Image# 13962483380 PAGE 1 / 10

### FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

											Office Us	e Only	
1.	NAME O	F TEE (in full)	TYPE	OR PR	RINT ▼		mple: If typer the lines.	oing, type	12FE	14M5			
S	VB Fina	ancial Grou	ір РАС										
ш			<u> </u>										
Ш													
ADI	ORESS (n	umber and stree		05 Tasm	an Dr								
Ė	Che	ck if different											
L	than	previously orted. (ACC)	Sa	nta Clar	a 				CA	L	95054		
2.	FEC IDE	ENTIFICATION	NUMBE	R▼	_	CITY 🛦			STATE	<b>\</b>		ZIP COI	DE 🛦
	C	00333658			;	3. IS THIS REPORT	×	NEW (N) <b>OR</b>		AMI (A)	ENDED		
4.	TYPE (Choose	OF REPORT	(b	) Month Repor	t L	Feb 20 (M2)	×	May 20 (M5		Aug 2	20 (M8)		Nov 20 (M11) (Non-Election Year Only)
	(a) Qua	rterly Reports:		Due C		Mar 20 (M3)		Jun 20 (M6)			20 (M9)		Dec 20 (M12) (Non-Election Year Only)
	П	April 15	. (04)		Ш	Apr 20 (M4)	Ш	Jul 20 (M7)	Ш	Oct 2	0 (M10)	Ш	Jan 31 (YE)
	H	Quarterly Repo	ort (Q1)		2-Day PRE-Election		Primary (12	2P)	Ge	eneral (	12G)		Runoff (12R)
	ш	Quarterly Repo	ort (Q2)		Report for the		Convention	(12C)	Sp	ecial (1	2S)		
		October 15 Quarterly Repo	ort (Q3)										
		January 31 Year-End Repo	ort (YE)		E	lection on	M = M	/ D D /	YIYI	Y		in the State of	f
		July 31 Mid-Ye Report (Non-el- Year Only) (MY	ar ection	F	80-Day POST-Electi		General (30	0G)	Ru	noff (30	DR)		Special (30S)
	П	Termination Re	port	F	Report for th	ne:	M M	/ D D /	VV	V		in the	
	_	(TER)			E	lection on	M - M					in the State of	
5.	Covering	Period	04	01		013	through	M M M	/ D		y y 201	3	
l ce	rtify that I	have examine	ed this Re	port and	d to the be	st of my kno	wledge and	I belief it is to	rue, corre	ct and	complet	e.	
Тур	e or Print	Name of Trea	surer Re	ebecca C	Olson								
Sigr	nature of <sup>-</sup>	Treasurer	Rebecca Ols	son			[Electronica	lly Filed]	Date	M M M	/ D	D /	2013
NO		ssion of false, e	erroneous,	or incom	nplete inforr	nation may su	ubject the pe	erson signing	this Repo	rt to the	e penaltie	es of 2 L	J.S.C. §437g.
	Offi Us											FOR	
	_ Or										К	ev. 12/20	JU4

OI FEC <b>Form 3X</b> (Rev. 02/2003)	SUMMARY PAGE F RECEIPTS AND DISBURSEMENTS	Page <b>2</b>
Write or Type Committee Name SVB Financial Group PAC		
Report Covering the Period: From: 04	01 2013	To: 04 30 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, 2013		11859.07
(b) Cash on Hand at  Beginning of Reporting Period	13182.70	
(c) Total Receipts (from Line 19)	9915.00	16725.00
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	23097.70	28584.07
7. Total Disbursements (from Line 31)	2600.00	8086.37
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	20497.70	20497.70
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
X This committee has qualified as a multican	didate committee. (see FEC FORM 1M)	
F	or further information contact:	

