur | State IL | Zip Code 62521 |
| FEC ID number of contributing federal political committee. C | | Transaction ID: PR380490113156 |
| Name of Employer Caterpillar Inc. | | Amount of Each Receipt this Period 50.00 |
| Occupation Operations Manager | Aggregate Year-to-Date ▼ 325.00 | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | | |

| | | |
|--|---|--|
| Full Name (Last, First, Middle Initial) B. Timothy ELDER | | Date of Receipt M / D / Y |
| Mailing Address 918 W/ Bridgetowne | | |
| City Dunlap | State IL | Zip Code 61525 |
| FEC ID number of contributing federal political committee. C | | Transaction ID: PR380495213156 |
| Name of Employer Caterpillar Inc. | | Amount of Each Receipt this Period 40.00 |
| Occupation Director Of Corp Public Affair | Aggregate Year-to-Date ▼ 280.00 | P/R Deduction (\$40.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | | |

| | | |
|--|---|--|
| Full Name (Last, First, Middle Initial) C. Kenneth D Dennis | | Date of Receipt M / D / Y |
| Mailing Address 3197 Camino De Las Piedras | | |
| City El Cajon | State CA | Zip Code 92019 |
| FEC ID number of contributing federal political committee. C | | Transaction ID: PR380483213156 |
| Name of Employer Caterpillar Inc - Solar | | Amount of Each Receipt this Period 30.00 |
| Occupation Caterpillar Solar Manager | Aggregate Year-to-Date ▼ 210.00 | P/R Deduction (\$15.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | | |

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|---|---------------|
| SUBTOTAL of Receipts This Page (optional) | 120.00 |
| TOTAL This Period (last page this line number only) | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 35
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) A. Francis MC PHEETERS | | Date of Receipt M / D / Y |
| Mailing Address 5201 N Shag Bark Cir | | Transaction ID: PR380604713156 |
| City Peoria | State IL | Zip Code 61614 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 90.00 |
| Name of Employer Caterpillar Inc. | Occupation Vice President | P/R Deduction (\$90.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 630.00 | |

| | | |
|---|------------------------------------|---|
| Full Name (Last, First, Middle Initial) B. Ali BAHAJ | | Date of Receipt M / D / Y |
| Mailing Address Caterpillar Inc 100 NE Adams St | | Transaction ID: PR380426413156 |
| City Peoria | State IL | Zip Code 61629 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 30.00 |
| Name of Employer Caterpillar Inc. | Occupation Vice President | P/R Deduction (\$30.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 210.00 | |

| | | |
|---|------------------------------------|--|
| Full Name (Last, First, Middle Initial) C. Sidney BANWART | | Date of Receipt M / D / Y |
| Mailing Address 12918 Georgetowne Rd | | Transaction ID: PR380428213156 |
| City Dunlap | State IL | Zip Code 61525 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 100.00 |
| Name of Employer Caterpillar Inc. | Occupation Vice President | P/R Deduction (\$100.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 700.00 | |

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|---|---------------|
| SUBTOTAL of Receipts This Page (optional) | 220.00 |
| TOTAL This Period (last page this line number only) | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 35
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) A. James BEARD | | Date of Receipt M / D / Y |
| Mailing Address 133 Prospect Hill | | Transaction ID: PR380432313156 |
| City Nashville | State TN | Zip Code 37205 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 62.50 |
| Name of Employer Caterpillar Inc. | Occupation Vice President | P/R Deduction (\$62.50 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 437.50 | |

| | | |
|--|---|---|
| Full Name (Last, First, Middle Initial) B. Thomas BLUTH | | Date of Receipt M / D / Y |
| Mailing Address 3007 Blazingstar Court | | Transaction ID: PR380441413156 |
| City Naperville | State IL | Zip Code 60564 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Product Mgr-Med Wheel Loaders | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

| | | |
|--|---|---|
| Full Name (Last, First, Middle Initial) C. Kent ADAMS | | Date of Receipt M / D / Y |
| Mailing Address 919B Brushboro Drive | | Transaction ID: PR380417613156 |
| City Brentwood | State TN | Zip Code 37027 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 35.00 |
| Name of Employer Caterpillar Inc. | Occupation CFSC Executive Vice President | P/R Deduction (\$35.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 245.00 | |

| | |
|--|---------------|
| SUBTOTAL of Receipts TN's Page (optional) | 147.50 |
| TOTAL This Period (last page this line number only) | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 11 / 35
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|---|--|---|
| Full Name (Last, First, Middle Initial) A. William BEDDOW | | Date of Receipt M / D / Y |
| Mailing Address 401 StW Water St. Apt 404 | | Transaction ID: PR380438213156 |
| City Peoria | State IL | Zip Code 61602 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 40.00 |
| Name of Employer Caterpillar Inc. | Occupation Corporate Communications Mgr | P/R Deduction (\$40.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 280.00 | |

