PAGE 1 / 539

FEC

REPORT OF RECEIPTS AND DISBURSEMENTS

FURIVI 3A	For	Other 1	Than An A	vuthorized	d Commi	ttee		Office Us	e Only	
NAME OF COMMITTEE (in f		E OR PR	RINT ▼		mple: If ty		12FE4N	15		
The Caterpillar	Inc. Emplo	yee Po	olitical Ad	ction Cor	nmittee			<u> </u>		
				<u> </u>						
ADDRESS (number and		00 N.E. A	dams Street							
Check if diffe than previous reported. (AC	ly , _P	eoria					IL IL	61629	-1430	
2. FEC IDENTIFICA	TION NUMB	ER ▼		CITY 🛦			STATE ▲		ZIP COD	DE 🛦
C C00148031			3.	. IS THIS REPORT	×	NEW (N) OR	A (/	MENDED A)		
4. TYPE OF REP (Choose One) (a) Quarterly Rep	(b) Month Repor Due C	t On:	Feb 20 (M2)		May 20 (M5)	Se	g 20 (M8) o 20 (M9)		Nov 20 (M11) (Non-Election Year Only) Dec 20 (M12) (Non-Election Year Only)
July 15 Quarterly October Quarterly January 3	Report (Q3)	F	2-Day PRE-Election Report for the	Apr 20 (M4)	Primary (1 Convention		Genera Special		-	Jan 31 (YE) Runoff (12R)
July 31 M Report (N Year Only	lon-election	F	80-Day POST-Election Report for the	n	General (3	00G)	Runoff	(30R)		Special (30S)
5. Covering Period	11	29	201	16	through	12	/ D D D 31_	201	6	
I certify that I have ex-	С	eport and ordani, B	d to the bes enjamin, , ,	t of my kno	wledge and	d belief it is tr	ue, correct a	nd complet	e.	
Signature of Treasurer	Cordani, B	enjamin, ,	,		[Electronica	ully Filed]	Date 01	M / D 17	D / \	2017
NOTE: Submission of fa	ılse, erroneous,	or incom	nplete inform	ation may sı	ubject the p	erson signing t	his Report to	the penaltie	es of 52 l	J.S.C. § 3010
Office Use									FORM ev. 05/20	

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016)
Page 2

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

11 29 2016 12 31 2016 Report Covering the Period: From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 651672.90 January 1, 2016 (b) Cash on Hand at 231044.95 Beginning of Reporting Period..... 136733.11 888083.70 Total Receipts (from Line 19) (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 1539756.60 367778.06 6(a) and 6(c) for Column B)..... 5240.65 1177219.19 Total Disbursements (from Line 31)...... Cash on Hand at Close of 8. Reporting Period 362537.41 362537.41 (subtract Line 7 from Line 6(d))..... Debts and Obligations Owed TO the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) 10. Debts and Obligations Owed BY

-

the Committee (Itemize all on

Schedule C and/or Schedule D)

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

0.00

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

I. Receipts	COLUMN A	COLUMN B
	Total This Period	Calendar Year-to-Date
Contributions (other than loans) From:		
(a) Individuals/Persons Other		
Than Political Committees	400440.00	
(i) Itemized (use Schedule A)	128142.98	682961.94
(ii) Unitemized	8585.33	205063.46
(iii) TOTAL (add	45. 45.	4
Lines 11(a)(i) and (ii)▶	136728.31	888025.40
	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines	7 7	4 4
11(a)(iii), (b), and (c)) (Carry		
Totals to Line 33, page 5)	136728.31	888025.40
Transfers From Affiliated/Other		
Party Committees	0.00	0.00
All Loans Received	0.00	0.00
All Loans Neceived	4 4	4 4
Loan Repayments Received	0.00	0.00
Offsets To Operating Expenditures	7	7 7 7
(Refunds, Rebates, etc.)		
(Carry Totals to Line 37, page 5)	0.00	0.00
Refunds of Contributions Made		
to Federal Candidates and Other		
Political Committees	0.00	0.00
Other Federal Receipts		
(Dividends, Interest, etc.)	4.80	58.30
Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account	0.00	0.00
(from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(b) Lovill I ulius (Ilolli Solleuule 115)	4 4	
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b)) Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))▶		0.0
Total Federal Receipts (subtract Line 18(c) from Line 19)▶	136733.11	888083.70

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 4

II. Disbursements	Total This Period					
Operating Expenditures: (a) Allocated Federal/Non-Federal	Total Tills Fellou	Calendar Year-to-Date				
Activity (from Schedule H4)						
(i) Federal Share	0.00	0.00				
		0.00				
(ii) Non-Federal Share	0.00	0.00				
(b) Other Federal Operating	40.65	244.19				
Expenditures(c) Total Operating Expenditures	40.00	277.10				
(add 21(a)(i), (a)(ii), and (b))▶	40.65	244.19				
Transfers to Affiliated/Other Party	7 7	7 7				
Committees	0.00	0.00				
Contributions to Federal Candidates/Committees						
and Other Political Committees	2500.00	645500.00				
Independent Expenditures (use Schedule E)	0.00	0.00				
Coordinated Party Expenditures	0.00	0.00				
(52 U.S.C. § 30116(d)) (use Schedule F)	0.00	0.00				
,	4 4	0.00				
Loan Repayments Made	0.00	0.00				
	4 4	4 4 4				
Loans Made Refunds of Contributions To:	0.00	0.00				
(a) Individuals/Persons Other						
Than Political Committees	0.00	6225.00				
(h) Political Party Committees		0.00				
(b) Political Party Committees (c) Other Political Committees	0.00	0.00				
(such as PACs)	0.00	0.00				
(d) Total Contribution Refunds	0.00	0.00				
(add Lines 28(a), (b), and (c))	0.00	6225.00				
(3.2.2 2.3.2.2 2.5(3), (2), 3.1.2 (2),	0.00	0223.00				
Other Disbursements (Including						
Non-Federal Donations)	2700.00	525250.00				
Fodoval Floation Activity (FO II C.C. C 20101/6	2011	4 4				
Federal Election Activity (52 U.S.C. § 30101(2 (a) Allocated Federal Election Activity	:0))					
(from Schedule H6)						
(i) Federal Share	0.00	0.00				
``	4	4 4				
(ii) "Levin" Share	0.00	0.00				
(b) Federal Election Activity Paid	45 45 45					
Entirely With Federal Funds	0.00	0.00				
(c) Total Federal Election Activity (add						
Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00				
Table Bishaman and Ashiring 2000 25						
Total Disbursements (add Lines 21(c), 22,						
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	5240.65	1177219.19				
Total Federal Disbursements						
(subtract Line 21(a)(ii) and Line 30(a)(ii)						
from Line 31)	5240.65	4477040 40				
•	32-0.00	1177219.19				

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)	of Disbursements	Page 5
III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	136728.31	888025.40
4. Total Contribution Refunds (from Line 28(d))	0.00	6225.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	136728.31	881800.40
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	40.65	244.19
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
8. Net Operating Expenditures (subtract Line 37 from Line 36)	40.65	244.19

Use separate schedule(s) for each category of the

F	FOR LINE NUMBER:					PAGE	6	OF		539
(check only one)										
	X	11a		11b		11c	12	!		
		13		14		15	16	6		17

Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Abbott, Brian, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448088 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 108.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1194.27 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Abbott, Brian, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2560930 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 108.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1302.84 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Abston, Trey, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2448426 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 45.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 593.65 Other (specify) 262.27 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

: 97 A = G7 9 @ G5 B9 CI G'H9 LHF9 @ 5 H98 'HC '5 F9 DCF Hz G7 < 98 I @ 'CF' + H9 A = N5 H+CB

Form/Schedule: SA11AI

Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule: Transaction ID:

Use separate schedule(s) for each category of the

FOR LINE NUMBER:				PAGE	8	OF	539		
(check only one)									
	X	11a		11b		11c	12		
		13		14		15	16	,	17

Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Abston, Trey, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City State Zip Code Transaction ID: A2016-2561748 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 45.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 638.78 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Adams, Kent, , , Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2448837 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 4583.37 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Adams, Kent, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2561397 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) 878.43 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

'	FOR LINE NUMBER:					PAGE	-	9	OF	,	559
	(check only one)										
	X	11a		11b		11c		12	2		
		13		14		15		16	6		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Agama, Reynaldo, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City State Zip Code Transaction ID: A2016-2448613 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Agama, Reynaldo, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City State Zip Code Transaction ID: A2016-2561212 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Agnew, William, , , Date of Receipt Mailing Address 27Th & Pershing Rd 30 2016 City State Zip Code Transaction ID: A2016-2448686 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 65.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 10 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Agnew, William, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City Zip Code State Transaction ID: A2016-2561007 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Aguirre, lan, , , Date of Receipt Mailing Address Building H Dock 13 2016 City State Zip Code Transaction ID: A2016-2448557 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 117.72 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1294.92 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Aguirre, lan, , , Date of Receipt Mailing Address Building H Dock 13 30 2016 City State Zip Code Transaction ID: A2016-2561155 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 117.72 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Engineering Proj Team Leadr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1412.64 Other (specify) 260.44 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 11 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Albert, James, , , Date of Receipt Mailing Address 3701 State Road 26 East 2016 City Zip Code State Transaction ID: A2016-2448448 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 38.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 266.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Albert, James, , , Date of Receipt Mailing Address 3701 State Road 26 East 2016 City State Zip Code Transaction ID: A2016-2560697 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 38.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 304.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Alexander, Jay, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448813 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Commercial Mining Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) 176.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 12 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Alexander, Jay, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561372 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Commercial Mining Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Alford, Jesse, , , Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2448740 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Employee Communications Mgr** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Alford, Jesse, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2561299 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Employee Communications Mgr** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 13 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Alger, Matthew, , , Date of Receipt Mailing Address Route 29 & Old Galena Road Dock 55 2016 City State Zip Code Transaction ID: A2016-2448553 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Alger, Matthew, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City State Zip Code Transaction ID: A2016-2561151 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name C. Allen, Alison, , , Date of Receipt Mailing Address 2000 E Washington St 30 2016 City State Zip Code Transaction ID: A2016-2448048 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 14 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Allen, Alison, , , Date of Receipt Mailing Address 2000 E Washington St 2016 City Zip Code State Transaction ID: A2016-2560891 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Allen, Michael, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City State Zip Code Transaction ID: A2016-2448754 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 74.60 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Logistics Manager 5 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 816.26 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Allen, Michael, , , Date of Receipt Mailing Address 27Th & Pershing Rd 30 2016 City State Zip Code Transaction ID: A2016-2561313 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 74.60 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Logistics Manager 5 Receipt For: Aggregate Year-to-Date ▼ Primary General 890.86 Other (specify) 199.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 15 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Anderson, Mark, K,, Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448631 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Anderson, Mark, K,, Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561230 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1200.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Anderson, Mathew, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2448482 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 183.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 2002.80 Other (specify) 383.04 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 16 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Anderson, Mathew, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2560732 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 183.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 2185.84 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Anderson, Michael, , , Date of Receipt Mailing Address 1656 Livingstone Road 2016 City State Zip Code Transaction ID: A2016-2561975 WI Hudson 54016 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager I, Signal Engineering Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Andris, Joseph, , , Date of Receipt Mailing Address 701 Waterford Way 30 2016 Suite 600 City State Zip Code Transaction ID: A2016-2448166 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Finance Mgr-Secretary/Treasur Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) 238.04 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 17 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Andris, Joseph, , , Date of Receipt Mailing Address 701 Waterford Way Suite 600 2016 City State Zip Code Transaction ID: A2016-2561062 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Finance Mgr-Secretary/Treasur Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Apgar, Curtis, , , Date of Receipt Mailing Address 300 Hamilton Blvd. 2016 Suite 101 City State Zip Code Transaction ID: A2016-2448773 IL Peoria 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Apgar, Curtis, , , Date of Receipt Mailing Address 300 Hamilton Blvd. 30 2016 Suite 101 City State Zip Code Transaction ID: A2016-2561332 IL Peoria 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 18 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Armbrust, Richard, , , Date of Receipt Mailing Address Route 29 & Old Galena Road Dock 55 2016 City Zip Code State Transaction ID: A2016-2448941 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Armbrust, Richard, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City State Zip Code Transaction ID: A2016-2561487 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Arvin, Chris, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448780 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global People & Org Dev Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 385.00 Other (specify) 85.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 19 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Arvin, Chris, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561339 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global People & Org Dev Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Aylwin, Carlos, A, , Date of Receipt Mailing Address USA-CA-Harbor Drive 2016 City State Zip Code Transaction ID: A2016-2447854 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Mgr International Sales Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 440.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Aylwin, Carlos, A, Date of Receipt Mailing Address USA-CA-Harbor Drive 30 2016 City State Zip Code Transaction ID: A2016-2561890 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Mgr International Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) 115.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 20 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bailey, Douglas, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2016 City Zip Code State Transaction ID: A2016-2448815 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 62.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 684.31 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bailey, Douglas, , , Date of Receipt Mailing Address 100 Tractor Drive 2016 **Building CV** City State Zip Code Transaction ID: A2016-2561374 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 62.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 746.52 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bainbridge, Mark, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2448249 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President CFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) 224.42 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7 - - -

FOR LINE NUMBER: PAGE 21 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bainbridge, Mark, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2560582 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President CFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bakirdan, Ali, , Date of Receipt Mailing Address 812 W. Washington St 2016 City State Zip Code Transaction ID: A2016-2448280 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IS Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bakirdan, Ali, , , Date of Receipt Mailing Address 812 W. Washington St 30 2016 City State Zip Code Transaction ID: A2016-2560614 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IS Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 140.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 22 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Baldwin, Daniel, , , Date of Receipt Mailing Address Route 29 & Old Galena Road Dock 55 2016 City Zip Code State Transaction ID: A2016-2448004 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 32.26 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 354.86 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Baldwin, Daniel, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City State Zip Code Transaction ID: A2016-2560849 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 32.26 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 387.12 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Balkema, Christopher, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 30 2016 PO Box 348 City State Zip Code Transaction ID: A2016-2448596 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 158.60 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Category Purchasing Manager A Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1735.36 Other (specify) 223.12 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 23 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Balkema, Christopher, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr PO Box 348 2016 City Zip Code State Transaction ID: A2016-2561193 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 158.60 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Category Purchasing Manager A Receipt For: Aggregate Year-to-Date ▼ Primary General 1893.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Balkom, Mike, , , Date of Receipt Mailing Address 10650 Highway 80 East 2016 City State Zip Code Transaction ID: A2016-2561961 AL Montgomery 36117 Amount of Each Receipt this Period FEC ID number of contributing 48.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager, Sales Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1207.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Balkom, Mike, , , Date of Receipt Mailing Address 10650 Highway 80 East 22 2016 City State Zip Code Transaction ID: A2016-2561930 AL Montgomery 36117 Amount of Each Receipt this Period FEC ID number of contributing C 48.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager, Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 1256.06 Other (specify) 255.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 24 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ball, William, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2449056 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 177.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) E&C Program Strategy Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1937.78 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Ball, William, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561601 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 177.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. E&C Program Strategy Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2114.88 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Balmer Millar, Mari Lou, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 30 2016 Dock 55 City State Zip Code Transaction ID: A2016-2448808 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Research Director Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 379.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 25 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Balmer Millar, Mari Lou, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City Zip Code State Transaction ID: A2016-2561367 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Research Director Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bankes, Chester, , , Date of Receipt Mailing Address 3701 State Road 26 East 2016 City State Zip Code Transaction ID: A2016-2449043 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 59.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Plant Engrg Superintendent Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 638.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bankes, Chester, , , Date of Receipt Mailing Address 3701 State Road 26 East 30 2016 City State Zip Code Transaction ID: A2016-2561588 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 59.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Plant Engrg Superintendent Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 697.83 Other (specify) 143.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

Use separate sched

dule(s)	(check or	nly one)	. [FAGE	_ 20 01	333
of the Page	X 11a	11b	11c	12	
3	13	14	15	16	17

FOR LINE NUMBER, DACE 36 OF

for each category of **Detailed Summary** Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Barber, Kathy, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448067 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 109.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 936.95 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Barber, Kathy, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2560909 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 109.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1046.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Barich, David, , , Date of Receipt Mailing Address 300 Hamilton Blvd. 30 2016 Suite 101 City State Zip Code Transaction ID: A2016-2448278 IL Peoria 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 244.70 SUBTOTAL of Receipts This Page (optional).....

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:					PAGE	: 2	27	OF	;	539
(check only one)										
		_	, i	_			1			
X	11a		11b		11c		12			
	13		14		15		16	6		17
	che		check only or	check only one)	check only one)	check only one) x 11a 11b 11c	check only one) X 11a 11b 11c	check only one) X 11a 11b 11c 12	check only one) X 11a 11b 11c 12	check only one) X 11a 11b 11c 12

		13 14 15 16 17				
	Statements may not be sold or used by any pers he name and address of any political committee to					
NAME OF COMMITTEE (In Full)						
The Caterpillar Inc. Employee	Political Action Committee					
Full Name of Individual (Last, First, Middle I	Initial) or Full Organization Name	2. (2.)				
Barich, David, , ,		Date of Receipt				
Mailing Address 300 Hamilton Blvd.		12 30 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y				
Suite 101 City	State Zip Code	Transaction ID : A2016-2560612				
Peoria	IL 61629-3800	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C	25.00				
Name of Employer (for Individual)	Occupation (for Individual)	Memo Item				
Caterpillar Inc.	Manager					
Receipt For:	Aggregate Year-to-Date ▼					
Primary General	00 0					
Other (specify) ▼	300.00					
Full Name of Individual (Last, First, Middle I	Initial) or Full Organization Name	Data of Boosins				
Barnes, Scott, , ,		Date of Receipt				
Mailing Address 5801 N. Smith Road	Chata	11 30 2016				
City						
Edwards	Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	C	20.00				
Name of Employer (for Individual)	Occupation (for Individual)	Memo Item				
Caterpillar Inc.	Project Manager	_				
Receipt For:	Aggregate Year-to-Date ▼					
Primary General	33 0					
Other (specify) ▼	220.00					
Full Name of Individual (Last, First, Middle I	Initial) or Full Organization Name	Date of Receipt				
Mailing Address 5801 N. Smith Road		Date of Receipt				
DOOT IN. SMITH KOAD		12 30 2016				
City	State Zip Code	Transaction ID : A2016-2560941				
Edwards	IL 61528	Amount of Each Receipt this Period				
FEC ID number of contributing		20.00				
federal political committee.	C					
Name of Employer (for Individual)	Occupation (for Individual)	Memo Item				
Caterpillar Inc.	Project Manager					
Receipt For: Primary General	Aggregate Year-to-Date ▼					
Other (specify)	240.00					
SUBTOTAL of Receipts This Page (optional)		65.00				
	<u>_</u>					
TOTAL This Period (last page this line number	er only)					

FOR LINE NUMBER: PAGE 28 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Barton, Gabriel, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448833 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Barton, Gabriel, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561393 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Barton, Keith, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2448933 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 65.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 29 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

539

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Barton, Keith, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2561479 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bates, Gregory, , , Date of Receipt Mailing Address 97 E. Congress St. 2016 City State Zip Code Transaction ID: A2016-2448392 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bates, Gregory, , , Date of Receipt Mailing Address 97 E. Congress St. 30 2016 City State Zip Code Transaction ID: A2016-2561714 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 30 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bates, William, , , Date of Receipt Mailing Address Olympian Generators Sets 284 Mawsons Way 2016 City Zip Code State Transaction ID: A2016-2447898 SC Newberry 29108 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Business Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Bates, William, , , Date of Receipt Mailing Address Olympian Generators Sets 2016 284 Mawsons Way City State Zip Code Transaction ID: A2016-2560745 SC Newberry 29108 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Business Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Bauer, Daniel, , , Date of Receipt Mailing Address USA-CA-Harbor Drive 30 2016 City State Zip Code Transaction ID: A2016-2447864 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Mgr. Quality & Test Systems Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 60.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 31 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bauer, Daniel, , , Date of Receipt Mailing Address USA-CA-Harbor Drive 2016 City Zip Code State Transaction ID: A2016-2561907 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Mgr. Quality & Test Systems Solar Turbines Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Beals, Andrew, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City State Zip Code Transaction ID: A2016-2448313 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 44.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 345.48 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Beals, Andrew, , , Date of Receipt Mailing Address 27Th & Pershing Rd 30 2016 City State Zip Code Transaction ID: A2016-2560649 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 44.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 389.99 Other (specify) 109.02 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 32 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Beasley, George, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2448530 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Beasley, George, , , Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2560994 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Beaupre, Kenneth, , , Date of Receipt Mailing Address 501 SW Jefferson 30 2016 City State Zip Code Transaction ID: A2016-2448219 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 125.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 33 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Beaupre, Kenneth, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City Zip Code State Transaction ID: A2016-2561116 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Becker, Chaille, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City State Zip Code Transaction ID: A2016-2448681 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IT Dir. Busn. Transformations Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 550.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Becker, Chaille, , , Date of Receipt Mailing Address 501 SW Jefferson 30 2016 City State Zip Code Transaction ID: A2016-2561002 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IT Dir. Busn. Transformations Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 125.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 34 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Becker, James, , , Date of Receipt Mailing Address 3701 State Road 26 East 2016 City Zip Code State Transaction ID: A2016-2448693 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Becker, James, , , Date of Receipt Mailing Address 3701 State Road 26 East 2016 City State Zip Code Transaction ID: A2016-2561026 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Beckman, William, , , Date of Receipt Mailing Address 9301 West 55th Street 30 2016 City State Zip Code Transaction ID: A2016-2448423 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 231.00 Other (specify) 121.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 35 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Beckman, William, , , Date of Receipt Mailing Address 9301 West 55th Street 2016 City Zip Code State Transaction ID: A2016-2561745 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 252.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Belcher, Jeffrey, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2448836 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Factory Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 375.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Belcher, Jeffrey, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2561396 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Factory Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 425.00 Other (specify) 121.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 36 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

539

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Beldock, Teresa, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City Zip Code State Transaction ID: A2016-2447902 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 83.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 917.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Beldock, Teresa, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City State Zip Code Transaction ID: A2016-2560749 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 83.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1000.20 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bennes, Robert, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2449057 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 183.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1099.20 Other (specify) 349.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 37 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bennes, Robert, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561602 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 183.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1282.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Berkebile, Stephen, , , Date of Receipt Mailing Address 97 E. Congress St. 2016 City State Zip Code Transaction ID : A2016-2449012 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing 174.79 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1048.74 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Berkebile, Stephen, , , Date of Receipt Mailing Address 97 E. Congress St. 30 2016 City State Zip Code Transaction ID: A2016-2561557 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing C 174.79 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1223.53 Other (specify) 532.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 38 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

539

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Beveridge, Sandra, , , Date of Receipt Mailing Address 500 N Morton Ave 2016 City Zip Code State Transaction ID: A2016-2447983 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Stf Anl-Inv Ctl Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Beveridge, Sandra, , , Date of Receipt Mailing Address 500 N Morton Ave 2016 City State Zip Code Transaction ID: A2016-2560828 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Stf Anl-Inv Ctl Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1200.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Billimack, SueAnn, , , Date of Receipt Mailing Address 211 Fulton Street 30 2016 Suite 500B City State Zip Code Transaction ID: A2016-2447932 IL Peoria 61602 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 225.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE (check only one) **X** 11a 11b 11c

39 OF 539 Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Billimack, SueAnn,,, Date of Receipt Mailing Address 211 Fulton Street Suite 500B 2016 City Zip Code State Transaction ID: A2016-2560785 IL Peoria 61602 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Human Resource Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Biltimier, Michael, , , Date of Receipt Mailing Address 560 Rehoboth Road 2016 City State Zip Code Transaction ID: A2016-2448796 GA Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 330.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Biltimier, Michael, , , Date of Receipt Mailing Address 560 Rehoboth Road 30 2016 City State Zip Code Transaction ID: A2016-2561355 GΑ Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) 85.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 40 OF

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one)
	d Statements may not be sold or used by any protection the name and address of any political committee	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	e Political Action Committee	
Full Name of Individual (Last, First, Middle Birkel, Lindsey, , , Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code 61629 C Occupation (for Individual) Lead Human Resource Mgr Aggregate Year-to-Date 220.00	Date of Receipt 11 30 2016 Transaction ID: A2016-2448792 Amount of Each Receipt this Period 20.00 Memo Item
Full Name of Individual (Last, First, Middle Birkel, Lindsey, , , Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL State 61629 C Occupation (for Individual) Lead Human Resource Mgr Aggregate Year-to-Date 240.00	Date of Receipt 12 30 2016 Transaction ID : A2016-2561351 Amount of Each Receipt this Period 20.00 Memo Item
Full Name of Individual (Last, First, Middle Bishop, Damon, , , Mailing Address 4633 Perrin Creek City San Antonio FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code TX 78217 C Occupation (for Individual) Manager Aggregate Year-to-Date 374.66	Date of Receipt 11 30 2016 Transaction ID: A2016-2448329 Amount of Each Receipt this Period 30.24 Memo Item
SUBTOTAL of Receipts This Page (optional))	70.24
TOTAL This Period (last page this line numb	per only)	

