Image# 13961062403 PAGE 1 / 16

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS For Other Than An Authorized Committee

	or Other Than	An Authorized	a Committe	e		Office Use Only
1. NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	=/10	ample: If typir or the lines.	ig, type	12FE4M5	
The Caterpillar Inc. Em	ployee Politica	al Action Cor	mmittee			
ADDRESS (number and street)	100 N.E. Adams S	Street				
Check if different						
than previously reported. (ACC)	Peoria					61629-1430
2. FEC IDENTIFICATION NU	MBER ▼	CITY		8	STATE 🛦	ZIP CODE ▲
C C00148031		3. IS THIS REPORT	\sim	IEW N) OR	AM (A)	ENDED
4. TYPE OF REPORT (Choose One)	(b) Monthly Report Due On:	X Feb 20 (M2)		May 20 (M5)	H	20 (M8) Nov 20 (M1 (Non-Election Year Only) 20 (M9) Dec 20 (M1
(a) Quarterly Reports:		Mar 20 (M3) Apr 20 (M4)		lun 20 (M6)	-	20 (M9) Dec 20 (M1 (Non-Election Year Only) 20 (M10) Jan 31 (YE
April 15 Quarterly Report (Q1	(c) 12-Day	Αρί 20 (ΙΝΙ4)			General (
July 15 Quarterly Report (Q2	PRF-FI		Primary (12P Convention (Special (
October 15 Quarterly Report (Q3	· ·		(,	,	-,
January 31 Year-End Report (YE	≣)	Election on	M M /	D D /	Y 1 Y 1 Y 1 Y	in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day POST-E		General (30G	i)	Runoff (3	0R) Special (30S
Termination Report (TER)	перии	Election on	M = M /	D	Y . Y . Y . Y	in the State of
5. Covering Period 01	/ D D / Y	2013	through	M M M	/ 31_ /	2013
I certify that I have examined this Type or Print Name of Treasurer	s Report and to the	-	wledge and b	pelief it is true	e, correct and	I complete.
Signature of Treasurer Michae	el C Morrison		[Electronically	Filed] D	ate 02	20 / 2013
NOTE: Submission of false, errone	ous, or incomplete i	nformation may su	ubject the pers	son signing th	is Report to th	e penalties of 2 U.S.C. §437g
Office Use Only						FEC FORM 3X Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: 01 01 2013 To: 01 31 2013

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2013		140972.23
	(b) Cash on Hand at Beginning of Reporting Period	140972.23	
	(c) Total Receipts (from Line 19)	51544.01	51544.01
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	192516.24	192516.24
7.	Total Disbursements (from Line 31)	211.27	211.27
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	192304.97	192304.97
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: 01	01 2013 To:	01 31 2013				
I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date				
. Contributions (other than loans) From:						
(a) Individuals/Persons Other						
Than Political Committees	11553.95	11553.95				
(i) Itemized (use Schedule A)	1100.00	7 7 7				
(ii) Unitemized(iii) TOTAL (add	39983.99	39983.99				
Lines 11(a)(i) and (ii)	51537.94	51537.94				
(b) Political Party Committees	0.00	0.00				
(c) Other Political Committees (such as PACs)	0.00	0.00				
(d) Total Contributions (add Lines						
11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)	51537.94	51537.94				
Transfers From Affiliated/Other						
Party Committees	0.00	0.00				
All Leave Descriped	0.00	0.00				
. All Loans Received		0.00				
Loan Repayments Received	0.00	0.00				
Offsets To Operating Expenditures	7					
(Refunds, Rebates, etc.)						
(Carry Totals to Line 37, page 5)	0.00	0.00				
. Refunds of Contributions Made						
to Federal Candidates and Other						
Political Committees	0.00	0.00				
. Other Federal Receipts						
(Dividends, Interest, etc.)	6.07	6.07				
. Transfers from Non-Federal and Levin Funds						
(from Schedule H3)	0.00	0.00				
	0.00	2.00				
(b) Levin Funds (from Schedule H5)	0.00	0.00				
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00				
(Dividends, Interest, etc.)	0.00					

