# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

RECEIVED
FEC MAIL ROOM
2000 JUN -5 P 3:47

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full)
Katak Rock LLP Political Action Committee

ADDRESS (number and street) ☐ Check if different than previously reported
717 17th Street, Suite 2900

CITY, STATE and ZIP CODE
Denver, Colorado 80202

2. FEC IDENTIFICATION NUMBER
C01160986

3. ☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report
☐ July 15 Quarterly Report
☐ October 15 Quarterly Report
☒ January 31 Year End Report
☐ July 31 Mid Year Report (Non-election Year Only)
☐ Termination Report

Monthly Report Due On:
☐ February 20 ☐ June 20 ☐ October 20
☐ March 20 ☐ July 20 ☐ November 20
☐ April 20 ☐ August 20 ☐ December 20
☐ May 20 ☐ September 20 ☐ January 31

☐ 12-Day Pre-Election Report for the _____ (Type of Election) election on _____ in the State of _____

☐ 30-Day Post-Election Report following the General Election on _____ in the State of _____

(b) Is this Report an Amendment? ☒ YES ☐ NO

| SUMMARY | COLUMN A This Period | COLUMN B Calendar Year-to-Date |
|---|---|---|
| 5. Covering Period 07/01/99 through 12/31/99 | | |
| 6. (a) Cash on Hand January 1, 19 99 | | $ 60,344.09 |
| (b) Cash on Hand at Beginning of Reporting Period | $ 53,244.09 | |
| (c) Total Receipts (from Line 18) | $ 35,045.00 | $ 35,045.00 |
| (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) | $ 88,289.09 | $ 95,389.09 |
| 7. Total Disbursements (from Line 30) | $ 40,545.00 | $ 47,645.00 |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) | $ 47,744.09 | $ 47,744.09 |
| 9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D) | $ 0 | |
| 10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D) | $ 0 | |

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-694-1100

*I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.*

Type or Print Name of Treasurer
Gary J. Ceballos

Signature of Treasurer

Date
05-31-00

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of U.S.C. §437g.

FEC FORM 3X
(revised 9/93)

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# DETAILED SUMMARY PAGE
## OF RECEIPTS AND DISBURSEMENTS
PAGE 2, FEC FORM 3X

(revised 1/1/91)

NAME OF COMMITTEE: Kutak Rock Political Action Committee

REPORT COVERING PERIOD FROM 07/01/99 TO 12/31/99

| I. Receipts | COLUMN A Total This Period | COLUMN B Calendar Year | |
|---|---|---|---|
| 11. Contributions (other than loans) From: | | | |
| a. Individual/Persons Other Than Political Committees | | | |
| i. Itemized (use Schedule A) | | | 11(a)(i) |
| ii. Unitemized Erroneous Payroll Deduction | 34,345.00 | 34,345.00 | 11(a)(ii) |
| iii. Total (add i and ii) ➤ | 34,345.00 | 34,345.00 | 11(a)(iii) |
| b. Political Party Committees | 0 | 0 | 11(b) |
| c. Other Political Committees (such as PACs) | 0 | 0 | 11(c) |
| d. Total Contributions (add a iii, b and c) ➤ | 34,345.00 | 34,345.00 | 11(d) |
| 12. Transfers From Affiliated/Other Party Committees | 0 | 0 | 12 |
| 13. All Loans Received | 0 | 0 | 13 |
| 14. Loan Repayments Received | 0 | 0 | 14 |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) | 0 | 0 | 15 |
| 16. Refunds of Contributions Made to Federal Candidates and Other Political Committees | 700.00 | 700.00 | 16 |
| 17. Other Federal Receipts (Dividends, Interest, etc.) | 0 | 0 | 17 |
| 18. Transfers from Nonfederal Account for Joint Activity | 0 | 0 | 18 |
| 19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) ➤ | 35,045.00 | 35,045.00 | 19 |
| 20. Total Federal Receipts (subtract line 18 from line 19) ➤ | 35,045.00 | 35,045.00 | 20 |
| **II. Disbursements** | | | |
| 21. Operating Expenditures: | | | |
| a. Shared Federal/Non-Federal Activity (from Schedule H4) | | | |
| i. Federal Share | 0 | 0 | 21(a)(i) |
| ii. Non-Federal Share | 0 | 0 | 21(a)(ii) |
| b. Other Federal Operating Expenditures | 0 | 0 | 21(b) |
| c. Total Operating Expenditures (add a i, a ii, and b) ➤ | 0 | 0 | 21(c) |
| 22. Transfers to Affiliated/Other Party Committees | 0 | 0 | 22 |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees | 5,500.00 | 12,600.00 | 23 |
| 24. Independent Expenditures (use Schedule E) | 0 | 0 | 24 |
| 25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F) | 0 | 0 | 25 |
| 26. Loan Repayments Made | 0 | 0 | 26 |
| 27. Loans Made | 0 | 0 | 27 |
| 28. Refunds of Contributions To: | | | |
| a. Individuals/Persons Other Than Political Committees Return erroneous payroll deduction | 34,345.00 | 34,345.00 | 28(a) |
| b. Political Party Committees | 0 | 0 | 28(b) |
| c. Other Political Committees (such as PACs) | 0 | 0 | 29(c) |
| d. Total Contribution Refunds (add a, b and c) ➤ | 34,345.00 | 34,345.00 | 28(d) |
| 29. Other Disbursements | 700.00 | 700.00 | 29 |
| 30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) ➤ | 40,545.00 | 47,645.00 | 30 |
| 31. Total Federal Disbursements (subtract line 21 a ii from line 30) ➤ | 40,545.00 | 47,645.00 | 31 |
| **III. Net Contributions/Operating Expenditures** | | | |
| 32. Total Contributions (other than loans)(from line 11d) | 34,345.00 | 34,345.00 | 32 |
| 33. Total Contribution Refunds (from line 28d) | 34,345.00 | 34,345.00 | 33 |
| 34. Net Contributions (other than loans)(subtract line 33 from 32) | 0 | 0 | 34 |
| 35. Total Federal Operating Expenditures (add 21 a i and 21 b) ➤ | 0 | 0 | 35 |
| 36. Offsets to Operating Expenditures (from line 15) | 0 | 0 | 36 |
| 37. Net Operating Expenditures (subtract line 36 from 35) ➤ | 0 | 0 | 37 |