| | | |
|---|------------------------------------|---|
| Full Name (Last, First, Middle Initial) B. Richard BENSON | | Date of Receipt M / D / Y |
| Mailing Address 1013 Hillcrest Dr | | Transaction ID: PR380435413156 |
| City Washington | State IL | Zip Code 61571 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 65.00 |
| Name of Employer Caterpillar Inc. | Occupation Vice President | P/R Deduction (\$65.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 455.00 | |

| | | |
|---|---------------------------------------|---|
| Full Name (Last, First, Middle Initial) C. Lois BDAZ | | Date of Receipt M / D / Y |
| Mailing Address 911 W Butterfield Ct | | Transaction ID: PR380441513156 |
| City Peoria | State IL | Zip Code 61614 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Human Resources Manager | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

| | | |
|---|---|---------------|
| SUBTOTAL of Receipts This Page (optional) | ▶ | 155.00 |
| TOTAL This Period (last page this line number only) | ▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 35
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|--|---|---|
| Full Name (Last, First, Middle Initial) A. David ESBECK | | Date of Receipt M / D / Y |
| Mailing Address 140B3 Caminita Vistana | | Transaction ID: PR380498513156 |
| City San Diego | State CA | Zip Code 92130 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Vice President, Customer Svcs | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

| | | |
|--|------------------------------------|--|
| Full Name (Last, First, Middle Initial) B. Thomas GALES | | Date of Receipt M / D / Y |
| Mailing Address 9587 SW 67th Court | | Transaction ID: PR380510813156 |
| City Pinecrest | State FL | Zip Code 33156 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 100.00 |
| Name of Employer Caterpillar Inc. | Occupation Vice President | P/R Deduction (\$100.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 690.00 | |

| | | |
|--|--------------------------------------|---|
| Full Name (Last, First, Middle Initial) C. Robin BERAN | | Date of Receipt M / D / Y |
| Mailing Address 3250 W Westport Rd | | Transaction ID: PR380435513156 |
| City Peoria | State IL | Zip Code 61615 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 45.00 |
| Name of Employer Caterpillar Inc. | Occupation Director Corporate Tax | P/R Deduction (\$45.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 315.00 | |

| | | |
|---|---|---------------|
| SUBTOTAL of Receipts This Page (optional) | ▶ | 195.00 |
| TOTAL This Period (last page this line number only) | ▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 35
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) A. Stephen A. GOSSELIN | | Date of Receipt M / D / Y |
| Mailing Address 916 W Bennett Ct | | Transaction ID: PR380520113156 |
| City Dunlap | State IL | Zip Code 61525 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 80.00 |
| Name of Employer Caterpillar Inc. | Occupation Vice President | P/R Deduction (\$80.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 560.00 | |

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) B. Lawrence W Campbell | | Date of Receipt M / D / Y |
| Mailing Address 1943 Bedford Place | | Transaction ID: PR380457413156 |
| City Escondido | State CA | Zip Code 92029 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Sr Atty | P/R Deduction (\$25.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

| | | |
|--|---------------------------------------|---|
| Full Name (Last, First, Middle Initial) C. Omile F. GEICK | | Date of Receipt M / D / Y |
| Mailing Address 100 N.E. Adams Street | | Transaction ID: PR380514013156 |
| City Peoria | State IL | Zip Code 61629 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Global Sourcing Manager | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

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| SUBTOTAL of Receipts This Page (optional) | 180.00 |
| TOTAL This Period (last page this line number only) | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 35
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|--|--|---|
| Full Name (Last, First, Middle Initial) A. Christopher GLYNN | | Date of Receipt M / D / Y |
| Mailing Address 83 St Marks Circle | | Transaction ID: PR380517413156 |
| City Morton | State IL | Zip Code 61550 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Director Of Corp Human Rel | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

| | | |
|---|------------------------------------|---|
| Full Name (Last, First, Middle Initial) B. Edward A. GOODRICH | | Date of Receipt M / D / Y |
| Mailing Address 8224 Hillsboro Road | | Transaction ID: PR380518413156 |
| City Nashville | State TN | Zip Code 37215 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation CFSG Vice President | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

| | | |
|--|--|---|
| Full Name (Last, First, Middle Initial) C. Douglas CREW | | Date of Receipt M / D / Y |
| Mailing Address 900 W Bridgetowne Ct | | Transaction ID: PR380474213156 |
| City Dunlap | State IL | Zip Code 61525 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Governmental Affairs Manager | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 320.00 | |

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| SUBTOTAL of Receipts This Page (optional) | ▶ | 150.00 |
| TOTAL This Period (last page this line number only) | ▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 35
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|--|---|---|
| Full Name (Last, First, Middle Initial) A. Brad CHAMBERS | | Date of Receipt M / D / Y |
| Mailing Address 18 Crystal Court | | Transaction ID: PR380462313156 |
| City Morton | State IL | Zip Code 61550 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Sr. Business Resource Manager | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) B. Erich DROCHNER | | Date of Receipt M / D / Y |
| Mailing Address 640 Brittany Ct | | Transaction ID: PR380490513156 |
| City Mt Zion | State IL | Zip Code 62549 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Product Manager | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

| | | |
|--|--|---|
| Full Name (Last, First, Middle Initial) C. Edward J. SCOTT | | Date of Receipt M / D / Y |
| Mailing Address 931D Fall Court West | | Transaction ID: PR380671213156 |
| City Brentwood | State TN | Zip Code 37027 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Executive Vice President/CFO | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