FOR LINE NUMBER: PAGE 41 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bishop, Damon, , , Date of Receipt Mailing Address 4633 Perrin Creek 2016 City Zip Code State Transaction ID: A2016-2560665 TX San Antonio 78217 Amount of Each Receipt this Period FEC ID number of contributing C 30.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 404.90 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bishop, Erin, , , Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2448343 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Bishop, Erin, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2561666 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 70.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 42 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bjerke, Ronald, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2016 City State Zip Code Transaction ID: A2016-2448153 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bjerke, Ronald, , , Date of Receipt Mailing Address 100 Tractor Drive 2016 **Building CV** City State Zip Code Transaction ID: A2016-2561049 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Blank, James, R., Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2016 Bldg A City State Zip Code Transaction ID: A2016-2448698 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 42.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 462.44 Other (specify) 92.04 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 43 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Blank, James, R,, Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2016 City State Zip Code Transaction ID: A2016-2560943 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 42.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 504.48 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Blichmann, Mary, , , Date of Receipt Mailing Address 3701 State Road 26 East 2016 City State Zip Code Transaction ID: A2016-2448892 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. NPI Program Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 550.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Blichmann, Mary, , , Date of Receipt Mailing Address 3701 State Road 26 East 30 2016 City State Zip Code Transaction ID: A2016-2561438 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. NPI Program Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 142.04 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 44 OF 539 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bloch, Stephen, E,, Date of Receipt Mailing Address 3701 State Road 26 East 2016 City Zip Code State Transaction ID: A2016-2448163 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 47.36 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Project Engr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 520.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Bloch, Stephen, E,, Date of Receipt Mailing Address 3701 State Road 26 East 2016 City State Zip Code Transaction ID: A2016-2561059 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 47.36 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Project Engr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 568.32 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Blough, Paul, , , Date of Receipt Mailing Address 211 Fulton Street 30 2016 Suite 500B City State Zip Code Transaction ID: A2016-2448907 IL Peoria 61602 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Technology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 114.72 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 45 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

539

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Blough, Paul, , , Date of Receipt Mailing Address 211 Fulton Street Suite 500B 2016 City State Zip Code Transaction ID: A2016-2561453 IL Peoria 61602 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Technology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Blum, Mary, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448458 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 85.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 985.48 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Blum, Mary, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2560708 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 85.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 1071.12 Other (specify) 191.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 46 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Blumenshine, J., , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448145 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 1.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 2505.02 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Blumenshine, J., , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID : A2016-2561041 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 1.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2506.02 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Blunier, David, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2016 Bldg E City State Zip Code Transaction ID: A2016-2448451 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Technologist-Test/Validation Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 22.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 47 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Blunier, David, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg E 2016 City State Zip Code Transaction ID: A2016-2560700 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Technologist-Test/Validation Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bluth, Thomas, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448235 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 4583.37 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bluth, Thomas, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2560568 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) 853.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 48 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bolden, Tyronne, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2448529 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Project Success Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Bolden, Tyronne, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2560993 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Project Success Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Boles, James, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 **Building CV** City State Zip Code Transaction ID: A2016-2448679 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Site Purchasing Manager B Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 65.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

SCHEDULE A (FEC Form 3X)

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FC	R	LINE	PAGE	- 4	49	OF	•	539			
(check only one)											
[3	X	11a		11b		11c		12			
		13		14		15		16			17

ITEMIZED RECEIPTS for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Boles, James, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2016 City State Zip Code Transaction ID: A2016-2561000 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Site Purchasing Manager B Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bowman, Gregory, , , Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2448284 Nashville ΤN 37203 Amount of Each Receipt this Period FEC ID number of contributing 54.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 597.41 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bowman, Gregory, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2560618 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 54.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 651.72 Other (specify) 133.62 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c

50 OF 12 13 14 15 16

Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bowman, Jeffrey, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City Zip Code State Transaction ID: A2016-2447939 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. eChannel Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Bozeman, David, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2447972 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 4583.37 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Bozeman, David, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2560817 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) 883.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 51 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bradberry, Houston, , , Date of Receipt Mailing Address 4012 SR 509 South Frontage Rd. Bld 2016 City Zip Code State Transaction ID: A2016-2561980 WA Tacoma 98421 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bradbury, Walter, , , Date of Receipt Mailing Address 100 NE Adams 2016 Private Mail Bag 4 City State Zip Code Transaction ID : A2016-2449032 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 74.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 819.72 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bradbury, Walter, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 Private Mail Bag 4 City State Zip Code Transaction ID: A2016-2561577 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 74.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 894.24 Other (specify) 159.04 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FOF	R LINE	PAGE		52	OF	,	539			
(check only one)										
×	11a		11b		11c		12			
	13		14		15		16			17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Branka, Scott, , , Date of Receipt Mailing Address 9301 W. 55th Street 2016 City State Zip Code Transaction ID: A2016-2561878 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing C 31.06 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 776.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Branka, Scott, , , Date of Receipt Mailing Address 9301 W. 55th Street 2016 City State Zip Code Transaction ID: A2016-2561883 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing 31.06 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 807.56 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Braun, Eric, , , Date of Receipt Mailing Address 1600 Progress Drive 30 2016 P.O. Box 1037 City State Zip Code Transaction ID: A2016-2448365 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 112.12 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c

53 OF 12 13 14 15 16

Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Braun, Eric, , , Date of Receipt Mailing Address 1600 Progress Drive P.O. Box 1037 2016 City State Zip Code Transaction ID: A2016-2561688 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Brazis, Nicholas, , , Date of Receipt Mailing Address 600 Balderson Blvd 2016 PO Box 6 City State Zip Code Transaction ID : A2016-2448478 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 469.82 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Brazis, Nicholas, , , Date of Receipt Mailing Address 600 Balderson Blvd 30 2016 PO Box 6 City State Zip Code Transaction ID: A2016-2560728 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 479.82 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE Use separate schedule(s) (check only one) **X** 11a 11b 11c

54 OF

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Breitschwerdt, Otto, , , Date of Receipt Mailing Address 400 Regency Forest Drive Suite 400 2016 City Zip Code State Transaction ID: A2016-2448245 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 211.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Director Supply Chain Mgmt. Receipt For: Aggregate Year-to-Date ▼ Primary General 2326.83 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Breitschwerdt, Otto, , , Date of Receipt Mailing Address 400 Regency Forest Drive 2016 Suite 400 City State Zip Code Transaction ID: A2016-2560578 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing 211.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Director Supply Chain Mgmt. Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2538.36 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Brennan, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448169 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 103.76 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1141.36 Other (specify) 526.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 55 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Brennan, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561065 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 103.76 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1245.12 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Brewer, William, , , Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2448705 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Brewer, William, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2560950 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 143.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 56 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

539

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Brewster, Anthony, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City Zip Code State Transaction ID: A2016-2448489 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Labor Relations Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Brewster, Anthony, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City State Zip Code Transaction ID: A2016-2560739 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Labor Relations Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Bridenbaugh, Donald, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2448723 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **GM** Transportation Operations Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 57 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bridenbaugh, Donald, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2561282 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM** Transportation Operations Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Brineman, Bruce, , , Date of Receipt Mailing Address 3701 State Road 26 East 2016 City State Zip Code Transaction ID: A2016-2448241 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. NPI Mfg Strategy Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Brineman, Bruce, , , Date of Receipt Mailing Address 3701 State Road 26 East 30 2016 City State Zip Code Transaction ID: A2016-2560574 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. NPI Mfg Strategy Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 65.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 58 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Briscoe, Kendall, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City Zip Code State Transaction ID: A2016-2448077 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 42.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 294.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Briscoe, Kendall, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City State Zip Code Transaction ID: A2016-2560919 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 42.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 336.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Brock, Robert, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 Building CV Dock CV City State Zip Code Transaction ID: A2016-2448639 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engrg Mgr 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 104.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE Use separate schedule(s) (check only one) **X** 11a 11b 11c

59 OF

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Brock, Robert, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV Dock CV 2016 City Zip Code State Transaction ID: A2016-2561237 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engrg Mgr 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Brooks, Jennifer, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID : A2016-2448702 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 54.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 380.17 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Brooks, Jennifer, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2560947 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 54.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 434.48 Other (specify) 128.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 60 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Brown, Mark, , , Date of Receipt Mailing Address 250 Dozer Drive 2016 City Zip Code State Transaction ID: A2016-2561731 GA Athens 30606 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mach 1 Deployment Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bruch, Michael, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2449054 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 5.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Pricing Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 230.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bruch, Michael, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561599 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 5.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Pricing Operations Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 235.00 Other (specify) 20.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 61 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bryant, Jenny, , , Date of Receipt Mailing Address 9401 85Th Avenue North 2016 City Zip Code State Transaction ID: A2016-2448453 MN Brooklyn Park 55445 Amount of Each Receipt this Period FEC ID number of contributing C 131.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1427.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bryant, Jenny, , , Date of Receipt Mailing Address 9401 85Th Avenue North 2016 City State Zip Code Transaction ID: A2016-2560702 Brooklyn Park MN 55445 Amount of Each Receipt this Period FEC ID number of contributing 131.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1558.88 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Buda, James, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2449035 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Exec. VP Law & Public Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 4583.37 Other (specify) 679.79 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

Use separate schedule(s) for each category of the

FOR LINE NUMBER:						PAGE	- 6	62	OF	5	39	
	(check only one)											
	[X	11a		11b		11c		12			
	ΙГ		13		14		15		16	;		17

Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Buda, James, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561580 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Exec. VP Law & Public Policy Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Buncher, Nathaniel, , , Date of Receipt Mailing Address Progress Rail Services 2016 City State Zip Code Transaction ID: A2016-2561976 Fort Worth TX 76177 Amount of Each Receipt this Period FEC ID number of contributing 43.26 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP, Global Aftermarket Parts Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1038.24 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Buob, Paul, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2448121 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 168.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Procurement Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1848.00 Other (specify) 627.89 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 63 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Buob, Paul, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2561652 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 168.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Procurement Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2016.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Burke, Thomas, J, , Date of Receipt Mailing Address USA-CA-Harbor Drive 2016 City State Zip Code Transaction ID: A2016-2447866 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Mgr TMO Mfg ACS / CM&A Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Burke, Thomas, J., Date of Receipt Mailing Address USA-CA-Harbor Drive 30 2016 City State Zip Code Transaction ID: A2016-2561909 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Mgr TMO Mfg ACS / CM&A Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 208.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: (check only one) **X** 11a 11b

PAGE 64 OF Use separate schedule(s) for each category of the 11c 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Burtscher, Christopher, , , Date of Receipt Mailing Address 600 W Washington St 2016 City Zip Code State Transaction ID: A2016-2448474 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Buyer A Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Burtscher, Christopher, , , Date of Receipt Mailing Address 600 W Washington St 2016 City State Zip Code Transaction ID: A2016-2560724 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Buyer A Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Butikofer, Mark, , , Date of Receipt Mailing Address 812 W. Washington St 30 2016 City State Zip Code Transaction ID: A2016-2448576 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 62.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior IS Specialist Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 684.64 Other (specify) 102.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE (check only one) **X** 11a 11b 11c

65 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Butikofer, Mark, , , Date of Receipt Mailing Address 812 W. Washington St 2016 City Zip Code State Transaction ID: A2016-2561173 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 62.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior IS Specialist Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 746.88 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cabay, Kenneth, , , Date of Receipt Mailing Address 1600 Progress Drive 2016 City State Zip Code Transaction ID : A2016-2561978 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Calamari, Michael, A, Date of Receipt Mailing Address 1167 NC Hwy 42 East 30 2016 City Zip Code State Transaction ID: A2016-2449031 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing C 89.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 988.24 Other (specify) 177.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FOR LINE NUMBER:						PAGE	- (66	OF	539
(check only one)										
	X	11a		11b		11c		12		
		13		14		15		16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Calamari, Michael, A,, Date of Receipt Mailing Address 1167 NC Hwy 42 East 2016 City Zip Code State Transaction ID: A2016-2561576 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing C 89.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 1078.08 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Callear, Brian, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2448658 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 550.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Callear, Brian, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2561268 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 189.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 67 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Campbell, Amy, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448211 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Director of Auditing Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Campbell, Amy, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561107 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director of Auditing** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Campion, Robert, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2016 Bldg F City State Zip Code Transaction ID: A2016-2448106 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Technical Steward** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 125.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE Use separate schedule(s) (check only one) **X** 11a 11b 11c

68 OF

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Campion, Robert, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bl<u>dg</u> F 2016 City State Zip Code Transaction ID: A2016-2561637 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Engineering Technical Steward** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cansler, Lori, , , Date of Receipt Mailing Address 600 Balderson Blvd PO Box 6 2016 City State Zip Code Transaction ID: A2016-2448406 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing 39.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 430.98 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Cansler, Lori, , , Date of Receipt Mailing Address 600 Balderson Blvd 30 2016 PO Box 6 City State Zip Code Transaction ID: A2016-2561728 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing C 39.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 470.16 Other (specify) 103.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE Use separate schedule(s) (check only one) **X** 11a 11b 11c

69 OF

539

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carballido, James, A,, Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448756 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 62.09 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Information & Analysis Supv Receipt For: Aggregate Year-to-Date ▼ Primary General 682.99 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carballido, James, A, , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561315 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 62.09 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Information & Analysis Supv Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 745.08 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Carballido, Marci, , , Date of Receipt Mailing Address 100 Ne Adams 30 2016 City State Zip Code Transaction ID: A2016-2448895 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 174.18 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 70 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carballido, Marci, , , Date of Receipt Mailing Address 100 Ne Adams 2016 City Zip Code State Transaction ID: A2016-2561441 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Human Resource Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Carlberg, James, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2449049 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 188.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2072.51 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Carlberg, James, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561594 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 188.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 2260.92 Other (specify) 426.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 71 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carlson, Stacy, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2016 City Zip Code State Transaction ID: A2016-2448066 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 161.98 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1772.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carlson, Stacy, , , Date of Receipt Mailing Address Suite 400 2016 1425 K Street City State Zip Code Transaction ID: A2016-2560908 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 161.98 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1934.32 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Carney, Robert, , , III Date of Receipt Mailing Address 600 S. Second Street 30 2016 Suite 101 City State Zip Code Transaction ID: A2016-2447910 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) 423.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 72 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carney, Robert, , , III Date of Receipt Mailing Address 600 S. Second Street Suite 101 2016 City State Zip Code Transaction ID: A2016-2560763 IL 62704 Springfield Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Governmental Affairs Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Caron, Timothy, J, , Date of Receipt Mailing Address USA-CA-Harbor Drive 2016 City State Zip Code Transaction ID: A2016-2447889 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Consulting Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Caron, Timothy, J., Date of Receipt Mailing Address USA-CA-Harbor Drive 30 2016 City State Zip Code Transaction ID: A2016-2561925 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Consulting Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 73 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carpenter, John, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2449030 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Solutions & Technology Mgr. Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Carpenter, John, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561575 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Solutions & Technology Mgr. Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 480.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Carr, Michael, , , Date of Receipt Mailing Address 501 SW Jefferson 30 2016 City State Zip Code Transaction ID: A2016-2448159 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 4583.26 Other (specify) 496.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 74 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carr, Michael, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City Zip Code State Transaction ID: A2016-2561055 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 4999.92 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Carr, Ronald, , , Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2448963 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 113.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1017.29 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Carr, Ronald, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2016 P O Box 340001 City State Zip Code Transaction ID : A2016-2561508 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 113.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1130.92 Other (specify) 643.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 75 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carter, Janda, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448490 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 173.95 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1903.31 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carter, Janda, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2560740 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 47.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1950.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Casey, Michael, , , Date of Receipt Mailing Address 9301 West 55th Street 30 2016 City State Zip Code Transaction ID: A2016-2448468 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 246.39 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 76 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Casey, Michael, , , Date of Receipt Mailing Address 9301 West 55th Street 2016 City Zip Code State Transaction ID: A2016-2560718 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cassidy, Dena, , , Date of Receipt Mailing Address 1720 Kingsbury 2016 City State Zip Code Transaction ID: A2016-2448416 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing 33.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 366.41 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Cassidy, Dena, , , Date of Receipt Mailing Address 1720 Kingsbury 30 2016 City State Zip Code Transaction ID: A2016-2561738 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 33.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 399.72 Other (specify) 91.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 77 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Castle, Robert, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2016 City State Zip Code Transaction ID: A2016-2448105 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 71.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Engineering Tech Team Leader** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 777.83 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Castle, Robert, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2016 Bldg A City State Zip Code Transaction ID: A2016-2561636 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 1.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Tech Team Leader** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 778.83 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Chadwick, Christopher, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2448664 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **OEM Business Unit Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 122.33 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 78 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Chadwick, Christopher, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2561009 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **OEM Business Unit Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Chakraborty, Paul, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2560811 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Chambers, Peter, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448081 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 32.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 236.04 Other (specify) 102.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 79 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Chambers, Peter, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2560923 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 32.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 268.12 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cheek, John, J,, Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448668 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1533.24 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Cheek, John, J., Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561013 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1543.24 Other (specify) 52.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 80 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Chen, Xiao,,, Date of Receipt Mailing Address 100 Tractor Drive Building CV 2016 City State Zip Code Transaction ID: A2016-2448734 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 160.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 1767.37 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Chen, Xiao,, Date of Receipt Mailing Address 100 Tractor Drive 2016 **Building CV** City State Zip Code Transaction ID: A2016-2561293 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 160.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1928.04 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Chism, Theodore, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448424 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 44.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 489.17 Other (specify) 365.81 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 81 Use separate schedule(s) (check only one) **X** 11a 11b 11c

OF

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Chism, Theodore, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561746 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 44.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 533.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Chouinard, Shelley, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City State Zip Code Transaction ID: A2016-2448879 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 550.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Chouinard, Shelley, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 30 2016 Dock 55 City State Zip Code Transaction ID: A2016-2561425 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 144.47 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: (check only one) **X** 11a 11b 11c

PAGE 82 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Christiansen, Keith, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2016 City State Zip Code Transaction ID: A2016-2448021 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Christiansen, Keith, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2016 City State Zip Code Transaction ID: A2016-2560865 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 360.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Christophe, Andre, , , Date of Receipt Mailing Address 500 N Morton Ave 30 2016 City State Zip Code Transaction ID: A2016-2448221 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 110.00 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 83 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Christophe, Andre, , , Date of Receipt Mailing Address 500 N Morton Ave 2016 City Zip Code State Transaction ID: A2016-2561118 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Ciko, John, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2016 Bldg A City State Zip Code Transaction ID: A2016-2448560 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 40.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 442.20 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ciko, John, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2016 Bldg A City State Zip Code Transaction ID: A2016-2561158 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 40.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 482.40 Other (specify) 130.40 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 84 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Clark, Aaron, , , Date of Receipt Mailing Address 100 Ne Adams 2016 City Zip Code State Transaction ID: A2016-2447944 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 179.73 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1966.55 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Clark, Aaron, , , Date of Receipt Mailing Address 100 Ne Adams 2016 City State Zip Code Transaction ID: A2016-2560757 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 179.73 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2146.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Clark, John, , , Date of Receipt Mailing Address 8826 2 Route 24 30 2016 City State Zip Code Transaction ID: A2016-2448549 IL Mapleton 61547 Amount of Each Receipt this Period FEC ID number of contributing C 39.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.42 Other (specify) 399.13 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

EOD LINE NUMBER: DAGE 95 OF

	I OIT LINE NOWDETT.					IAGE	U	5 01	•	,00	
Use separate schedule(s)	(che	(check only one)									
for each category of the Detailed Summary Page	×]11a		, 11b		11c		12			
		13		14		15		16		17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Clark, John, , , Date of Receipt Mailing Address 8826 2 Route 24 2016 City Zip Code State Transaction ID: A2016-2561147 61547 IL Mapleton Amount of Each Receipt this Period FEC ID number of contributing C 39.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 260.09 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Clark, Paul, , , Date of Receipt Mailing Address 11601 93rd Ave N 2016 City State Zip Code Transaction ID: A2016-2449029 Maple Grove MN 55369 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. GM D&FP and Tunnel Boring Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Clark, Paul, , , Date of Receipt Mailing Address 11601 93rd Ave N 30 2016 City State Zip Code Transaction ID: A2016-2561574 MN Maple Grove 55369 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. GM D&FP and Tunnel Boring Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) 139.67 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 86 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Clements, Mary,,, Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2448956 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Clements, Mary, , , Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2561502 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 900.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Cobo, Michael, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 30 2016 PO Box 348 City State Zip Code Transaction ID: A2016-2448904 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 225.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 87 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cobo, Michael, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr PO Box 348 2016 City State Zip Code Transaction ID: A2016-2561450 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Cochran, Wayne, , , Date of Receipt Mailing Address 3701 State Road 26 East 2016 City State Zip Code Transaction ID: A2016-2447897 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 40.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facility Engineer 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 440.70 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Cochran, Wayne, , , Date of Receipt Mailing Address 3701 State Road 26 East 30 2016 City State Zip Code Transaction ID: A2016-2560744 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 40.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facility Engineer 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 481.47 Other (specify) 106.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 88 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cole, Keri, , , Date of Receipt Mailing Address 400 Regency Forest Drive 2016 Suite 400 City Zip Code State Transaction ID: A2016-2448461 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 179.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1974.28 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cole, Keri, , , Date of Receipt Mailing Address 400 Regency Forest Drive 2016 Suite 400 City State Zip Code Transaction ID: A2016-2560711 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing 179.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2153.76 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Coleman, Gerald, , , Date of Receipt Mailing Address 3701 State Road 26 East 30 2016 City State Zip Code Transaction ID: A2016-2448868 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 378.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE (check only one) **X** 11a 11b 11c

89 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Coleman, Gerald, , , Date of Receipt Mailing Address 3701 State Road 26 East 2016 City Zip Code State Transaction ID: A2016-2561414 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cone, Beth, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2448783 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 116.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1283.04 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Cone, Beth, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2561342 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 116.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1399.68 Other (specify) 253.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 90 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Conley, Patrick, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City Zip Code State Transaction ID: A2016-2448183 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) 6 Sigma Strategy Champion Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Conley, Patrick, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City State Zip Code Transaction ID: A2016-2561079 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. 6 Sigma Strategy Champion Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Cook, Terry, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2448308 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Glbl Employee Relations Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 120.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 91 Use separate schedule(s) (check only one) **X** 11a 11b 11c

OF

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cook, Terry, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2560642 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Glbl Employee Relations Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Coon, Korey, , , Date of Receipt Mailing Address 400 Regency Forest Drive 2016 Suite 400 City State Zip Code Transaction ID: A2016-2448292 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 550.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Coon, Korey, , , Date of Receipt Mailing Address 400 Regency Forest Drive 30 2016 Suite 400 City State Zip Code Transaction ID: A2016-2560626 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 120.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 92 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Coon, Robert, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2448257 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 91.97 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **CPF Managing Director** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1011.67 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Coon, Robert, , , Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2560590 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 91.97 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **CPF Managing Director** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1103.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Copeland, Jananne, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID : A2016-2448100 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Accounting Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 233.94 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 93 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Copeland, Jananne, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561631 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Accounting Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Cordani, Benjamin, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 2016 PO Box 500 City State Zip Code Transaction ID: A2016-2448561 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 55.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 590.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Cordani, Benjamin, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 30 2016 PO Box 500 City State Zip Code Transaction ID: A2016-2561159 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 55.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 645.00 Other (specify) 160.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

ı	FOR LINE NUMBER:				PAGE 94 OF					
l	(check only one)									
	X	11a	11b	11c	12					
		13	14	15	16	17				

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Covey, Kristen, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448609 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Regional Treasurer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Covey, Kristen, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID : A2016-2561208 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Regional Treasurer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Craig, John, , , Date of Receipt Mailing Address Olympian Generators Sets 30 2016 284 Mawsons Way City State Zip Code Transaction ID: A2016-2448161 SC Newberry 29108 Amount of Each Receipt this Period FEC ID number of contributing C 43.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 477.95 Other (specify) 143.45 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

EOD LINE NUMBER: DAGE OF OF Use separate schedule(s)

' (OR LINE NOWBER.					IAGL	- •))	Oi	•	,00
(cl	he	ck only	ıe)								
	X	11a		11b		11c		12			
		13		14		15		16			17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Craig, John, , , Date of Receipt Mailing Address Olympian Generators Sets 284 Mawsons Way 2016 City State Zip Code Transaction ID: A2016-2561057 SC Newberry 29108 Amount of Each Receipt this Period FEC ID number of contributing C 43.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 521.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Creech, Paul, , , Date of Receipt Mailing Address 1600 Progress Drive 2016 City State Zip Code Transaction ID: A2016-2561963 Albertville AL 35950 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP, Railcar Sales & Leasing Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 480.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Creed, Joseph, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448980 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Rgnl/Group Financial Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 88.45 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 96 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

539

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Creed, Joseph, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561525 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Rgnl/Group Financial Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Creger, Lisa, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 2016 PO Box 348 City State Zip Code Transaction ID: A2016-2448164 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Master Black Belt Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Creger, Lisa, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 30 2016 PO Box 348 City State Zip Code Transaction ID: A2016-2561060 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Master Black Belt Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 65.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 97 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Crespo, Frank, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2447987 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 4583.37 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Crespo, Frank, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2560832 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 5000.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Creviston, Robert, , , Date of Receipt Mailing Address 27Th & Pershing Rd 30 2016 City State Zip Code Transaction ID: A2016-2448372 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Service Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 858.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 98 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Creviston, Robert, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City Zip Code State Transaction ID: A2016-2561695 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Service Engineer** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Cross, Johnny, , , Date of Receipt Mailing Address 3500 South Cowan Road 2016 City State Zip Code Transaction ID: A2016-2561870 IN Muncie 47302 Amount of Each Receipt this Period FEC ID number of contributing 33.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 845.15 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Cross, Johnny, , , Date of Receipt Mailing Address 3500 South Cowan Road 22 2016 City State Zip Code Transaction ID: A2016-2561881 IN Muncie 47302 Amount of Each Receipt this Period FEC ID number of contributing 33.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 878.99 Other (specify) 92.68 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 99 OF 539 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Crowell, Thomas, , , Date of Receipt Mailing Address Route 29 & Old Galena Road Dock 55 2016 City Zip Code State Transaction ID: A2016-2448150 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 83.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 914.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Crowell, Thomas, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City State Zip Code Transaction ID: A2016-2561046 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 83.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 997.44 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Cunningham, Steven, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448295 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 477.10 Other (specify) 186.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 100 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cunningham, Steven, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2560629 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 497.10 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Curtis, Teresa, , , Date of Receipt Mailing Address 400 Regency Forest Drive 2016 Suite 400 City State Zip Code Transaction ID: A2016-2448155 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing 56.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 621.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Curtis, Teresa, , , Date of Receipt Mailing Address 400 Regency Forest Drive 30 2016 Suite 400 City State Zip Code Transaction ID: A2016-2561051 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 56.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 678.00 Other (specify) 133.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 101 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cusack, Paul, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2016 City State Zip Code Transaction ID: A2016-2448547 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cusack, Paul, , , Date of Receipt Mailing Address 100 Tractor Drive **Building CV** 2016 City State Zip Code Transaction ID: A2016-2561145 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Cushing, Teresa, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2016 Bldg A City State Zip Code Transaction ID: A2016-2448955 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 162.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 1783.10 Other (specify) 202.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 102 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cushing, Teresa, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2016 City State Zip Code Transaction ID: A2016-2561501 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 162.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 1945.20 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Daniell, Bruce, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City State Zip Code Transaction ID: A2016-2448382 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 53.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 578.02 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Daniell, Bruce, , , Date of Receipt Mailing Address 27Th & Pershing Rd 30 2016 City State Zip Code Transaction ID: A2016-2561704 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 53.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 631.73 Other (specify) 269.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: (check only one) **X** 11a 11b 11c

