

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF
COMMITTEE (in full)**USE FEC MAILING LABEL
OR TYPE OR PRINT**Example: If typing, type
over the lines

SIERRA CLUB INDEPENDENT ACTION

ADDRESS (number and street)

85 SECOND STREET SECOND FLOOR

☐Check if different
than previously
reported. (ACC)

SAN FRANCISCO

CA

94105

2. **FEC IDENTIFICATION NUMBER**

CITY

STATE

ZIP CODE

C00483693

3. IS THIS
REPORT☒NEW
(N)

OR

☐AMENDED
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

☐April 15
Quarterly Report(Q1)☐July 15
Quarterly Report(Q2)☐October 15
Quarterly Report(Q3)☐January 31
Quarterly Report(YE)☐July 31 Mid-Year
Report(Non-election
Year Only) (MY)☐Termination Report
(TER)(b) Monthly
Report
Due On:☐

Feb 20 (M2)

☐

May 20 (M5)

☐

Aug 20 (M8)

☐Nov 20 (M11)
(Non-Election
Year Only)☐

Mar 20 (M3)

☐

Jun 20 (M6)

☐

Sep 20 (M9)

☐Dec 20 (M12)
(Non-Election
Year Only)☐

Apr 20 (M4)

☐

Jul 20 (M7)

☒

Oct 20 (M10)

☐

Jan 31 (YE)

(c) 12-Day
PRE-Election
Report for the:☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12S)

Election on

in the
State of(d) 30-Day
Post-Election
Report for the:☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the
State of

5. Covering Period

09

01

2010

through

09

30

2010

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Catherine Duvall

Signature of Treasurer

Electronically Filed by Catherine Duvall

Date

10

20

2010

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office
Use
Only**FEC FORM 3X**
(Rev. 12/2004)

SUMMARY PAGE

OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

2 / 12

Write or Type Committee Name
SIERRA CLUB INDEPENDENT ACTION

Report Covering the Period: From:

M	M
0	9

D	D
0	1

Y	Y	Y	Y
2	0	1	0

 To:

M	M
0	9

D	D
3	0

Y	Y	Y	Y
2	0	1	0

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1	2010	0.00
(b) Cash on Hand at Beginning of Reporting Period	46598.89	
(c) Total Receipts (from Line 19)	8000.00	54600.00
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	54598.89	54600.00
7. Total Disbursements (from Line 31)	30100.58	30101.69
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	24498.31	24498.31
9. Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☐ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 06/2004)

3 / 12

Write or Type Committee Name

SIERRA CLUB INDEPENDENT ACTION

Report Covering the Period:

From:

M	M	D	D	Y	Y	Y	Y
0	9	0	1	2	0	1	0

To:

M	M	D	D	Y	Y	Y	Y
0	9	3	0	2	0	1	0

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	8000.00	54000.00
(ii) Unitemized	0.00	600.00
(iii) TOTAL (add Lines 11(a)(i) and (ii)	8000.00	54600.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5)	8000.00	54600.00
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.)	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	8000.00	54600.00
20. Total Federal Receipts (subtract Line 18(c) from Line 19)	8000.00	54600.00

DETAILED SUMMARY PAGE

of Disbursements

4 / 12

FEC Form 3X (Rev. 02/2003)

II. DISBURSEMENTS		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:			
(a) Shared Federal/Non-Federal Activity (from Schedule H4)			
(i) Federal Share.....	0.00	0.00	
(ii) Non-Federal Share.....	0.00	0.00	
(b) Other Federal Operating Expenditures.....	28205.58	28206.69	
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... ➤	28205.58	28206.69	
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00	
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	0.00	
24. Independent Expenditure (use Schedule E)	1895.00	1895.00	
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....	0.00	0.00	
26. Loan Repayments Made.....	0.00	0.00	
27. Loans Made.....	0.00	0.00	
28. Refunds of Contributions To:			
(a) Individuals/Persons Other Than Political Committees	0.00	0.00	
(b) Political Party Committees	0.00	0.00	
(c) Other Political Committees (such as PACs)	0.00	0.00	
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	0.00	0.00	
29. Other Disbursements.....	0.00	0.00	
30. Federal Election Activity (2 U.S.C 431(20))			
(a) Shared Federal Election Activity (from Schedule H6)			
(i) Federal Share	0.00	0.00	
(ii) "Levin" Share	0.00	0.00	
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00	
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00	
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..	30100.58	30101.69	
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	30100.58	30101.69	

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

5 / 12

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3)	8000.00	54600.00
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	8000.00	54600.00
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	28205.58	28206.69
37. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)	28205.58	28206.69

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 12

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

SIERRA CLUB INDEPENDENT ACTION

A.