FL0-N101

SCHEDULE A

# ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 1

FOR LINE NUMBER 16

Any information copied from such reports and statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Kutak Rock Political Action Committee

| | | | |
|---|---|---|---|
| A. Full Name, Mailing Address and ZIP Code<br>Frankie Sue Del Papa for Senate<br>Box 8111<br>Reno, NV 89507 | Name of Employer<br>Pro-rata refund of contribution designated for primary<br>Occupation | Date (month, day, year)<br>12/27/99 | Amount of Each Receipt this Period<br>700.00 |
| Receipt For: [ ] Primary [ ] General [X] Other (specify): | Aggregate Year-to-Date > $ 700.00 | | |
| B. Full Name, Mailing Address and ZIP Code | Name of Employer<br>Occupation | Date (month, day, year) | Amount of Each Receipt this Period |
| Receipt For: [ ] Primary [ ] General [ ] Other (specify): | Aggregate Year-to-Date > $ | | |
| C. Full Name, Mailing Address and ZIP Code | Name of Employer<br>Occupation | Date (month, day, year) | Amount of Each Receipt this Period |
| Receipt For: [ ] Primary [ ] General [ ] Other (specify): | Aggregate Year-to-Date > $ | | |
| D. Full Name, Mailing Address and ZIP Code | Name of Employer<br>Occupation | Date (month, day, year) | Amount of Each Receipt this Period |
| Receipt For: [ ] Primary [ ] General [ ] Other (specify): | Aggregate Year-to-Date > $ | | |
| E. Full Name, Mailing Address and ZIP Code | Name of Employer<br>Occupation | Date (month, day, year) | Amount of Each Receipt this Period |
| Receipt For: [ ] Primary [ ] General [ ] Other (specify): | Aggregate Year-to-Date > $ | | |
| F. Full Name, Mailing Address and ZIP Code | Name of Employer<br>Occupation | Date (month, day, year) | Amount of Each Receipt this Period |
| Receipt For: [ ] Primary [ ] General [ ] Other (specify): | Aggregate Year-to-Date > $ | | |
| G. Full Name, Mailing Address and ZIP Code | Name of Employer<br>Occupation | Date (month, day, year) | Amount of Each Receipt this Period |
| Receipt For: [ ] Primary [ ] General [ ] Other (specify): | Aggregate Year-to-Date > $ | | |

SUBTOTAL of Receipts This Page (optional) .....