PAGE 103 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Daugherty, Jill, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448795 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Controller Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Daugherty, Jill, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561354 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Controller Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Daugherty, Tracy, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 30 2016 Dock 55 City State Zip Code Transaction ID: A2016-2448926 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

7

FOR LINE NUMBER: PAGE 104 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Daugherty, Tracy, , , Date of Receipt Mailing Address Route 29 & Old Galena Road Dock 55 2016 City State Zip Code Transaction ID: A2016-2561472 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Davidson, Janet, , , Date of Receipt Mailing Address 100 Ne Adams 2016 City State Zip Code Transaction ID: A2016-2447934 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 42.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Import/Export Services Supv Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 471.79 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Davidson, Janet, , , Date of Receipt Mailing Address 100 Ne Adams 30 2016 City State Zip Code Transaction ID: A2016-2560787 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 42.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Import/Export Services Supv Receipt For: Aggregate Year-to-Date ▼ Primary General 514.68 Other (specify) 135.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER. DACE 405 OF Use separate sch

edule(s) of the / Page	(check only one)									333
	X	K 11a		11b		11c		12		
· · ·		13		14		15		16		17

for each category **Detailed Summary** Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Davis, Ryan, , , Date of Receipt Mailing Address 1600 Progress Drive 2016 City State Zip Code Transaction ID: A2016-2561956 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP, Opers Wheel Axle Bearings **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Dawson, Wendy, , , Date of Receipt Mailing Address 100 Ne Adams 2016 City State Zip Code Transaction ID: A2016-2447907 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Dawson, Wendy, , , Date of Receipt Mailing Address 100 Ne Adams 30 2016 City State Zip Code Transaction ID: A2016-2560754 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 60.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 106 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12 13 14 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Day, Thomas, , , Date of Receipt Mailing Address 812 W. Washington St 2016 City Zip Code State Transaction ID: A2016-2449041 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Day, Thomas, , , Date of Receipt Mailing Address 812 W. Washington St 2016 City State Zip Code Transaction ID: A2016-2561586 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. De Camp, Robert, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448248 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Risk Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 65.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 107 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name De Camp, Robert, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2560581 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Risk Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Dean, Courtney, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448007 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Dean, Courtney, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2560852 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 108 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name DeFreitas, David, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448676 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** DeFreitas, David, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2560997 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 360.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Deluca, Anthony, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2448972 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 85.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 109 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Deluca, Anthony, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2561517 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. DeNeve, Jeffrey, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2561016 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 18.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Component Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 216.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Denis, Andrew, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 30 2016 Dock 55 City State Zip Code Transaction ID: A2016-2447950 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 36.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 402.93 Other (specify) 79.63 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 110 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Denis, Andrew, , , Date of Receipt Mailing Address Route 29 & Old Galena Road Dock 55 2016 City State Zip Code Transaction ID: A2016-2560796 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 36.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 439.56 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Derk, Joseph, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City State Zip Code Transaction ID: A2016-2448293 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Derk, Joseph, , , Date of Receipt Mailing Address 27Th & Pershing Rd 30 2016 City State Zip Code Transaction ID: A2016-2560627 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 86.63 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 111 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Deselem, John, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2016 City State Zip Code Transaction ID: A2016-2448764 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Deselem, John, , , Date of Receipt Mailing Address 100 Tractor Drive 2016 **Building CV** City State Zip Code Transaction ID: A2016-2561323 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. DeWalt, Michael, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448674 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 4583.37 Other (specify) 466.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 112 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name DeWalt, Michael, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561019 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Dhaemers-Needham, Michelle, Date of Receipt Mailing Address 501 SW Jefferson 2016 11 City State Zip Code Transaction ID : A2016-2448528 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Dhaemers-Needham, Michelle, , , Date of Receipt Mailing Address 501 SW Jefferson 30 2016 City State Zip Code Transaction ID: A2016-2560992 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 466.63 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 113 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Diccion, Amory, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr PO Box 348 2016 City State Zip Code Transaction ID: A2016-2448336 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Engineer-Design Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Diccion, Amory, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 2016 PO Box 348 City State Zip Code Transaction ID: A2016-2560672 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Engineer-Design Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Dickerson, Douglas, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 Building CV Dock CV City State Zip Code Transaction ID: A2016-2448318 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 44.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 476.34 Other (specify) 94.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: (check only one) **X** 11a 11b 11c

PAGE 114 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Dickerson, Douglas, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV Dock CV 2016 City Zip Code State Transaction ID: A2016-2560654 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 44.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 520.44 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Diekhoff, Kyle, Date of Receipt Mailing Address 100 Tractor Drive 2016 Building CV Dock CV City State Zip Code Transaction ID: A2016-2448335 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Diekhoff, Kyle, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 Building CV Dock CV City State Zip Code Transaction ID: A2016-2560671 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 94.10 SUBTOTAL of Receipts This Page (optional).....

7

FOR LINE NUMBER: PAGE 115 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Dietz, Hans, , , Date of Receipt Mailing Address 3501 South FM Hwy 1417 2016 City Zip Code State Transaction ID: A2016-2448899 TX Denison 75020 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Dietz, Hans, , , Date of Receipt Mailing Address 3501 South FM Hwy 1417 2016 City State Zip Code Transaction ID: A2016-2561445 TX Denison 75020 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Disharoon, John, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2448089 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Development Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 2200.00 Other (specify) 240.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 116 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Disharoon, John, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2560931 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Development Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 2400.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Ditman, Dana, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448974 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ditman, Dana, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561519 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 250.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 117 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Doe, Kimberly, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448536 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Doe, Kimberly, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561134 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Doherty, Christopher, , , Date of Receipt Mailing Address 27Th & Pershing Rd 30 2016 PO Box 1430 City State Zip Code Transaction ID: A2016-2448442 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing 41.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 329.60 Other (specify) 81.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 118 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Doherty, Christopher, , , Date of Receipt Mailing Address 27Th & Pershing Rd PO Box 1430 2016 City State Zip Code Transaction ID: A2016-2560691 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing C 41.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 370.80 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Doligale, Suzette, , , Date of Receipt Mailing Address 9301 W. 55th Street 2016 City State Zip Code Transaction ID: A2016-2561875 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 480.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Douglas, Nola, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2448797 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 81.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. N. A. Financial Accounting Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 869.14 Other (specify) 142.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 119 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Douglas, Nola,,, Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2561356 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 81.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. N. A. Financial Accounting Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 950.26 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Dudek, Nicholas, , , Date of Receipt Mailing Address 100 Tractor Drive 2016 Building CV Dock CV City State Zip Code Transaction ID: A2016-2447949 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 38.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 305.44 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Dudek, Nicholas, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 **Building CV Dock CV** City State Zip Code Transaction ID: A2016-2560762 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 38.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 343.62 Other (specify) 157.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 120 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Duensing, James, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2449048 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Executive VP / CFO Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 4583.37 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Duensing, James, , , Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2561593 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Executive VP / CFO Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 5000.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Duffy, Joseph, F, , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2016 Bldg A City State Zip Code Transaction ID: A2016-2449028 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 740.00 Other (specify) 933.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 121 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Duffy, Joseph, F,, Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2016 City State Zip Code Transaction ID: A2016-2561573 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 840.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Dugan, James, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448373 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 164.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Executive Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1804.33 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Dugan, James, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561696 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 164.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Executive Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1968.36 Other (specify) 428.06 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 122 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Duhon, Jason, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2447994 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 53.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 590.15 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Duhon, Jason, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2560839 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 53.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 643.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Dulaney, Harold, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2016 P O Box 340001 City State Zip Code Transaction ID : A2016-2448198 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) 207.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 123 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Dulaney, Harold, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2561094 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Dunbar, Nathan, , , Date of Receipt Mailing Address 100 NE Adams 2016 Tianjin Airport Economic Area City State Zip Code Transaction ID: A2016-2448635 Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mfg Engrg Superintendent Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Dunbar, Nathan, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 Tianjin Airport Economic Area City Zip Code State Transaction ID: A2016-2561233 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mfg Engrg Superintendent Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 300.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 124 OF (check only one) **X** 11a 11b 11c

Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Duncan, Michael, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448120 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Duncan, Michael, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561651 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Dunlevy, David, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 30 2016 Suite 4000 City State Zip Code Transaction ID: A2016-2448282 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 125 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Dunlevy, David, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2016 City State Zip Code Transaction ID: A2016-2560616 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Duzinskas, Debra, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2448216 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Reman BU Mgr - Mining Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 495.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Duzinskas, Debra, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2561113 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Reman BU Mgr - Mining Receipt For: Aggregate Year-to-Date ▼ Primary General 540.00 Other (specify) 140.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 126 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Dyke, John, W,, Date of Receipt Mailing Address 400 Regency Forest Drive Suite 400 2016 City Zip Code State Transaction ID: A2016-2561345 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 18.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 217.56 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Earle, Kevin, C, , Date of Receipt Mailing Address 100 NE Adams 2016 Eastfield City State Zip Code Transaction ID: A2016-2448231 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 550.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Earle, Kevin, C, Date of Receipt Mailing Address 100 NE Adams 30 2016 Eastfield City State Zip Code Transaction ID: A2016-2561127 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 118.13 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 127 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Easley, William, , , Date of Receipt Mailing Address 3701 State Road 26 East 2016 City Zip Code State Transaction ID: A2016-2448321 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Easley, William, , , Date of Receipt Mailing Address 3701 State Road 26 East 2016 City State Zip Code Transaction ID: A2016-2560657 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Eastwood, Patricia, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2448544 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 218.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 2400.31 Other (specify) 318.21 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 128 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Eastwood, Patricia, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2561142 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 218.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 2618.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Edwards, Brian, , , Date of Receipt Mailing Address Progress Rail Services 2016 City State Zip Code Transaction ID : A2016-2561977 Fort Worth TX 76177 Amount of Each Receipt this Period FEC ID number of contributing 59.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1492.25 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Edwards, Brian, , , Date of Receipt Mailing Address Progress Rail Services 22 2016 City State Zip Code Transaction ID: A2016-2561934 TX Fort Worth 76177 Amount of Each Receipt this Period FEC ID number of contributing C 59.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1551.94 Other (specify) 337.59 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 129 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Eggemeyer, Jonathan, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City Zip Code State Transaction ID: A2016-2448218 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 59.26 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 651.86 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Eggemeyer, Jonathan, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City State Zip Code Transaction ID: A2016-2561115 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 59.26 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 711.12 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** English, Paul, , , Date of Receipt Mailing Address State Rt. 116 E. 30 2016 Spring Creek Rd. City State Zip Code Transaction ID: A2016-2448744 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 51.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Engineering Specialist-Dev/Res Receipt For: Aggregate Year-to-Date ▼ Primary General 414.40 Other (specify) 170.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 130 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name English, Paul, , , Date of Receipt Mailing Address State Rt. 116 E. Spring Creek Rd. 2016 City Zip Code State Transaction ID: A2016-2561303 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing C 51.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Engineering Specialist-Dev/Res Receipt For: Aggregate Year-to-Date ▼ Primary General 466.20 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Engstrom, Jamie, , , Date of Receipt Mailing Address 812 W. Washington St 2016 City State Zip Code Transaction ID : A2016-2448537 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IT Dir Global Technology Svcs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Engstrom, Jamie, , , Date of Receipt Mailing Address 812 W. Washington St 30 2016 City State Zip Code Transaction ID: A2016-2561135 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IT Dir Global Technology Svcs Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 101.80 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

ı	FOR L	INE NU	JMBER	PAGE	: 1	31 OF	•	539	
ı	(check	only or	ne)						
	X 1	1a	11b		11c		12		
ı	1:	3	14		15		16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Epley, Kyle, J,, Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448233 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Business Resource Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Epley, Kyle, J,, Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561129 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Erpenbeck, Stephen, , , Date of Receipt Mailing Address 1167 NC Hwy 42 East 30 2016 City State Zip Code Transaction ID: A2016-2448842 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 65.00 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

F	FOR LINE NUMBER:						PAGE 132 OF 5					
(C	(check only one)											
	X	11a		11b		11c		12				
		13		14		15		16		17		

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Erpenbeck, Stephen, , , Date of Receipt Mailing Address 1167 NC Hwy 42 East 2016 City Zip Code State Transaction ID: A2016-2561401 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Evans, Michael, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 2016 PO Box 500 City State Zip Code Transaction ID: A2016-2448862 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 152.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1255.06 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Evans, Michael, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 30 2016 PO Box 500 City State Zip Code Transaction ID : A2016-2561408 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 152.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1407.36 Other (specify) 329.60 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 133 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Everett, Jeffry, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2448062 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Everett, Jeffry, , , Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2560904 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Faber, David, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 30 2016 PO Box 500 City State Zip Code Transaction ID : A2016-2448110 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) 200.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FOR LINE NUMBER:						PAGE	1	34 OF	:	539	
	(check only one)										
	[3	K	11a		11b		11c		12		
			13		14		15		16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Faber, David, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2016 City State Zip Code Transaction ID: A2016-2561641 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Farooqi, Aqeel, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City State Zip Code Transaction ID: A2016-2448341 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Farooqi, Aqeel, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 30 2016 Dock 55 City State Zip Code Transaction ID: A2016-2561664 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 135 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ferguson, Steven, M,, Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2448872 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Rgnl/Group Financial Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2525.02 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Ferguson, Steven, M, , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2561418 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Rgnl/Group Financial Officer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2941.69 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Fetch, Bonnie, , , Date of Receipt Mailing Address 500 N Morton Ave 30 2016 City State Zip Code Transaction ID: A2016-2448185 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 243.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Unit Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 2585.92 Other (specify) 1076.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 136 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Fetch, Bonnie, , , Date of Receipt Mailing Address 500 N Morton Ave 2016 City Zip Code State Transaction ID: A2016-2561081 IL 61550 Morton Amount of Each Receipt this Period FEC ID number of contributing C 243.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Business Unit Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2829.44 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Feucht, Joel, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City State Zip Code Transaction ID: A2016-2448127 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 89.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 981.31 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Feucht, Joel, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 30 2016 Dock 55 City State Zip Code Transaction ID: A2016-2561658 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 89.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 1070.52 Other (specify) 421.94 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 137 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Fields, John, , , Date of Receipt Mailing Address Route 29 & Old Galena Road Dock 55 2016 City State Zip Code Transaction ID: A2016-2448749 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Fields, John, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City State Zip Code Transaction ID : A2016-2561308 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Finn, Bart, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448870 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 24.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. NPI Program Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 269.28 Other (specify)

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

7

74.48

Use separate schedule(s)

FOR LINE NUMBER:						PAGE	1	38 OF	539
(0	che	ck only	or	ne)					
	X	11a		11b		11c		12	
		13		14		15		16	17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Finn, Bart, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561416 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 24.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) NPI Program Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 293.76 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Fischer, Jeffery, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City State Zip Code Transaction ID: A2016-2448781 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 550.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Fischer, Jeffery, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 30 2016 Dock 55 City State Zip Code Transaction ID: A2016-2561340 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 124.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 139 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Fishbeck, Jeri, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2447998 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 32.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 350.72 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Fishbeck, Jeri, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2560843 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 32.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 382.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Fisher, Bart, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2447991 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 84.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 140 OF Use separate schedule(s) for each category of the (check only one)

TEMIZED NEO	L 10		Detailed Summary Page	X	11a 13	\mathbb{H}	11t	b _	11c 15	12 16	17					
			ay not be sold or used by any peddress of any political committee		or the		oose		oliciting	contribu	tions					
NAME OF COMMIT The Caterpilla	TEE (In Full) ar Inc. Employee	Political A	ction Committee													
Full Name of Individ	dual (Last, First, Middle	Initial) or Full O	rganization Name		Date of	Re	ceip	pt								
Mailing Address 100	0 NE Adams	12 30 2016														
City Peoria		State IL	Zip Code 61629	Transaction ID : A2016-2560836 Amount of Each Receipt this Period												
FEC ID number of of federal political com	•	С			_		_		-	20.	00					
Name of Employer (Caterpillar Inc.	(for Individual)	upation (for Individual) nager		Me	emo	lte	em									
Receipt For: Primary Other (specify	General) ▼	Aggregate	Year-to-Date ▼ 240.00													
Full Name of Individ 3. Flannery, Mark	dual (Last, First, Middle	Initial) or Full O	rganization Name		Date of	Re	ceip	pt								
	Mailing Address 100 NE Adams							11 30 2016								
City Peoria		State	Zip Code 61629	Transaction ID : A2016-2448317 Amount of Each Receipt this Period												
FEC ID number of of federal political com	•	С			79.28											
Name of Employer Caterpillar Inc.	(for Individual)		upation (for Individual) porate Counsel	Memo Item												
Receipt For: Primary Other (specify	General) ▼	Aggregate	Year-to-Date ▼ 867.46													
Full Name of Individ	dual (Last, First, Middle $\mathbf{k},$, ,	Initial) or Full O	rganization Name		Date of	Re	ceip	pt								
Mailing Address 10	0 NE Adams	1		_ [M M M	′	L	30	/ Y	2016	Y					
City Peoria		State	Zip Code 61629							560653 is Period						
FEC ID number of of federal political com	J	С			79.28											
Name of Employer (Caterpillar Inc.	(for Individual)		upation (for Individual) porate Counsel		Me	emo) Ite	em								
Receipt For: Primary Other (specify	Aggregate rear-to-bate *															
SUBTOTAL of Receip	ots This Page (optional).		·····				,		,	178.	56					
TOTAL This Period (la	ast page this line numbe	er only)					_									

FOR LINE NUMBER: PAGE 141 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Flegel, Geoffrey, , , Date of Receipt Mailing Address 1167 NC Hwy 42 East 2016 City Zip Code State Transaction ID: A2016-2448707 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Flegel, Geoffrey, , , Date of Receipt Mailing Address 1167 NC Hwy 42 East 2016 City State Zip Code Transaction ID: A2016-2560952 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Flynn, Daniel, , , Date of Receipt Mailing Address 2901 Gateway Blvd. 30 2016 City State Zip Code Transaction ID: A2016-2448250 TX Waco 76712 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM** Americas Operations Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 60.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 142 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Flynn, Daniel, , , Date of Receipt Mailing Address 2901 Gateway Blvd. 2016 City Zip Code State Transaction ID: A2016-2560583 TX Waco 76712 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **GM** Americas Operations Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Folley, Gregory, , , Date of Receipt Mailing Address 100 Ne Adams 2016 City State Zip Code Transaction ID: A2016-2449005 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 4583.37 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Folley, Gregory, , , Date of Receipt Mailing Address 100 Ne Adams 30 2016 City State Zip Code Transaction ID: A2016-2561550 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) 853.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 143 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Fosen, Brian, , , Date of Receipt Mailing Address 250 Dozer Drive 2016 City State Zip Code Transaction ID: A2016-2448594 GA Athens 30606 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Fosen, Brian, , , Date of Receipt Mailing Address 250 Dozer Drive 2016 City State Zip Code Transaction ID: A2016-2561191 GA Athens 30606 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Foster, Bradley, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID : A2016-2447900 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 144 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Foster, Bradley, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2560747 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Foster, Charles, S, , Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2561327 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 64.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Info. Services Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 229.31 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Fox, Isaac, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 30 2016 Suite 4000 City State Zip Code Transaction ID: A2016-2448411 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 110.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 674.59 Other (specify) 224.90 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 145 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Fox, Isaac, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2016 City State Zip Code Transaction ID: A2016-2561733 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing C 110.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 785.18 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Frake, Thomas, G, , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448253 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 4583.37 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Frake, Thomas, G, Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2560586 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) 943.89 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 146 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Frakes, Amy, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2448551 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Frakes, Amy, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2561149 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Frank, Billy, , , Date of Receipt Mailing Address 4705 E. 48th Avenue 30 2016 City State Zip Code Transaction ID : A2016-2448570 CO Denver 80216 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 147 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Frank, Billy, , , Date of Receipt Mailing Address 4705 E. 48th Avenue 2016 City Zip Code State Transaction ID: A2016-2561167 CO Denver 80216 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Franke, Michael, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID : A2016-2447952 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Franke, Michael, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2560798 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 148 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Freberg, Michael, , , Date of Receipt Mailing Address 250 Dozer Drive 2016 City Zip Code State Transaction ID: A2016-2449021 GA Athens 30606 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) NPI Program Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Freberg, Michael, , , Date of Receipt Mailing Address 250 Dozer Drive 2016 City State Zip Code Transaction ID: A2016-2561566 GA Athens 30606 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. NPI Program Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Frederick, David, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2449052 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IT Strategist Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 149 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Frederick, David, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2561597 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IT Strategist Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Frese, Alan, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2016 City State Zip Code Transaction ID: A2016-2449034 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 237.09 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Solutions & Technology Mgr. Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2614.74 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Frese, Alan, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 30 2016 PO Box 500 City State Zip Code Transaction ID: A2016-2561579 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 237.09 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Solutions & Technology Mgr. Receipt For: Aggregate Year-to-Date ▼ Primary General 2851.83 Other (specify) 504.18 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 150 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Friend, Paul, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg E 2016 City State Zip Code Transaction ID: A2016-2447985 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 50.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 556.82 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Friend, Paul, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2016 Bldg E City State Zip Code Transaction ID: A2016-2560830 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 607.44 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Frohberg, David, , , Date of Receipt Mailing Address 3701 State Road 26 East 30 2016 City State Zip Code Transaction ID : A2016-2448128 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 151.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 151 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Frohberg, David, , , Date of Receipt Mailing Address 3701 State Road 26 East 2016 City Zip Code State Transaction ID: A2016-2561659 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Frow, Richard, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2016 Bldg E City State Zip Code Transaction ID: A2016-2449022 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Technical Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 440.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Frow, Richard, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2016 Bldg E City State Zip Code Transaction ID: A2016-2561567 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Technical Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) 130.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 152 OF Use separate schedule(s)

(che	ck only	or	ne)			
×	11a		11b	11c	12	
	13		14	15	16	17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Frye, John, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City Zip Code State Transaction ID: A2016-2448621 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 54.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 599.28 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Frye, John, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City State Zip Code Transaction ID: A2016-2561220 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 54.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 653.76 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Fuelberth, David, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 30 2016 Dock 55 City State Zip Code Transaction ID: A2016-2449019 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 117.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1289.09 Other (specify) 226.15 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 153 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Fuelberth, David, , , Date of Receipt Mailing Address Route 29 & Old Galena Road Dock 55 2016 City State Zip Code Transaction ID: A2016-2561564 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 117.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1406.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Fultz, Brad, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448507 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Fultz, Brad, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2560971 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 167.19 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

Use separate schedule(s)

F	OR	LINE	NU	MBER	:	PAGE	: 1	54 OF	,	539
(C	he	ck only	or	ne)						
X 11a 11b 11c 12										
		13		14		15		16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Furness, Deborah, S,, Date of Receipt Mailing Address 100 Tractor Drive Building CV 2016 City Zip Code State Transaction ID: A2016-2448739 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 103.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Melbourne PD&S Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1511.13 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Furness, Deborah, S, , Date of Receipt Mailing Address 100 Tractor Drive 2016 **Building CV** City State Zip Code Transaction ID: A2016-2561298 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 103.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Melbourne PD&S Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1614.27 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gaddis, Robert, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2449083 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 58.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Risk Management Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 642.40 Other (specify) 264.68 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 155 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gaddis, Robert, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2561628 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 58.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Risk Management Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 700.80 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Gao, Xiaojian, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448080 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 41.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 458.37 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Gao, Xiaojian, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2560922 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 41.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.04 Other (specify) 141.74 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 156 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gardiner, Jonathan, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448064 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Gardiner, Jonathan, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2560906 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Garman, Scott, , , Date of Receipt Mailing Address 9301 W. 55th Street 80 2016 City State Zip Code Transaction ID: A2016-2561874 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 157 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gasper, Mark, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2448846 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gasper, Mark, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2561250 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Gaw, Matthew, , , Date of Receipt Mailing Address Building H Dock 13 30 2016 City State Zip Code Transaction ID: A2016-2448227 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 825.00 Other (specify) 115.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 158 OF Use separate schedule(s)

TOTT EINE HOMBETT.				1 / (00	٠.				
(0	(check only one)										
	X	11a		11b		11c		12			
		13		14		15		16			17

