



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

RQ-2

November 20, 2007

Reta D. Jones, Treasurer  
Richardson for President, Inc.  
P.O. Box 26208  
Albuquerque, NM 87125

**Response Due Date:  
December 21, 2007**

Identification Number: C00431577

Reference: October Quarterly Report (7/1/07 – 9/30/07)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 4 items:

1. Schedule A-P of your report discloses one or more contributions that appear to be from a corporation(s) and/or labor organization(s) (see attached). 2 U.S.C. § 441b(a) prohibits the receipt of contributions from corporations and labor organizations unless made from separate segregated funds established by the corporations and labor organizations.

If any apparently prohibited contribution in question was incompletely or incorrectly disclosed, you must amend your original report with clarifying information.

If you have received prohibited contributions, you must make a refund. (11 CFR § 103.3(b)(1)) The refund must be made within thirty (30) days of the treasurer becoming aware of the illegality of the contribution. (11 CFR § 103.3(b)(2))

Please inform the Commission of your corrective action immediately and provide a photocopy of any refund checks. Refunds must be reported on a Schedule B-P supporting Line 28(a) of the report covering the period in which the refund was made. (11 CFR § 104.8(d)(4))

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Although the Commission may take further legal action, prompt action by you to refund the prohibited amount will be taken into consideration.

2. Schedule A-P of your report discloses one or more contributions that appear to exceed the limits set forth in the Act (see attached). You should examine all of your contributions to check for additional excessive contributions. The Committee's procedures for processing contributions should also be reviewed.

An individual or a political committee other than an authorized committee or qualified multi-candidate committee may not make a contribution to a candidate for federal office in excess of \$2,300 per election. An authorized committee may not make a contribution to a candidate for federal office in excess of \$2,000 per election. A qualified multi-candidate committee and all affiliated committees may not make a contribution(s) to a candidate for federal office in excess of \$5,000 per election. The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for federal office. (2 U.S.C. §§ 441a(a) and (f); 11 CFR §§ 110.1(b), (e) and (k))

If any apparently excessive contribution in question was incompletely or incorrectly disclosed, you must amend your original report with the clarifying information. If any contribution you received exceeds the limits, you may have to refund the excessive amount.

Excessive contributions may be retained if within sixty (60) days of receipt, the excessive portions are properly redesignated or reattributed. Guidelines for each option are provided below:

For reattributions, excessive contributions from individuals can be retained, if within sixty (60) days of receipt, the excessive amount is properly reattributed to another person. Please note that reattributions only apply to excessive contributions from individuals. An excessive contribution is considered properly reattributed if (1) the contributors provide the committee with written documentation, signed by each contributor, authorizing a reattribution and indicating the amount of the contribution to be attributed to each contributor, or (2) the committee reattributes, by presumption, the excessive portion of the contribution if the contribution was made on a written instrument from a joint account and was signed by only one of the account holders. In this case, the treasurer must notify the

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contributors in writing within 60 days of receiving the contribution that the committee intends to reattribute the excessive portion and must give the contributor an opportunity to request a refund. (11 CFR § 110.1(k)(3)(ii)(B))

For redesignations, the funds can be retained if within sixty (60) days of receipt the excessive amount is properly redesignated for a different election. An excessive contribution is considered properly redesignated if (1) the committee obtains signed written documentation from the contributor(s) authorizing the redesignation of the contribution for another election, provided that the new designation does not exceed the limitations on contributions made with respect to that election, or (2) your committee redesignates by presumption the excessive portion of the contribution for another election provided that the new designation does not exceed the limitations on contributions made with respect to that election. In this case, the treasurer must notify the contributor of the redesignation in writing within 60 days of the treasurer's receipt of the contribution. The notification must give the contributor an opportunity to request a refund. (11 CFR § 110.1(b)(5)(ii)(B)) Please note that you cannot presumptively redesignate an excessive contribution from a multi-candidate committee. Also, a contribution can only be redesignated to a previous election to the extent that the contribution does not exceed the committee's net debts outstanding for that election. (11 CFR § 110.1(b)(3)(i))

If the foregoing conditions for reattributions or redesignations are not met within 60 days of receipt of the contribution, the excessive amount must be refunded. See 11 CFR § 103.3(b)(3).

Please inform the Commission of your corrective action immediately in writing and provide photocopies of any refund checks and/ or letters reattributing or redesignating the contributions in question. Refunds are reported on Line 28 of the Detailed Summary Page and on a supporting Schedule B-P of the report covering the period in which they are made. Redesignations and reattributions are reported as memo entries on Schedule A-P of the report covering the period in which the authorization for the redesignation and/or reattribution is received. (11 CFR §§ 104.8(d)(2), (3) and (4))

The acceptance of excessive contributions is a serious problem. Again, the committee's procedures for processing contributions should be examined and corrected in order to avoid this problem. Although the Commission may take further legal action, prompt action by you to refund, redesignate, and/or reattribute of the excessive amount will be taken into consideration.

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3. The election cycle-to-date totals for certain entries on your report indicate that additional contributions should have been itemized. When contributions from an individual reach \$200 for an election cycle, each subsequent contribution from that individual must be itemized, regardless of the amount. Additionally, each contribution from a political committee must be itemized, regardless of the amount. Please amend your report to correct the discrepancies in the aggregate totals. (See Attachment) (2 U.S.C. § 434(b) and 11 CFR § 104.3(a)(4))

4. Your report discloses \$1,304.73 from Planet Hollywood Resort in Offsets to Operating Expenditures on Line 20(a), Column A, of the Detailed Summary Page. Your report discloses \$683.60 from USA Today in Offsets to Operating Expenditures on Line 20(a), Column A, of the Detailed Summary Page. However, this and previous reports do not include corresponding Schedule B expenditures for these entries. Please be receipts may constitute an excessive or prohibited contribution. Please amend this or previous reports in order to provide an explanation for these entries. (11 CFR § 104.3(a)(4)(v))

**Please note, you will not receive an additional notice from the Commission on this matter.** Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1132.