TOTAL This Period (last page this line number only) ..... 700.00

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SCHEDULE B

# ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 1

FOR LINE NUMBER 23

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Kurak Rock LLP Political Action Committee

| Full Name, Mailing Address and ZIP Code | Purpose of Disbursement / Disbursement for: Primary General Other (specify) | Date (month, day, year) | Amount of Each Disbursement This Period |
|---|---|---|---|
| The Barrett Committee<br>P.O. Box 176<br>Grand Island, NE 68802 | Bill Barrett, House Candidate, 3rd, Nebraska | 07/15/99 | 500.00 |
| Collins for Senator<br>Education Finance Council<br>1155 15th Street, Suite 801<br>Washington, D.C. 20005 | Susan Collins, Senate Candidate, Maine | 07/21/99 | 1,000.00 |
| Friends of Scott McInnis Campaign Committee<br>P.O. Box 3157<br>Grand Junction, CO 81502 | Scott McInnis, House Candidate, 3rd, Colorado | 08/26/99 | 1,000.00 |
| Bob Kerrey for U.S. Senate<br>7602 Pacific Street, LL 103<br>Omaha, NE 68114 | Robert Kerrey, Senate Candidate, Nebraska | 10/25/99 | 1,000.00 |
| Tim Johnson for South Dakota<br>First Nat'l Bank - c/o Bill Henry<br>1620 Dodge Street<br>Omaha, NE 68102 | Tim Johnson, Senate Candidate, South Dakota | 11/23/99 | 2,000.00 |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

SUBTOTAL of Disbursements This Page (optional) .....

TOTAL This Period (last page this line number only) ..... 5,500.00

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SCHEDULE B

# ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 1

FOR LINE NUMBER 29

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Kutak Rock Political Action Committee

| A. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
|---|---|---|---|
| Douglas County Republican Party<br>11263 Wright Circle<br>Omaha, NE 68144 | Fund Raising Dinner<br>Disbursement for: [ ] Primary [ ] General [X] Other (specify) | 12/01/99 | 700.00 |
| B. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement<br>Disbursement for: [ ] Primary [ ] General [ ] Other (specify) | Date (month, day, year) | Amount of Each Disbursement This Period |
| C. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement<br>Disbursement for: [ ] Primary [ ] General [ ] Other (specify) | Date (month, day, year) | Amount of Each Disbursement This Period |
| D. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement<br>Disbursement for: [ ] Primary [ ] General [ ] Other (specify) | Date (month, day, year) | Amount of Each Disbursement This Period |
| E. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement<br>Disbursement for: [ ] Primary [ ] General [ ] Other (specify) | Date (month, day, year) | Amount of Each Disbursement This Period |
| F. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement<br>Disbursement for: [ ] Primary [ ] General [ ] Other (specify) | Date (month, day, year) | Amount of Each Disbursement This Period |
| G. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement<br>Disbursement for: [ ] Primary [ ] General [ ] Other (specify) | Date (month, day, year) | Amount of Each Disbursement This Period |
| H. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement<br>Disbursement for: [ ] Primary [ ] General [ ] Other (specify) | Date (month, day, year) | Amount of Each Disbursement This Period |
| I. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement<br>Disbursement for: [ ] Primary [ ] General [ ] Other (specify) | Date (month, day, year) | Amount of Each Disbursement This Period |

SUBTOTAL of Disbursements This Page (optional) .....

TOTAL This Period (last page this line number only) ..... 700.00

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RECEIVED
MAY 1 6 2000
KUTAK ROCK

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-5

Gary J. Ceballos, Treasurer
Kutak Rock LLP Political Action
Committee
717 17th Street, Suite 2900
Denver, CO 80202

MAY 1 2 2000

Identification Number: C00160986

Reference: Mid-Year (1/1/99-6/30/99) and Year End (7/1/99-12/31/99) Reports

Dear Mr. Ceballos:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Please amend Schedule B supporting Line 23 by providing the office sought including state and congressional district, if applicable, for each contribution made to a federal candidate or political committee. 11 CFR §104.3(b)(3)(ii) and (v)

Any amendment or clarification should be filed with the Federal Election Commission. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530 (at the prompt press 1, then press 2 to reach the Reports Analysis Division). My local number is (202) 694-1130.

Sincerely,

Dominick Ciaraldi
Reports Analyst
Reports Analysis Division

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Federal Election Commission

# ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

| | | |
|---|---|---|
| ☐ | Hand Delivered | Date of Receipt |
| ☑ | First Class Mail | POSTMARKED 5-31-00 |
| ☐ | Registered/Certified Mail | POSTMARKED |
| ☐ | No Postmark | |
| ☐ | Postmark Illegible | |
| ☐ | Received from the House office of Records and Registration | Date of Receipt |
| ☐ | Received from the Senate Office of Public Records | Date of Receipt |
| ☐ | Other ( Specify): | Postmarked _____ and/or Date of Receipt |
| ☐ | Electronic Filing | |

PREPARER: [illegible]

DATE PREPARED: 6-5-00

(4/98)