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| SUBTOTAL of Receipts This Page (optional) | 150.00 |
| TOTAL This Period (last page this line number only) | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 35

(check only one)

| | | | | | | | | | | | | | | | | | |
|-------------------------------------|-----|--------------------------|-----|--------------------------|-----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|
| <input checked="" type="checkbox"/> | 11a | <input type="checkbox"/> | 11b | <input type="checkbox"/> | 11c | <input type="checkbox"/> | 12 | <input type="checkbox"/> | 13 | <input type="checkbox"/> | 14 | <input type="checkbox"/> | 15 | <input type="checkbox"/> | 16 | <input type="checkbox"/> | 17 |
|-------------------------------------|-----|--------------------------|-----|--------------------------|-----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) A. Stephen LARSON | | Date of Receipt M / D / Y |
| Mailing Address c/o Cat Financial Attn: HR 3rd Floor | | Transaction ID: PR380578713156 |
| City Nashville | State TN | Zip Code 37203 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 30.00 |
| Name of Employer Caterpillar Inc. | Occupation CFSC Vice President | P/R Deduction (\$30.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 210.00 | |

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) B. Richard LAVIN | | Date of Receipt M / D / Y |
| Mailing Address 4716 N Knoxville Av | | Transaction ID: PR380578913156 |
| City Peoria | State IL | Zip Code 61614 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 90.00 |
| Name of Employer Caterpillar Inc. | Occupation Vice President | P/R Deduction (\$90.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 630.00 | |

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) C. William MAYO | | Date of Receipt M / D / Y |
| Mailing Address 400 E Jefferson St | | Transaction ID: PR380597813156 |
| City Morton | State IL | Zip Code 61550 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Regional Mgr | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

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| SUBTOTAL of Receipts TN's Page (optional) | ▶ | 170.00 |
| TOTAL This Period (last page this line number only) | ▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 35

(check only one)

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| <input checked="" type="checkbox"/> | 11a | <input type="checkbox"/> | 11b | <input type="checkbox"/> | 11c | <input type="checkbox"/> | 12 | <input type="checkbox"/> | 13 | <input type="checkbox"/> | 14 | <input type="checkbox"/> | 15 | <input type="checkbox"/> | 16 | <input type="checkbox"/> | 17 |
|-------------------------------------|-----|--------------------------|-----|--------------------------|-----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|--|---|---|
| Full Name (Last, First, Middle Initial) A. Thomas MC DANIEL | | Date of Receipt M / D / Y |
| Mailing Address 921 James Ct | | |
| City Forsyth | State IL | Zip Code 62535 |
| FEC ID number of contributing federal political committee. C | | Transaction ID: PR380600913156 |
| | | Amount of Each Receipt this Period 40.00 |
| Name of Employer Caterpillar Inc. | Occupation Sr. Business Resource Manager | P/R Deduction (\$40.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 280.00 | |

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) B. Dallas MESSINGER | | Date of Receipt M / D / Y |
| Mailing Address 309 W. Ravinewood Rd. | | |
| City Peoria | State IL | Zip Code 61615 |
| FEC ID number of contributing federal political committee. C | | Transaction ID: PR380608213156 |
| | | Amount of Each Receipt this Period 35.00 |
| Name of Employer Caterpillar Inc. | Occupation Operations Manager | P/R Deduction (\$35.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 245.00 | |

| | | |
|--|---|---|
| Full Name (Last, First, Middle Initial) C. Danna SKARVAN | | Date of Receipt M / D / Y |
| Mailing Address 2301 W Windpointe Dr | | |
| City Peoria | State IL | Zip Code 61614 |
| FEC ID number of contributing federal political committee. C | | Transaction ID: PR380680213156 |
| | | Amount of Each Receipt this Period 30.00 |
| Name of Employer Caterpillar Inc. | Occupation Assistant Genl Patent Counsel | P/R Deduction (\$30.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 210.00 | |

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| SUBTOTAL of Receipts This Page (optional) | 105.00 |
| TOTAL This Period (last page this line number only) | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 35
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|--|--|---|
| Full Name (Last, First, Middle Initial) A. William C. LANE | | Date of Receipt M / D / Y |
| Mailing Address 10017 Carpers Farm Ct | | Transaction ID: PR380577613156 |
| City Vienna | State VA | Zip Code 22182 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Governmental Affairs Administr | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 310.00 | |

