

**FEC
FORM 3X**

**REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

RECEIVED

2014 JUL 16 AM 10:02

FEDERAL CENTER

1. NAME OF
COMMITTEE (in full)

TYPE OR PRINT ▼

Example: If typing, type
over the lines.

12FE4M5

ENGINEERING AND SOFTWARE SYSTEM SOLUTIONS, INC. POLITICAL ACTION COMMITTEE
(ES3 PAC)

ADDRESS (number and street)

550 WEST C STREET, SUITE 1630



Check if different
than previously
reported. (ACC)

SAN DIEGO

CA

92101

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C 00446948

3. IS THIS
REPORT



NEW
(N)

OR



AMENDED
(A)

4. TYPE OF REPORT
(Choose One)

(a) Quarterly Reports:



April 15
Quarterly Report (Q1)



July 15
Quarterly Report (Q2)



October 15
Quarterly Report (Q3)



January 31
Year-End Report (YE)



July 31 Mid-Year
Report (Non-election
Year Only) (MY)



Termination Report
(TER)

(b) Monthly
Report
Due On:



Feb 20 (M2)



May 20 (M5)



Aug 20 (M8)



Nov 20 (M11)
(Non-Election
Year Only)



Mar 20 (M3)



Jun 20 (M6)



Sep 20 (M9)



Dec 20 (M12)
(Non-Election
Year Only)



Apr 20 (M4)



Jul 20 (M7)



Oct 20 (M10)



Jan 31 (YE)

(c) 12-Day
PRE-Election
Report for the:



Primary (12P)



General (12G)



Runoff (12R)



Convention (12C)



Special (12S)

Election on

MM / DD /

YY

in the
State of

XX

(d) 30-Day
POST-Election
Report for the:



General (30G)



Runoff (30R)



Special (30S)

Election on

MM / DD /

YY

in the
State of

XX

5. Covering Period

MM / DD /

YY

2014

through

MM / DD /

YY

2014

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer HELEN LUM

Signature of Treasurer

Helen Lum

Date

MM / DD /

YY

2014

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only

FEC FORM 3X
(Rev. 02/2003)

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

ES3 PAC

Report Covering the Period: From:

04 / 01 / 2014

To:

06 / 30 / 2014

**COLUMN A
This Period**

**COLUMN B
Calendar Year-to-Date**

6. (a) Cash on Hand January 1, 2014		46,070.60
(b) Cash on Hand at Beginning of Reporting Period.....	41,070.60	
(c) Total Receipts (from Line 19).....	0.00	0.00
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	41,070.60	46,070.60
7. Total Disbursements (from Line 31).....	10,000.00	15,000.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	31,070.60	31,070.60
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D).....	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D).....	0.00	



This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 02/2003)

Page 3

Write or Type Committee Name

ES3 PAC

Report Covering the Period: From:

04 / 01 / 2014

To:

06 / 30 / 2014

I. Receipts

COLUMN A
Total This Period

COLUMN B
Calendar Year-to-Date

11. Contributions (other than loans) From:

(a) Individuals/Persons Other Than Political Committees

(i) Itemized (use Schedule A).....

0.00

0.00

(ii) Unitemized.....

0.00

0.00

(iii) TOTAL (add Lines 11(a)(i) and (ii)).....▶

0.00

0.00

(b) Political Party Committees.....

0.00

0.00

(c) Other Political Committees (such as PACs).....

0.00

0.00

(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5).....▶

0.00

0.00

12. Transfers From Affiliated/Other Party Committees.....

0.00

0.00

13. All Loans Received.....

0.00

0.00

14. Loan Repayments Received.....

0.00

0.00

15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....

0.00

0.00

16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....

0.00

0.00

17. Other Federal Receipts (Dividends, Interest, etc.).....

0.00

0.00

18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account (from Schedule H3).....

0.00

0.00

(b) Levin Funds (from Schedule H5).....

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)).....▶

0.00

0.00

20. Total Federal Receipts (subtract Line 18(c) from Line 19).....▶

0.00

0.00

DETAILED SUMMARY PAGE of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating Expenditures	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) ▶	0.00	0.00
22. Transfers to Affiliated/Other Party Committees	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees	10,000.00	15,000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00
26. Loan Repayments Made	0.00	0.00
27. Loans Made	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) ▶	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)) ▶	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	10,000.00	15,000.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31) ▶	10,000.00	15,000.00

DETAILED SUMMARY PAGE of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures

COLUMN A
Total This Period

COLUMN B
Calendar Year-to-Date

33. Total Contributions (other than loans) (from Line 11(d), page 3)	0.00	0.00
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	0.00	0.00
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 1 OF 1

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

ENGINEERING AND SOFTWARE SYSTEM SOLUTION, INC. POLITICAL ACTION COMMITTEE (ES3 PAC)

Full Name (Last, First, Middle Initial)

A.

SUSAN DAVIS FOR CONGRESS

Mailing Address

P.O. Box 84049

City

SAN DIEGO

State

CA

Zip Code

92138

Purpose of Disbursement

Primary 2014

Candidate Name

SUSAN DAVIS

Office Sought:

☒ House
☐ Senate
☐ President

Disbursement For:

☒ Primary ☐ General
☐ Other (specify) ▼

State: CA

District: 53

Date of Disbursement

MM / DD / YYYY
06 / 02 / 2014

Amount of Each Disbursement this Period

5,000.00

Full Name (Last, First, Middle Initial)

B.