Full Name (Last, First, Middle Initial)

Robert Flint

Mailing Address 185 Bear Gulch Rd

City

Woodside

State

CA

Zip Code

94062

FEC ID number of contributing
federal political committee.

C

Name of Employer
None

Occupation
Retired

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M / D D / Y Y Y Y
0 9 / 0 8 / 2 0 1 0

Transaction ID: SA11AI.4151

Amount of Each Receipt this Period

5000.00

B.

Full Name (Last, First, Middle Initial)

David Ruffin

Mailing Address 3520 Carlton Square PI

City

Raleigh

State

NC

Zip Code

27612

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Risk Management LLC

Occupation
Banking Consultant

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2000.00

Date of Receipt

M M / D D / Y Y Y Y
0 9 / 0 8 / 2 0 1 0

Transaction ID: SA11AI.4153

Amount of Each Receipt this Period

2000.00

C.

Full Name (Last, First, Middle Initial)

Claire Silberman

Mailing Address 70 Willow St

City

Brooklyn

State

NY

Zip Code

11201

FEC ID number of contributing
federal political committee.

C

Name of Employer
Information requested

Occupation
Information requested

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y
0 9 / 2 9 / 2 0 1 0

Transaction ID: SA11AI.4155

Amount of Each Receipt this Period

1000.00

SUBTOTAL of Receipts This Page (optional)

8000.00

TOTAL This Period (last page this line number only)

8000.00

SCHEDULE B (FEC Form 3X) **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 7 / 12

☒ 21b	☐ 22	☐ 23	☐ 24	☐ 25	☐ 26
☐ 27	☐ 28a	☐ 28b	☐ 28c	☐ 29	☐ 30b

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NAME OF COMMITTEE (In Full)

SIERRA CLUB INDEPENDENT ACTION

A.

Full Name (Last, First, Middle Initial)

Sierra Club

Mailing Address 85 2nd St., 2nd Floor

City
San Francisco

State
CA

Zip Code
94105

Purpose of Disbursement
Advance for services

Candidate Name

Category/
Type

Office Sought: ☐ House
☐ Senate
☐ President

State: District:

Disbursement For:

☐ Primary ☐ General
☐ Other (specify) ▼

Transaction ID: SB21B.4160

Date of Disbursement

09 / 16 / 2010

Amount of Each Disbursement this Period

5000.00

B.

Full Name (Last, First, Middle Initial)

Sierra Club

Mailing Address 85 2nd St., 2nd Floor

City
San Francisco

State
CA

Zip Code
94105

Purpose of Disbursement
Advance for services

Candidate Name

Category/
Type

Office Sought: ☐ House
☐ Senate
☐ President

State: District:

Disbursement For:

☐ Primary ☐ General
☐ Other (specify) ▼

Transaction ID: SB21B.4161

Date of Disbursement

09 / 30 / 2010

Amount of Each Disbursement this Period

24500.00

C.

Full Name (Last, First, Middle Initial)

Sierra Club

Mailing Address 85 2nd St., 2nd Floor

City
San Francisco

State
CA

Zip Code
94105

Purpose of Disbursement
Fee for services

Candidate Name

Category/
Type

Office Sought: ☐ House
☐ Senate
☐ President

State: District:

Disbursement For:

☐ Primary ☐ General
☐ Other (specify) ▼

Transaction ID: SB21B.4164

Date of Disbursement

09 / 30 / 2010

Amount of Each Disbursement this Period

-100.00

SUBTOTAL of Disbursements This Page (optional)

29400.00

TOTAL This Period (last page this line number only)

SCHEDULE B (FEC Form 3X) **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 8 / 12

☒ 21b	☐ 22	☐ 23	☐ 24	☐ 25	☐ 26
☐ 27	☐ 28a	☐ 28b	☐ 28c	☐ 29	☐ 30b

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NAME OF COMMITTEE (In Full)

SIERRA CLUB INDEPENDENT ACTION

A.

Full Name (Last, First, Middle Initial)

Sierra Club

Mailing Address 85 2nd St., 2nd Floor

City
San Francisco

State
CA

Zip Code
94105

Purpose of Disbursement

Fee for services

Candidate Name

Category/
Type

Office Sought: ☐ House
☐ Senate
☐ President

Disbursement For:

☐ Primary ☐ General
☐ Other (specify) ▼

State: District:

Transaction ID: SB21B.4165

Date of Disbursement

09 / 30 / 2010

Amount of Each Disbursement this Period

-1894.00

B.

