

**National Association of Insurance and Financial Advisors  
Political Action Committee (NAIFAPAC)**

June 13, 2013

Rosa Lewis  
Reports and Analysis Division  
Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

RECEIVED  
2013 JUN 17 AM 7:09  
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NAIFAPAC ID Number C00005249

In response to your May 10, 2013 request for additional information we submit the following.

The Vargas for Congress General Debt Retirement disbursement reported on NAIFAPAC's 2012 Year End Report Schedule B, line 23, page 1, item B was never sent to the campaign. NAIFAPAC voided the check the next day on 11/30/2012. This was reported on NAIFAPAC's 2012 Year End Report Schedule B, line 23, page 1, item C. Attachment A has been included to show both the original disbursement and the voided disbursement.

In regards to the Angus King for US Senate general debt retirement contribution and the Vargas for Congress 2012 primary debt retirement, NAIFAPAC submits Attachments B and C. These attachments contain copies of our correspondence and confirmation that the candidate committees, in fact, did use our contributions for debt, as well as copies of the candidate committees' filings where our contribution is noted in their records.

- Attachment B is a letter from the Angus King Committee in which they confirm that NAIFAPAC check number 14705 was deposited into their account for General Debt Retirement on January 2, 2013 in accordance with their need to retire debt as reported to the FEC in a memorandum from the committee. As well as a copy of their 2013 First Quarter Report showing they used our contribution for debt retirement.

13031080394

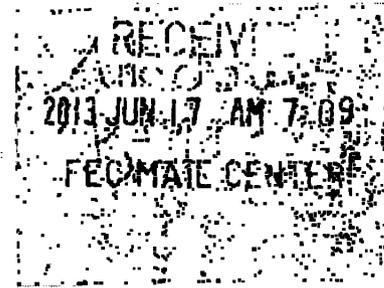
## **National Association of Insurance and Financial Advisors Political Action Committee (NAIFAPAC)**

- Attachment C is email correspondence with the Vargas for Congress Campaign in which they confirm the NAIFAPAC contribution of \$2,500 dated on 12/11/2012 was in fact used to settle primary debt. This was reported on the Vargas for Congress Year End FEC, report as well as a copy of their FEC report page that shows how they deposited NAIFAPAC's contribution.

Please be advised that we are mailing a separate copy of this letter and the attachments for your review. Please let us know if you need us to proceed differently or if you have additional questions.

Thank you.

# ★ ANGUS KING ★



May 31, 2013

Magenta M.R. Ishak  
Assistant Vice President of Political Affairs  
National Association of Insurance and Financial Advisors  
2901 Telestar Court  
Falls Church, VA 22042

Dear Magenta,

Thank you for forwarding the May 10, 2013 letter from the FEC to the National Association of Insurance and Financial Advisors Political Action Committee ("NAIFA") regarding NAIFA's year-end contribution to the Angus King for U.S. Senate Campaign (the "Campaign"). This will confirm that the Campaign applied the contribution to retire Campaign debt which arose from the 2012 General Election cycle.

The Campaign received a \$5,000 contribution from NAIFA on January 2, 2013. The check was dated December 31, 2012. The check was entered into the Campaign's accounting records as follows: "*National Association of Insurance and Financial Advisors PAC2901 Telestar Ct Falls Church, VA 22042 Date 12/31/12 Amount: \$5,000 Check # 14705 Debt repayment*".

The NAIFA contribution was applied to retire net outstanding debt of the campaign. The contribution was reported as such to the FEC on the First Quarterly Report of the Campaign for the period January 1, 2013- March 31, 2013, with the designation: "Designated to retire 2012 General Election Debt". You should know that there were only two such contributions in the January 1, 2013 - March 31, 2013 First Quarterly Report, totaling \$9,716 in the aggregate, thereby confirming that the NAIFA contribution was in fact applied to retire Campaign debt.