539

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gaw, Matthew, , , Date of Receipt Mailing Address Building H Dock 13 2016 City Zip Code State Transaction ID: A2016-2561123 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 900.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Geick, Orville, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2449082 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Performance Mgr4 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 550.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Geick, Orville, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561627 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Performance Mgr4 Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 175.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 159 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name George, Brian, W,, Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2448504 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 825.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. George, Brian, W,, Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2560968 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 900.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. George, Tisha, , , Date of Receipt Mailing Address 1600 Progress Drive 80 2016 City State Zip Code Transaction ID: A2016-2561968 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 10.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 255.00 Other (specify) 160.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FOF	R LINE	NUN	/IBER	:	PAGE	: 1	60 OF	,	539
(che	ck only	one	9)						
×	11a	<i>·</i>	11b		11c		12		
	13	1	14		15		16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name George, Tisha, , , Date of Receipt Mailing Address 1600 Progress Drive 2016 City Zip Code State Transaction ID: A2016-2561931 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 10.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 265.20 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Gibbons, Justin, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2016 Bldg A City State Zip Code Transaction ID: A2016-2448541 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 52.58 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Washington Operations Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 578.38 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gibbons, Justin, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2016 Bldg A City State Zip Code Transaction ID: A2016-2561140 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 52.58 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Washington Operations Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 630.96 Other (specify) 115.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FC	R LINE	NUMBER	: PAGE	E 161 OF	539
(ch	neck only	/ one)			
7	K 11a	11b	11c	12	
	13	14	15	16	17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gilbert, Daniel, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448585 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 78.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) 6 Sigma Black Belt-3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 867.46 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gilbert, Daniel, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561182 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 78.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. 6 Sigma Black Belt-3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 946.32 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ginzel, Jonathan, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448993 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 104.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Developmental Assignment** Receipt For: Aggregate Year-to-Date ▼ Primary General 1151.04 Other (specify) 262.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 162 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ginzel, Jonathan, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561538 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 104.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Developmental Assignment** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1255.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Girard, Kristin, Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 2016 PO Box 348 City State Zip Code Transaction ID: A2016-2448094 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 4583.37 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Girard, Kristin, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 30 2016 PO Box 348 City State Zip Code Transaction ID: A2016-2560936 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) 937.94 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 163 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Glawe, Regg,,, Date of Receipt Mailing Address Route 29 & Old Galena Road Dock 55 2016 City State Zip Code Transaction ID: A2016-2448165 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 173.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 1901.99 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Glawe, Regg,,, Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City State Zip Code Transaction ID: A2016-2561061 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 173.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2075.82 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Goddard, John, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 **Building CV** City State Zip Code Transaction ID: A2016-2448920 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Technical Steward** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 372.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 164 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Goddard, John, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2016 City Zip Code State Transaction ID: A2016-2561466 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Engineering Technical Steward** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Goode, Darin, , , Date of Receipt Mailing Address 600 W Washington St 2016 City State Zip Code Transaction ID: A2016-2448625 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Category Purchasing Manager A Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Goode, Darin, , , Date of Receipt Mailing Address 600 W Washington St 30 2016 City State Zip Code Transaction ID: A2016-2561224 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Category Purchasing Manager A Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 165 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gorman, Chris, , , Date of Receipt Mailing Address Highway 42 East P O Box 999 2016 City Zip Code State Transaction ID: A2016-2447977 NC Clayton 27528 Amount of Each Receipt this Period FEC ID number of contributing C 122.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1521.36 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gorman, Chris, , , Date of Receipt Mailing Address Highway 42 East P O Box 999 2016 City State Zip Code Transaction ID: A2016-2560822 NC Clayton 27528 Amount of Each Receipt this Period FEC ID number of contributing 141.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1663.24 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Gorman, Gerald, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2449073 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Chief Information Officer** Receipt For: Aggregate Year-to-Date ▼ Primary General 4583.37 Other (specify) 681.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 166 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gorman, Gerald, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2561618 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Information Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Gould, De Forest, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City State Zip Code Transaction ID: A2016-2448942 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gould, De Forest, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 30 2016 Dock 55 City State Zip Code Transaction ID: A2016-2561488 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 456.63 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 167 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Grech, Edward, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2447946 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 53.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 586.19 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Grech, Edward, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2560759 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 53.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 639.48 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Greuel, Matthew, , , Date of Receipt Mailing Address 27Th & Pershing Rd 30 2016 City State Zip Code Transaction ID: A2016-2447945 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 56.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 623.48 Other (specify) 163.26 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 168 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Greuel, Matthew, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City Zip Code State Transaction ID: A2016-2560758 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 56.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 680.16 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Groff, William, T, , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID : A2016-2449047 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 41.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Program Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 377.10 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Groff, William, T., Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2561592 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 41.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Program Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 419.00 Other (specify) 140.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 169 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gryl, Timothy, , , Date of Receipt Mailing Address 500 N Morton Ave 2016 City Zip Code State Transaction ID: A2016-2448908 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 470.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Service Parts Mgr. Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 4529.57 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Gryl, Timothy, , , Date of Receipt Mailing Address 500 N Morton Ave 2016 City State Zip Code Transaction ID: A2016-2561454 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 470.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Caterpillar Service Parts Mgr. Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 4999.97 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gugan, Steven, , , Date of Receipt Mailing Address 6800 Doerr Lane 30 2016 City State Zip Code Transaction ID: A2016-2448057 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing C 132.92 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1449.46 Other (specify) 1073.72 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 170 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gugan, Steven, , , Date of Receipt Mailing Address 6800 Doerr Lane 2016 City Zip Code State Transaction ID: A2016-2560899 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing C 132.92 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1582.38 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Guilford, Jerome, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448909 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Genl Mgr Lifecycle Prods/Servs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1650.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Guilford, Jerome, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561455 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Genl Mgr Lifecycle Prods/Servs Receipt For: Aggregate Year-to-Date ▼ Primary General 1800.00 Other (specify) 432.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 171 OF Use separate schedule(s)

Ι'	011			IVIDEIL	17101	 	٠.	
(0	che	ck only	or	ne)				
	×	11a		11b	11c	12		
		13		14	15	16		17

539

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gusso, Rodrigo, , , Date of Receipt Mailing Address 701 Waterford Way 2016 Suite 600 City State Zip Code Transaction ID: A2016-2448413 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing C 81.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 813.16 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gusso, Rodrigo, , , Date of Receipt Mailing Address 701 Waterford Way 2016 Suite 600 City State Zip Code Transaction ID: A2016-2561735 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing 81.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 894.55 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gustafson, Ryan, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2448765 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 110.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1211.43 Other (specify) 272.91 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 172 OF Use separate schedule(s)

-		LINE			PAGE	: 1	72 OF	. ;	539	
(0	che	ck only	or	ne)						
	X	11a		11b		11c		12		
		13				15		16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gustafson, Ryan, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2561324 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 110.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1321.56 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Gutgesell, Margaret, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2449075 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 164.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IT Strategist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1796.77 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Gutgesell, Margaret, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID : A2016-2561620 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 164.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IT Strategist Receipt For: Aggregate Year-to-Date ▼ Primary General 1961.54 Other (specify) 439.67 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 173 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gutzwiller, Jeffrey, J,, Date of Receipt Mailing Address Route 29 & Old Galena Road Dock 55 2016 City Zip Code State Transaction ID: A2016-2448940 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 18.98 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 208.78 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Gutzwiller, Jeffrey, J, , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City State Zip Code Transaction ID: A2016-2561486 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 18.98 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 227.76 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Haffner, Rhonda, , , Date of Receipt Mailing Address 501 SW Jefferson 30 2016 City State Zip Code Transaction ID: A2016-2448136 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 62.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 174 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Haffner, Rhonda, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City Zip Code State Transaction ID: A2016-2561032 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hall, Donald, R, Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2448526 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 495.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hall, Donald, R., Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2560990 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 540.00 Other (specify) 115.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 175 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hall, John, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City Zip Code State Transaction ID: A2016-2448540 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 48.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 534.82 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hall, John, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City State Zip Code Transaction ID: A2016-2561138 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 48.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 583.44 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Halloran, James, , , Date of Receipt Mailing Address 980 Ninth Street 30 2016 Suite 2200 City State Zip Code Transaction ID: A2016-2448176 CA Sacramento 95814 Amount of Each Receipt this Period FEC ID number of contributing C 177.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. California Reg Affairs Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1942.54 Other (specify) 274.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 176 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Halloran, James, , , Date of Receipt Mailing Address 980 Ninth Street Suite 2200 2016 City State Zip Code Transaction ID: A2016-2561072 CA Sacramento 95814 Amount of Each Receipt this Period FEC ID number of contributing C 177.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. California Reg Affairs Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 2119.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Halverson, Bradley, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID : A2016-2448117 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group President & CFO Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 4583.37 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Halverson, Bradley, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561648 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group President & CFO Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) 1010.40 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 177 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hampton, Douglas, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448715 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Developmental Assignment** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hampton, Douglas, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561274 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Developmental Assignment** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hanback, Corey, B, Date of Receipt Mailing Address 11601 93rd Ave N 30 2016 City State Zip Code Transaction ID: A2016-2448533 MN MapleGrove 55369 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 700.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

F	OR	LINE	NU	MBER	:	PAGE	: 1	78 OI	-	539
(check only one)										
	X	11a		11b		11c		12		
		13		14		15		16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hanback, Corey, B, , Date of Receipt Mailing Address 11601 93rd Ave N 2016 City Zip Code State Transaction ID: A2016-2561131 MN MapleGrove 55369 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hanson, Richard, , , Date of Receipt Mailing Address 100 Tractor Drive 2016 Building CV Dock CV City State Zip Code Transaction ID: A2016-2448455 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 62.87 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 691.57 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hanson, Richard, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 **Building CV Dock CV** City State Zip Code Transaction ID: A2016-2560704 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 62.87 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 754.44 Other (specify) 225.74 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 179 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Harayda, Jon, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City Zip Code State Transaction ID: A2016-2449060 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Revenue Mgmt Supervisor Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Harayda, Jon, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City State Zip Code Transaction ID: A2016-2561605 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Revenue Mgmt Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hardee, Jeffrey, J., Date of Receipt Mailing Address 100 N.E. Adams Street 80 2016 City State Zip Code Transaction ID: A2016-2421242 IL Peoria 61629-1430 Amount of Each Receipt this Period FEC ID number of contributing 2500.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) 2550.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X) IT

FOR LINE NUMBER: PAGE 180 OF

EMIZED RECEIPTS	for each category of the Detailed Summary Page	(Cn	11a 13	one) 11 14	г	1	11c	12 16	17
y information copied from such Reports and Statements ma							_		

		Detailed Summary Page	13 14 15 16 17
Any information copied from such Reports and or for commercial purposes, other than using t			erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Ac	tion Committee	
Full Name of Individual (Last, First, Middle A. Harding, Steven, , ,	Initial) or Full Orç	ganization Name	Date of Receipt
Mailing Address 4-H Park Road			M M / D D / Y Y Y Y Y Y
P O Box 740 City	State	Zip Code	11 30 2016 Transaction ID : A2016-2448966
Pontiac	IL	61764	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		25.00
Name of Employer (for Individual)		pation (for Individual)	Memo Item
Caterpillar Inc. Receipt For:	T	ty Manager	
Primary General	Aggregate Y	'ear-to-Date ▼	
Other (specify) ▼		275.00	
Full Name of Individual (Last, First, Middle Harding, Steven, , ,	Initial) or Full Org	ganization Name	Date of Receipt
Mailing Address 4-H Park Road P O Box 740			12 30 2016
City	State	Zip Code	Transaction ID : A2016-2561511
Pontiac	IL	61764	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		25.00
Name of Employer (for Individual) Caterpillar Inc.		oation (for Individual) ty Manager	Memo Item
Receipt For:	Aggregate Y	'ear-to-Date ▼	
Primary General Other (specify) ▼		300.00	1
		4	
Full Name of Individual (Last, First, Middle Hargis, Keith, , ,	Initial) or Full Org	ganization Name	Date of Receipt
Mailing Address 2120 West End Avenue P O Box 340001			11 30 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID: A2016-2448030
Nashville	IIN	37203	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		25.00
Name of Employer (for Individual)		pation (for Individual)	Memo Item
Caterpillar Inc. Receipt For:		Il Facilities Manager 'ear-to-Date ▼	
Primary General	Aggregate	 	1
Other (specify)	L	275.00	
SUBTOTAL of Receipts This Page (optional)			75.00
TOTAL This Period (last page this line number	er only)		

FOR LINE NUMBER: PAGE 181 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hargis, Keith, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2560873 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Facilities Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Harn, David, , , Date of Receipt Mailing Address 2000 E Washington St 2016 City State Zip Code Transaction ID: A2016-2448371 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Factory Manager 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Harn, David, , , Date of Receipt Mailing Address 2000 E Washington St 30 2016 City State Zip Code Transaction ID: A2016-2561694 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Factory Manager 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 125.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 182 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Harrell, Russell, D,, Date of Receipt Mailing Address 600 W Washington St 2016 City Zip Code State Transaction ID: A2016-2449051 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 760.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Harrell, Russell, D,, Date of Receipt Mailing Address 600 W Washington St 2016 City State Zip Code Transaction ID: A2016-2561596 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 860.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Harris, Lester, , , Date of Receipt Mailing Address 600 Balderson Blvd 30 2016 PO Box 6 City State Zip Code Transaction ID: A2016-2448403 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing C 35.95 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 395.45 Other (specify) 235.95 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 183 OF Use separate schedule(s) (check only one)

TEMIZED RECEIPTS		for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and State or for commercial purposes, other than using the			
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po	olitical A	ction Committee	
Full Name of Individual (Last, First, Middle Initial Harris, Lester, , , Mailing Address 600 Balderson Blvd P O Box 6 City Wamego FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Receipt For: Primary General Other (specify)	State KS C	Zip Code 66547 Supation (for Individual) nager Year-to-Date 431.40	Date of Receipt 12 30 2016 Transaction ID: A2016-2561725 Amount of Each Receipt this Period 35.95 Memo Item
Full Name of Individual (Last, First, Middle Initial Hart, Andrea, , , Mailing Address 12301 Old Galena Road City Mossville FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Receipt For: Primary General Other (specify)	State IL Occ Hui	Zip Code 61552 cupation (for Individual) man Resources Manager Year-to-Date 1524.16	Date of Receipt M M J J 2016 Transaction ID : A2016-2448620 Amount of Each Receipt this Period 138.56 Memo Item
Full Name of Individual (Last, First, Middle Initial Hart, Andrea, , , Mailing Address 12301 Old Galena Road City Mossville FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Receipt For: Primary General Other (specify)	State IL Occ Hun	Zip Code 61552 cupation (for Individual) nan Resources Manager Year-to-Date ▼ 1662.72	Date of Receipt 12
SUBTOTAL of Receipts This Page (optional)		·····	313.07
TOTAL This Period (last page this line number o	nly)	>	

FOR LINE NUMBER: PAGE 184 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hart, Matthew, , , Date of Receipt Mailing Address 1900 Front Street 2016 City Zip Code State Transaction ID: A2016-2561969 KY Ashland 41101 Amount of Each Receipt this Period FEC ID number of contributing C 25.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Director, Sales & Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 605.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hartnett, Lawrence, , , Date of Receipt Mailing Address 500 N. Morton Ave. 2016 City State Zip Code Transaction ID: A2016-2448564 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hartnett, Lawrence, , , Date of Receipt Mailing Address 500 N. Morton Ave. 30 2016 City State Zip Code Transaction ID: A2016-2561162 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 75.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 185 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hasinger, Sylvia, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448563 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Group/Regional HR Director Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hasinger, Sylvia, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561161 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group/Regional HR Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hasselbusch, Michael, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448863 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 167.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 505.81 Other (specify) 267.27 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

г	UH	LINE	NU	MBER	PAGE	 00	OF 339				
(0	che	ck only	or	ne)							
	X	11a		11b		11c	12				
		13		14		15	16		17		

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hasselbusch, Michael, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561409 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 167.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 673.08 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hassiepen, John, , , Date of Receipt Mailing Address 100 Ne Adams 2016 City State Zip Code Transaction ID: A2016-2448108 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 226.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2493.81 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hassiepen, John, , , Date of Receipt Mailing Address 100 Ne Adams 30 2016 City State Zip Code Transaction ID: A2016-2561639 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 226.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 2720.52 Other (specify) 620.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 187 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hausman, Dennis, , , Date of Receipt Mailing Address Route 29 & Old Galena Road Dock 55 2016 City Zip Code State Transaction ID: A2016-2449025 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hausman, Dennis, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City State Zip Code Transaction ID: A2016-2561570 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 360.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hawkins, James, D, Date of Receipt Mailing Address 100 Tractor Drive 30 2016 **Building CV** City State Zip Code Transaction ID: A2016-2448097 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mach Prod & Proc Design Cntr M Receipt For: Aggregate Year-to-Date ▼ Primary General 4583.37 Other (specify) 476.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: (check only one) **X** 11a 11b 11c

PAGE 188 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hawkins, James, D,, Date of Receipt Mailing Address 100 Tractor Drive Building CV 2016 City Zip Code State Transaction ID: A2016-2560939 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Mach Prod & Proc Design Cntr M Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Haycraft, Martin, , , Date of Receipt Mailing Address 1600 Progress Drive 2016 City State Zip Code Transaction ID: A2016-2561955 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP/CMO, LRS Sales Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 480.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hays, Katherine, , , Date of Receipt Mailing Address Suite 400 30 2016 1425 K Street City Zip Code State Transaction ID: A2016-2448010 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 163.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Governmental Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 1789.95 Other (specify) 600.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FOF	LINE	NU	MBER	PAGE	1	89 OF	=	539		
(check only one)										
×	11a		11b		11c		12			
	13		14		15		16		17	

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hays, Katherine, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2016 City Zip Code State Transaction ID: A2016-2560855 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 163.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Manager Governmental Affairs Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1953.54 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hearn, Danielle, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2448722 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hearn, Danielle, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2561281 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 203.59 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 190 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Heiar, Keith, J,, Date of Receipt Mailing Address 100 Tractor Drive Building CV 2016 City Zip Code State Transaction ID: A2016-2448996 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 140.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Commercial Mining Receipt For: Aggregate Year-to-Date ▼ Primary General 1547.16 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Heiar, Keith, J, , Date of Receipt Mailing Address 100 Tractor Drive 2016 **Building CV** City State Zip Code Transaction ID: A2016-2561541 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 140.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Commercial Mining Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1688.06 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Heilman, Jerimy, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 Building CV Dock CV City State Zip Code Transaction ID: A2016-2447942 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 32.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 365.25 Other (specify) 314.19 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 191 OF (check only one) **X** 11a 11b 11c

Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Heilman, Jerimy, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV Dock CV 2016 City Zip Code State Transaction ID: A2016-2560794 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 41.36 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 406.61 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Heitzman, Robert, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City State Zip Code Transaction ID : A2016-2449072 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 550.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Heitzman, Robert, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 30 2016 Dock 55 City State Zip Code Transaction ID: A2016-2561617 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 141.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FO	R LINE	NUMBER	PAGE	: 1	92 OF	,	539						
(ch	(check only one)												
×	11a	11b		11c		12							
	13	14		15		16		17					

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Helgren, Mark,,, Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2016 City State Zip Code Transaction ID: A2016-2448475 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 130.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 911.61 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Helgren, Mark, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2016 Suite 4000 City State Zip Code Transaction ID: A2016-2560725 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 130.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1041.84 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hendrickson, Gary, , , Date of Receipt Mailing Address 3302 South W.W. White Road 30 2016 City State Zip Code Transaction ID: A2016-2448575 TX San Antonio 78220 Amount of Each Receipt this Period FEC ID number of contributing 140.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Logistics Center Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1526.66 Other (specify) 400.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 193 OF Use separate sched

dule(s) of the	_	ck only			 00 0.	
Page	X	11a	11b	11c	12	
J		13	14	15	16	17

ITEMIZED RECEIPTS for each category of **Detailed Summary** Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hendrickson, Gary, , , Date of Receipt Mailing Address 3302 South W.W. White Road 2016 City Zip Code State Transaction ID: A2016-2561172 TX San Antonio 78220 Amount of Each Receipt this Period FEC ID number of contributing C 140.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Logistics Center Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.66 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Henley, David, , , Date of Receipt Mailing Address 500 N Morton Ave 2016 City State Zip Code Transaction ID: A2016-2448230 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 550.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Henley, David, , , Date of Receipt Mailing Address 500 N Morton Ave 30 2016 City State Zip Code Transaction ID: A2016-2561126 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify)

										_
SUBTOTAL of Receipts This Page (optional)	I	Ī	,	I	 ,		24	0.00	_	
TOTAL This Period (last page this line number only)	Ξ	_	-	_	 -	_	_	-	_	
										_

FOR LINE NUMBER: PAGE 194 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Henricks, Gwenne, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2016 City State Zip Code Transaction ID: A2016-2449067 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 4583.37 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Henricks, Gwenne, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2016 Bldg A City State Zip Code Transaction ID: A2016-2561612 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 5000.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Henry, Christopher, , , Date of Receipt Mailing Address 250 Dozer Drive 30 2016 City State Zip Code Transaction ID: A2016-2447903 GΑ Athens 30606 Amount of Each Receipt this Period FEC ID number of contributing C 92.43 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 983.12 Other (specify) 925.73 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 195 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Henry, Christopher, , , Date of Receipt Mailing Address 250 Dozer Drive 2016 City Zip Code State Transaction ID: A2016-2560750 GA Athens 30606 Amount of Each Receipt this Period FEC ID number of contributing C 92.43 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1075.55 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Henry, Michael, , , Date of Receipt Mailing Address 1901 S. First Street 2016 City State Zip Code Transaction ID: A2016-2448342 IL Champaign 61820 Amount of Each Receipt this Period FEC ID number of contributing 88.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 973.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Henry, Michael, , , Date of Receipt Mailing Address 1901 S. First Street 30 2016 City State Zip Code Transaction ID: A2016-2561665 IL Champaign 61820 Amount of Each Receipt this Period FEC ID number of contributing 88.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1061.76 Other (specify) 269.39 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 196 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Herbert, David, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448667 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Herbert, David, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561012 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Herman, Jason, , , Date of Receipt Mailing Address 27Th & Pershing Rd 30 2016 City State Zip Code Transaction ID: A2016-2448434 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 50.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 552.09 Other (specify) 150.19 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 197 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Herman, Jason, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City Zip Code State Transaction ID: A2016-2560683 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 50.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 602.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hermann, Eric, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2016 Suite 4000 City State Zip Code Transaction ID: A2016-2448091 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1100.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hermann, Eric, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 30 2016 Suite 4000 City State Zip Code Transaction ID: A2016-2560933 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) 250.19 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 198 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c

12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hess, Ryan, , , Date of Receipt Mailing Address 250 Dozer Drive 2016 City State Zip Code Transaction ID: A2016-2448322 GA Athens 30606 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hess, Ryan, , , Date of Receipt Mailing Address 250 Dozer Drive 2016 City State Zip Code Transaction ID: A2016-2560658 GA Athens 30606 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Heussner, Roy, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID : A2016-2448122 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 69.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 765.71 Other (specify) 109.61 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

F	OR	LINE	NU	MBER	PAGE	: 1	99 OF	,	539		
(check only one)											
	X	11a		11b		11c		12			
		13		14		15		16		17	

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Heussner, Roy, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561653 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 69.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Operations Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 835.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hexum, Mark, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City State Zip Code Transaction ID: A2016-2448650 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Industry Prod Application Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hexum, Mark, , , Date of Receipt Mailing Address 501 SW Jefferson 30 2016 City State Zip Code Transaction ID: A2016-2561248 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Industry Prod Application Mgr** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 119.61 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 200 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hill, Crystal, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448208 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 53.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1131.48 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hill, Crystal, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561104 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 53.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1185.36 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Hill, Mark, , , Date of Receipt Mailing Address 4-H Park Road 30 2016 PO Box 740 City State Zip Code Transaction ID: A2016-2448614 IL **Pontiac** 61764 Amount of Each Receipt this Period FEC ID number of contributing C 41.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Section Mgr 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 424.85 Other (specify) 149.42 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FOF	R LINE	NUMBER	:	PAGE	- :	539							
(che	(check only one)												
×	11a	11b		11c		12							
	13	14		15		16		17					

ITEMIZED RECEIPTS for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hill, Mark, , , Date of Receipt Mailing Address 4-H Park Road P O Box 740 2016 City Zip Code State Transaction ID: A2016-2561213 IL Pontiac 61764 Amount of Each Receipt this Period FEC ID number of contributing 38.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Section Mgr 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 462.93 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hillman, Jonathan, , , Date of Receipt Mailing Address 1200 W. Main Street 2016 City State Zip Code Transaction ID: A2016-2448591 IL Peoria 61606 Amount of Each Receipt this Period FEC ID number of contributing 178.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Site Purchasing Manager B Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1246.49 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hillman, Jonathan, , , Date of Receipt Mailing Address 1200 W. Main Street 30 2016 City State Zip Code Transaction ID: A2016-2561188 IL Peoria 61606 Amount of Each Receipt this Period FEC ID number of contributing 178.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Site Purchasing Manager B Receipt For: Aggregate Year-to-Date ▼ Primary General 1424.56 Other (specify) 394.22 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 202 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hirabayashi, Kai, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2016 City Zip Code State Transaction ID: A2016-2448075 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hirabayashi, Kai, , , Date of Receipt Mailing Address Suite 400 2016 1425 K Street City State Zip Code Transaction ID: A2016-2560917 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hitchcox, Stephanie, , , Date of Receipt Mailing Address 1720 Kingsbury 30 2016 City State Zip Code Transaction ID: A2016-2447899 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 45.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Matls Requirements Plng Supv 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 504.02 Other (specify) 145.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 203 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hitchcox, Stephanie, , , Date of Receipt Mailing Address 1720 Kingsbury 2016 City Zip Code State Transaction ID: A2016-2560746 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 45.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Matls Requirements Plng Supv 2 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 549.84 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hockaday, Rex, , , Date of Receipt Mailing Address 100 Ne Adams 2016 City State Zip Code Transaction ID: A2016-2448807 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1100.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hockaday, Rex, , , Date of Receipt Mailing Address 100 Ne Adams 30 2016 City State Zip Code Transaction ID: A2016-2561366 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) 245.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 204 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hodges, Susan, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448789 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Communications Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hodges, Susan, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561348 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Communications Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hoecker, Jeffrey, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448471 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Attorney Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 120.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 205 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hoecker, Jeffrey, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2560721 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Attorney Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hoefft, Timothy, , , Date of Receipt Mailing Address 600 W Washington St 2016 City State Zip Code Transaction ID: A2016-2448234 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 550.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hoefft, Timothy, , , Date of Receipt Mailing Address 600 W Washington St 30 2016 City State Zip Code Transaction ID: A2016-2560567 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 120.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: (check only one) **X** 11a 11b 11c