Full Name (Last, First, Middle Initial)

Sierra Club

Mailing Address 85 2nd St., 2nd Floor

City
San Francisco

State
CA

Zip Code
94105

Purpose of Disbursement

Fee for services

Candidate Name

Category/
Type

Office Sought: ☐ House
☐ Senate
☐ President

Disbursement For:

☐ Primary ☐ General
☐ Other (specify) ▼

State: District:

Transaction ID: SB21B.4166

Date of Disbursement

09 / 30 / 2010

Amount of Each Disbursement this Period

100.00

C.

Full Name (Last, First, Middle Initial)

Sierra Club

Mailing Address 85 2nd St., 2nd Floor

City
San Francisco

State
CA

Zip Code
94105

Purpose of Disbursement

Fee for services

Candidate Name

Category/
Type

Office Sought: ☐ House
☐ Senate
☐ President

Disbursement For:

☐ Primary ☐ General
☐ Other (specify) ▼

State: District:

Transaction ID: SB21B.4167

Date of Disbursement

09 / 30 / 2010

Amount of Each Disbursement this Period

1894.00

SUBTOTAL of Disbursements This Page (optional)

100.00

TOTAL This Period (last page this line number only)

SCHEDULE B (FEC Form 3X) **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 9 / 12

☒ 21b	☐ 22	☐ 23	☐ 24	☐ 25	☐ 26
☐ 27	☐ 28a	☐ 28b	☐ 28c	☐ 29	☐ 30b

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NAME OF COMMITTEE (In Full)

SIERRA CLUB INDEPENDENT ACTION

A.

Full Name (Last, First, Middle Initial)

Sierra Club

Mailing Address 85 2nd St., 2nd Floor

City
San Francisco

State
CA

Zip Code
94105

Purpose of Disbursement

Fee for services

Candidate Name

Category/
Type

Office Sought: ☐ House
☐ Senate
☐ President

State: District:

Disbursement For:

☐ Primary ☐ General
☐ Other (specify) ▼

Transaction ID: SB21B.4198

Date of Disbursement

/ /

Amount of Each Disbursement this Period

-3236.15

B.

Full Name (Last, First, Middle Initial)

David Willett

Mailing Address 408 C St. NE

City
Washington

State
DC

Zip Code
20002

Purpose of Disbursement

Salaries and Benefits

Candidate Name

Category/
Type

Office Sought: ☐ House
☐ Senate
☐ President

State: District:

Disbursement For:

☐ Primary ☐ General
☐ Other (specify) ▼

Transaction ID: SB21B.4192

Date of Disbursement

/ /

Amount of Each Disbursement this Period

1618.18

SUBTOTAL of Disbursements This Page (optional)

-1617.97

TOTAL This Period (last page this line number only)

27882.03

SCHEDULE E (FEC Form 3X) **ITEMIZED INDEPENDENT EXPENDITURES**

PAGE 10 / 12

FOR LINE 24 OF FORM 3X

NAME OF COMMITTEE (In Full) SIERRA CLUB INDEPENDENT ACTION		FEC IDENTIFICATION NUMBER ▼ C C00483693	
Check if ☐ 24-hour notice ☐ 48-hour notice			
Full Name (Last, First, Middle, Initial) of Payee Adrienne Bramhall		Date MM / DD / YYYY 09 / 30 / 2010	
Mailing Address 85 2nd St 2nd Flr		Amount 492.27	
City State Zip Code San Francisco CA 94105		Transaction ID: SE.4180	
Purpose of Expenditure Salaries and Benefits		Office Sought: ☐ House State: KY ☒ Senate District: _____ ☐ Presidential	
Category/Type 001		Check One: ☐ Support ☒ Oppose	
Name of Federal Candidate supported or Opposed by expenditure: RAND PAUL		Disbursement For: ☐ Primary ☒ General ☐ Other (specify) : _____	
Calendar Year-To-Date Per Election for Office Sought 1707.71		2010	
Full Name (Last, First, Middle, Initial) of Payee Tony Cani		Date MM / DD / YYYY 09 / 30 / 2010	
Mailing Address 408 C St. NE		Amount 26.76	
City State Zip Code Washington DC 20002		Transaction ID: SE.4179	
Purpose of Expenditure Salaries and Benefits		Office Sought: ☐ House State: WA ☒ Senate District: _____ ☐ Presidential	
Category/Type 001		Check One: ☒ Support ☐ Oppose	
Name of Federal Candidate supported or Opposed by expenditure: PATTY MURRAY		Disbursement For: ☐ Primary ☒ General ☐ Other (specify) : _____	
Calendar Year-To-Date Per Election for Office Sought 26.76		2010	
(a) SUBTOTAL of Itemized Independent Expenditures		519.03	
(b) SUBTOTAL of Unitemized Independent Expenditures			
(c) TOTAL Independent Expenditures			
Under penalty of perjury I certify that the independent expenditures reported herein were not made in cooperation, consultation, or concert with, or at the request or suggestion of, any candidate or authorized committee or agent of either, or (if the reporting entity is not a political party committee) any political party committee or its agent.			
Catherine Duvall Signature		Date MM / DD / YYYY 10 / 20 / 2010	