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) B. Daniel MURPHY | | Date of Receipt M / D / Y |
| Mailing Address 5804 N Prospect Rd | | Transaction ID: PR380620413156 |
| City Peoria | State IL | Zip Code 61614 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 90.00 |
| Name of Employer Caterpillar Inc. | Occupation Vice President | P/R Deduction (\$90.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 630.00 | |

| | | |
|---|------------------------------------|---|
| Full Name (Last, First, Middle Initial) C. Douglas OBERHELMAN | | Date of Receipt M / D / Y |
| Mailing Address 6005 N Kickapoo Edward | | Transaction ID: PR380628913156 |
| City Edwards | State IL | Zip Code 61528 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 75.00 |
| Name of Employer Caterpillar Inc. | Occupation Group President | P/R Deduction (\$75.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 525.00 | |

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| SUBTOTAL of Receipts This Page (optional) | 215.00 |
| TOTAL This Period (last page this line number only) | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 35
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|---|--|--|
| Full Name (Last, First, Middle Initial) A. James W. OWENS | | Date of Receipt M / D / Y |
| Mailing Address 5504 N Prospect Rd | | Transaction ID: PR380638613156 |
| City Peoria Heights | State IL | Zip Code 61616 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 200.00 |
| Name of Employer Caterpillar Inc. | Occupation CEO, Chairman of the Board | P/R Deduction (\$200.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 1300.00 | |

| | | |
|---|------------------------------------|---|
| Full Name (Last, First, Middle Initial) B. Gerald PALMER | | Date of Receipt M / D / Y |
| Mailing Address 3604 Scottsdale Circle | | Transaction ID: PR380634213156 |
| City Naperville | State IL | Zip Code 60564 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 85.00 |
| Name of Employer Caterpillar Inc. | Occupation Vice President | P/R Deduction (\$85.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 595.00 | |

| | | |
|---|------------------------------------|---|
| Full Name (Last, First, Middle Initial) C. James REEB | | Date of Receipt M / D / Y |
| Mailing Address 605 Bethel Dr | | Transaction ID: PR380648713156 |
| City Joliet | State IL | Zip Code 60435 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Operations Manager | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

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| SUBTOTAL of Receipts This Page (optional) | ▶ | 335.00 |
| TOTAL This Period (last page this line number only) | ▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 / 35

(check only one)

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| <input checked="" type="checkbox"/> | 11a | <input type="checkbox"/> | 11b | <input type="checkbox"/> | 11c | <input type="checkbox"/> | 12 | <input type="checkbox"/> | 13 | <input type="checkbox"/> | 14 | <input type="checkbox"/> | 15 | <input type="checkbox"/> | 16 | <input type="checkbox"/> | 17 |
|-------------------------------------|-----|--------------------------|-----|--------------------------|-----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|---|------------------------------------|---|
| Full Name (Last, First, Middle Initial) A. Craig RIEDIGER | | Date of Receipt M / D / Y |
| Mailing Address 100 N.E. Adams Street | | Transaction ID: PR380650513156 |
| City Peoria | State IL | Zip Code 61629 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 45.00 |
| Name of Employer Caterpillar Inc. | Occupation Manager | P/R Deduction (\$45.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 315.00 | |

| | | |
|---|--|---|
| Full Name (Last, First, Middle Initial) B. William C. ROBERTS | | Date of Receipt M / D / Y |
| Mailing Address 7 N 201 Barb Hill Dr | | Transaction ID: PR380656613156 |
| City St Charles | State IL | Zip Code 60175 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Product Mgr-Lg Wheel Loaders | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

| | | |
|---|------------------------------------|---|
| Full Name (Last, First, Middle Initial) C. Thesa ROBINSON | | Date of Receipt M / D / Y |
| Mailing Address 5123 W Newcastle Dr | | Transaction ID: PR380657213156 |
| City Peoria | State IL | Zip Code 61615 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Manager | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

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| SUBTOTAL of Receipts This Page (optional) | ▶ | 145.00 |
| TOTAL This Period (last page this line number only) | ▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 21 / 35
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|--|--|---|
| Full Name (Last, First, Middle Initial) A. Marvin ROSSER | | Date of Receipt M / D / Y |
| Mailing Address 310 Grebner Road | | Transaction ID: PR380659813156 |
| City Metamora | State IL | Zip Code 61548 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Director, HR Shared Services | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

| | | |
|--|--|---|
| Full Name (Last, First, Middle Initial) B. John RUTHMAN, MD | | Date of Receipt M / D / Y |
| Mailing Address 326 W Eagle Nest Rd | | Transaction ID: PR380661813156 |
| City Dunlap | State IL | Zip Code 61525 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 40.00 |
| Name of Employer Caterpillar Inc. | Occupation Assistant Medical Director | P/R Deduction (\$40.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 280.00 | |

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) C. Bruce SCHUVER | | Date of Receipt M / D / Y |
| Mailing Address 492B Deermeadow Dr | | Transaction ID: PR380670513156 |
| City Peoria | State IL | Zip Code 61615 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 40.00 |
| Name of Employer Caterpillar Inc. | Occupation General Manager | P/R Deduction (\$40.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 280.00 | |