PAGE 206 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hoeft, David, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2447919 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 162.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1767.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hoeft, David, , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2560772 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 162.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1929.04 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hoenes, Robert, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 **Building CV** City State Zip Code Transaction ID: A2016-2448776 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 349.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 207 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hoenes, Robert, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2016 City Zip Code State Transaction ID: A2016-2561335 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hoerr, Douglas, , , Date of Receipt Mailing Address 100 Tractor Drive 2016 **Building CV** City State Zip Code Transaction ID: A2016-2448616 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Rgnl/Group Financial Officer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 550.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hoerr, Douglas, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 **Building CV** City State Zip Code Transaction ID: A2016-2561215 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Rgnl/Group Financial Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 125.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 208 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Holland, Sally, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2448881 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 43.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Portfolio Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 480.59 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Holland, Sally, Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2561427 ΤN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 43.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Portfolio Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 524.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Holt, William, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 30 2016 Dock 55 City State Zip Code Transaction ID: A2016-2448525 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 67.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 732.75 Other (specify) 154.57 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

F	OR	LINE	NU	MBER	PAGE	2	09 OF	 539	
(0	che	ck only	or	ne)					
	X	11a		11b		11c		12	
		13		14		15		16	17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Holt, William, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City State Zip Code Transaction ID: A2016-2560989 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 67.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 799.94 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hordesky, Jeffrey, , , Date of Receipt Mailing Address 500 N Morton Ave 2016 City State Zip Code Transaction ID: A2016-2448675 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hordesky, Jeffrey, , , Date of Receipt Mailing Address 500 N Morton Ave 30 2016 City State Zip Code Transaction ID: A2016-2560996 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 117.19 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 210 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Horton, Michael, , , Date of Receipt Mailing Address 500 N Morton Ave 2016 City Zip Code State Transaction ID: A2016-2448460 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 61.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 673.92 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Horton, Michael, , , Date of Receipt Mailing Address 500 N Morton Ave 2016 City State Zip Code Transaction ID: A2016-2560710 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 61.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 735.72 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Huang, Wayne, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448366 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 223.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 211 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Huang, Wayne, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561689 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 700.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hubbard, Jerry, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City State Zip Code Transaction ID: A2016-2448638 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Project Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hubbard, Jerry, , , Date of Receipt Mailing Address 501 SW Jefferson 30 2016 City State Zip Code Transaction ID: A2016-2561236 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Project Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 212 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Huber, Dennis, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2448999 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Huber, Dennis, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2561544 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1110.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hume, Delma, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2449044 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 143.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1560.46 Other (specify) 253.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 213 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hume, Delma, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2561589 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 143.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1703.56 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hungate, Beverly, , , Date of Receipt Mailing Address 812 W. Washington St 2016 City State Zip Code Transaction ID: A2016-2449020 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Info Technology Manager-III Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hungate, Beverly, , , Date of Receipt Mailing Address 812 W. Washington St 30 2016 City State Zip Code Transaction ID: A2016-2561565 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Info Technology Manager-III Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 193.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: (check only one) **X** 11a 11b 11c

PAGE 214 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hunter, Robert, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448290 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hunter, Robert, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2560624 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hunter, Russell, , , Date of Receipt Mailing Address 4633 Perrin Creek 30 2016 City State Zip Code Transaction ID: A2016-2447961 TX San Antonio 78217 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Master Black Belt Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 215 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hunter, Russell, , , Date of Receipt Mailing Address 4633 Perrin Creek 2016 City Zip Code State Transaction ID: A2016-2560807 TX San Antonio 78217 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Master Black Belt Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hupe, Walter, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City State Zip Code Transaction ID: A2016-2449039 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 825.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hupe, Walter, , , Date of Receipt Mailing Address 27Th & Pershing Rd 30 2016 City State Zip Code Transaction ID: A2016-2561584 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 900.00 Other (specify) 200.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 216 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Husar, Lucian, M,, Date of Receipt Mailing Address 9401 85Th Avenue North 2016 City Zip Code State Transaction ID: A2016-2448306 MN Brooklyn Park 55445 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Engineer-Design Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 340.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Husar, Lucian, M, , Date of Receipt Mailing Address 9401 85Th Avenue North 2016 City State Zip Code Transaction ID: A2016-2560640 Brooklyn Park MN 55445 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Engineer-Design Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 390.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Hutt, Joseph, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448988 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 149.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1631.40 Other (specify) 249.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 217 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hutt, Joseph,,, Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561533 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 149.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1780.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Ibrahim, Daniel, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City State Zip Code Transaction ID: A2016-2448213 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 155.72 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Technical Steward** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1698.08 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ibrahim, Daniel, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 30 2016 Dock 55 City State Zip Code Transaction ID: A2016-2561110 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 163.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Technical Steward** Receipt For: Aggregate Year-to-Date ▼ Primary General 1861.59 Other (specify) 468.33 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 218 OF (check only one) **X** 11a 11b 11c

Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ingram, Ronald, , , Date of Receipt Mailing Address 100 NE Adams Kel. Tanjung Uncang Kec. Batu Aji 2016 City Zip Code State Transaction ID: A2016-2448584 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Supply Chain Manager 5 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Ingram, Ronald, , , Date of Receipt Mailing Address 100 NE Adams 2016 Kel. Tanjung Uncang Kec. Batu Aji City Zip Code State Transaction ID: A2016-2561181 Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 5 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Iverson, Aimee, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 30 2016 PO Box 500 City State Zip Code Transaction ID: A2016-2448076 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 125.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) 225.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: (check only one) **X** 11a 11b 11c

PAGE 219 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Iverson, Aimee, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2016 City State Zip Code Transaction ID: A2016-2560918 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 125.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1125.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. James, Danielle, , , Date of Receipt Mailing Address Suite 400 2016 1425 K Street City State Zip Code Transaction ID: A2016-2448065 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 65.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 716.43 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. James, Danielle, , , Date of Receipt Mailing Address Suite 400 30 2016 1425 K Street City Zip Code State Transaction ID: A2016-2560907 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 65.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 781.56 Other (specify) 255.26 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 220 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Janda, Steven, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2448867 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Development Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Janda, Steven, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2561413 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Development Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Janes, John, , , Date of Receipt Mailing Address 400 Regency Forest Drive 30 2016 Suite 400 City State Zip Code Transaction ID: A2016-2448480 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) 80.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 221 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Janes, John, , , Date of Receipt Mailing Address 400 Regency Forest Drive Suite 400 2016 City Zip Code State Transaction ID: A2016-2560730 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Jasinski, John, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448864 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Jasinski, John, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561410 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 80.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 222 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Jeannette, Dawn, , , Date of Receipt Mailing Address 2045 West Pike Street 2016 City Zip Code State Transaction ID: A2016-2448023 PA Houston 15342 Amount of Each Receipt this Period FEC ID number of contributing C 36.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 399.74 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Jennings, Thomas, , , Date of Receipt Mailing Address 500 N Morton Ave 2016 City State Zip Code Transaction ID: A2016-2448949 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 660.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Jennings, Thomas, , , Date of Receipt Mailing Address 500 N Morton Ave 30 2016 City State Zip Code Transaction ID: A2016-2561495 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 720.00 Other (specify) 156.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 223 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Jirik, Michael, , , Date of Receipt Mailing Address 500 N. Morton Ave. 2016 City Zip Code State Transaction ID: A2016-2448931 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 181.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1997.93 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Jirik, Michael, , , Date of Receipt Mailing Address 500 N. Morton Ave. 2016 City State Zip Code Transaction ID : A2016-2561477 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 181.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2179.56 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Johnson, Alicia, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2448717 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 165.60 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1821.60 Other (specify) 528.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 224 OF (check only one) **X** 11a 11b 11c

Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Alicia, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2561276 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 165.60 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Human Resource Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1987.20 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Johnson, Bradley, , , Date of Receipt Mailing Address 100 NE Adams 2016 222 Moo 11 Ban Khai-Nong La Lok Ro City Zip Code Transaction ID: A2016-2448704 Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 550.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Johnson, Bradley, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 222 Moo 11 Ban Khai-Nong La Lok Ro City Zip Code State Transaction ID: A2016-2560949 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 265.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 225 OF Use separate schedule(s) for each category of the Detailed Summary Page

I OIT LINE NOWIDETT.				17101	 20 01		
(che							
×	11a		11b		11c	12	
	13		14		15	16	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Brett, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City State Zip Code Transaction ID: A2016-2448226 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 1.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 373.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Johnson, Craig, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448518 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General

Other (specify) ▼		4583.37	
Full Name of Individual (Last, First, Middle In Johnson, Craig, , ,	itial) or Full Or	ganization Name	Date of Receipt
Mailing Address 100 NE Adams	12 30 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y		
City	State	Zip Code	Transaction ID : A2016-2560982
Peoria	IL	61629	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		416.63
Name of Employer (for Individual)	Occu	pation (for Individual)	Memo Item
Caterpillar Inc.	Depu	ty General Counsel	
Receipt For: Primary General Other (specify)	Aggregate \	/ear-to-Date ▼ 5000.00	
			834 30

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 226 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Denise, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448005 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 4583.37 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Johnson, Denise, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2560850 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 5000.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Johnson, Nathan, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448025 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 43.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 473.00 Other (specify) 876.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 227 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Nathan, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2560868 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 43.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 516.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Johnston, Matthew, , , Date of Receipt Mailing Address 1200 W. Main Street 2016 City State Zip Code Transaction ID: A2016-2448441 IL Peoria 61606 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Specialist-Des Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Johnston, Matthew, , , Date of Receipt Mailing Address 1200 W. Main Street 30 2016 City State Zip Code Transaction ID: A2016-2560690 IL Peoria 61606 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Specialist-Des Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 83.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 228 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Jones, Don, , , Date of Receipt Mailing Address 500 N Morton Ave 2016 City Zip Code State Transaction ID: A2016-2448129 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 181.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **GM Global Parts Supply** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1992.54 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Jones, Don, , , Date of Receipt Mailing Address 500 N Morton Ave 2016 City State Zip Code Transaction ID: A2016-2561660 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 181.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Global Parts Supply** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2173.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Jones, Matthew, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 30 2016 Dock 55 City State Zip Code Transaction ID: A2016-2448588 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 382.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

SCHEDULE A (FEC Form 3X)

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

				PAGE	2	29 OF	: :	539		
(0	(check only one)									
	X	11a		11b		11c		12		
		13		14		15		16		17

ITEMIZED RECEIPTS for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Jones, Matthew, , , Date of Receipt Mailing Address Route 29 & Old Galena Road Dock 55 2016 City State Zip Code Transaction ID: A2016-2561185 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Business Resource Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Jones, Reese, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2016 Suite 4000 City State Zip Code Transaction ID: A2016-2448363 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 52.74 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 580.14 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Jones, Reese, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 30 2016 Suite 4000 City State Zip Code Transaction ID: A2016-2561686 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 52.74 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 632.88 Other (specify) 125.48 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 230 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Jordan, Eric, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2448677 IL 61552 Mossville Amount of Each Receipt this Period FEC ID number of contributing C 176.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1949.02 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Jordan, Eric, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2560998 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 176.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2125.59 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Jorgenson, Sarah, , , Date of Receipt Mailing Address 11601 93rd Ave N 30 2016 City State Zip Code Transaction ID : A2016-2448170 MN MapleGrove 55369 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 378.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 231 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Jorgenson, Sarah, , , Date of Receipt Mailing Address 11601 93rd Ave N 2016 City Zip Code State Transaction ID: A2016-2561066 MN MapleGrove 55369 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Jung, Christopher, , , Date of Receipt Mailing Address 8826 2 Route 24 2016 City State Zip Code Transaction ID: A2016-2447915 IL Mapleton 61547 Amount of Each Receipt this Period FEC ID number of contributing 23.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Labor Relations Representative Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 253.38 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Jung, Christopher, , , Date of Receipt Mailing Address 8826 2 Route 24 30 2016 City State Zip Code Transaction ID: A2016-2560768 IL Mapleton 61547 Amount of Each Receipt this Period FEC ID number of contributing C 23.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Labor Relations Representative Receipt For: Aggregate Year-to-Date ▼ Primary General 277.20 Other (specify) 72.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 232 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Just, Pamela, , , Date of Receipt Mailing Address 1600 Progress Drive 2016 City Zip Code State Transaction ID: A2016-2561957 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 24.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Controller, Business Unit **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 594.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kaiser, John, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID : A2016-2448771 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Regional Site Purchasing Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1100.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Kaiser, John, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2561330 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Regional Site Purchasing Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) 224.75 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHED ITEMIZ

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one) X 11a	PAGE 233 OF 538 11c	<u>}</u>			
Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.							
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political	Action Committee						
Full Name of Individual (Last, First, Middle Initial) or Full A. Kapusnik, John, J, ,	Organization Name	Date of Receipt					
		1					

Α.	Full Name of Individual (Last, First, Middle In Kapusnik, John, J, ,	Date of Receipt					
	Mailing Address USA-CA-Kearny Mesa			11 30 2016			
	City	State	Zip Code	Transaction ID : A2016-2447853			
	Peoria	IL	61629	Amount of Each Receipt this Period			
	FEC ID number of contributing federal political committee.	20.00					
	Name of Employer (for Individual)	Occu	pation (for Individual)	Memo Item			
	Solar Turbines	Supp	oly Chain Area Sr. Mgr	_			
	Receipt For:	Aggregate 1	Year-to-Date ▼				
	Primary General Other (specify) ▼		220.00				
В.	Full Name of Individual (Last, First, Middle In Kapusnik, John, J, ,	rganization Name	Date of Receipt				
	Mailing Address USA-CA-Kearny Mesa		12 30 2016				
	City	State Zip Code		Transaction ID : A2016-2561889			
	Peoria	IL	61629	Amount of Each Receipt this Period			
	FEC ID number of contributing federal political committee.	С		20.00			
	Name of Employer (for Individual) Solar Turbines		upation (for Individual) ply Chain Area Sr. Mgr	Memo Item			
	Receipt For: Primary General Other (specify) ▼	Aggregate `	Year-to-Date ▼ 240.00				
С .	Full Name of Individual (Last, First, Middle In Karol, Kathryn, , ,	itial) or Full Or	rganization Name	Date of Receipt			
	Mailing Address Suite 400 1425 K Street	Mailing Address Suite 400 1425 K Street					
	City Washington	State	Zip Code 20005	Transaction ID : A2016-2448047			
	vvasiiiigioii	100	20003	Amount of Each Receipt this Period			
	FEC ID number of contributing federal political committee.	С		416.67			
	Name of Employer (for Individual) Caterpillar Inc.	I	pation (for Individual) President	Memo Item			
	Receipt For: Primary General Other (specify)	Aggregate `	Year-to-Date ▼ 4583.37				
5	SUBTOTAL of Receipts This Page (optional)		·····	456.67			
Ī	FOTAL This Period (last page this line number	only)					

FOR LINE NUMBER: PAGE 234 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Karol, Kathryn, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2016 City Zip Code State Transaction ID: A2016-2560890 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kauk, Zachary, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448898 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 207.72 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. WW Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2284.92 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kauk, Zachary, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561444 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 207.72 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. WW Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2492.64 Other (specify) 832.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 235 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kearns, Patrick, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448948 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 59.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 596.80 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Kearns, Patrick, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561494 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 59.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 656.48 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kees, Matthew, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 **Building CV** City State Zip Code Transaction ID: A2016-2448177 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 144.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

Use separate schedule(s)

FOR LINE NUMBER:				PAGE	: 2	36 OF	-	539
(check only one)								
×	11a	11b		11c		12		
	13	14		15		16		17

ITEMIZED RECEIPTS for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kees, Matthew, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2016 City State Zip Code Transaction ID: A2016-2561073 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Business Support Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Keith, Richard, , , Date of Receipt Mailing Address 300 Hamilton Blvd. 2016 Suite 101 City State Zip Code Transaction ID: A2016-2448149 IL Peoria 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 660.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Keith, Richard, , , Date of Receipt Mailing Address 300 Hamilton Blvd. 30 2016 Suite 101 City State Zip Code Transaction ID: A2016-2561045 IL Peoria 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 720.00 Other (specify) 145.00 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: (check only one) **X** 11a 11b 11c

PAGE 237 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Keith, Terry, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg F 2016 City State Zip Code Transaction ID: A2016-2448827 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Business Process Specialist Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Keith, Terry, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2016 Bldg F City State Zip Code Transaction ID: A2016-2561387 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Business Process Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kellen, Jason, , , Date of Receipt Mailing Address 9401 85Th Avenue North 30 2016 City Zip Code State Transaction ID: A2016-2447928 MN Brooklyn Park 55445 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

7

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

ı	FOR LINE NUMBER:				PAGE	: 2	38 OF		539
l	(check only one)								
	X	11a	11b		11c		12		
I		13	14		15		16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kellen, Jason, , , Date of Receipt Mailing Address 9401 85Th Avenue North 2016 City Zip Code State Transaction ID: A2016-2560781 MN Brooklyn Park 55445 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Keller, Kurt, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448301 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Keller, Kurt, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2560635 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 65.00 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 239 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Keltner, Jeremiah, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2016 City Zip Code State Transaction ID: A2016-2448045 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 32.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 348.36 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Keltner, Jeremiah, , , Date of Receipt Mailing Address 100 Tractor Drive 2016 **Building CV** City State Zip Code Transaction ID: A2016-2560888 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 32.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 380.44 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kendrick, James, , , Date of Receipt Mailing Address 501 Cardinal Drive 30 2016 City Zip Code State Transaction ID: A2016-2448104 MS Corinth 38834 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1498.90 Other (specify) 84.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 240 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kendrick, James, , , Date of Receipt Mailing Address 501 Cardinal Drive 2016 City Zip Code State Transaction ID: A2016-2561635 Corinth MS 38834 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1518.90 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Keough, Colleen, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City State Zip Code Transaction ID: A2016-2448924 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior IT Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1100.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Keough, Colleen, , , Date of Receipt Mailing Address 501 SW Jefferson 30 2016 City State Zip Code Transaction ID: A2016-2561470 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior IT Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) 220.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 241 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kerelchuk, Colin, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448505 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kerelchuk, Colin, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2560969 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 360.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Kertesz, John, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 30 2016 PO Box 348 City State Zip Code Transaction ID: A2016-2448225 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Quality Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 80.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 242 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kertesz, John, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr PO Box 348 2016 City State Zip Code Transaction ID: A2016-2561122 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Quality Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Khan, Mohammed, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2016 Bldg E City State Zip Code Transaction ID: A2016-2448202 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. NPI Program Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Khan, Mohammed, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2016 Bldg E City State Zip Code Transaction ID: A2016-2561098 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. NPI Program Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FOR LINE NUMBER:				PAGE	2	43 O	F	539		
(0	(check only one)									
	X	11a		11b		11c		12		
		13		14		15		16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kibby, Steven, , , Date of Receipt Mailing Address 100 NE Adams No. 16 Chaoyangmenwai Ave 2016 City Zip Code State Transaction ID: A2016-2449016 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 213.58 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2349.38 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kibby, Steven, , , Date of Receipt Mailing Address 100 NE Adams No. 16 Chaoyangmenwai Ave 2016 City State Zip Code Transaction ID: A2016-2561561 Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 213.58 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2562.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kieffer, Samuel, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2448929 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Revenue Management Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 447.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 244 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kieffer, Samuel, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2561475 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Revenue Management Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kim, Charlie, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2016 Bldg E City State Zip Code Transaction ID: A2016-2448432 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Engineering Project Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kim, Charlie, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2016 Bldg E City State Zip Code Transaction ID: A2016-2560681 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Engineering Project Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

Use separate schedule(s)

FO	PAGE	: 2	45 UF	•	559			
(check only one)								
X	11a	11b		11c		12		
	13	14		15		16		17

FOR LINE NUMBER

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kim, Hyung, , , Date of Receipt Mailing Address 1600 Progress Drive 2016 City Zip Code State Transaction ID: A2016-2561982 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kinskey, Tim, , , Date of Receipt Mailing Address 100 Ne Adams 2016 City State Zip Code Transaction ID: A2016-2448816 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kinskey, Tim, , , Date of Receipt Mailing Address 100 Ne Adams 30 2016 City State Zip Code Transaction ID: A2016-2561375 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 50.00 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 246 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kirkpatrick, Russell, , , Date of Receipt Mailing Address Route 29 & Old Galena Road Dock 55 2016 City Zip Code State Transaction ID: A2016-2447921 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 33.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Service Analyst** Receipt For: Aggregate Year-to-Date ▼ Primary General 349.47 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Kirkpatrick, Russell, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City State Zip Code Transaction ID: A2016-2560774 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 33.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Service Analyst** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 382.98 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kirkwood, Timony, , , Date of Receipt Mailing Address 100 Ne Adams 30 2016 City State Zip Code Transaction ID: A2016-2448354 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 18.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Communications Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 202.84 Other (specify) 85.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 247 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kirkwood, Timony, , , Date of Receipt Mailing Address 100 Ne Adams 2016 City Zip Code State Transaction ID: A2016-2561677 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 18.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Communications Consultant** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 221.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kitchel, Jason, , , Date of Receipt Mailing Address 3450 Executive Way 2016 City State Zip Code Transaction ID: A2016-2448310 FL Miramar 33025 Amount of Each Receipt this Period FEC ID number of contributing 202.60 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2267.93 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kitchel, Jason, , , Date of Receipt Mailing Address 3450 Executive Way 30 2016 City State Zip Code Transaction ID: A2016-2560644 FL Miramar 33025 Amount of Each Receipt this Period FEC ID number of contributing C 202.60 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2376.97 Other (specify) 423.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 248 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kitchel, Jason, , , Date of Receipt Mailing Address 3450 Executive Way 2016 City State Zip Code Transaction ID: A2016-2560645 FL Miramar 33025 Amount of Each Receipt this Period FEC ID number of contributing C -202.60 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2376.97 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kitchel, Jason, , , Date of Receipt Mailing Address 3450 Executive Way 2016 City State Zip Code Transaction ID: A2016-2560646 FL Miramar 33025 Amount of Each Receipt this Period FEC ID number of contributing 109.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2376.97 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Klabunde, Michael, , , Date of Receipt Mailing Address 9301 W. 55th Street 80 2016 City State Zip Code Transaction ID: A2016-2561869 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Director, Locomotive Services Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) -81.06 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

C

SCHEDULE A (FEC Form 3X) FOR LINE NUMBER: PAGE 249 OF Use separate schedule(s) (check only one) ITEMIZED RECEIPTS for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kline, Steven, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City State Zip Code Transaction ID: A2016-2448569 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 13.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 451.01 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Klister, Thomas, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID : A2016-2449071 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General

Other (specify) •		330.00	
Full Name of Individual (Last, First, Middle I Klister, Thomas, , ,	Date of Receipt		
Mailing Address 100 NE Adams			12 30 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID : A2016-2561616
Peoria	IL	61629	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		50.00
Name of Employer (for Individual) Caterpillar Inc.	Occup Manag	ation (for Individual) ger	Memo Item
Receipt For: Primary General Other (specify)			
			113.91

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

7

FOR LINE NUMBER: PAGE 250 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Knecht, Steven, J,, Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448115 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Knecht, Steven, J, , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561646 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Knepp, Beth, , , Date of Receipt Mailing Address 2000 E Washington St 30 2016 City State Zip Code Transaction ID: A2016-2448822 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Talent Development Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 125.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 251 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Knepp, Beth, , , Date of Receipt Mailing Address 2000 E Washington St 2016 City Zip Code State Transaction ID: A2016-2561382 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Talent Development Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Knepp, Wesley, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448558 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 158.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Labor Relations Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1733.71 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Knepp, Wesley, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561156 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 158.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Labor Relations Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 1892.16 Other (specify) 341.90 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 252 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Knotts, Christine, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448567 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 56.26 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 618.86 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Knotts, Christine, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561165 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 56.26 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 675.12 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Knox, Travis, , , Date of Receipt Mailing Address 2950 Temple School Rd 30 2016 City Zip Code State Transaction ID: A2016-2448500 NC Winston-Salem 27107 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Engineering Project Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 137.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER, DACE 252 OF Use separate schedule(s)