SCHEDULE E (FEC Form 3X) **ITEMIZED INDEPENDENT EXPENDITURES**

PAGE 11 / 12

FOR LINE 24 OF FORM 3X

NAME OF COMMITTEE (In Full) SIERRA CLUB INDEPENDENT ACTION		FEC IDENTIFICATION NUMBER C C00483693	
Check if ☐ 24-hour notice ☐ 48-hour notice			
Full Name (Last, First, Middle, Initial) of Payee Tony Cani		Date M M / D D / Y Y Y Y 0 9 / 3 0 / 2 0 1 0	
Mailing Address 408 C St. NE		Amount 160.53	
City Washington State DC Zip Code 20002		Transaction ID: SE.4201	
Purpose of Expenditure Salaries and Benefits		Office Sought: ☐ House State: CO ☒ Senate District: _____ ☐ Presidential	
Category/Type 001		Check One: ☒ Support ☐ Oppose	
Name of Federal Candidate supported or Opposed by expenditure: MICHAEL F BENNET		Disbursement For: ☐ Primary ☒ General ☐ Other (specify) : _____	
Calendar Year-To-Date Per Election for Office Sought 160.53		2010	
Full Name (Last, First, Middle, Initial) of Payee Andelko Jurin		Date M M / D D / Y Y Y Y 0 9 / 1 4 / 2 0 1 0	
Mailing Address 444.5 Genesee Ave		Amount 599.00	
City Los Angeles State CA Zip Code 90036		Transaction ID: SE.4158	
Purpose of Expenditure Consultant - Video		Office Sought: ☐ House State: KY ☒ Senate District: _____ ☐ Presidential	
Category/Type 006		Check One: ☐ Support ☒ Oppose	
Name of Federal Candidate supported or Opposed by expenditure: RAND PAUL		Disbursement For: ☐ Primary ☒ General ☐ Other (specify) : _____	
Calendar Year-To-Date Per Election for Office Sought 599.00		2010	
(a) SUBTOTAL of Itemized Independent Expenditures		759.53	
(b) SUBTOTAL of Unitemized Independent Expenditures			
(c) TOTAL Independent Expenditures			
Under penalty of perjury I certify that the independent expenditures reported herein were not made in cooperation, consultation, or concert with, or at the request or suggestion of, any candidate or authorized committee or agent of either, or (if the reporting entity is not a political party committee) any political party committee or its agent.			
Catherine Duvall Signature		Date M M / D D / Y Y Y Y 1 0 / 2 0 / 2 0 1 0	

**SCHEDULE E (FEC Form 3X)
ITEMIZED INDEPENDENT EXPENDITURES**

PAGE 12 / 12

FOR LINE 24 OF FORM 3X

NAME OF COMMITTEE (In Full) SIERRA CLUB INDEPENDENT ACTION		FEC IDENTIFICATION NUMBER C C00483693	
Check if ☐ 24-hour notice ☐ 48-hour notice			
Full Name (Last, First, Middle, Initial) of Payee David Willett		Date M M / D D / Y Y Y Y 0 9 / 3 0 / 2 0 1 0	
Mailing Address 408 C St. NE		Amount 616.44	
City Washington		Transaction ID: SE.4177	
State DC		Office Sought: ☐ House State: KY ☒ Senate District: _____ ☐ Presidential	
Zip Code 20002		Check One: ☐ Support ☒ Oppose	
Purpose of Expenditure Salaries and Benefits		Disbursement For: ☐ Primary ☒ General ☐ Other (specify) : _____	
Category/ Type 001		2010	
Name of Federal Candidate supported or Opposed by expenditure: RAND PAUL			
Calendar Year-To-Date Per Election for Office Sought		1215.44	

(a) SUBTOTAL of Itemized Independent Expenditures	616.44
(b) SUBTOTAL of Unitemized Independent Expenditures	
(c) TOTAL Independent Expenditures	1895.00
Under penalty of perjury I certify that the independent expenditures reported herein were not made in cooperation, consultation, or concert with, or at the request or suggestion of, any candidate or authorized committee or agent of either, or (if the reporting entity is not a political party committee) any political party committee or its agent.	
Catherine Duvall Signature	Date M M / D D / Y Y Y Y 1 0 / 2 0 / 2 0 1 0