Please note that both the Campaign's 2012 Year End Report to the FEC (covering the period from November 27, 2012 through Dec 31, 2013), as well as the Campaign's First Quarterly Report (covering the period January 1 through March 31, 2013), indicated the need to retire outstanding debt from the 2012 Campaign. You may refer the FEC staff to the two memos in the aforementioned reports documenting the Campaign debt balance and the need for further fundraising to eliminate that debt. These memos do not appear on the publicly filed versions of the reports but are available to the FEC staff.

Please let me know whether you have any further questions.

Sincerely,

  
S. Catherine Longley  
Treasurer

Angus King for U. S. Senate  
P.O. Box 368  
Brunswick, ME 04011  
info@angusformaine.com

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Paid for by Angus King for U.S. Senate Campaign

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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 1 OF 5
	<input type="checkbox"/> 21b <input type="checkbox"/> 22 <input checked="" type="checkbox"/> 23 <input type="checkbox"/> 24 <input type="checkbox"/> 25 <input type="checkbox"/> 26 <input type="checkbox"/> 27 <input type="checkbox"/> 28a <input type="checkbox"/> 28b <input type="checkbox"/> 28c <input type="checkbox"/> 29 <input type="checkbox"/> 30b	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**National Association of Insurance and Financial Advisors Political Action Comm**

Full Name (Last, First, Middle Initial)  
**A. Friends Of Mark Warner**

Date of Disbursement  
**11 29 2012**

Mailing Address  
**201 North Union Street Suite 300**

City State Zip Code  
**Alexandria VA 22314**

Purpose of Disbursement  
**011**

Candidate Name  
**Sen. Mark Robert Warner**

Amount of Each Disbursement this Period  
**\$1,000.00**

Office Sought:  House  Senate  President  
 Disbursement For: 2014  Primary  General  Other (specify) ▼

State: VA District:

Full Name (Last, First, Middle Initial)  
**B. Vargas For Congress 2012**

Date of Disbursement  
**11 29 2012**

Mailing Address  
**5429 Madison Ave**

City State Zip Code  
**Sacramento CA 95841**

Purpose of Disbursement  
**debt retirement**

**011**

Candidate Name  
**Mr. Juan Vargas**

Amount of Each Disbursement this Period  
**\$2,500.00**

Office Sought:  House  Senate  President  
 Disbursement For: 2012  Primary  General  Other (specify) ▼ **General Debt 2012**

State: CA District: 51

Full Name (Last, First, Middle Initial)  
**C. Vargas For Congress 2012**

Date of Disbursement  
**11 30 2012**

Mailing Address  
**5429 Madison Ave**

City State Zip Code  
**Sacramento CA 95841**

Purpose of Disbursement  
**Void - Vargas For Congress 2012**

**011**

Candidate Name  
**Mr. Juan Vargas**

Amount of Each Disbursement this Period  
**(\$2,500.00)**

Office Sought:  House  Senate  President  
 Disbursement For: 2012  Primary  General  Other (specify) ▼

State: CA District: 51

**SUBTOTAL** of Disbursements This Page (optional) ▶ **\$1,000.00**

**TOTAL** This Period (last page this line number only) ▶

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**FEC  
FORM 3**

**REPORT OF RECEIPTS  
AND DISBURSEMENTS**

For An Authorized Committee

RECEIVED  
2013 JUN 17 AM 7:09  
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13031080398

1. NAME OF COMMITTEE (in full) TYPE OR PRINT Example: If typing, type over the lines. 12FE4M5

Vargas for Congress

ADDRESS (number and street) 5429 Madison Ave

Check if different than previously reported. (ACC) Sacramento CA 95841

2. FEC IDENTIFICATION NUMBER CITY STATE ZIP CODE STATE DISTRICT  
C00497321 IS THIS REPORT NEW OR AMENDED  
CA 51

4. TYPE OF REPORT (Choose One)  
(a) Quarterly Reports:  
 April 15 Quarterly Report (Q1)  
 July 15 Quarterly Report (Q2)  
 October 15 Quarterly Report (Q3)  
 January 31 Year-End Report (YE)  
 Termination Report (TER)

(b)  
 Primary (12P)  General (12G)  Runoff (12R)  
 Convention (12C)  Special (12S)