гОп	LIINE	INO	INIDEU		FAGL	- 4	33 OI	,	555
(che	ck only	or	ie)						
×	11a		11b		11c		12		
	13		14		15		16		17

for each category of the **Detailed Summary Page** Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Knox, Travis, , , Date of Receipt Mailing Address 2950 Temple School Rd 2016 City Zip Code State Transaction ID: A2016-2560964 NC Winston-Salem 27107 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Engineering Project Team Leadr** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Knuth, Christopher, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2447929 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 41.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 432.76 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Knuth, Christopher, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID : A2016-2560782 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 41.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 474.17 Other (specify) 107.82 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 254 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kobrak, Harold, , , Date of Receipt Mailing Address 100 NE Adams Tokyo-To Setagaya-ku 2016 City Zip Code State Transaction ID: A2016-2449010 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 163.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1799.27 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Kobrak, Harold, Date of Receipt Mailing Address 100 NE Adams 2016 Tokyo-To Setagaya-ku City State Zip Code Transaction ID: A2016-2561555 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 163.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1962.84 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Koors, Sean, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448375 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 1.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 485.52 Other (specify) 328.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 255 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kopinski, Christopher, , , Date of Receipt Mailing Address 500 N Morton Ave 2016 City Zip Code State Transaction ID: A2016-2448828 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Inventory Mgmt Supervisor Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kopinski, Christopher, , , Date of Receipt Mailing Address 500 N Morton Ave 2016 City State Zip Code Transaction ID: A2016-2561388 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Inventory Mgmt Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Koziner, Pablo, , , Date of Receipt Mailing Address 2200 Pacific Hwy 30 2016 City State Zip Code Transaction ID: A2016-2448876 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution Svcs Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 4583.37 Other (specify) 466.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 256 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Koziner, Pablo, , , Date of Receipt Mailing Address 2200 Pacific Hwy 2016 City Zip Code State Transaction ID: A2016-2561422 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing C 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution Svcs Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Kozlowski, Patrick, , , Date of Receipt Mailing Address 330 Sw Adams 2016 City State Zip Code Transaction ID: A2016-2448147 IL Peoria 61602 Amount of Each Receipt this Period FEC ID number of contributing 202.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2213.43 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kozlowski, Patrick, , , Date of Receipt Mailing Address 330 Sw Adams 30 2016 City State Zip Code Transaction ID: A2016-2561043 IL Peoria 61602 Amount of Each Receipt this Period FEC ID number of contributing C 202.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2416.23 Other (specify) 822.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 257 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kroger, James, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448410 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kroger, James, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561732 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 360.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Krueckeberg, Todd, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 30 2016 Suite 4000 City State Zip Code Transaction ID: A2016-2448985 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 85.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 258 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Krueckeberg, Todd,,,, Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2016 City State Zip Code Transaction ID: A2016-2561530 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kruse, Brian, , , Date of Receipt Mailing Address 560 Rehoboth Road 2016 City State Zip Code Transaction ID: A2016-2448655 Griffin GA 30224 Amount of Each Receipt this Period FEC ID number of contributing 93.05 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1023.55 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kruse, Brian, , , Date of Receipt Mailing Address 560 Rehoboth Road 30 2016 City State Zip Code Transaction ID: A2016-2561265 GΑ Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 93.05 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1116.60 Other (specify) 211.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

F	OR	LINE	NU	MBER	:	PAGE	2	59 OF	,	539		
(0	(check only one)											
	X 11a 11b					11c						
		13		14		15		16		17		

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kumpf, Mark, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448798 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 178.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) GM Parts Supply Chain Strateg Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1963.94 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kumpf, Mark, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561357 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 178.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM** Parts Supply Chain Strateg Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2142.48 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kupferschmid, Jason, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 Building CV Dock CV City State Zip Code Transaction ID: A2016-2448236 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 74.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 812.40 Other (specify) 431.58 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 260 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kupferschmid, Jason, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV Dock CV 2016 City Zip Code State Transaction ID: A2016-2560569 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 74.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 886.90 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kurumbailmadam, Ravisankar, , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City State Zip Code Transaction ID: A2016-2448688 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 700.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kurumbailmadam, Ravisankar, , , Date of Receipt Mailing Address 27Th & Pershing Rd 30 2016 City State Zip Code Transaction ID: A2016-2561021 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) 274.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 261 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lael, Christina, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2447923 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Accountant Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Lael, Christina, , , Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2560776 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Accountant Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 480.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Lagacy, Julie, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448111 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 4583.37 Other (specify) 496.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

Ε(JH	LINE	NU	MRFK	:	PAGE	: 2	62 UF		559		
(c	(check only one)											
	X	11a		11b		11c		12				
	13 14			15		16		17				

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lagacy, Julie, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561642 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. LaHood, Daniel, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448092 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 156.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1708.76 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. LaHood, Daniel, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2560934 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 156.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1865.46 Other (specify) 730.03 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 263 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lamb, John,,, Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2447938 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lamb, John, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID : A2016-2560791 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lambert, Benjamin, , , Date of Receipt Mailing Address Suite 400 30 2016 1425 K Street City Zip Code State Transaction ID: A2016-2447909 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 67.93 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 772.37 Other (specify) 107.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 264 OF (check only one) **X** 11a 11b 11c

Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lambert, Benjamin, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2016 City Zip Code State Transaction ID: A2016-2560756 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 67.93 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 840.30 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Lane-Christian, Deborah, , , Date of Receipt Mailing Address 100 Ne Adams 2016 City State Zip Code Transaction ID: A2016-2448207 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 28.93 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 323.54 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Lane-Christian, Deborah, , , Date of Receipt Mailing Address 100 Ne Adams 30 2016 City Zip Code State Transaction ID: A2016-2561103 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 28.93 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 352.47 Other (specify) 125.79 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 265 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lang, Mark, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2448983 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 152.93 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1667.65 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lang, Mark, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2561528 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 152.93 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1820.58 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Lantz, Jason, , , Date of Receipt Mailing Address 500 N. Morton Ave 30 2016 City State Zip Code Transaction ID: A2016-2449062 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 70.98 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 780.78 Other (specify) 376.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 266 OF

Use separate schedule(s)	(che	ck only	or	ne)				
for each category of the Detailed Summary Page	X	11a		11b		11c	12	
		13		14		15	16	17
					_			

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lantz, Jason, , , Date of Receipt Mailing Address 500 N. Morton Ave 2016 City Zip Code State Transaction ID: A2016-2561607 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 70.98 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 851.76 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lash, Susan, , , Date of Receipt Mailing Address 1600 Progress Drive 2016 P.O. Box 1037 City State Zip Code Transaction ID: A2016-2448270 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 158.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1665.82 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lash, Susan, , , Date of Receipt Mailing Address 1600 Progress Drive 30 2016 P.O. Box 1037 City State Zip Code Transaction ID: A2016-2560603 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 158.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1824.05 Other (specify) 387.44 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FO	R LINE	NUMBER	: PAG	E 267 OF	539						
(check only one)											
X	11a	11b	11c	12							
	13 14		15	16	17						

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lattz, Daniel, J,, Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448223 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Factory Manager 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 618.49 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lattz, Daniel, J., Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561120 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Factory Manager 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 643.49 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lautzenheiser, Scott, , , Date of Receipt Mailing Address 330 Sw Adams 30 2016 City State Zip Code Transaction ID: A2016-2448819 IL Peoria 61602 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

OR	LINE	NOMREH	₹:	PAGE	: 2	68 OF		539			
(check only one)											
	1	´			1						
×	11a	11b		11c							
	13 14			15 16				17			
	che		check only one) X 11a 11b 11c 12	check only one) X 11a 11b 11c 12							

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lautzenheiser, Scott, , , Date of Receipt Mailing Address 330 Sw Adams 2016 City Zip Code State Transaction ID: A2016-2561378 IL Peoria 61602 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Engineer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lavezzi, Bryan, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2448157 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 70.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 768.45 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lavezzi, Bryan, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2561053 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 70.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 838.92 Other (specify) 165.94 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 269 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lawley, Jimmy, , , Date of Receipt Mailing Address 1600 Progress Drive 2016 City Zip Code State Transaction ID: A2016-2561958 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP, Information Technology **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lawlor, James, , , Date of Receipt Mailing Address 1600 Progress Drive 2016 City State Zip Code Transaction ID: A2016-2561966 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager, Corporate HR Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** LeBaron, Elizabeth, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 30 2016 PO Box 348 City State Zip Code Transaction ID: A2016-2448457 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 85.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 270 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name LeBaron, Elizabeth, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr PO Box 348 2016 City Zip Code State Transaction ID: A2016-2560707 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. LeCrone, Michael, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2447916 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Brand Protect. & Invest. Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** LeCrone, Michael, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2560769 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Brand Protect. & Invest. Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 271 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lee, Christopher, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2448201 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Procurement Manager 3** Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Lee, Christopher, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2561097 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Procurement Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 360.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name C. Lenz, Martha, , , Date of Receipt Mailing Address 9301 W. 55th Street 80 2016 City State Zip Code Transaction ID: A2016-2561876 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 72.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 272 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lester, Phillip, , , Date of Receipt Mailing Address 1600 Progress Drive 2016 City Zip Code State Transaction ID: A2016-2561979 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 17.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Leuba, Sean, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID : A2016-2449008 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Mgr CPGS Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 650.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Leuba, Sean, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561553 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Mgr CPGS Receipt For: Aggregate Year-to-Date ▼ Primary General 660.00 Other (specify) 37.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 273 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Leustek, Matthew, , , Date of Receipt Mailing Address Route 29 & Old Galena Road Dock 55 2016 City Zip Code State Transaction ID: A2016-2448338 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Specialist-Design** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Leustek, Matthew, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City State Zip Code Transaction ID: A2016-2560674 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Specialist-Design** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Levi, Eric, , , Date of Receipt Mailing Address USA-CA-Harbor Drive 30 2016 City State Zip Code Transaction ID: A2016-2447867 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Info Technology Analyst III Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

Use separate schedule(s)

FOF	R LINE	NUMBER	PAGE	2	74 O	F	539				
(check only one)											
X	11a	11b		11c		12					
	13	14		15		16		17			

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Levi, Eric, , , Date of Receipt Mailing Address USA-CA-Harbor Drive 2016 City Zip Code State Transaction ID: A2016-2561910 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Info Technology Analyst III Solar Turbines Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Lewis, Teresa, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2448244 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 385.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lewis, Teresa, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2560577 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) 90.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: DAGE 275 OF 539

las concrete cobodula(s)					IVIDE I	IAGL	 13 01	 ,00
lse separate schedule(s)	(0	che	ck only	or	ıe)			
or each category of the Detailed Summary Page		×	11a		11b	11c	12	
,			13		14	15	16	17

fc Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Liang, Chih, , , Date of Receipt Mailing Address 100 NE Adams 2016 Amphur Pluakdaeng City State Zip Code Transaction ID: A2016-2448568 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) 6 Sigma Strategy Champion Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Liang, Chih, , , Date of Receipt Mailing Address 100 NE Adams 2016 Amphur Pluakdaeng City State Zip Code Transaction ID: A2016-2561166 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. 6 Sigma Strategy Champion Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lindberg, Richard, , , Date of Receipt Mailing Address 4-H Park Road 30 2016 P O Box 740 Zip Code City State Transaction ID: A2016-2448855 IL **Pontiac** 61764 Amount of Each Receipt this Period FEC ID number of contributing 81.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 609.15 Other (specify) 131.51 SUBTOTAL of Receipts This Page (optional).....

SCHEDULE A (FEC Form 3X) FOR LINE NUMBER: PAGE 276 OF Use separate schedule(s) (check only one) ITEMIZED RECEIPTS for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lindberg, Richard, , , Date of Receipt Mailing Address 4-H Park Road 2016 P O Box 740 City State Zip Code Transaction ID: A2016-2561258 Pontiac IL 61764 Amount of Each Receipt this Period FEC ID number of contributing C 54.28 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 663.43 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lindley, Gail, , , Date of Receipt Mailing Address 1003 Miller Street 2016 City State Zip Code Transaction ID: A2016-2449014 Elkader IΑ 52043 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00

	,	,				
Full Name of Individual (Last, First, Middle In Lindley, Gail, , ,	nitial) or Full Org	anization Name	Date of Receipt			
Mailing Address 1003 Miller Street			12 30 2016			
City	State	Zip Code	Transaction ID : A2016-2561559			
Elkader	IA	52043	Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	С		25.00			
Name of Employer (for Individual)	Occup	ation (for Individual)	Memo Item			
Caterpillar Inc.	Manag	ger				
Receipt For: Primary General Other (specify)	Aggregate Ye	ear-to-Date ▼ 300.00				
UDTOTAL of Descripts This Days (outlines)			104.28			

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 277 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lindner, Timothy, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2448911 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Lindner, Timothy, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2561457 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lohmeier, Lawrence, , , Date of Receipt Mailing Address 3701 State Road 26 East 30 2016 City State Zip Code Transaction ID: A2016-2561025 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 278 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Long, Suzette, , , Date of Receipt Mailing Address 2200 Pacific Highway 2016 City State Zip Code Transaction ID: A2016-2448367 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Deputy General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Long, Suzette, , , Date of Receipt Mailing Address 2200 Pacific Highway 2016 City State Zip Code Transaction ID: A2016-2561690 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name C. Longer, Lloyd, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 30 2016 Suite 4000 City State Zip Code Transaction ID : A2016-2448470 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

Use separate schedule(s)

	FOF	LINE	NU	MBER	:	PAGE	5	539			
(check only one)											
	×	11a		11b		11c		12			
		13		14		15		16		17	

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Longer, Lloyd, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2016 City State Zip Code Transaction ID: A2016-2560720 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Longstreet, Michael, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2448810 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Longstreet, Michael, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2561369 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 65.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 280 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lowery, Karla, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV Dock CV 2016 City Zip Code State Transaction ID: A2016-2448632 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 1.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 389.70 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lueker, Joshua, , , Date of Receipt Mailing Address 8826 2 Route 24 2016 City State Zip Code Transaction ID: A2016-2448074 IL Mapleton 61547 Amount of Each Receipt this Period FEC ID number of contributing 33.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 361.81 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Lueker, Joshua, , , Date of Receipt Mailing Address 8826 2 Route 24 30 2016 City State Zip Code Transaction ID: A2016-2560916 IL Mapleton 61547 Amount of Each Receipt this Period FEC ID number of contributing C 33.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 395.28 Other (specify) 67.94 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 281 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Luke, Edward, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2448597 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Info. Services Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Luke, Edward, , , Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2561194 ΤN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Info. Services Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Lundquist, Linda, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2447973 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 145.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1587.31 Other (specify) 185.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FC	JΗ	LINE	Ŋυ	MRFK	:	PAGE	: 2	82 UF	,	559		
(cl	(check only one)											
Γ	×	11a		11b		11c						
		13		14		15		16		17		

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lundquist, Linda, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2560818 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 145.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1732.38 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Luttrell, Bradley, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2448495 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 39.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Buyer A Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 432.08 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Luttrell, Bradley, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2560959 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 39.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Buyer A Receipt For: Aggregate Year-to-Date ▼ Primary General 471.36 Other (specify) 223.63 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 283 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lynn, Jason, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2016 City Zip Code State Transaction ID: A2016-2447901 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Governmental Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lynn, Jason, , , Date of Receipt Mailing Address Suite 400 2016 1425 K Street City State Zip Code Transaction ID: A2016-2560748 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Governmental Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. MacLeod, William, , , Date of Receipt Mailing Address 27Th & Pershing Rd 30 2016 City State Zip Code Transaction ID: A2016-2448421 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 106.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Pending Receipt For: Aggregate Year-to-Date ▼ Primary General 1144.85 Other (specify) 156.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 284 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name MacLeod, William, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City Zip Code State Transaction ID: A2016-2561743 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 106.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Pending Receipt For: Aggregate Year-to-Date ▼ Primary General 1251.23 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Magner, Scott, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448687 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Supervisor-2** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Magner, Scott, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561020 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Supervisor-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 156.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 285 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Manning, Mark, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2449080 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President CFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Manning, Mark, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561625 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Manning, Paul, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448344 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Comp Administration Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 125.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 286 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Manning, Paul, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561667 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Comp Administration Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Maples, Gregory, , , Date of Receipt Mailing Address 1600 Progress Drive 2016 City State Zip Code Transaction ID: A2016-2561973 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 62.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Analyst I, Financial Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1550.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Maples, Gregory, , , Date of Receipt Mailing Address 1600 Progress Drive 22 2016 City State Zip Code Transaction ID: A2016-2561933 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 62.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Analyst I, Financial Receipt For: Aggregate Year-to-Date ▼ Primary General 1612.96 Other (specify) 150.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 287 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Maquet, Josh, , , Date of Receipt Mailing Address 4-H Park Road P O Box 740 2016 City State Zip Code Transaction ID: A2016-2448953 IL Pontiac 61764 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Maquet, Josh, , , Date of Receipt Mailing Address 4-H Park Road 2016 P O Box 740 City State Zip Code Transaction ID: A2016-2561499 IL **Pontiac** 61764 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1200.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Markun, Joseph, , , Date of Receipt Mailing Address 3701 State Road 26 East 30 2016 City State Zip Code Transaction ID: A2016-2448130 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) 300.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 288 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Markun, Joseph, , , Date of Receipt Mailing Address 3701 State Road 26 East 2016 City Zip Code State Transaction ID: A2016-2561661 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Martin, Arthur, , , Date of Receipt Mailing Address USA-CA-Kearny Mesa 2016 City State Zip Code Transaction ID: A2016-2447862 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Dir Bus Management-Oil & Gas Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 550.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Martin, Arthur, , , Date of Receipt Mailing Address USA-CA-Kearny Mesa 30 2016 City State Zip Code Transaction ID: A2016-2561905 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Dir Bus Management-Oil & Gas Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 200.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 289 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Martin, Leon, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448930 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Martin, Leon, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561476 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Martin, Max, , , Date of Receipt Mailing Address 400 Regency Forest Drive 30 2016 Suite 400 City State Zip Code Transaction ID: A2016-2448262 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution & Marketing Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 290 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Martin, Max, , , Date of Receipt Mailing Address 400 Regency Forest Drive Suite 400 2016 City Zip Code State Transaction ID: A2016-2560595 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Distribution & Marketing Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Martinez, Angel, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448634 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 99.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1091.53 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Martinez, Angel, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561232 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 99.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1190.76 Other (specify) 223.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 291 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Martinez, Rodolfo, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2016 City State Zip Code Transaction ID: A2016-2448811 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Martinez, Rodolfo, , , Date of Receipt Mailing Address 100 Tractor Drive 2016 **Building CV** City State Zip Code Transaction ID: A2016-2561370 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Martos, Karen, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2447959 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. CPS Black Belt-2 Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 292 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Martos, Karen, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2560805 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CPS Black Belt-2 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Mason, David, , , Date of Receipt Mailing Address 1167 NC Hwy 42 East 2016 City State Zip Code Transaction ID: A2016-2448945 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 54.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 597.74 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Mason, David, , , Date of Receipt Mailing Address 1167 NC Hwy 42 East 30 2016 City Zip Code State Transaction ID: A2016-2561491 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing C 54.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 652.08 Other (specify) 128.68 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 293 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Masterson, David, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2016 City Zip Code State Transaction ID: A2016-2448160 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Masterson, David, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2016 Suite 4000 City State Zip Code Transaction ID: A2016-2561056 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Matheny, Stephen, , , Date of Receipt Mailing Address 1600 Progress Drive 30 2016 P.O. Box 1037 City State Zip Code Transaction ID: A2016-2448494 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 189.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2085.27 Other (specify) 229.57 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 294 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Matheny, Stephen, , , Date of Receipt Mailing Address 1600 Progress Drive P.O. Box 1037 2016 City State Zip Code Transaction ID: A2016-2560743 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 189.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2274.84 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Matheson, Sean, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2448493 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 48.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Procurement Manager 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 537.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Matheson, Sean, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2560742 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 48.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Procurement Manager 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 586.80 Other (specify) 287.37 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 295 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mathewson, Christopher, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448913 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Warranty Policy Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Mathewson, Christopher, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561459 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Warranty Policy Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Matousek, Dennis, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 30 2016 PO Box 348 City State Zip Code Transaction ID: A2016-2447970 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. 6 Sigma Black Belt-1 Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 65.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 296 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Matousek, Dennis, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr PO Box 348 2016 City State Zip Code Transaction ID: A2016-2560815 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. 6 Sigma Black Belt-1 Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Matteo, James, , , Date of Receipt Mailing Address 100 NE Adams 2016 Uozumi-Cho City State Zip Code Transaction ID: A2016-2448264 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 109.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1200.54 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Matteo, James, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 Uozumi-Cho City State Zip Code Transaction ID: A2016-2560597 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 109.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1309.68 Other (specify) 243.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 297 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mattox, Jason, , , Date of Receipt Mailing Address 560 Rehoboth Road 2016 City State Zip Code Transaction ID: A2016-2448524 GA Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 32.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Designer/Modeler Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 356.29 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Mattox, Jason, , , Date of Receipt Mailing Address 560 Rehoboth Road 2016 City State Zip Code Transaction ID: A2016-2560988 Griffin GA 30224 Amount of Each Receipt this Period FEC ID number of contributing 32.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Designer/Modeler Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 388.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Maxheimer, Phillip, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448951 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 89.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

EOD LINE NILIMPED: DAGE 209 OF Use separate schedule(s)

1 01	LIIVL	IVO	IVIDLI	ITAGE		30 01		000	
(check only one)									
	,	_		_					
×	11a		11b		11c		12		
	13		14		15		16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Maxheimer, Phillip, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561497 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Maxwell, John, , , Date of Receipt Mailing Address 2045 West Pike Street 2016 City State Zip Code Transaction ID: A2016-2448554 PA Houston 15342 Amount of Each Receipt this Period FEC ID number of contributing 55.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 610.06 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Maxwell, John, , , Date of Receipt Mailing Address 2045 West Pike Street 30 2016 City State Zip Code Transaction ID : A2016-2561152 PΑ Houston 15342 Amount of Each Receipt this Period FEC ID number of contributing C 55.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 665.52 Other (specify) 135.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 299 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mayernich, Linda,,, Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448589 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 36.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 397.55 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Mayernich, Linda, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561186 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 36.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 433.67 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Mc Kneight, Paul, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 30 2016 PO Box 348 City State Zip Code Transaction ID: A2016-2449079 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Performance Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 122.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 300 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mc Kneight, Paul, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr PO Box 348 2016 City Zip Code State Transaction ID: A2016-2561624 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Performance Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McCallen, Douglas, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448093 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Rgnl/Group Financial Officer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 4583.37 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McCallen, Douglas, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2560935 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Rgnl/Group Financial Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) 883.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

ı	FOF	PAGE	3	01 OF		539				
ı	(check only one)									
	×	11a	1	l1b		11c		12		
ı		13	1	4		15		16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McCartan, Patrick, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2448210 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Regional Treasurer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McCartan, Patrick, , , Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2561106 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Regional Treasurer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McCartney, Sandra, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 **Building CV** City State Zip Code Transaction ID: A2016-2448967 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 193.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1787.80 Other (specify) 243.80 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 302 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McCartney, Sandra, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2016 City Zip Code State Transaction ID: A2016-2561512 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 193.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Human Resources Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1981.60 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McCauley, Thomas, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2448112 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. NPI Program Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** McCauley, Thomas, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2561643 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. NPI Program Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 243.80 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

7

FOR LINE NUMBER: PAGE 303 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McCoy, Amy, , , Date of Receipt Mailing Address 1720 Kingsbury 2016 City Zip Code State Transaction ID: A2016-2561139 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Human Resources Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McCoy, Gregory, , , Date of Receipt Mailing Address 2045 West Pike Street 2016 City State Zip Code Transaction ID: A2016-2448012 PA Houston 15342 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McCoy, Gregory, , , Date of Receipt Mailing Address 2045 West Pike Street 30 2016 City State Zip Code Transaction ID: A2016-2560857 PΑ Houston 15342 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 60.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 304 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McDonnough, Matthew, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2448285 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Area Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. McDonnough, Matthew, , , Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2560619 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Area Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McGrew, Ann, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID : A2016-2448240 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 32.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 356.62 Other (specify) 82.42 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 305 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McGrew, Ann,,, Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2560573 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 32.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 389.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McGuire, Michael, A, , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448337 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 4583.37 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McGuire, Michael, A, , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2560673 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) 865.72 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 306 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McKain, Rachel, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2016 City Zip Code State Transaction ID: A2016-2448486 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 104.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 625.98 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. McKain, Rachel, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 2016 PO Box 500 City State Zip Code Transaction ID: A2016-2560736 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 104.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 730.31 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McKay, Heather Rae, R., Date of Receipt Mailing Address 812 W. Washington St 30 2016 City State Zip Code Transaction ID: A2016-2448315 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 43.49 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Business Process Specialist Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 478.39 Other (specify) 252.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 307 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McKay, Heather Rae, R,, Date of Receipt Mailing Address 812 W. Washington St 2016 City Zip Code State Transaction ID: A2016-2560651 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 43.49 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Business Process Specialist Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 521.88 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. McKenna, Ryan, , , Date of Receipt Mailing Address 9301 W. 55th Street 2016 City State Zip Code Transaction ID: A2016-2561879 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** McMullen, Karen, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448845 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 74.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 815.68 Other (specify) 128.29 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 308 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McMullen, Karen, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561404 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 74.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 890.48 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. McNaught, G. Dean, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448102 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McNaught, G. Dean, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561633 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 114.80 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

F	FOR LINE NUMBER:						3	09 OF	,	539
(check only one)										
	X	11a		11b		11c		12		
		13		14		15		16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McNew, William, , , Date of Receipt Mailing Address 100 NE Adams 2016 Gallagher Convention Centre City Zip Code State Transaction ID: A2016-2448390 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mining Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. McNew, William, , , Date of Receipt Mailing Address 100 NE Adams 2016 Gallagher Convention Centre City State Zip Code Transaction ID: A2016-2561712 Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mining Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Mcquern, Brett, , , Date of Receipt Mailing Address 700 Hudson Road 30 2016 City State Zip Code Transaction ID: A2016-2448238 GΑ Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Logistics Center Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 60.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 310 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mcquern, Brett, , , Date of Receipt Mailing Address 700 Hudson Road 2016 City State Zip Code Transaction ID: A2016-2560571 GA Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Logistics Center Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Meinert, Susan, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID : A2016-2448627 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. ISE Tax Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Meinert, Susan, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561226 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. ISE Tax Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 60.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 311 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mellott, Lauren, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2448488 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 41.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 454.30 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Mellott, Lauren, , , Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2560738 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 41.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 495.60 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Menke, Charles, , , Date of Receipt Mailing Address State Rt. 116 E. 30 2016 Spring Creek Rd City State Zip Code Transaction ID: A2016-2448932 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Machine Development Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 825.00 Other (specify) 157.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 312 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Menke, Charles, , , Date of Receipt Mailing Address State Rt. 116 E. Spring Creek Rd. 2016 City Zip Code State Transaction ID: A2016-2561478 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Machine Development Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 900.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Menssen, Andrew, J, , Date of Receipt Mailing Address 4-H Park Road 2016 P O Box 740 City State Zip Code Transaction ID: A2016-2448762 IL **Pontiac** 61764 Amount of Each Receipt this Period FEC ID number of contributing 38.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Laboratory/Support Techn Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 405.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Menssen, Andrew, J., Date of Receipt Mailing Address 4-H Park Road 30 2016 P O Box 740 City State Zip Code Transaction ID: A2016-2561321 IL **Pontiac** 61764 Amount of Each Receipt this Period FEC ID number of contributing C 38.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Laboratory/Support Techn Receipt For: Aggregate Year-to-Date ▼ Primary General 443.84 Other (specify) 151.40 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FOF	PAGE	3	13 OF	,	539				
(check only one)									
×	11a		11b		11c		12		
	13		14		15		16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mettler, Chris, , , Date of Receipt Mailing Address 100 Tractor Drive 2016 Building CV City State Zip Code Transaction ID: A2016-2448220 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Mettler, Chris, , , Date of Receipt Mailing Address 100 Tractor Drive 2016 **Building CV** City State Zip Code Transaction ID: A2016-2561117 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Miceli, Matthew, , , Date of Receipt Mailing Address 250 Dozer Drive 30 2016 City State Zip Code Transaction ID: A2016-2448593 GΑ Athens 30606 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Factory Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 125.00 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 314 OF Use separate schedule(s)