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

<b>SVB</b>	Financial	Group	PAC
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Report Covering the Period: From: 04	01 2013	To: 04 30 2013		
I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date		
. Contributions (other than loans) From:	Total Tills Fellou	Calendal Teal-to-Date		
(a) Individuals/Persons Other				
Than Political Committees				
(i) Itemized (use Schedule A)	9220.00	15230.00		
(ii) Unitemized	695.00	1495.00		
(iii) TOTAL (add				
Lines 11(a)(i) and (ii)▶	9915.00	16725.00		
		0.00		
(b) Political Party Committees	0.00	0.00		
(c) Other Political Committees	0.00	2.00		
(such as PACs)	0.00	0.00		
(d) Total Contributions (add Lines				
11(a)(iii), (b), and (c)) (Carry	9915.00	16725.00		
Totals to Line 33, page 5)	9910.00	10723.00		
2. Transfers From Affiliated/Other	0.00	0.00		
Party Committees	0.00	0.00		
B. All Loans Received	0.00	0.00		
5. All Loans Neceiveu		7		
A. J. and Dansamarka Dansing d	0.00	0.00		
Loan Repayments Received	0.00	0.00		
5. Offsets To Operating Expenditures (Refunds, Rebates, etc.)				
(Carry Totals to Line 37, page 5)	0.00	0.00		
6. Refunds of Contributions Made	7 7	7 7		
to Federal Candidates and Other				
Political Committees	0.00	0.00		
7. Other Federal Receipts		7 7		
(Dividends, Interest, etc.)	0.00	0.00		
B. Transfers from Non-Federal and Levin Funds	3.00			
(a) Non-Federal Account	<del> </del>			
(from Schedule H3)	0.00	0.00		
(b) Levin Funds (from Schedule H5)	0.00	0.00		
(2) 22 2 2 20 20				
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00		
. Total Receipts (add Lines 11(d),				
12, 13, 14, 15, 16, 17, and 18(c))▶	9915.00	16725.00		
). Total Federal Receipts	0045.00	40705.0		
(subtract Line 18(c) from Line 19)▶	9915.00	16725.00		

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date		
Operating Expenditures: —  (a) Allocated Federal/Non-Federal	Total Tillo I office	Galeridai Tear-10-Bate		
Activity (from Schedule H4)				
(i) Federal Share	0.00	0.00		
	0.00	0.00		
(ii) Non-Federal Share	0.00	0.00		
(b) Other Federal Operating	0.00	0.00		
Expenditures(c) Total Operating Expenditures	0.00	0.00		
(add 21(a)(i), (a)(ii), and (b))▶	0.00	0.00		
Transfers to Affiliated/Other Party	7			
Committees	0.00	0.00		
Contributions to Federal Candidates/Committees				
and Other Political Committees	2600.00	8086.37		
Independent Expenditures	0.00	0.00		
(use Schedule E) Coordinated Party Expenditures	0.00	0.00		
(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00		
(use Scriedule F)	7	0.00		
Loan Repayments Made	0.00	0.00		
Zour riopaymonio mado				
Loans Made	0.00	0.00		
Refunds of Contributions To: (a) Individuals/Persons Other				
Than Political Committees	0.00	0.00		
		0.00		
(b) Political Party Committees	0.00	0.00		
(c) Other Political Committees	0.00	0.00		
(such as PACs)	0.00			
(d) Total Contribution Refunds				
(add Lines 28(a), (b), and (c))▶	0.00	0.00		
-				
Other Disbursements	0.00	0.00		
Federal Election Activity (2 U.S.C. §431(20))				
(a) Allocated Federal Election Activity				
(from Schedule H6) (i) Federal Share	0.00	0.00		
(i) I ederal Share				
(ii) "Levin" Share	0.00	0.00		
(b) Federal Election Activity Paid Entirely				
With Federal Funds	0.00	0.00		
(c) Total Federal Election Activity (add				
Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00		
Total Birls and a control of the con				
Total Disbursements (add Lines 21(c), 22,	0000.00			
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	2600.00	8086.37		
Total Federal Disbursements				
(subtract Line 21(a)(ii) and Line 30(a)(ii)				
from Line 31)	2600.00	8086.37		

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures						
3. Total Contributions (other than loans) (from Line 11(d), page 3)	9915.00	16725.00				
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00				
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	9915.00	16725.00				
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	0.00	0.00				
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00				
8. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00				

#### SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:						PAGE		6	OF	10
(0	(check only one)									
	X	11a		11b		11c		12		
		13		14		15		16	;	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

or for commercial purposes, other than using	the name and address of any political committee to	solicit contributions from such committee.			
NAME OF COMMITTEE (In Full) SVB Financial Group PAC					
Full Name (Last, First, Middle Initial)  Gregory Becker		Date of Receipt			
Mailing Address 25 Sunrise Ct		04 01 2013			
City	State Zip Code	Transaction ID : 11AI-345			
Menlo Park	CA 94025-6217	Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	C	2000.00			
Name of Employer	Occupation				
SVB Financial Group	President & CEO				
Receipt For: 2013	Aggregate Year-to-Date ▼				
Primary	2000.00				
Full Name (Last, First, Middle Initial)  3. Scott Case		Date of Receipt			
Mailing Address 47 Fort Mason		04 01 2013			
City	State Zip Code	Transaction ID : 11AI-346			
San Francisco	CA 94123	Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	C	2000.00			
Name of Employer	Occupation				
SVB Financial Group	Managing Director				
Receipt For: 2013  Primary	Aggregate Year-to-Date ▼  2000.00				
Full Name (Last, First, Middle Initial)  C. Mary Dent		Date of Receipt			
Mailing Address 3003 Tasman Dr		04 01 _2013 _			
City	State Zip Code	Transaction ID : 11AI-347			
Santa Clara	CA 95054	Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	C	85.00			
Name of Employer	Occupation				
SVB Financial Group	General Counsel				
Receipt For: 2013	Aggregate Year-to-Date ▼				
Primary General  Other (specify) ▼ Calendar Year	680.00				
SUBTOTAL of Receipts This Page (optional	)	4085.00			
TOTAL This Period (last page this line num	ber only)				

#### SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

	FOR LINE	NUMBER	: PAG	L /
Use separate schedule(s) for each category of the	(check only	one)		
Detailed Summary Page	<b>X</b> 11a	11b	11c	12
,	12	1/	15	16

OF

10

Any information copied from such Reports and or for commercial purposes, other than using	d Statements may not be sold or used by any pers the name and address of any political committee to	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) SVB Financial Group PAC		
Full Name (Last, First, Middle Initial)  Mary Dent  Mailing Address 3003 Tasman Dr		Date of Receipt  04  18  2013
City Santa Clara FEC ID number of contributing	State Zip Code CA 95054	Transaction ID : 11AI-361  Amount of Each Receipt this Period
federal political committee.  Name of Employer  SVB Financial Group  Receipt For: 2013  Primary General  Other (specify)  Calendar Year	Occupation General Counsel  Aggregate Year-to-Date ▼  680.00	85.00
Full Name (Last, First, Middle Initial)  3. Sudha Foote  Mailing Address 3005 Tasman Drive		Date of Receipt  M M / D D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Santa Clara  FEC ID number of contributing federal political committee.	State Zip Code CA 95054	Amount of Each Receipt this Period  250.00
Name of Employer SVB Financial Group  Receipt For: 2013  Primary General  Other (specify) ▼ Calendar Year	Occupation Director, Business Planning & Support  Aggregate Year-to-Date ▼  250.00	
Full Name (Last, First, Middle Initial) Paul Gallagher Mailing Address 3005 Tasman Dr	State Zip Code	Date of Receipt  M = M / D = D / Y = Y = Y = Y = Y = Y = Y = Y = Y = Y
City Santa Clara  FEC ID number of contributing federal political committee.	State Zip Code CA 95054	Transaction ID: 11Al-363  Amount of Each Receipt this Period  500.00
Name of Employer  SVB Financial Group  Receipt For: 2013  Primary General  Other (specify) ▼  Calendar Year	Occupation Head of Private Bank Operations  Aggregate Year-to-Date ▼  500.00	
SUBTOTAL of Receipts This Page (optional)		835.00
TOTAL This Period (last page this line numb	per only)	

## SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:						PAGE		8	OF	10
(0	(check only one)									
	X	11a		11b		11c		12		
		13		14		15		16	;	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

or for commercial purposes, other than using the	ne name and address of any political committee to	solicit contributions from such committee.			
NAME OF COMMITTEE (In Full) SVB Financial Group PAC					
Full Name (Last, First, Middle Initial)  Marshall Hawks  Mailing Address 541A Procide Blvd	Date of Receipt				
Mailing Address 541A Presidio Blvd		04 01 2013			
City San Francisco	State Zip Code CA 94129-1416	Transaction ID : 11AI-348  Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	C	300.00			
Name of Employer SVB Financial Group	Occupation Director, Growth Infrstructure Team				
Receipt For: 2013  Primary General  Other (specify) ▼  Calendar Year	Aggregate Year-to-Date ▼ 300.00				
Full Name (Last, First, Middle Initial)  3. John Hoesley  Mailing Address 1845 W. Belle Plaine Unit 1		Date of Receipt  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y			
City Chicago	State Zip Code IL 60613	Transaction ID : 11AI-360  Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	C	2000.00			
Name of Employer SVB Financial Group	Occupation  Market Manager- Central Division				
Receipt For: 2013  Primary General  Other (specify) ▼  Calendar Year	Aggregate Year-to-Date ▼  2000.00				
Full Name (Last, First, Middle Initial)  C. Matthew Maloney		Date of Receipt			
Mailing Address 1329 Drake Ave		04 01 _ 2013 _			
City Burlingame	State Zip Code CA 94010	Transaction ID : 11AI-351  Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	C	500.00			
Name of Employer SVB Financial Group	Occupation Region Manager				
Receipt For: 2013  Primary General  Other (specify)  Calendar Year	Aggregate Year-to-Date ▼  500.00				
SUBTOTAL of Receipts This Page (optional)		2800.00			
TOTAL This Period (last page this line numbe	· ·				

#### SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOF	FOR LINE NUMBER:			PAGE	-	9	OF	10	
(che	ck only	or	ne)						
X	11a		11b		11c		12	!	
	13		14		15		16	;	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

or for commercial purposes, other than using	the name and address of any political committee to	solicit contributions from such committee.			
NAME OF COMMITTEE (In Full) SVB Financial Group PAC					
Full Name (Last, First, Middle Initial)  Michael J Moretti  Mailing Address 10 Pinecrest Rd	Date of Receipt				
	Stata 7in Codo	04 18 2013 The state of the sta			
City Scarsdale	State Zip Code NY 10583	Transaction ID : 11AI-359  Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	C	500.00			
Name of Employer SVB Financial Group	Occupation Senior Relationship Manager				
Receipt For: 2013  Primary General  Other (specify)  Calendar Year	Aggregate Year-to-Date ▼  500.00				
Full Name (Last, First, Middle Initial)  John G Riley  Mailing Address 5131 Durango Ct		Date of Receipt  04  18  2013			
City	State Zip Code	Transaction ID : 11AI-370			
San Jose  FEC ID number of contributing federal political committee.	CA 95118	Amount of Each Receipt this Period 500.00			
Name of Employer SVB Financial Group	Occupation Head of Credit Review				
Receipt For: 2013  Primary General  Other (specify) ▼ Calendar Year	Aggregate Year-to-Date ▼  500.00				
Full Name (Last, First, Middle Initial)  Chuck Whittenberg		Date of Receipt			
Mailing Address 13020 Oak Valley Rd		04 08 _ 2013 _			
City Morgan Hill	State Zip Code CA 95037	Transaction ID : 11AI-358  Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	C	500.00			
Name of Employer	Occupation				
SVB Financial Group Receipt For: 2013	Sr. Director, Information Technology  Aggregate Year-to-Date ▼				
Primary General  Other (specify) ▼ Calendar Year	Aggregate Year-to-Date ▼ 500.00				
SUBTOTAL of Receipts This Page (optional)	· · · · · · · · · · · · · · · · · · ·	1500.00			
TOTAL This Period (last page this line numb	per only)	9220.00			

SCHEDULE B (FEC Form 3X)		FOR LINE	FOR LINE NUMBER: PAGE 10 OF 10					
TEMIZED DISBURSEMENTS	Use separate schedule(s for each category of the	(check only						
	Detailed Summary Page	27	28a 28b 28c 29 30					
Any information copied from such Reports and Statem								
or for commercial purposes, other than using the name	ie and address of any polit	icai committee to	solicit contributions from such committee.					
NAME OF COMMITTEE (In Full)								
SVB Financial Group PAC								
Full Name (Last, First, Middle Initial)			Data of Dishurances					
A. Friends of Mark Warner	Date of Disbursement							
Mailing Address 201 North Union Street Suite 300			04 29 2013					
•	State Zip Code		Transaction ID : 23-44					
7 1107101101	VA 22314		Transaction ib . 25-44					
Purpose of Disbursement Political Contribution		011	Amount of Each Disbursement this Period					
Candidate Name		Category/	1500.00					
Mark Warner		Type	1500.00					
	nent For: 2014 Primary General							
	Other (specify)							
State: VA District:	, , , , , , , , , , , , , , , , , , ,							
Full Name (Last, First, Middle Initial)								
3. Friends of Mark Warner			Date of Disbursement					
Mailing Address 201 North Union Street Suite 300		04 30 2013						
ZUT NOTED STREET SUITE 300			04 00 2013					
,	State Zip Code		Transaction ID : 23-45					
Alexandria Purpose of Disbursement	VA 22314							
Political Contribution		011	Amount of Each Disbursement this Period					
Candidate Name	Category/	1100.00						
Mark Warner		Туре	1100.00					
	nent For: 2014							
	Primary							
State: VA District:	Canon (opcony)							
Full Name (Last, First, Middle Initial)								
C.		Date of Disbursement						
Mailing Address		M M / D D / Y Y Y Y						
City	State Zip Code							
Purpose of Disbursement								
Candidate Name	Amount of Each Disbursement this Period							
Salidado Harro		Category/ Type						
Office Sought: House Disbursem	nent For:	7,6-5						
	Primary General							
	Other (specify) ▼							
State: District:								
SUBTOTAL of Disbursements This Page (optional)			2600.00					
		<u> </u>						
TOTAL This Period (last page this line number only).			2600.00					