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date		
Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4)			Calcinal Tear-to-Date		
	(i) Federal Share	0.00	0.00		
	(ii) Non-Federal Share	0.00	0.00		
	(b) Other Federal Operating				
	Expenditures	111.27	111.27		
	(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))▶	111.27	111.27		
	Transfers to Affiliated/Other Party				
	CommitteesContributions to	0.00	0.00		
	Federal Candidates/Committees and Other Political Committees	0.00	0.00		
	Independent Expenditures	0.00	0.00		
	(use Schedule E)				
	(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00		
	Loan Repayments Made	0.00	0.00		
	Loans MadeRefunds of Contributions To:	0.00	0.00		
	(a) Individuals/Persons Other Than Political Committees	0.00	0.00		
	(b) Political Party Committees	0.00	0.00		
	(c) Other Political Committees (such as PACs)	0.00	0.00		
	(d) Tatal Cantain sting Defined				
	(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))▶	0.00	0.00		
	Other Disbursements	100.00	100.00		
	Federal Election Activity (2 U.S.C. §431(20)) (a) Allocated Federal Election Activity				
	(from Schedule H6)		0.00		
	(i) Federal Share	0.00	0.00		
	(ii) "Levin" Share	0.00	0.00		
	(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00		
	(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)) ▶	0.00	0.00		
	Total Disbursements (add Lines 21(c), 22,				
	23, 24, 25, 26, 27, 28(d), 29 and 30(c))	211.27	211.27		
	(subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)	211.27	211.27		

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

1 LO I OIIII OX (IICV. 02/2000)		r age o
III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	51537.94	51537.94
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	51537.94	51537.94
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	111.27	111.27
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
8. Net Operating Expenditures (subtract Line 37 from Line 36)	111.27	111.27

FOR LINE NUMBER: **PAGE** 6 OF 16 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c

Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Tana L. Utley Date of Receipt Mailing Address 100 NE Adams Street 2013 31 City State Zip Code Transaction ID: PR1494443227648 Peoria IL 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing C 417.00 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$417.00 Monthly) 417.00 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Berry Date of Receipt Mailing Address 100 N.E. Adams Street 01 31 2013 City State Zip Code Transaction ID: PR1870864827648 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$250.00 Monthly) 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Michael Carr Date of Receipt Mailing Address 100 N.E. Adams Street 01 31 2013 City State Zip Code Transaction ID: PR1870874727648 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 229.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$229.00 Monthly) 229.00 Other (specify) 896.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 9 - 9

	FOF	LINE	NU	MBER	:	PAGE	7	OF	16
Use separate schedule(s)	(che	ck only	or	ne)					
for each category of the Detailed Summary Page	X	11a		11b		11c	12		
		13		14		15	16		□ ₁₇

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kent Meils Adams Date of Receipt Mailing Address 2120 West End Avenue P.O. Box 340001 31 2013 City State Zip Code Transaction ID: PR380417627648 TN 37203-5251 Nashville Amount of Each Receipt this Period FEC ID number of contributing 347.50 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$347.50 Monthly) 347.50 Other (specify) Full Name (Last, First, Middle Initial) B. Mary H Bell Date of Receipt Mailing Address 500 North Morton Avenue 01 31 2013 City State Zip Code Transaction ID: PR380434027648 IL Morton 61550-1527 Amount of Each Receipt this Period FEC ID number of contributing 375.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$375.00 Monthly) 375.00 Other (specify) Full Name (Last, First, Middle Initial) c. Robin David Beran Date of Receipt Mailing Address 100 NE Adams 01 31 2013 City State Zip Code Transaction ID: PR380435527648 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing C 416.63 federal political committee. Name of Employer Occupation Caterpillar Inc. Director of Global Tax & Trade Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$416.63 Monthly) 416.63 Other (specify) 1139.13

9

- 9

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

	FOR LINE	NUMBER	: PAGI	Ξ 8	OF	
Use separate schedule(s)	(check only	y one)				_
for each category of the Detailed Summary Page	X 11a	11b	11c	12	2	
,	13	14	15	16	3	