SUSAN DAVIS FOR CONGRESS

Mailing Address

P.O. Box 84049

City

SAN DIEGO

State

CA

Zip Code

92138

Purpose of Disbursement

General 2014

Candidate Name

Office Sought:

☒ House
☐ Senate
☐ President

Disbursement For:

☐ Primary ☒ General
☐ Other (specify) ▼

State: CA

District: 53

Date of Disbursement

MM / DD / YYYY
06 / 13 / 2014

Amount of Each Disbursement this Period

5,000.00

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City

State

Zip Code

Purpose of Disbursement

Candidate Name

Office Sought:

☐ House
☐ Senate
☐ President

Disbursement For:

☐ Primary ☐ General
☐ Other (specify) ▼

State:

District:

Date of Disbursement

MM / DD / YYYY

Amount of Each Disbursement this Period

SUBTOTAL of Disbursements This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

10,000.00

10,000.00

Susan Davis for Congress Contributions

<u>Contributor</u>	<u>Amount</u>	<u>Address</u>	<u>Name of Employer</u>	<u>Occupation</u>	<u>Election</u>	<u>Type of Contribution</u>
ES3 PAC	5,000.00	550 West C Street, Suite 1630 San Diego, CA 92101	FEC No.: 00446948		Primary 2014	* PAC
Theresa Sgammato	2,600.00	2205 Locust St. San Diego, CA 92106		President, CEO	Primary 2014	** Individual Contribution
Luke Pelessone	2,600.00	2205 Locust St. San Diego, CA 92106	N/A	Student	Primary 2014	** Individual Contribution
Primay 2014 Total	10,200.00					

ES3 PAC	5,000.00	550 West C Street, Suite 1630 San Diego, CA 92101	FEC No.: 00446948		General 2014	* PAC
Daniele Pelessone	1,000.00	2205 Locust St. San Diego, CA 92106		Chief Scientist	General 2014	** Individual Contribution
Theresa Sgammato	1,000.00	2205 Locust St. San Diego, CA 92106	ES3, Inc.	President, CEO	General 2014	** Individual Contribution
Brett Bailey	1,000.00	729 Vista View Ln. North Salt Lake, UT 84054	ES3, Inc.	CIO	General 2014	** Individual Contribution
Kimberly Bailey	1,000.00	729 Vista View Ln. North Salt Lake, UT 84054	N/A	Homemaker	General 2014	** Individual Contribution
Spencer Stokes	1,000.00	4259 Skyline Drive Ogden, UT 84403	GBC Group	Executive Director	General 2014	** Individual Contribution
General 2014 Total	10,000.00					

Grand Total 20,200.00

* PAC Contribution detail: please see FEC Form 3X Quarter 2 2014 Report

**Individual Contributions were directly given to the candidate without passing through ES3 PAC.



From: (619) 338-0315
Helen Lum
ES3 PAC
550 WEST C STREET
SUITE 1630
SAN DIEGO, CA 92101

Origin ID: SDMA

FedEx
Express



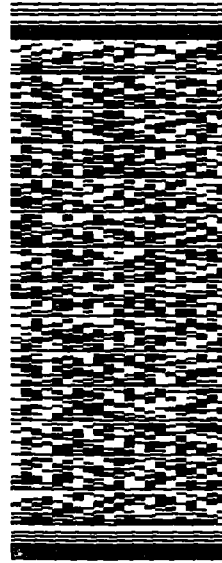
J142014061903uv

SHIP TO: (202) 694-1157

Laura Sinram
Federal Election Commission
999 E ST NW

BILL SENDER

WASHINGTON, DC 20463



Extremely Urgent

RT 677 6 8719 07.16
FZ 678

Ship Date: 15 JUL 14
ActWgt: 0.5 LB
CAD: 7370947/INET3550

Delivery Address Bar Code



Ref # 300(U)
Invoice #
PO #
Dept #

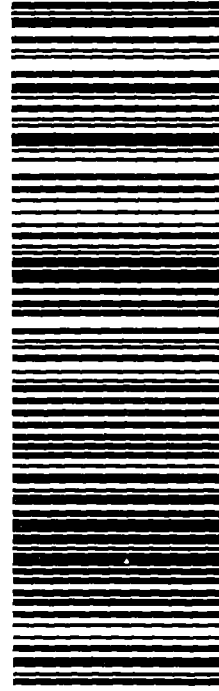
WED - 16 JUL 10:30A
PRIORITY OVERNIGHT

TRK# 7706 0575 8719

0201

XC RDVA

20463
DC-US
IAD




522G2EDMF8AC3

Insert shipping document here

2014 JUL 16 11:10:02
FEDERAL MAIL CENTER

Federal Election Commission
ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS
The FEC added this page to the end of this filing to indicate how it was received.

<input type="checkbox"/> Hand Delivered	Date of Receipt
<input type="checkbox"/> USPS First Class Mail	Postmarked
<input type="checkbox"/> USPS Registered/Certified	Postmarked (R/C)
<input type="checkbox"/> USPS Priority Mail	Postmarked
<input type="checkbox"/> USPS Priority Mail Express	Postmarked
<input type="checkbox"/> Postmark Illegible	
<input type="checkbox"/> No Postmark	
<input checked="" type="checkbox"/> Overnight Delivery Service (Specify): <i>fed Ex</i> Shipping Date <i>7/15/14</i>	
Next Business Day Delivery <input checked="" type="checkbox"/>	
<input type="checkbox"/> Received from House Records & Registration Office	Date of Receipt
<input type="checkbox"/> Received from Senate Public Records Office	Date of Receipt
<input type="checkbox"/> Received from Electronic Filing Office	Date of Receipt
<input type="checkbox"/> Other (Specify):	Date of Receipt or Postmarked
 PREPARER (8/2013)	<i>7/16/15</i> DATE PREPARED