Sincerely,



Chris Jones  
Senior Campaign Finance Analyst  
Reports Analysis Division

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**Excessive and/or Prohibited Contributions  
Richardson for President, Inc. (C00431577)  
October Quarterly Report (7/1/07 - 9/30/07)**

**P = Primary Election  
G = General Election**

CONTRIBUTOR NAME		DATE	AMOUNT	ELECTION
Crabb	Tony	2/25/2007	\$1,000.00	P2008
Crabb	Tony	4/11/2007	\$1,000.00	P2008
Crabb	Tony	5/11/2007	\$250.00	P2008
Crabb	Tony	7/19/2007	\$250.00	P2008
Gray	Rodger	3/1/2007	\$2,300.00	P2008
Gray	Rodger	9/30/2007	\$1,000.00	P2008
Levy	Mark	3/27/2007	\$500.00	P2008
Levy	Mark	6/4/2007	\$500.00	P2008
Levy	Mark	6/12/2007	\$1,000.00	P2008
Levy	Mark	9/10/2007	\$500.00	P2008
Malry	Lenton	1/29/2007	\$2,000.00	P2008
Malry	Lenton	4/17/2007	\$500.00	P2008
Malry	Lenton	6/1/2007	\$50.00	P2008
Malry	Lenton	8/1/2007	\$100.00	P2008
Robertson	Sarah	5/23/2007	\$1,150.00	P2008
Robertson	Sarah	9/28/2007	\$2,300.00	P2008
Sadler	Greg	3/27/2007	\$2,300.00	P2008
Sadler	Greg	9/24/2007	\$2,300.00	P2008
Steinborn	David	2/2/2007	\$2,300.00	P2008
Steinborn	David	9/27/2007	\$2,300.00	P2008
Steinborn	Vivian	2/2/2007	\$2,300.00	P2008
Steinborn	Vivian	9/27/2007	\$2,300.00	P2008
Tomada	Marge J.	2/15/2007	\$2,300.00	P2008
Tomada	Marge J.	9/30/2007	\$1,000.00	P2008

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CONTRIBUTOR NAME		DATE	AMOUNT	ELECTION
Wertheim	Mary Carole	2/15/2007	\$2,300.00	P2008
Wertheim	Mary Carole	9/28/2007	\$2,300.00	P2008
Willis	Ray	2/15/2007	\$2,300.00	P2008
Willis	Ray	9/30/2007	\$2,300.00	P2008
Mike's Wholesale		8/30/2007	\$500.00	P2008
U.A Political Education Committee		2/19/2007	\$5,000.00	P2008
U.A Political Education Committee		9/30/2007	\$10,000.00	P2008
Cow Creek Band of Umpqua Tribe of Indians		6/25/2007	\$2,300.00	P2008
Cow Creek Band of Umpqua Tribe of Indians		9/30/2007	\$2,300.00	G2008
Cow Creek Band of Umpqua Tribe of Indians		9/30/2007	\$2,300.00	P2008

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Incorrect Aggregate Totals for Contributors  
 Richardson for President, Inc.  
 (C00431577)  
 October Quarterly Report (7/1/07 - 9/30/07)

Name	Date	Amount	Aggregate Reported	Report	Election
ARON,KENNETH	5/13/2007	\$ 2,300.00	\$ 2,300.00	Q2 2007	P2008
ARON,KENNETH	9/5/2007	\$ 75.00	\$ 2,375.00	Q3 2007	P2008
ARON,KENNETH	9/18/2007	\$ (2,300.00)	Refund	Q3 2007	P2008
ARON,KENNETH	9/25/2007	\$ 50.00	\$ 2,425.00	Q3 2007	P2008
ARON,KENNETH	9/30/2007	\$ 25.00	\$ 2,450.00	Q3 2007	P2008
BENHAM,MARIBETH A.	8/10/2007	\$ 2,300.00	\$ 3,300.00	Q3 2007	P2008
BENHAM,MARIBETH A.	8/10/2007	\$ 2,300.00	\$ 5,600.00	Q3 2007	G2008
BENHAM,MARIBETH	9/7/2007	\$ (1,000.00)	Refund	Q3 2007	P2008
LOFTIN,MICHAEL	3/13/2007	\$ 500.00	\$ 500.00	Q1 2007	P2008
LOFTIN,MICHAEL	3/19/2007	\$ 500.00	\$ 1,000.00	Q1 2007	P2008
LOFTIN,MIKE	4/25/2007	\$ (500.00)	Refund	Q1 2007	P2008
LOFTIN,MICHAEL	9/30/2007	\$ 200.00	\$ 1,200.00	Q3 2007	P2008
ADENAUER,LOUISE	4/25/2007	\$ 250.00	\$ 250.00	Q2 2007	P2008
ADENAUER,LOUISE	9/21/2007	\$ 250.00	\$ 750.00	Q3 2007	P2008
ADENAUER,LOUISE	9/30/2007	\$ 200.00	\$ 950.00	Q3 207	P2008

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