16

ny information copied from such Reports a r for commercial purposes, other than usin	and Statements may not be sold or used by any peng the name and address of any political committee	rson for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employe	ee Political Action Committee	
Full Name (Last, First, Middle Initial) Thomas Joseph Bluth		Date of Receipt
Mailing Address Route 31, Montgomery I PO Box 348	L	01 31 2013
City	State Zip Code	Transaction ID : PR380441427648
Aurora	IL 60507-0348	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	416.66
Name of Employer	Occupation	-
Caterpillar Inc.	Vice President	
Receipt For:		_
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 416.66	P/R Deduction (\$416.66 Monthly)
Full Name (Last, First, Middle Initial) John A Campbell	,	Date of Receipt
Mailing Address P O Box 600		M = M / D = D / Y = Y = Y
Illinois Route 29	Ctata Zin Cada	01 31 2013
City Mossville	State Zip Code IL 61552-0600	Transaction ID : PR380457227648
	IL 61552-0600	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	274.86
Name of Employer	Occupation	
Caterpillar Inc.	Special Assignment	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	274.86	P/R Deduction (\$274.86 Monthly)
Full Name (Last, First, Middle Initial) Steven Lee Fisher		Date of Receipt
Mailing Address 330 SW Adams		01 31 2013
City	State Zip Code	Transaction ID : PR380503727648
Peoria	IL 61602-1502	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	478.97
Name of Employer	Occupation	-
Caterpillar Inc.	Vice President	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	478.97	P/R Deduction (\$478.97 Monthly)
SUBTOTAL of Receipts This Page (option	al)	1170.49
,		
OTAL This Period (last page this line nur	mber only)	

Caterpillar Inc.

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

	FOF	R LINE	NU	MBER	:	PAGE	=	9 C)F	16
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		717

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Christopher E Glynn Date of Receipt Mailing Address 100 NE Adams 31 2013 City State Zip Code Transaction ID: PR380517427648 IL 61629-0001 Peoria Amount of Each Receipt this Period FEC ID number of contributing C 300.00 federal political committee. Name of Employer Occupation Director Of Corp Human Rel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$300.00 Monthly) 300.00 Other (specify) Full Name (Last, First, Middle Initial) B. Gerald F Gorman Date of Receipt Mailing Address Route 31, Montgomery IL PO Box 348 01 31 2013 City Zip Code State Transaction ID: PR380519827648 IL 60507-0348 Aurora Amount of Each Receipt this Period FEC ID number of contributing 210.00 federal political committee. Name of Employer Occupation

Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	210.00	P/R Deduction (\$210.00 Monthly)
Full Name (Last, First, Middle Initial) C. Stephen A Gosselin		Date of Receipt
Mailing Address 100 NE Adams		01 31 2013
City	State Zip Code	Transaction ID : PR380520127648
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	408.33
Name of Employer	Occupation	
Caterpillar Inc.	Vice President	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 408.33	P/R Deduction (\$408.33 Monthly)

Chief Information Officer

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

918.33

	FOR	LINE	NU	MBER	:	PAGE	 10 OF	=	16
Use separate schedule(s)	(che	ck only	or	ne)					
for each category of the Detailed Summary Page	X	11a		11b		11c	12		
		13		14		15	16		17

	Statements may not be sold or used by any pershe name and address of any political committee t	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) Danny C Hanback		Date of Receipt
Mailing Address 100 NE Adams		01 31 2013
City	State Zip Code	Transaction ID : PR380529827648
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	274.86
Name of Employer	Occupation	1
Caterpillar Inc.	Sr.VP & Chief Ops. Officer-EMD	
Receipt For:	<u> </u>	1
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 274.86	P/R Deduction (\$274.86 Monthly)
Full Name (Last, First, Middle Initial) 3. Gwenne A Henricks		Date of Receipt
Mailing Address 600 W Washington St		01 31 2013
City	State Zip Code	Transaction ID : PR380539527648
East Peoria	IL 61611-2032	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	416.67
Name of Employer	Occupation	1
Caterpillar Inc.	Vice President	
Receipt For:		1
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 416.67	P/R Deduction (\$416.67 Monthly)
Full Name (Last, First, Middle Initial) C. Stephen P Larson	•	Date of Receipt
Mailing Address 500 N Morton Ave		01 31 _ 2013 _
City	State Zip Code	Transaction ID : PR380578727648
Morton	IL 61550-1527	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	416.66
Name of Employer	Occupation	-
Caterpillar Inc.	Vice President	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General	Aggregate real-to-Date ▼	P/R Deduction (\$416.66 Monthly)
Other (specify) ▼	416.66	
SUBTOTAL of Receipts This Page (optional).		1108.19
TOTAL This Period (last page this line number	er only)	