Election on in the State of

(c) 30-Day POST-Election Report for the:  
 General (30G)  Runoff (30R)  Special (30S)

Election on in the State of

5. Covering Period 11 27 2012 through 12 31 2012

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Rita Copeland

Signature of Treasurer Date 01 31 2013

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office Use Only

**FEC FORM 3**  
(Revised 02/2003)

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www.netfile.com

1st Q 2013 Report

22

**SCHEDULE A (FEC Form 3)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 OF 48  
(check only one)

<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input checked="" type="checkbox"/> 11c	<input type="checkbox"/> 11d
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14
			<input type="checkbox"/> 15

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NAME OF COMMITTEE (In Full)  
**Angus King for U.S. Senate Campaign**

**A.** Full Name (Last, First, Middle Initial)  
**COX ENTERPRISES PAC (COXPAC) INC.**

Mailing Address **975 F STREET, NW  
SUITE 300**

City **WASHINGTON** State **DC** Zip Code **20004**

FEC ID number of contributing federal political committee. **C C00477653**

Name of Employer Occupation

Receipt For: 2018  
 Primary  General  
 Other (specify)

Election Cycle-to-Date **1000.00**

Date of Receipt **02 16 2013**

Transaction ID: **SA11C22203**

Amount of Each Receipt this Period **1000.00**

**B.** Full Name (Last, First, Middle Initial)  
**NATIONAL ASSOCIATION OF INSURANCE AND FINANCIAL ADVISORS POLITICAL ACTION COMM**

Mailing Address **2901 TELESTAR CT.**

City **FALLS CHURCH** State **VA** Zip Code **22042**

FEC ID number of contributing federal political committee. **C C00005249**

Name of Employer Occupation

Receipt For: 2012  
 Primary  General  
 Other (specify)

Election Cycle-to-Date **5000.00**

Date of Receipt **02 20 2013**

Transaction ID: **SA11C22170**

Amount of Each Receipt this Period **5000.00**

Designated to retire 2012 General Election debt

**C.** Full Name (Last, First, Middle Initial)  
**NATIONAL ASSOCIATION OF REALTORS POLITICAL ACTION COMMITTEE**

Mailing Address **430 NORTH MICHIGAN AVENUE**

City **CHICAGO** State **IL** Zip Code **60611**

FEC ID number of contributing federal political committee. **C C00030718**

Name of Employer Occupation

Receipt For: 2012  
 Primary  General  
 Other (specify)

Election Cycle-to-Date **4716.00**

Date of Receipt **03 27 2013**

Transaction ID: **SA11C22184**

Amount of Each Receipt this Period **4716.00**

Designated to retire 2012 General Election debt

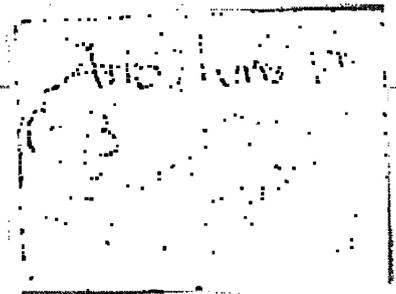
**SUBTOTAL of Receipts This Page (optional)** ..... **10716.00**

**TOTAL This Period (last page this line number only)** ..... **10716.00**

13031080399

**Magenta Ishak**

**From:** Robin Stephen [rstephen@thinkcpa.com]  
**Sent:** Tuesday, May 21, 2013 1:59 PM  
**To:** Magenta Ishak  
**Cc:** Nancy Haley; Kelli Maruccia  
**Subject:** RE: Juan Vargas Congressional event in DC on July 17th  
**Attachments:** FEC3.PDF



Magenta,

The check in which you are referring to was designated for the Vargas for Congress 2012's Primary 2012 debt retirement. It was reported being received on 12/27/2012 on the 11/27/2012 to 12/31/2012 FEC 3.

Please see the attached report that was filed by River City Business Services.

If you have any other questions, please feel free to contact me.