ı	1 01	LLIIVE		IVIDEIL	17101	-					
	(check only one)										
	×	11a		11b		11c		12			
		13		14		15		16	17		

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Miceli, Matthew, , , Date of Receipt Mailing Address 250 Dozer Drive 2016 City State Zip Code Transaction ID: A2016-2561190 GA Athens 30606 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Factory Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Michael, David, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448990 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 700.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Michael, David, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561535 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) 225.00 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 315 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Miles, Sonya,,, Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448804 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Human Resources Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Miles, Sonya, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561363 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Miller, Mary, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448222 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Western Hemisphere Tax Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 316 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Miller, Mary, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561119 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Western Hemisphere Tax Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Miller, Michael, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City State Zip Code Transaction ID: A2016-2448224 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product & Tech Training Supv Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 550.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Miller, Michael, , , Date of Receipt Mailing Address 501 SW Jefferson 30 2016 City State Zip Code Transaction ID: A2016-2561121 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product & Tech Training Supv Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 317 OF Use separ for each ca

-4	(check only one)										
ate schedule(s)											
ategory of the ummary Page		X	11a		11b		11c		12		
			13		14		15		16		17

Detailed S Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Milman, Kelsey, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448831 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 237.64 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2557.44 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Milman, Kelsey, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561391 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 237.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2795.08

Full Name of Individual (Last, First, Middle Mitchell, Anthony, , ,	Date of Receipt		
Mailing Address 3501 South FM Hwy 1417	11 30 2016		
City	State	Zip Code	Transaction ID : A2016-2448304
Denison	TX	75020	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	65.00		
Name of Employer (for Individual)	Occupa	tion (for Individual)	Memo Item
Caterpillar Inc.	Manage	er	
Receipt For: Primary General	Aggregate Ye	ar-to-Date ▼	
Primary General Other (specify)	4	715.00	
SUBTOTAL of Receipts This Page (optional)			540.28

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 318 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mitchell, Anthony, , , Date of Receipt Mailing Address 3501 South FM Hwy 1417 2016 City Zip Code State Transaction ID: A2016-2560638 TX Denison 75020 Amount of Each Receipt this Period FEC ID number of contributing C 65.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 780.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Mitchell, George, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448694 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Mitchell, George, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561027 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 105.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 319 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mitts, Marsha, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448844 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 129.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Tax Planning Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1005.03 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Mitts, Marsha, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561403 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 129.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Tax Planning Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1134.32 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Mize, Adam, , , Date of Receipt Mailing Address 27Th & Pershing Rd 30 2016 PO Box 1430 City State Zip Code Transaction ID: A2016-2448404 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing C 1.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facility Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 1482.95 Other (specify) 259.58 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 320 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mize, Adam, , , Date of Receipt Mailing Address 27Th & Pershing Rd PO Box 1430 2016 City State Zip Code Transaction ID: A2016-2561726 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing C 1.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facility Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 1483.95 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Mock, Kathleen, , , Date of Receipt Mailing Address USA-CA-Harbor Drive 2016 City State Zip Code Transaction ID: A2016-2447891 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 105.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Vice President Global HR Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1159.62 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Mock, Kathleen, , , Date of Receipt Mailing Address USA-CA-Harbor Drive 30 2016 City State Zip Code Transaction ID: A2016-2561927 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 105.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Vice President Global HR Receipt For: Aggregate Year-to-Date ▼ Primary General 1265.04 Other (specify) 211.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 321 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mohrman, Patrick, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2448098 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 79.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Customer Services Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 874.17 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Mohrman, Patrick, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2560940 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 79.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Customer Services Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 953.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Monteleone, Arnold, , , Date of Receipt Mailing Address 525 W. Progress Drive 80 2016 City State Zip Code Transaction ID: A2016-2561959 TX Sherman 75092 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP, Trackwork Division Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 183.94 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 322 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Montgomery, Djuana, , , Date of Receipt Mailing Address 812 W. Washington St 2016 City Zip Code State Transaction ID: A2016-2448869 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 135.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior IT Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General 1489.51 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Montgomery, Djuana, , , Date of Receipt Mailing Address 812 W. Washington St 2016 City State Zip Code Transaction ID: A2016-2561415 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 135.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior IT Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1624.92 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Montgomery, Kimberly, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2448731 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Business Analyst II Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 295.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 323 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Montgomery, Kimberly, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2561290 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Business Analyst II Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Mooney, Martin, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City State Zip Code Transaction ID: A2016-2448644 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 164.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineering Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1796.77 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Mooney, Martin, , , Date of Receipt Mailing Address 27Th & Pershing Rd 30 2016 City State Zip Code Transaction ID: A2016-2561242 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 164.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineering Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 1961.54 Other (specify) 354.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 324 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Moore, Jannie, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448056 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 111.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Governmental Affairs Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1215.44 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Moore, Jannie, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2560898 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 111.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1326.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Moore, Jeffrey, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 30 2016 Dock 55 City State Zip Code Transaction ID: A2016-2448887 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 74.25 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 816.75 Other (specify) 297.17 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 325 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Moore, Jeffrey, , , Date of Receipt Mailing Address Route 29 & Old Galena Road Dock 55 2016 City State Zip Code Transaction ID: A2016-2561433 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 74.25 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 891.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Moore, Jim, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2448943 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Moore, Jim, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2561489 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 124.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 326 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Moore, Richard, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2449084 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 111.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director Investor Relations** Receipt For: Aggregate Year-to-Date ▼ Primary General 1901.22 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Moore, Richard, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561629 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 111.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director Investor Relations** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2013.06 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Moran, Barbra, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2448763 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 110.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1217.70 Other (specify) 334.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 327 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Moran, Barbra, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2561322 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 110.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1328.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Moritz, LeAnne, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448784 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 550.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Moritz, LeAnne, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561343 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 210.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 328 OF Use separate scl

hedule(s)		ck only		•	TAGE	. 0	20 01	
y of the ry Page	×	11a	11b		11c		12	
.,		13	14		15		16	17

for each category Detailed Summa Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Morton, Susan, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448042 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 48.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 507.23 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Morton, Susan, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2560885 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 48.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 555.38 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Moscato, Joseph, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 Tokyo-To Setagaya-ku City State Zip Code Transaction ID: A2016-2448395 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify)

			-			-	-	_	_	_	
SUBTOTAL of Receipts This Page (optional)	L	Ĺ	_	,	Ĺ	_	,		116	3.30	_
TOTAL This Period (last page this line number only)		_	_	7	_	_	7	_		_	_

FOR LINE NUMBER: PAGE 329 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Moscato, Joseph,,, Date of Receipt Mailing Address 100 NE Adams Tokyo-To Setagaya-ku 2016 City Zip Code State Transaction ID: A2016-2561717 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Mosley, Diane, , , Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2447980 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Mosley, Diane, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2560825 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 60.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 330 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Moss, Jarrod, , , Date of Receipt Mailing Address 100 Caterpillar Way 2016 City State Zip Code Transaction ID: A2016-2448582 GA Thomasville 31792 Amount of Each Receipt this Period FEC ID number of contributing C 136.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 1494.31 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Moss, Jarrod, , , Date of Receipt Mailing Address 100 Caterpillar Way 2016 City State Zip Code Transaction ID: A2016-2561179 GA Thomasville 31792 Amount of Each Receipt this Period FEC ID number of contributing 136.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1630.88 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Motyl, Michael, , , Date of Receipt Mailing Address 500 N Morton Ave 30 2016 City State Zip Code Transaction ID: A2016-2560987 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 16.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 201.00 Other (specify) 289.89 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Other (specify)

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

FOR LINE NUMBER: PAGE 331 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mowbray, Sherri, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City Zip Code State Transaction ID: A2016-2448622 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 82.49 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 907.39 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Mowbray, Sherri, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City State Zip Code Transaction ID: A2016-2561221 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 82.49 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 989.88 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Muir, Thomas, , , Date of Receipt Mailing Address 1720 Kingsbury 30 2016 City State Zip Code Transaction ID: A2016-2448665 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **CPS Master Black Belt** Receipt For: Aggregate Year-to-Date ▼ Primary General

275.00

FOR LINE NUMBER: PAGE 332 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Muir, Thomas, , , Date of Receipt Mailing Address 1720 Kingsbury 2016 City Zip Code State Transaction ID: A2016-2561010 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **CPS Master Black Belt** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Musick, Eric, M,, Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID : A2016-2448517 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Segment Manager B Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Musick, Eric, M, Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2560981 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Segment Manager B Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 65.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 333 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Muthekepalli, Srini, , , Date of Receipt Mailing Address 500 N. Morton Ave 2016 City Zip Code State Transaction ID: A2016-2448242 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 60.60 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 666.60 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Muthekepalli, Srini, , , Date of Receipt Mailing Address 500 N. Morton Ave 2016 City State Zip Code Transaction ID: A2016-2560575 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 60.60 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 727.20 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Myers, Carl, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2447975 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 141.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FOF	R LINE	NUMB	ER:	PAGE	3	34 OF	,	539				
(che	(check only one)											
×	11a	111	o 🗌	11c		12						
	13	14		15		16		17				

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Myers, Carl, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2560820 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Myers, Christopher, , , Date of Receipt Mailing Address Suite 400 2016 1425 K Street City State Zip Code Transaction ID: A2016-2448449 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 279.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Federal Govt. Affairs Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 3071.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Myers, Christopher, , , Date of Receipt Mailing Address Suite 400 30 2016 1425 K Street City Zip Code State Transaction ID : A2016-2560698 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 279.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Federal Govt. Affairs Director Receipt For: Aggregate Year-to-Date ▼ Primary General 3350.88 Other (specify) 578.48 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

Н	UH	LINE	NU	MRFK	:	PAGE	: 3	35 UF	559
(0	che	ck only	or	ne)					
`		1		1 1			1		
	X	11a		11b		11c		12	
		13		14		15		16	17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Myers, Stewart, , , Date of Receipt Mailing Address 2045 West Pike Street 2016 City State Zip Code Transaction ID: A2016-2448022 PA 15342 Houston Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 940.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Myers, Stewart, , , Date of Receipt Mailing Address 2045 West Pike Street 2016 City State Zip Code Transaction ID: A2016-2560866 PA Houston 15342 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 990.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Myers, Timothy, , , Date of Receipt Mailing Address 600 W Washington St 30 2016 City State Zip Code Transaction ID: A2016-2448848 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Occupation (for Individual) Name of Employer (for Individual) Caterpillar Inc. Mfg Engrg Superintendent Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 125.00 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 336 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Myers, Timothy, , , Date of Receipt Mailing Address 600 W Washington St 2016 City Zip Code State Transaction ID: A2016-2561252 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mfg Engrg Superintendent Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Nagaranthal, Bhaskar, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2448973 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 550.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Nagaranthal, Bhaskar, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2561518 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 125.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 337 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Needham, Joseph, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV Dock CV 2016 City Zip Code State Transaction ID: A2016-2448501 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Supply Chain Planning Mgr 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Needham, Joseph, , , Date of Receipt Mailing Address 100 Tractor Drive 2016 Building CV Dock CV City State Zip Code Transaction ID: A2016-2560965 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Planning Mgr 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Nelson, Gary, , , Date of Receipt Mailing Address 1600 Progress Drive 80 2016 City State Zip Code Transaction ID: A2016-2561981 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 62.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

SCHEDULE A (FEC Form 3X)

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 338 OF Use separate schedule(s)

' \	<i>)</i>	LIIVL	140	IVIDEI		17101	- 0	oo	01			
(check only one)												
	X	11a		11b		11c		12				
		13		14		15		16			17	

ITEMIZED RECEIPTS for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Nelson, Trent, , , Date of Receipt Mailing Address 8826 2 Route 24 2016 City Zip Code State Transaction ID: A2016-2448854 IL 61547 Mapleton Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 448.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Newell, Dontae, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2016 City State Zip Code Transaction ID: A2016-2448603 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 71.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 498.47 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Newell, Dontae, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 30 2016 PO Box 500 City State Zip Code Transaction ID: A2016-2561201 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 71.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 569.68 Other (specify) 162.42 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FC	JK	LINE	NU	INBER	PAGE	: 3	39 U	Г	559
(cł	nec	ck only	or	ne)					
	X	11a		11b	11c		12		
Γ		13		14	15		16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Newman, Benjamin, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City Zip Code State Transaction ID: A2016-2448188 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 42.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 462.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Newman, Benjamin, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City State Zip Code Transaction ID: A2016-2561084 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 42.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 504.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Nguyen, Chau, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448123 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 98.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1078.88 Other (specify) 182.08 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 340 OF

se separate schedule(s) or each category of the		che	ck only	or	ne)			
r each category of the etailed Summary Page		X	11a		11b	11c	12	
,			13		14	15	16	17

fo D Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Nguyen, Chau, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561654 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 98.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1176.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Nicoll, David,, Date of Receipt Mailing Address 1720 Kingsbury 2016 City State Zip Code Transaction ID: A2016-2448719 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Director Bus Proc Improvement Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 440.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Nicoll, David, , , Date of Receipt Mailing Address 1720 Kingsbury 30 2016 City State Zip Code Transaction ID: A2016-2561278 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Bus Proc Improvement Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) 178.08 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 341 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Niemeier, Robert, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448090 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 90.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 992.97 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Niemeier, Robert, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2560932 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 90.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1083.24 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Norlin, John, , , Date of Receipt Mailing Address 14009 N Old Galena Rd 30 2016 Tech Center Bldg K City State Zip Code Transaction ID: A2016-2448788 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 157.16 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.12 Other (specify) 337.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 342 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Norlin, John, , , Date of Receipt Mailing Address 14009 N Old Galena Rd Tech Center Bldg K 2016 City State Zip Code Transaction ID: A2016-2561347 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 157.16 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 1357.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Norris, Kurt, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2449055 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 99.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1094.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Norris, Kurt, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561600 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 99.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1194.00 Other (specify) 356.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 343 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Nunez Abe, Jose, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2448287 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 186.17 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2047.87 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Nunez Abe, Jose, , , Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2560621 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 186.17 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2234.04 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. O'Fallon, Deborah, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2447912 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 422.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 344 OF Use separate schedule(s)

ı	1 0	/I I		140	IVIDEI		17101	- 0	77 01				
	(check only one)												
	[2	X	11a		11b		11c		12				
			13		14		15		16		17		

539

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name O'Fallon, Deborah, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2560765 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. O'Neal, Edward, A,, Date of Receipt Mailing Address 1600 Progress Drive 2016 City State Zip Code Transaction ID: A2016-2561960 Albertville AL 35950 Amount of Each Receipt this Period FEC ID number of contributing 80.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP, Health & Safety Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1124.76 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. O'Neal, Edward, A, Date of Receipt Mailing Address 1600 Progress Drive 22 2016 City State Zip Code Transaction ID: A2016-2561929 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 80.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP, Health & Safety Progress Rail Services Receipt For: Aggregate Year-to-Date ▼ Primary General 1205.10 Other (specify) 210.68 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 345 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name O'Neil, Edwin, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2449023 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mfg. Logistics & Transp. Mgr. Receipt For: Aggregate Year-to-Date ▼ Primary General 2750.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. O'Neil, Edwin, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561568 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mfg. Logistics & Transp. Mgr. Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 3000.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Ocker, Wayne, , , Date of Receipt Mailing Address 444 Birch Street 30 2016 City Zip Code State Transaction ID: A2016-2448439 WI Prentice 54556 Amount of Each Receipt this Period FEC ID number of contributing C 39.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Service Technical Rep Receipt For: Aggregate Year-to-Date ▼ Primary General 431.20 Other (specify) 539.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 346 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ocker, Wayne, , , Date of Receipt Mailing Address 444 Birch Street 2016 City Zip Code State Transaction ID: A2016-2560688 WI Prentice 54556 Amount of Each Receipt this Period FEC ID number of contributing C 39.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Service Technical Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 470.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Oden, Carey, , , Date of Receipt Mailing Address 4301 D Iverson Blvd 2016 City State Zip Code Transaction ID: A2016-2561951 AL Trinity 35673 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager II, Plant Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Oliphant, James, , , Date of Receipt Mailing Address 1720 Kingsbury 30 2016 City State Zip Code Transaction ID: A2016-2448832 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 153.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1679.87 Other (specify) 202.73 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

Use separate schedule(s)

ı	FOR LINE	MOMBER	: PAGE	= 347 OF	- 559
	(check onli	y one)			
	X 11a	11b	11c	12	
	13	14	15	16	17

FOR LINE NUMBER

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Oliphant, James, , , Date of Receipt Mailing Address 1720 Kingsbury 2016 City Zip Code State Transaction ID: A2016-2561392 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 153.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1833.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Oliveira, Paulo, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 2016 PO Box 348 City State Zip Code Transaction ID: A2016-2448276 IL 60507 Aurora Amount of Each Receipt this Period FEC ID number of contributing 156.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1707.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Oliveira, Paulo, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 30 2016 PO Box 348 City State Zip Code Transaction ID: A2016-2560609 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 156.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1863.82 Other (specify) 465.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 348 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Onstott, Zena, , , Date of Receipt Mailing Address 27Th & Pershing Rd PO Box 1430 2016 City State Zip Code Transaction ID: A2016-2447948 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Onstott, Zena, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 PO Box 1430 City State Zip Code Transaction ID: A2016-2560761 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. ORourke, Dennis, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448574 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Development Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 349 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name ORourke, Dennis, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561171 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Development Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Orr, Kelly, , , Date of Receipt Mailing Address 3701 State Road 26 East 2016 City State Zip Code Transaction ID: A2016-2448714 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **General Manager of Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Orr, Kelly, , , Date of Receipt Mailing Address 3701 State Road 26 East 30 2016 City State Zip Code Transaction ID: A2016-2561273 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **General Manager of Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 350 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ortega, Roberto, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City Zip Code State Transaction ID: A2016-2447993 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 179.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1974.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Ortega, Roberto, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City State Zip Code Transaction ID: A2016-2560838 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 179.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2154.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Osborn, Denver, M., Date of Receipt Mailing Address USA-CA-Skypark 3 30 2016 City State Zip Code Transaction ID: A2016-2447860 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Prin Design Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 384.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 351 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Osborn, Denver, M,, Date of Receipt Mailing Address USA-CA-Skypark 3 2016 City Zip Code State Transaction ID: A2016-2561896 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Prin Design Engineer Solar Turbines Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Osborn, Sheri, , , Date of Receipt Mailing Address USA-CA-Harbor Drive 2016 City State Zip Code Transaction ID: A2016-2447858 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 58.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Value Stream Manager IV Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 219.86 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Osborn, Sheri, , , Date of Receipt Mailing Address USA-CA-Harbor Drive 30 2016 City State Zip Code Transaction ID: A2016-2561894 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 57.79 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Value Stream Manager IV Receipt For: Aggregate Year-to-Date ▼ Primary General 277.65 Other (specify) 140.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 352 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ossandon, Luis, , , Date of Receipt Mailing Address 97 E. Congress St. 2016 City Zip Code State Transaction ID: A2016-2448015 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing C 18.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 377.30 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Ossandon, Luis, , , Date of Receipt Mailing Address 97 E. Congress St. 2016 City State Zip Code Transaction ID: A2016-2560860 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing 18.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 395.30 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ostrowski, Edward, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 30 2016 PO Box 348 City State Zip Code Transaction ID: A2016-2447982 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engineer 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 61.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 353 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ostrowski, Edward, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr PO Box 348 2016 City State Zip Code Transaction ID: A2016-2560827 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engineer 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Overly, Brian, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2447960 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Overly, Brian, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2560806 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 65.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

Use separate schedule(s)

FOR	R LINE	NUMBER	:	PAGE	3	54 OF	,	539				
(che	(check only one)											
×	11a	11b		11c		12						
	13	14		15		16		17				

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Owens, Barrington, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City Zip Code State Transaction ID: A2016-2448427 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 218.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 2401.08 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Owens, Barrington, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City State Zip Code Transaction ID: A2016-2561749 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 218.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2619.36 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Oyler, Zachary, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 Building CV Dock CV City State Zip Code Transaction ID: A2016-2448491 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 29.87 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 499.37 Other (specify) 466.43 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 355 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Palmer, Justin, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City Zip Code State Transaction ID: A2016-2448758 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 486.33 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Palmer, Justin, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City State Zip Code Transaction ID: A2016-2561317 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 511.33 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Park, Lisa, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448355 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Pension Inv. & Life Ins. Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7 7

FOR LINE NUMBER: PAGE 356 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Park, Lisa, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561678 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Pension Inv. & Life Ins. Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Parks, Brett, , , Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2448685 ΤN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 180.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1986.71 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Parks, Brett, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2561006 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 180.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2167.32 Other (specify) 381.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 357 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Paternoga, Robert, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2448274 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Reman BU Mgr - Engines Receipt For: Aggregate Year-to-Date ▼ Primary General 4583.37 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Paternoga, Robert, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2560607 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Reman BU Mgr - Engines Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 5000.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Patterson, Charles, , , Date of Receipt Mailing Address 9301 West 55th Street 30 2016 City State Zip Code Transaction ID: A2016-2447925 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 853.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 358 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Patterson, Charles, , , Date of Receipt Mailing Address 9301 West 55th Street 2016 City Zip Code State Transaction ID: A2016-2560778 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Patterson, Teresa, , , Date of Receipt Mailing Address 5000 Womack Rd 2016 City State Zip Code Transaction ID: A2016-2448456 NC Sanford 27330 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Patterson, Teresa, , , Date of Receipt Mailing Address 5000 Womack Rd 30 2016 City Zip Code State Transaction ID: A2016-2560706 NC Sanford 27330 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: (check only one) **X** 11a 11b

PAGE 359 OF Use separate schedule(s) for each category of the 11c 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Paulson, Leslie, , , Date of Receipt Mailing Address 300 Hamilton Blvd. Suite 101 2016 City State Zip Code Transaction ID: A2016-2448839 IL Peoria 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Tech Info Solutions Dept Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Pearce, Frank, , , Date of Receipt Mailing Address 7300 Lone Tree Road 2016 City State Zip Code Transaction ID: A2016-2448041 TX Victoria 77901 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Pearce, Frank, , , Date of Receipt Mailing Address 7300 Lone Tree Road 30 2016 City State Zip Code Transaction ID: A2016-2560884 TX Victoria 77901 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 80.00 SUBTOTAL of Receipts This Page (optional).....