	FOR LINE NUMBER: PAGE 11 OF	16
Use separate schedule(s)	(check only one)	
for each category of the Detailed Summary Page	X 11a 11b 11c 12	
	13 14 15 16	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	o solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Douglas R Oberhelman Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629-0001 C Occupation CEO, Chairman of the Board Aggregate Year-to-Date ▼	Date of Receipt O1 31 2013 Transaction ID: PR380629927648 Amount of Each Receipt this Period 1333.34 P/R Deduction (\$1333.34 Monthly)
Full Name (Last, First, Middle Initial) William J Rohner Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee.	State Zip Code IL 61629-0001	Date of Receipt O1 31 2013 Transaction ID: PR380658227648 Amount of Each Receipt this Period 495.13
Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼	Occupation Vice President Aggregate Year-to-Date ▼ 495.13	P/R Deduction (\$495.13 Monthly)
Full Name (Last, First, Middle Initial) Edward J Scott Mailing Address 2120 West End Avenue P O Box 340001 City Nashville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code TN 37203-5251 C Occupation Corporate Treasurer Aggregate Year-to-Date ▼ 262.93	Date of Receipt O1 31 2013 Transaction ID: PR380671227648 Amount of Each Receipt this Period 262.93 P/R Deduction (\$262.93 Monthly)
SUBTOTAL of Receipts This Page (optional)	>	2091.40

	FOF	R LINE	NU	IMBER	:	PAGE	. 1	12 OI	F	16
Use separate schedule(s)	(che	eck only	or or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		117

Any information copied from such Reports an or for commercial purposes, other than using	d Statements may not be sold or used by any per the name and address of any political committee	rson for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	e Political Action Committee	
Full Name (Last, First, Middle Initial) Dennis C Skarvan		Date of Receipt
Mailing Address 100 NE Adams		01 31 2013
City	State Zip Code	Transaction ID : PR380680227648
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	265.06
Name of Employer	Occupation	
Caterpillar	Deputy General Counsel	
Receipt For:	Aggregate Year-to-Date ▼	-
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 265.06	P/R Deduction (\$265.06 Monthly)
Full Name (Last, First, Middle Initial) William F Springer	•	Date of Receipt
Mailing Address 501 SW Jefferson		01 31 2013
City	State Zip Code	Transaction ID : PR380687527648
Peoria	IL 61630-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	300.00
Name of Employer	Occupation	1
Caterpillar Inc.	Vice President	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	300.00	P/R Deduction (\$300.00 Monthly)
Full Name (Last, First, Middle Initial) C. Gary Alan Stampanato	1	Date of Receipt
Mailing Address 3701 State Road 26 East		01 31 2013
City	State Zip Code	Transaction ID : PR380688927648
Lafayette	IN 47905-4808	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	225.00
Name of Employer	Occupation	1
Caterpillar Inc.	Vice President	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	225.00	P/R Deduction (\$225.00 Monthly)
SUBTOTAL of Receipts This Page (optional)	790.06
TOTAL This Period (last page this line numb	per only)	

	FOF	R LINE	NU	MBER	:	PAGE	1	I3 OF	=	16		
Use separate schedule(s)	(che	(check only one)										
for each category of the Detailed Summary Page	X	11a		11b		11c		12				
,,g.		13		14		15		16		17		

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Steven H Wunning Date of Receipt Mailing Address 100 NE Adams 31 2013 City State Zip Code Transaction ID: PR380731427648 61629-0001 Peoria IL Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Name of Employer Occupation Group President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$416.66 Monthly) 416.66 Other (specify) Full Name (Last, First, Middle Initial) B. Gregory Scott Folley Date of Receipt Mailing Address 100 NE Adams 01 31 2013 City State Zip Code Transaction ID: PR498716727648 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For:

Full Name (Last, First, Middle Initial) Alan Frese		Date of Receipt
Mailing Address 100 N.E. Adams		01 31 2013
City	State Zip Code	Transaction ID : PR498752627648
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	227.92
Name of Employer	Occupation	-
Caterpillar Inc.	Mining Prod Support Gen Mgr	
Receipt For:	Aggregate Year-to-Date ▼	1

416.66

227.92

Aggregate Year-to-Date ▼

SUBTOTAL of Receipts This Page (optional)			7	Ι	I	7	106	1.24	
TOTAL This Period (last page this line number only)	_	_	7	_		7		_	

P/R Deduction (\$416.66 Monthly)

P/R Deduction (\$227.92 Monthly)

Primary

Primary

Other (specify)

Other (specify)

General

General

	FOF	R LINE	NU	IMBER	:	PAGE	•	14 O	F	16
Use separate schedule(s)	(che	ck only	or or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		717

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Steven L. Guse Date of Receipt Mailing Address 7203 N Draycott Place 31 2013 City State Zip Code Transaction ID: PR498934527648 IL 61615-9299 Peoria Amount of Each Receipt this Period FEC ID number of contributing C 255.12 federal political committee. Name of Employer Occupation Rgnl/Group Financial Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$255.12 Monthly) 255.12 Other (specify) Full Name (Last, First, Middle Initial) B. Bradley M Halverson Date of Receipt Mailing Address 1456 Ne Windermere Dr 01 31 2013 City State Zip Code Transaction ID: PR498961827648 IL 61568-9782 Tremont Amount of Each Receipt this Period FEC ID number of contributing C 253.92

I	ederai politicai committee.		
	Name of Employer Caterpillar Inc.	Occupation Group President & CFO	
Ī	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 253.92	P/R Deduction (\$253.92 Monthly)
	Full Name (Last, First, Middle Initial) Stuart L Levenick		Date of Receipt
1	Mailing Address 100 NE Adams		01 31 2013
(City	State Zip Code	Transaction ID : PR499546727648
	Peoria	IL 61629-0001	Amount of Each Receipt this Period
	FEC ID number of contributing dederal political committee.	C	416.66
Ī	Name of Employer	Occupation	
	Caterpillar Inc.	Group President	
<u>-</u>	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 416.66	P/R Deduction (\$416.66 Monthly)

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

925.70

FOR LINE NUMBER: PAGE 15 OF 16 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Douglas D. McCallen Date of Receipt Mailing Address 3564 Hamilton 2013 31 City Zip Code State Transaction ID: PR499709027648 West Lafayette IN 47906-1275 Amount of Each Receipt this Period FEC ID number of contributing C 240.25 federal political committee. Name of Employer Occupation Rgnl/Group Financial Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$240.25 Monthly) 240.25 Other (specify) Full Name (Last, First, Middle Initial) B. Edward J Rapp Date of Receipt Mailing Address 100 N.E. Adams 01 31 2013 City State Zip Code Transaction ID: PR500228127648 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Name of Employer Occupation Caterpillar Inc. Group President Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$416.66 Monthly) 416.66 Other (specify) Full Name (Last, First, Middle Initial) c. Michael Sposato Date of Receipt Mailing Address 100 N.E. Adams Street 01 31 2013 City State Zip Code Transaction ID: PR500647027648 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 257.08 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$257.08 Monthly) 257.08 Other (specify) 913.99 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 9 - 9

FOR LINE NUMBER: PAGE 16 OF 16 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Robert C Thompson Date of Receipt Mailing Address 100 NE Adams 2013 31 City Zip Code State Transaction ID: PR500814927648 Peoria IL 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing C 325.00 federal political committee. Name of Employer Occupation Caterpillar Inc. President, Insurance Services Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$325.00 Monthly) 325.00 Other (specify) Full Name (Last, First, Middle Initial) B. Reid W. Waitt Date of Receipt Mailing Address 3910 Sawmill Lane 01 31 2013 City State Zip Code Transaction ID: PR500942827648 IL Morris 60450-7512 Amount of Each Receipt this Period FEC ID number of contributing C 214.42 federal political committee. Name of Employer Occupation Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$214.42 Monthly) 214.42 Other (specify) Full Name (Last, First, Middle Initial) Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 539.42 SUBTOTAL of Receipts This Page (optional)..... 11553.95 TOTAL This Period (last page this line number only).....