Robin Stephen  
Political Finance Specialist  
Scott & Cronin LLP  
330 Encinitas Blvd., 101  
Encinitas, CA 92024

Office: (760) 632-3600 x 301  
Fax: (760) 632-3601 or (760) 632-3611

**From:** Nancy Haley  
**Sent:** Tuesday, May 21, 2013 8:50 AM  
**To:** Robin Stephen  
**Subject:** Fwd: Juan Vargas Congressional event in DC on July 17th

Robin please respond and let her know where  
You applied check. Maybe to new committee?  
Thanks.

Sent from my iPhone

Begin forwarded message:

**From:** Magenta Ishak <MISHAK@naifa.org>  
**Date:** May 21, 2013, 8:42:36 AM PDT  
**To:** 'Kelli Maruccia' <kelli@kmstrategies.net>  
**Cc:** Shari McHugh <smchugh@mchughgr.com>, Nancy Haley <nhaley@thinkcpa.com>, Cliff Hash <cliff@chpf.net>  
**Subject:** RE: Juan Vargas Congressional event in DC on July 17th

Nancy,

I need your assistance with responding to an RQ-2 letter from the Federal Election Commission. The FEC indicates that by the time the Vargas for Congress 2012 campaign received NAIFA's PAC contribution of \$2,500 dated 12/11/12, the 2012 primary election debt had been retired. Would you confirm by reply email that our contribution was designated for the Vargas for Congress campaign's 2012 general election debt retirement on your FEC report and provide the date it was reported?  
Thank you.

Magenta

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**SCHEDULE A (FEC FORM 3)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 4 OF 6

(check only one)

<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input checked="" type="checkbox"/> 11c	<input type="checkbox"/> 11d	<input type="checkbox"/> 15
<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14	<input type="checkbox"/> 15

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NAME OF COMMITTEE (In Full)  
Vargas for Congress

Full Name (Last, First, Middle Initial) A. Microsoft Corporation PAC		Date of Receipt 12 / 27 / 2012
Mailing Address 16011 NE 36th Way City State Zip Code Redmond, WA 98073		Amount of Each Receipt this Period 1,000.00
FEC ID number of contributing federal political committee. C00227546	Occupation	
Name of Employer	Election Cycle-to-Date 1,000.00	
Receipt For: P2012 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		

Full Name (Last, First, Middle Initial) B. National Association of Insurance and Financial Advisors PAC		Date of Receipt 12 / 27 / 2012
Mailing Address 2901 Telestar Ct City State Zip Code Falls Church, VA 22042		Amount of Each Receipt this Period 2,500.00
FEC ID number of contributing federal political committee. C00005249	Occupation	
Name of Employer	Election Cycle-to-Date 2,500.00	
Receipt For: P2012 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		

Full Name (Last, First, Middle Initial) C. PG&E Corporation Energy PAC		Date of Receipt 12 / 27 / 2012
Mailing Address 77 Beale Street City State Zip Code San Francisco, CA 94177		Amount of Each Receipt this Period 1,000.00
FEC ID number of contributing federal political committee. C00177469	Occupation	
Name of Employer	Election Cycle-to-Date 1,000.00	
Receipt For: P2012 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		

SUBTOTAL of Receipts This Page (optional)	4,500.00
TOTAL This Period (last page this line number only)	

13031080401

Federal Election Commission  
**ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS**  
The FEC added this page to the end of this filing to indicate how it was received.

Hand Delivered Date of Receipt

USPS First Class Mail Postmarked

USPS Registered/Certified Postmarked (R/C)  
6/13/13

USPS Priority Mail Postmarked  
Delivery Confirmation™ or Signature Confirmation™ Label

USPS Express Mail Postmarked

Postmark Illegible

No Postmark

Overnight Delivery Service (Specify): Shipping Date  
Next Business Day Delivery

Received from House Records & Registration Office Date of Receipt

Received from Senate Public Records Office Date of Receipt

Received from Electronic Filing Office Date of Receipt

Other (Specify): Date of Receipt or Postmarked

  
PREPARER

6/17/13  
DATE PREPARED