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|---|---------------|
| SUBTOTAL of Receipts This Page (optional) | 130.00 |
| TOTAL This Period (last page this line number only) | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 22 / 35
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|--|---------------------------------------|---|
| Full Name (Last, First, Middle Initial) A. Cecilia SCOTT-STANFEL | | Date of Receipt M / D / Y |
| Mailing Address 37 Spinnaker Way | | |
| City Coronado Cays | State CA | Zip Code 92118 |
| FEC ID number of contributing federal political committee. C | | Transaction ID: PR380671313156 |
| | | Amount of Each Receipt this Period 40.00 |
| Name of Employer Caterpillar Inc. | Occupation Chief Financial Officer | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 280.00 | P/R Deduction (\$40.00 Monthly) |

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) B. Gerald SHAHEEN | | Date of Receipt M / D / Y |
| Mailing Address 119 E Pawnee Ct | | |
| City Peoria | State IL | Zip Code 61615 |
| FEC ID number of contributing federal political committee. C | | Transaction ID: PR380674113156 |
| | | Amount of Each Receipt this Period 63.00 |
| Name of Employer Caterpillar Inc. | Occupation Group President | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 441.00 | P/R Deduction (\$63.00 Monthly) |

| | | |
|--|---|---|
| Full Name (Last, First, Middle Initial) C. Mark THOMPSON | | Date of Receipt M / D / Y |
| Mailing Address 100 N.E. Adams Street | | |
| City Peoria | State IL | Zip Code 61629 |
| FEC ID number of contributing federal political committee. C | | Transaction ID: PR380703713156 |
| | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Sr. Business Resource Manager | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | P/R Deduction (\$50.00 Monthly) |

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|---|---------------|
| SUBTOTAL of Receipts This Page (optional) | 153.00 |
| TOTAL This Period (last page this line number only) | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 / 35

(check only one)

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|-------------------------------------|-----|--------------------------|-----|--------------------------|-----|--------------------------|----|--------------------------|----|
| <input checked="" type="checkbox"/> | 11a | <input type="checkbox"/> | 11b | <input type="checkbox"/> | 11c | <input type="checkbox"/> | 12 | <input type="checkbox"/> | 17 |
| | 13 | | 14 | | 15 | | 16 | | |

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|---|---|---|
| Full Name (Last, First, Middle Initial) A. Stephen G Turnbull | | Date of Receipt M / D / Y |
| Mailing Address 5135 Ellsworth St | | Transaction ID: PR380707413156 |
| City San Diego | State CA | Zip Code 92110 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 40.00 |
| Name of Employer Caterpillar Inc. - Solar | Occupation Caterpillar Solar Manager | P/R Deduction (\$20.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 280.00 | |

| | | |
|--|--|---|
| Full Name (Last, First, Middle Initial) B. Gary L. VEST | | Date of Receipt M / D / Y |
| Mailing Address 27545 Lakeland Rd | | Transaction ID: PR380712913156 |
| City Morton | State IL | Zip Code 61550 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Western Hemisphere Tax Manager | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 325.00 | |

| | | |
|--|--|---|
| Full Name (Last, First, Middle Initial) C. Thomas P. WALTERS | | Date of Receipt M / D / Y |
| Mailing Address 331 Dover Dr | | Transaction ID: PR380716713156 |
| City Chatham | State IL | Zip Code 62629 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Governmental Affairs Rep | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 290.00 | |

| | | |
|---|---|---------------|
| SUBTOTAL of Receipts This Page (optional) | ▶ | 140.00 |
| TOTAL This Period (last page this line number only) | ▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 / 35
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) A. Gary STAMPANATO | | Date of Receipt M / D / Y |
| Mailing Address 801 Deerwood Ridge | | Transaction ID: PR380688913156 |
| City Lafayette | State IN | |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 40.00 |
| Name of Employer Caterpillar Inc. | Occupation General Manager | P/R Deduction (\$40.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 280.00 | |

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) B. Gary STROUP | | Date of Receipt M / D / Y |
| Mailing Address 10112 North Wood Acre Court | | Transaction ID: PR380695113156 |
| City Peoria | State IL | |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 80.00 |
| Name of Employer Caterpillar Inc. | Occupation Vice President | P/R Deduction (\$80.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 580.00 | |

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) C. William SPRINGER | | Date of Receipt M / D / Y |
| Mailing Address 420 Ravinswood Rd | | Transaction ID: PR380687513156 |
| City Peoria | State IL | |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 40.00 |
| Name of Employer Caterpillar Inc. | Occupation Vice President | P/R Deduction (\$40.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 280.00 | |

| | |
|---|---------------|
| SUBTOTAL of Receipts This Page (optional) | 160.00 |
| TOTAL This Period (last page this line number only) | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 25 / 35

(check only one)

| | | | | | | | | | | | | | | | | | |
|-------------------------------------|-----|--------------------------|-----|--------------------------|-----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|
| <input checked="" type="checkbox"/> | 11a | <input type="checkbox"/> | 11b | <input type="checkbox"/> | 11c | <input type="checkbox"/> | 12 | <input type="checkbox"/> | 13 | <input type="checkbox"/> | 14 | <input type="checkbox"/> | 15 | <input type="checkbox"/> | 16 | <input type="checkbox"/> | 17 |
|-------------------------------------|-----|--------------------------|-----|--------------------------|-----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|--|--|---|
| Full Name (Last, First, Middle Initial) A. Paul WRÓBLEWSKI | | Date of Receipt M / D / Y |
| Mailing Address 100 N.E. Adams Street | | Transaction ID: PR380731313156 |
| City Peoria | State IL | Zip Code 61629 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 45.00 |
| Name of Employer Caterpillar Inc. | Occupation Chairman Of The Management Brd | P/R Deduction (\$45.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 315.00 | |

| | | |
|---|------------------------------------|---|
| Full Name (Last, First, Middle Initial) B. Steven WUNNING | | Date of Receipt M / D / Y |
| Mailing Address 471 E High Point Drive | | Transaction ID: PR380731413156 |
| City Peoria | State IL | Zip Code 61614 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 85.00 |
| Name of Employer Caterpillar Inc. | Occupation Group President | P/R Deduction (\$85.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 595.00 | |

| | | |
|---|--|---|
| Full Name (Last, First, Middle Initial) C. William WENDLE | | Date of Receipt M / D / Y |
| Mailing Address 6862 N Fox Point Dr | | Transaction ID: PR380720613156 |
| City Peoria | State IL | Zip Code 61614 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 30.00 |
| Name of Employer Caterpillar Inc. | Occupation Support Services Manager | P/R Deduction (\$30.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 210.00 | |

| | |
|---|---------------|
| SUBTOTAL of Receipts This Page (optional) | 160.00 |
| TOTAL This Period (last page this line number only) | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 26 / 35
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|---|---------------------------------------|---|
| Full Name (Last, First, Middle Initial) A. David B. AUGUSTINE | | Date of Receipt M / D / Y |
| Mailing Address 100 N.E. Adams Street | | Transaction ID: PR497852313156 |
| City Peoria | State IL | Zip Code 61629 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Regional Mining Manager | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

| | | |
|---|------------------------------------|---|
| Full Name (Last, First, Middle Initial) B. Rodney C. BEELER | | Date of Receipt M / D / Y |
| Mailing Address 2904 W Windpointe Dr | | Transaction ID: PR497942413156 |
| City Peoria | State IL | Zip Code 61614 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Rental Manager | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

| | | |
|---|------------------------------------|---|
| Full Name (Last, First, Middle Initial) C. Jonathan BETTNER | | Date of Receipt M / D / Y |
| Mailing Address 100 N.E. Adams Street | | Transaction ID: PR49798D413156 |
| City Peoria | State IL | Zip Code 61629 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Manager | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

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|---|---|---------------|
| SUBTOTAL of Receipts This Page (optional) | ▶ | 150.00 |
| TOTAL This Period (last page this line number only) | ▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 27 / 35
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) A. John T. CARPENTER | | Date of Receipt M / D / Y |
| Mailing Address 121 D8 Wake Robin Way | | Transaction ID: PR498220913156 |
| City Dunlap | State IL | Zip Code 61525 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 40.00 |
| Name of Employer Caterpillar Inc. | Occupation Regional Mgr | P/R Deduction (\$40.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 280.00 | |

| | | |
|--|---|---|
| Full Name (Last, First, Middle Initial) B. Bruce A. CONRAD | | Date of Receipt M / D / Y |
| Mailing Address 830 W. Ravinwoods | | Transaction ID: PR498333013156 |
| City Peoria | State IL | Zip Code 61615 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 35.00 |
| Name of Employer Caterpillar Inc. | Occupation Business Resource Manager | P/R Deduction (\$35.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 245.00 | |

| | | |
|--|---------------------------------------|---|
| Full Name (Last, First, Middle Initial) C. Dabra DUZINSKAS | | Date of Receipt M / D / Y |
| Mailing Address 9205 Meadow Mist Ct Brier Creek | | Transaction ID: PR498578813156 |
| City Raleigh | State NC | Zip Code 27617 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 45.00 |
| Name of Employer Caterpillar Inc. | Occupation Human Resources Manager | P/R Deduction (\$45.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 315.00 | |

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| SUBTOTAL of Receipts This Page (optional) | 120.00 |
| TOTAL This Period (last page this line number only) | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 28 / 35
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|---|---|---|
| Full Name (Last, First, Middle Initial) A. Gregory FOLLEY | | Date of Receipt M / D / Y |
| Mailing Address 8528 N St Marys Rd | | Transaction ID: PR498716713156 |
| City Peoria | State IL | Zip Code 61614 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Director of Comp + Benefits | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

| | | |
|---|------------------------------------|---|
| Full Name (Last, First, Middle Initial) B. Terry A. GOFF | | Date of Receipt M / D / Y |
| Mailing Address 2760 Oakton Plantation Lane | | Transaction ID: PR498852713156 |
| City Vienna | State VA | Zip Code 22181 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Special Assignment | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 250.00 | |

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) C. Stephen GOLDMAN | | Date of Receipt M / D / Y |
| Mailing Address 4528 Jewelwood Ct. | | Transaction ID: PR498858513156 |
| City Peoria | State IL | Zip Code 61615 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 40.00 |
| Name of Employer Caterpillar Inc. | Occupation Medical Director | P/R Deduction (\$40.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 280.00 | |

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|---|---------------|
| SUBTOTAL of Receipts This Page (optional) | 140.00 |
| TOTAL This Period (last page this line number only) | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 28 / 35
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|--|---------------------------------------|---|
| Full Name (Last, First, Middle Initial) A. Michael GROFF | | Date of Receipt M / D / Y |
| Mailing Address 812 Hickory Street | | Transaction ID: PR498918413156 |
| City Sugar Grove | State IL | Zip Code 60554 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Human Resources Manager | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

| | | |
|--|--|---|
| Full Name (Last, First, Middle Initial) B. Paul HODGINS | | Date of Receipt M / D / Y |
| Mailing Address 3woodhaven Court | | Transaction ID: PR499123413156 |
| City Metamora | State IL | Zip Code 61548 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Assistant Medical Director | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

| | | |
|--|---------------------------------------|---|
| Full Name (Last, First, Middle Initial) C. Ronald JENSEN | | Date of Receipt M / D / Y |
| Mailing Address 112 Rue Vue Du Lac | | Transaction ID: PR499263513156 |
| City East Peoria | State IL | Zip Code 61611 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Global Segment Manger B | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

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| SUBTOTAL of Receipts This Page (optional) | ▶ | 150.00 |
| TOTAL This Period (last page this line number only) | ▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 30 / 35

(check only one)

| | | | | | | | | | | | | | | | | | |
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| <input checked="" type="checkbox"/> | 11a | <input type="checkbox"/> | 11b | <input type="checkbox"/> | 11c | <input type="checkbox"/> | 12 | <input type="checkbox"/> | 13 | <input type="checkbox"/> | 14 | <input type="checkbox"/> | 15 | <input type="checkbox"/> | 16 | <input type="checkbox"/> | 17 |
|-------------------------------------|-----|--------------------------|-----|--------------------------|-----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) A. William LOGEL JR | | Date of Receipt M / D / Y |
| Mailing Address 1 Dorchester Ct | | Transaction ID: PR499580413156 |
| City Aurora | State IL | Zip Code 60506 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 40.00 |
| Name of Employer Caterpillar Inc. | Occupation NPI Program Manager | P/R Deduction (\$40.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 280.00 | |

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) B. Mark PFLEDERER | | Date of Receipt M / D / Y |
| Mailing Address 15 Hermann Court | | Transaction ID: PR500128113156 |
| City Tremont | State IL | Zip Code 61568 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Vice President | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) C. James R. RINK | | Date of Receipt M / D / Y |
| Mailing Address 105 Northridge Drive | | Transaction ID: PR500298713156 |
| City Lagrange | State GA | Zip Code 30240 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Facility Manager | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

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|---|---|---------------|
| SUBTOTAL of Receipts This Page (optional) | ▶ | 140.00 |
| TOTAL This Period (last page this line number only) | ▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 31 / 35

(check only one)

| | | | | | | | | | | | | | | | | | |
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| <input checked="" type="checkbox"/> | 11a | <input type="checkbox"/> | 11b | <input type="checkbox"/> | 11c | <input type="checkbox"/> | 12 | <input type="checkbox"/> | 13 | <input type="checkbox"/> | 14 | <input type="checkbox"/> | 15 | <input type="checkbox"/> | 16 | <input type="checkbox"/> | 17 |
|-------------------------------------|-----|--------------------------|-----|--------------------------|-----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) A. Mark ROCKE | | Date of Receipt M / D / Y |
| Mailing Address 499 County Road 1825 E | | Transaction ID: PR500326513156 |
| City Congerville | State IL | Zip Code 61729 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 30.00 |
| Name of Employer Caterpillar Inc. | Occupation Logistics Manager | P/R Deduction (\$30.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 210.00 | |

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) B. Daniel SCHLICKSUP | | Date of Receipt M / D / Y |
| Mailing Address 5625 W Club Rd | | Transaction ID: PR500431313156 |
| City Dunlap | State IL | Zip Code 61525 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Special Assignment | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

| | | |
|--|--|---|
| Full Name (Last, First, Middle Initial) C. Michael TAYLOR | | Date of Receipt M / D / Y |
| Mailing Address 120 Maple Rdg Dr | | Transaction ID: PR500776013156 |
| City Morton | State IL | Zip Code 61550 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 75.00 |
| Name of Employer Caterpillar Inc. | Occupation Assistant Medical Director | P/R Deduction (\$75.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 525.00 | |

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| SUBTOTAL of Receipts This Page (optional) | ▶ | 155.00 |
| TOTAL This Period (last page this line number only) | ▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 32 / 35
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) A. David THOMPSON | | Date of Receipt M / D / Y |
| Mailing Address 5925 Elm Ln | | |
| City Peoria | State IL | Zip Code 61614 |
| FEC ID number of contributing federal political committee. C | | Transaction ID: PR500808913156 |
| Name of Employer Caterpillar Inc. | | Amount of Each Receipt this Period 35.00 |
| Occupation Indirect Materials Manager | Aggregate Year-to-Date ▼ 245.00 | P/R Deduction (\$35.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | | |

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) B. Andrew S. TRENT | | Date of Receipt M / D / Y |
| Mailing Address 7006 N Aycliffe Dr | | |
| City Peoria | State IL | Zip Code 61614 |
| FEC ID number of contributing federal political committee. C | | Transaction ID: PR500850213156 |
| Name of Employer Caterpillar Inc. | | Amount of Each Receipt this Period 30.00 |
| Occupation Account Manager | Aggregate Year-to-Date ▼ 210.00 | P/R Deduction (\$30.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | | |

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) C. Michael VANEK | | Date of Receipt M / D / Y |
| Mailing Address 7017 N Fox Point Dr | | |
| City Peoria | State IL | Zip Code 61614 |
| FEC ID number of contributing federal political committee. C | | Transaction ID: PR500900313156 |
| Name of Employer Caterpillar Inc. | | Amount of Each Receipt this Period 35.00 |
| Occupation Region Finance Mgr | Aggregate Year-to-Date ▼ 245.00 | P/R Deduction (\$35.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | | |

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| SUBTOTAL of Receipts This Page (optional) | 100.00 |
| TOTAL This Period (last page this line number only) | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 33 / 35
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|--|------------------------------------|---|
| Full Name (Last, First, Middle Initial) A. John B. WALENTA | | Date of Receipt M M / D D / Y Y Y Y |
| Mailing Address 3412 W Brenwick Dr | | Transaction ID: PR500946213156 |
| City Peoria | State IL | Zip Code 61614 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Operations Mgr | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 350.00 | |

| | | |
|---|------------------------------------|---|
| Full Name (Last, First, Middle Initial) B. Timothy WILLIAMS | | Date of Receipt M M / D D / Y Y Y Y |
| Mailing Address 101 Regal Ln | | Transaction ID: PR501096013156 |
| City East Peoria | State IL | Zip Code 61611 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 35.00 |
| Name of Employer Caterpillar Inc. | Occupation Factory Manager | P/R Deduction (\$35.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 245.00 | |

| | | |
|---|---|---|
| Full Name (Last, First, Middle Initial) C. Edward J. KALETA | | Date of Receipt M M / D D / Y Y Y Y |
| Mailing Address 2818 F S. Arlington Mill Drive | | Transaction ID: PR531943513156 |
| City Arlington | State VA | Zip Code 22208 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 35.00 |
| Name of Employer Caterpillar Inc. | Occupation Governmental Affairs Rep-Wash | P/R Deduction (\$35.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 225.00 | |

| | | |
|---|---|---------------|
| SUBTOTAL of Receipts This Page (optional) | ▶ | 120.00 |
| TOTAL This Period (last page this line number only) | ▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 34 / 35

(check only one)

| | | | | | | | | | | | | | | | | | |
|-------------------------------------|-----|--------------------------|-----|--------------------------|-----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|
| <input checked="" type="checkbox"/> | 11a | <input type="checkbox"/> | 11b | <input type="checkbox"/> | 11c | <input type="checkbox"/> | 12 | <input type="checkbox"/> | 13 | <input type="checkbox"/> | 14 | <input type="checkbox"/> | 15 | <input type="checkbox"/> | 16 | <input type="checkbox"/> | 17 |
|-------------------------------------|-----|--------------------------|-----|--------------------------|-----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|--------------------------|----|

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

| | | |
|--|---|---|
| Full Name (Last, First, Middle Initial) A. James BYNUM | | Date of Receipt M / D / Y |
| Mailing Address 8205 River Court | | Transaction ID: PR805429413156 |
| City Brentwood | State TN | Zip Code 37027 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Claims Loss Control Executive | P/R Deduction (\$50.00 Monthly) |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 300.00 | |

| | | |
|---|---|---------|
| SUBTOTAL of Receipts This Page (optional) | ▶ | 50.00 |
| TOTAL This Period (last page this line number only) | ▶ | 4420.50 |

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 35 / 35

| | | | | | |
|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24 | <input type="checkbox"/> 25 | <input type="checkbox"/> 26 |
| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)
A. 2004 President's Dinner Committee

Mailing Address 320 First Street SE

City Washington State DC Zip Code 20003

Purpose of Disbursement
Contribution

Candidate Name

Office Sought: House Senate President
State: District D

Disbursement For: Primary General Other (specify) ▼

011
Category/
Type

Transaction ID: 9938293

Date of Disbursement

07 / 15 / 2004

Amount of Each Disbursement this Period

10000.00

Contribution

SUBTOTAL of Disbursements This Page (optional) ▶

10000.00

TOTAL This Period (last page this line number only) ▶

10000.00