7

FOR LINE NUMBER: PAGE 360 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pecenka, Timothy, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City Zip Code State Transaction ID: A2016-2449036 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Pecenka, Timothy, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City State Zip Code Transaction ID: A2016-2561581 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Pedigo, Randy, , , Date of Receipt Mailing Address 751 International Dr 30 2016 City State Zip Code Transaction ID: A2016-2447917 IN Franklin 46131 Amount of Each Receipt this Period FEC ID number of contributing C 58.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 643.50 Other (specify) 98.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 361 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pedigo, Randy, , , Date of Receipt Mailing Address 751 International Dr 2016 City Zip Code State Transaction ID: A2016-2560770 IN Franklin 46131 Amount of Each Receipt this Period FEC ID number of contributing C 58.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 702.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Pellette, Thomas, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448289 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 4583.37 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Pellette, Thomas, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2560623 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) 891.80 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 362 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Penn, Audie, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2447953 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Master Black Belt Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Penn, Audie, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2560799 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Master Black Belt Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Perez, Marco, , , Date of Receipt Mailing Address USA-TX-Houston 30 2016 City State Zip Code Transaction ID: A2016-2447886 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Regional Manager III Receipt For: Aggregate Year-to-Date ▼ Primary General 229.24 Other (specify) 70.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 363 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Perez, Marco, , , Date of Receipt Mailing Address USA-TX-Houston 2016 City Zip Code State Transaction ID: A2016-2561922 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Regional Manager III Receipt For: Aggregate Year-to-Date ▼ Primary General 250.08 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Perez Sandi, Francisco, , , Date of Receipt Mailing Address 560 Rehoboth Road 2016 City State Zip Code Transaction ID: A2016-2448946 Griffin GA 30224 Amount of Each Receipt this Period FEC ID number of contributing 75.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 827.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Perez Sandi, Francisco, , , Date of Receipt Mailing Address 560 Rehoboth Road 30 2016 City State Zip Code Transaction ID: A2016-2561492 GΑ Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 75.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 902.88 Other (specify) 171.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 364 OF (check only one) **X** 11a 11b 11c 12

Use separate schedule(s) for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Peterson, Bradley, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2448119 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 308.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Peterson, Bradley, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2561650 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 328.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Peterson, Vanessa, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448964 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 46.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 279.36 Other (specify) 86.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 365 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Peterson, Vanessa, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561509 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 46.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 325.92 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Petterson, Douglas, , , Date of Receipt Mailing Address Highway 42 East P O Bo<u>x 999</u> 2016 City State Zip Code Transaction ID: A2016-2448971 NC Clayton 27528 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 4583.37 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Petterson, Douglas, , , Date of Receipt Mailing Address Highway 42 East 30 2016 P O Box 999 City State Zip Code Transaction ID: A2016-2561516 NC Clayton 27528 Amount of Each Receipt this Period FEC ID number of contributing C 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) 879.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 366 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Peyton, Amy, , , Date of Receipt Mailing Address 400 Regency Forest Drive 2016 Suite 400 City State Zip Code Transaction ID: A2016-2448034 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 32.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 360.25 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Peyton, Amy, , , Date of Receipt Mailing Address 400 Regency Forest Drive 2016 Suite 400 City State Zip Code Transaction ID : A2016-2560877 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing 29.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 390.08 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Pfautsch, Brian, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2449081 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Reman BU Mgr - Engine Systems Receipt For: Aggregate Year-to-Date ▼ Primary General 1404.28 Other (specify) 112.58 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 367 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pfautsch, Brian, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2561626 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Reman BU Mgr - Engine Systems Receipt For: Aggregate Year-to-Date ▼ Primary General 1454.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Philbee, Todd, , , Date of Receipt Mailing Address 100 NE Adams 2016 Las Condes City State Zip Code Transaction ID: A2016-2448275 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 99.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mktg Center of Excellence Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1095.71 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Philbee, Todd, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 Las Condes City State Zip Code Transaction ID: A2016-2560608 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 99.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mktg Center of Excellence Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1195.32 Other (specify) 249.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 368 OF Use separate schedule(s)

ı					•								
l	(check only one)												
	×	11a		11b		11c		12					
l		13		14		15		16		17			

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Phipps, David, , , Date of Receipt Mailing Address 11601 93rd Ave N 2016 City Zip Code State Transaction ID: A2016-2449074 MN MapleGrove 55369 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Phipps, David, , , Date of Receipt Mailing Address 11601 93rd Ave N 2016 City State Zip Code Transaction ID: A2016-2561619 MapleGrove MN 55369 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Pickell, Michael, E, , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2016 Bldg G City State Zip Code Transaction ID: A2016-2448510 IL Mossville 61656 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) 80.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 369 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pickell, Michael, E,, Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg G 2016 City State Zip Code Transaction ID: A2016-2560974 IL Mossville 61656 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Pierce, Robert, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448903 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 78.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Performance Mgr 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 860.38 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Pierce, Robert, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561449 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 78.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Performance Mgr 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 939.28 Other (specify) 187.80 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

F	OR	LINE	NU	MBER	:	PAGE	3	70 OF	;	539	
(check only one)											
	X	11a		11b		11c		12			
		13		14		15		16		17	

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pitts, David, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2448602 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 61.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 676.93 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Pitts, David, , , Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2561200 ΤN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 61.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 738.16 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Pladers, Melissa, , , Date of Receipt Mailing Address 27Th & Pershing Rd 30 2016 City State Zip Code Transaction ID: A2016-2448812 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 39.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Staff Acct II Cost / DS Receipt For: Aggregate Year-to-Date ▼ Primary General 389.28 Other (specify) 161.74 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 371 OF 539 Use separate schedule(s) for each category of the Detailed Summary Page

١,		LIIVL	IVO	IVIDEI	IAGL	 <i>i</i> 1 Oi	 500
(c	he	ck only	or	ıe)			
	X	11a		11b	11c	12	
		13		14	15	16	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caternillar Inc. Employee Political Action Committee

Mailing Address 100 NE Adams West Tower 1st Floor City Peoria FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Receipt For: Primary Other (specify) ▼ Aggregate Year-to-Date ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name	The Caterplilar Inc. Employ			
Mailing Address 27Th & Pershing Rd City State Zip Code IL 62526 FEC ID number of contributing federal political committee. Name of Employer (for Individual) Staff Acct II Cost / DS Receipt For:		ddle Initial) or Full Or	ganization Name	Date of Receipt
Decatur IL 62526	Mailing Address 27Th & Pershing Rd			M = M / D = D / Y = Y = Y
FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Receipt For: Poliner, Jessica, , Mailing Address 100 NE Adams West Tower 1st Floor City Peoria Name of Employer (for Individual) Caterpillar Inc. State Zip Code IL 61629 FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Full Name of Individual (Last, First, Middle Initial) or Full Organization Name City Peoria Full Name of Individual (Last, First, Middle Initial) or Full Organization Name City Peoria Full Name of Individual (Last, First, Middle Initial) or Full Organization Name City Peoria Full Name of Employer (for Individual) Caterpillar Inc. Name of Employer (for Individual) Caterpillar Inc. Name of Employer (for Individual) Caterpillar Inc. Aggregate Year-to-Date ▼ Transaction ID : A2016-2248919 Amount of Each Receipt this Period Transaction ID : A2016-2266884 Amount of Each Receipt this Period Memo Item Memo Item Memo Item Memo Item			·	
Name of Employer (for Individual) Staff Acct II Cost / DS Memo Item	-	IL	62526	Amount of Each Receipt this Period
Caterpillar Inc. Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Polliner, Jessica., , Mailing Address 100 NE Adams Memo of Individual (Last, First, Middle Initial) or Full Organization Name Poliner, Jessica., , Mailing Address 100 NE Adams Mest Tower 1st Floor City	•	С		39.28
Receipt For: Primary General Aggregate Year-to-Date ▼ Other (specity) ▼	Name of Employer (for Individual)	Occu	pation (for Individual)	Memo Item
Primary General Other (specify) ▼	•	Staff	Acct II Cost / DS	
Tull Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Poliner, Jessica, Mailling Address 100 NE Adams West Tower 1st Floor City Peoria FEC ID number of contributing federal political committee. Receipt For: Primary General Other (specify) ▼ Name of Individual) Caterpillar Inc. Mailling Address 100 NE Adams West Tower 1st Floor FEC ID number of contributing federal political committee. FEUI Name of Individual (Last, First, Middle Initial) or Full Organization Name C. Poliner, Jessica, . , Mailing Address 100 NE Adams West Tower 1st Floor City Peoria FEC ID number of contributing federal political committee. C. Poliner, Jessica, . , Mailing Address 100 NE Adams West Tower 1st Floor City Peoria FEC ID number of contributing federal political committee. C. Poliner, Jessica, . , Mailing Address 100 NE Adams West Tower 1st Floor City Peoria FEC ID number of contributing federal political committee. C. Poliner, Jessica, . , Mailing Address 100 NE Adams West Tower 1st Floor City Peoria FEC ID number of contributing federal political committee. C. Adams West Tower 1st Floor City Peoria FEC ID number of contributing federal political committee. Aggregate Year-to-Date ▼ Primary General Other (specify) Aggregate Year-to-Date ▼ Memo Item Memo Item		Aggregate \	Year-to-Date ▼	
B. Poliner, Jessica, , Mailing Address 100 NE Adams West Tower 1st Floor City Peoria FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Poliner, Jessica, , Mailing Address 100 NE Adams West Tower 1st Floor C. Poliner, Jessica, , Mailing Address 100 NE Adams West Tower 1st Floor City Peoria FEC ID number of contributing federal Dittical committee. Aggregate Year-to-Date ▼ Date of Receipt Transaction ID : A2016-2448019 Amount of Each Receipt this Period Memo Item Date of Receipt Transaction ID : A2016-248019 Amount of Each Receipt this Period Date of Receipt Transaction ID : A2016-2560864 Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Receipt For: Primary General Other (specify) Aggregate Year-to-Date ▼ Memo Item Memo Item Memo Item Aggregate Year-to-Date ▼ Transaction ID : A2016-2560864 Amount of Each Receipt this Period Memo Item Memo Item			428.56	
City State Zip Code IL 61629 Amount of Each Receipt this Period Transaction ID : A2016-2448019 Amount of Each Receipt this Period Amount of Each Receipt this Period Transaction ID : A2016-2448019 Amount of Each Receipt this Period Amount of Each Receipt this Period Transaction ID : A2016-2448019 Amount of Each Receipt this Period Amount of Each Receipt this Period Transaction ID : A2016-2448019 Amount of Each Receipt this Period Amount of Each Receipt this Period Memo Item Memo Item Memo Item Date of Receipt Transaction ID : A2016-2560864 Amount of Each Receipt this Period Transaction ID : A2016-2560864 Amount of Each Receipt this Period Transaction ID : A2016-2560864 Amount of Each Receipt this Period Transaction ID : A2016-2560864 Amount of Each Receipt this Period Transaction ID : A2016-2560864 Amount of Each Receipt this Period Transaction ID : A2016-2560864 Amount of Each Receipt this Period Transaction ID : A2016-2560864 Amount of Each Receipt this Period Transaction ID : A2016-2560864 Amount of Each Receipt this Period Transaction ID : A2016-2560864 Amount of Each Receipt this Period Transaction ID : A2016-2560864 Amount of Each Receipt this Period Transaction ID : A2016-2560864 Amount of Each Receipt this Period Transaction ID : A2016-2560864 Amount of Each Receipt this Period Transaction ID : A2016-2560864 Amount of Each Receipt this Period Transaction ID : A2016-2560864 Amount of Each Receipt this Period Transaction ID : A2016-2560864 Amount of Each Receipt this Period Transaction ID : A2016-2560864 Amount of Each Receipt this Period Transaction ID : A2016-2560864 Amount of Each Receipt this Period Transaction ID : A2016-2560864 Amount of Each Receipt this Period Transaction ID : A2016-2560864 Amount of Each Receipt this Period Transaction ID : A2016-2560864 Amount of Each Receipt this Period Transaction ID : A2016-2560864 Amount of Each Receipt this Period Transaction ID : A2	B. Poliner, Jessica, , ,	ddle Initial) or Full Or	ganization Name	Date of Receipt
City Peoria State IL 61629 FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Receipt For: Primary General Other (specify) ▼				
Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Receipt For: Primary General Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name C. Poliner, Jessica, , Mailing Address 100 NE Adams West Tower 1st Floor City Peoria IL 61629 FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Receipt For: Name of Employer (for Individual) Caterpillar Inc. Receipt For: Primary General Other (specify) Aggregate Year-to-Date ▼ Primary General Other (specify)		State	Zip Code	
FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Receipt For: Primary General Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name C. Poliner, Jessica, , Mailing Address 100 NE Adams West Tower 1st Floor City Peoria FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Receipt For: Name of Employer (for Individual) Caterpillar Inc. Receipt For: Primary General Other (specify) Aggregate Year-to-Date ▼	•	IL		
Caterpillar Inc. Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ C. Poliner, Jessica, , , Mailing Address 100 NE Adams West Tower 1st Floor City Peoria FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Receipt For: Primary General Occupation (for Individual) Caterpillar Inc. Receipt For: Primary General Other (specify) Aggregate Year-to-Date ▼ Date of Receipt 12 30 2016 Transaction ID: A2016-2560864 Amount of Each Receipt this Period Aggregate Year-to-Date ▼ Aggregate Year-to-Date ▼ Aggregate Year-to-Date ▼	· ·	С		
Primary	Caterpillar Inc.			Memo Item
C. Poliner, Jessica, , , Mailing Address 100 NE Adams West Tower 1st Floor City Peoria FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Receipt For: Primary General Other (specify) Date of Receipt Transaction ID : A2016-2560864 Amount of Each Receipt this Period Memo Item Memo Item	Primary General	Aggregate Y		
City Peoria FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Receipt For: Primary Other (specify) Peoria IL State Zip Code 61629 Amount of Each Receipt this Period Transaction ID: A2016-2560864 Amount of Each Receipt this Period Memo Item Memo Item		ddle Initial) or Full Or	ganization Name	Date of Receipt
Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Receipt For: Primary General Other (specify) Amount of Each Receipt this Period Memo Item Aggregate Year-to-Date ▼	West Tower 1st Floor	1 -	Territoria de la companya della companya della companya de la companya della comp	12 30 2016
FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Receipt For: Primary General Other (specify) Amount of Each Receipt this Period 71.48 Memo Item Aggregate Year-to-Date ▼	•		'	
Caterpillar Inc. Receipt For: Primary General Other (specify) Aggregate Year-to-Date ▼ 1429.60	FEC ID number of contributing		0.020	
Primary General Other (specify) 1429.60	Caterpillar Inc.	I	. , ,	Memo Item
SUBTOTAL of Receipts This Page (optional)	Primary General	Aggregate \	1429.60	
TOTAL This Period (last page this line number only)	, , , ,	·	<u> </u>	182.24

FOR LINE NUMBER: PAGE 372 OF (check only one) **X** 11a 11b 11c

Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Poole, Joseph,,, Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2448769 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 164.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Area Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1798.26 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Poole, Joseph, , , Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2561328 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 164.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Area Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1962.60 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Potts, Rachel, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448383 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Communications Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 535.00 Other (specify) 403.68 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 373 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Potts, Rachel, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561705 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Communications Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 610.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Potvin, Richard, , , Date of Receipt Mailing Address 500 Nils Road 2016 City State Zip Code Transaction ID: A2016-2447905 MT **Butte** 59702 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Potvin, Richard, , , Date of Receipt Mailing Address 500 Nils Road 30 2016 City Zip Code State Transaction ID: A2016-2560752 MT Butte 59702 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 125.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 374 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Powell, Robert, , , Date of Receipt Mailing Address 1600 Progress Drive 2016 City Zip Code State Transaction ID: A2016-2561970 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP and Controller **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Prendergast, Richard, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 2016 PO Box 348 City State Zip Code Transaction ID: A2016-2448697 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Quality Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Prendergast, Richard, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 30 2016 PO Box 348 City State Zip Code Transaction ID: A2016-2560942 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Quality Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 375 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pressler, Jonathan, , , Date of Receipt Mailing Address Building H Dock 13 2016 City Zip Code State Transaction ID: A2016-2448982 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Pressler, Jonathan, , , Date of Receipt Mailing Address Building H Dock 13 2016 City State Zip Code Transaction ID: A2016-2561527 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Price, Stephanie, , , Date of Receipt Mailing Address 27Th & Pershing Rd 30 2016 City State Zip Code Transaction ID: A2016-2447931 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 30.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 332.20 Other (specify) 80.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

F	OR	LINE	NU	MBER	:	PAGE		539					
(0	(check only one)												
	X	11a		11b		11c		12					
		13		14		15		16		17			

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Price, Stephanie,,, Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City Zip Code State Transaction ID: A2016-2560784 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 30.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 362.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Quick, Hallie,, Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2448699 ΤN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 38.95 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 428.45 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Quick, Hallie, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2560944 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 38.95 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 467.40 Other (specify) 108.10 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 377 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Quigg, James, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2448889 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 114.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1254.33 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Quigg, James, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2561435 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 114.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1368.36 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rainey, Jamero, , , Date of Receipt Mailing Address 500 N Morton Ave 30 2016 City State Zip Code Transaction ID: A2016-2447951 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 253.06 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FOF	(LINE	NOMBER	:	PAGE	: 3	78 OF	,	559				
(che	(check only one)											
×	11a	11b		11c		12						
	13	14		15		16		17				

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rainey, Jamero, , , Date of Receipt Mailing Address 500 N Morton Ave 2016 City Zip Code State Transaction ID: A2016-2560797 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Ramos, Ennodio, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID : A2016-2447851 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 90.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Special Assignment Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 990.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ramos, Ennodio, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561887 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 90.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Special Assignment Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 1080.00 Other (specify) 205.00 SUBTOTAL of Receipts This Page (optional).....

Use separate schedule(s)

F	OR	LINE	NU	IMBER	:	PAGE	PAGE 379 OF						
(0	(check only one)												
	X	11a		11b		11c		12					
		13		14		15		16		17			

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rasmussen, Anna, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2016 Bldg L City State Zip Code Transaction ID: A2016-2448599 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 15.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 281.02 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Rasmussen, Anna, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2016 Bldg L City State Zip Code Transaction ID: A2016-2448600 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 11.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 281.02 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Rasmussen, Anna, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2016 Bldg L City State Zip Code Transaction ID: A2016-2561197 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 12.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 313.51 Other (specify) 39.40 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 380 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rasmussen, Anna, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg L 2016 City State Zip Code Transaction ID: A2016-2561198 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 8.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 313.51 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Rasmussen, Anna, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2016 Bldg L City State Zip Code Transaction ID: A2016-2561196 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 11.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 313.51 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Rasmussen, Terry, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448527 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 133.26 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1458.16 Other (specify) 153.43 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 381 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rasmussen, Terry, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2560991 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 133.26 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1591.42 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rassi, Anthony, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2448319 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 192.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Multi-Site Purchasing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2117.61 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rassi, Anthony, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2560655 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 96.26 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Multi-Site Purchasing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2213.87 Other (specify) 422.03 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 382 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rathe, Richard, , , Date of Receipt Mailing Address 3450 Executive Way 2016 City Zip Code State Transaction ID: A2016-2449077 FL Miramar 33025 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rathe, Richard, , , Date of Receipt Mailing Address 3450 Executive Way 2016 City State Zip Code Transaction ID: A2016-2561622 FL Miramar 33025 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rathman, Carol, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2448204 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 125.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 383 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rathman, Carol, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2561100 IL 61552 Mossville Amount of Each Receipt this Period FEC ID number of contributing C 58.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 333.33 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Reber, Keith, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448998 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Reber, Keith, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561543 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 108.33 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: (check only one) **X** 11a 11b

PAGE 384 OF Use separate schedule(s) for each category of the 11c 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Reese, Davis, , , Date of Receipt Mailing Address 100 Ne Adams 2016 City Zip Code State Transaction ID: A2016-2448606 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Reese, Davis, , , Date of Receipt Mailing Address 100 Ne Adams 2016 City State Zip Code Transaction ID: A2016-2561205 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 360.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Reeves, Michael, , , Date of Receipt Mailing Address 3501 South FM Hwy 1417 30 2016 City State Zip Code Transaction ID: A2016-2560897 TX Denison 75020 Amount of Each Receipt this Period FEC ID number of contributing C 27.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 223.12 Other (specify) 87.89 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 385 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Reiners, Eric, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg E 2016 City State Zip Code Transaction ID: A2016-2448917 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Reiners, Eric, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2016 Bldg E City State Zip Code Transaction ID: A2016-2561463 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Reinhart, James, , , Date of Receipt Mailing Address 27Th & Pershing Rd 30 2016 City State Zip Code Transaction ID: A2016-2448156 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) 140.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 386 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Reinhart, James, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City Zip Code State Transaction ID: A2016-2561052 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Reinholdt, Ron, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448809 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 330.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Reinholdt, Ron, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561368 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FOF	LINE	NOMBER		PAGE	: 3	87 OF	-	559				
(che	(check only one)											
×	11a	11b		11c		12						
	13	14		15		16		17				

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Reitz, Christopher, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2447990 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Reitz, Christopher, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2560835 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Rest, David, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448939 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Learning/Recruiting Proc. Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

7

Use separate schedule(s) for each category of the

F	OR	LINE	NU	MBER	PAGE	PAGE 388 OF					
(0	(check only one)										
	X	11a		11b		11c		12			
		13		14		15		16		17	

Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rest, David, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561485 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Learning/Recruiting Proc. Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Rich, Steven, , , Date of Receipt Mailing Address 100 NE Adams 2016 222 Moo 11 Ban Khai-Nong La Lok Ro City Zip Code Transaction ID: A2016-2448135 Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rich, Steven, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 222 Moo 11 Ban Khai-Nong La Lok Ro City Zip Code State Transaction ID: A2016-2561031 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 389 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Richards, Mark, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City Zip Code State Transaction ID: A2016-2448580 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Commercial Mining Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Richards, Mark, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City State Zip Code Transaction ID: A2016-2561177 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Commercial Mining Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rider, Woody, , , Date of Receipt Mailing Address 501 Cardinal Drive 30 2016 City State Zip Code Transaction ID: A2016-2448954 MS Corinth 38834 Amount of Each Receipt this Period FEC ID number of contributing C 43.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 477.18 Other (specify) 93.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 390 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rider, Woody, , , Date of Receipt Mailing Address 501 Cardinal Drive 2016 City Zip Code State Transaction ID: A2016-2561500 Corinth MS 38834 Amount of Each Receipt this Period FEC ID number of contributing C 43.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 520.56 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Rieker, Steven, , , Date of Receipt Mailing Address 100 Ne Adams 2016 City State Zip Code Transaction ID: A2016-2448882 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Rieker, Steven, , , Date of Receipt Mailing Address 100 Ne Adams 30 2016 City State Zip Code Transaction ID: A2016-2561428 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Support Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 93.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 391 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Riggle, Robert, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2016 City State Zip Code Transaction ID: A2016-2448016 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 63.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 694.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Riggle, Robert, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2016 City State Zip Code Transaction ID: A2016-2560861 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 63.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 757.44 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rink, James, , , Date of Receipt Mailing Address 2200 Channahon Rd 30 2016 P O Box 504 City State Zip Code Transaction ID : A2016-2448113 IL Joliet 60436 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 176.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 392 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rink, James, , , Date of Receipt Mailing Address 2200 Channahon Rd P O Box 504 2016 City State Zip Code Transaction ID: A2016-2561644 IL Joliet 60436 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Robbins, Ryan, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2448834 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 551.43 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Robbins, Ryan, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2561394 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 601.56 Other (specify) 150.26 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 393 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Roberson, Keith, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448978 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Roberson, Keith, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561523 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Roberts, Janelle, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448727 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 394 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Roberts, Janelle, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561286 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Robertson, Michael, , , Date of Receipt Mailing Address 600 W Washington St 2016 City State Zip Code Transaction ID: A2016-2447941 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Process Control Engineer A Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Robertson, Michael, , , Date of Receipt Mailing Address 600 W Washington St 30 2016 City State Zip Code Transaction ID: A2016-2560793 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Process Control Engineer A Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 395 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Robinson, Adam, J,, Date of Receipt Mailing Address USA-CA-Skypark 4 2016 City Zip Code State Transaction ID: A2016-2447868 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Sr Account Manager-Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Robinson, Adam, J,, Date of Receipt Mailing Address USA-CA-Skypark 4 2016 City State Zip Code Transaction ID: A2016-2561911 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Sr Account Manager-Sales Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 480.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Robinson, Patrick, , , Date of Receipt Mailing Address 812 W. Washington St 30 2016 City State Zip Code Transaction ID: A2016-2561634 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 17.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Info Technology Manager-III Receipt For: Aggregate Year-to-Date ▼ Primary General 204.00 Other (specify) 97.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 396 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rochford, Michael, , , Date of Receipt Mailing Address Route 29 & Old Galena Road Dock 55 2016 City State Zip Code Transaction ID: A2016-2561035 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rocke, Matthew, , , Date of Receipt Mailing Address 500 N Morton Ave 2016 City State Zip Code Transaction ID: A2016-2448651 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Logistics Engrg & Planning Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rocke, Matthew, , , Date of Receipt Mailing Address 500 N Morton Ave 30 2016 City State Zip Code Transaction ID: A2016-2561249 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Logistics Engrg & Planning Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

F	OR	LINE	NU	MBER	PAGE	3	97 OF	:	539		
(check only one)											
	X	11a		11b		11c		12			
		13		14		15		16		17	

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Roeder, David, , , Date of Receipt Mailing Address 1600 Progress Drive 2016 City State Zip Code Transaction ID: A2016-2561964 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) SVP Eng & Track Serv Bus Unit **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Roenspies, David, , , Date of Receipt Mailing Address 9301 W. 55th Street 2016 City State Zip Code Transaction ID: A2016-2561871 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing 23.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 592.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Roenspies, David, , , Date of Receipt Mailing Address 9301 W. 55th Street 22 2016 City State Zip Code Transaction ID: A2016-2561882 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing 23.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 615.68 Other (specify) 97.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 398 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Roeschley, Heather, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2448440 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Org. Development Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Roeschley, Heather, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2560689 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Org. Development Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Roethler, Mary, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2016 Bldg A City State Zip Code Transaction ID: A2016-2448896 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 83.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. TIS Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 921.80 Other (specify) 123.80 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 399 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Roethler, Mary, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2016 City State Zip Code Transaction ID: A2016-2561442 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 83.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. TIS Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 1005.60 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rogers, Cynthia, , , Date of Receipt Mailing Address 100 Tractor Drive 2016 Building CV Dock CV City State Zip Code Transaction ID: A2016-2448958 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rogers, Cynthia, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 **Building CV Dock CV** City State Zip Code Transaction ID: A2016-2561503 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 123.80 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 400 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rogers, Lance, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2448552 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rogers, Lance, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2561150 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Rohr, Jeffery, , , Date of Receipt Mailing Address 325 Green Valley Road 30 2016 City State Zip Code Transaction ID: A2016-2448858 GΑ Griffin 30223 Amount of Each Receipt this Period FEC ID number of contributing C 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 385.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 401 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rohr, Jeffery, , , Date of Receipt Mailing Address 325 Green Valley Road 2016 City State Zip Code Transaction ID: A2016-2561261 GA Griffin 30223 Amount of Each Receipt this Period FEC ID number of contributing C 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rooney, James, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448735 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 4583.37 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rooney, James, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561294 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) 868.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 402 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Roos, Kimberly, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2447904 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 43.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Engineering Team Leader** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 323.24 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Roos, Kimberly, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID : A2016-2560751 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 43.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Team Leader** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 366.56 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rous, James, , , Date of Receipt Mailing Address 500 N Morton Ave 30 2016 City State Zip Code Transaction ID: A2016-2449058 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 63.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Inventory & Logistics Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 702.46 Other (specify) 150.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FOF	R LINE	NU	MBER	PAGE	4	03 OF		539				
(check only one)												
×	11a		11b		11c		12					
	13		14		15		16		17			

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rous, James, , , Date of Receipt Mailing Address 500 N Morton Ave 2016 City State Zip Code Transaction ID: A2016-2561603 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 63.86 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Caterpillar Inc. Inventory & Logistics Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 766.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Roush, John, , , Date of Receipt Mailing Address 400 Regency Forest Drive 2016 Suite 400 City State Zip Code Transaction ID: A2016-2448673 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Roush, John, , , Date of Receipt Mailing Address 400 Regency Forest Drive 30 2016 Suite 400 City State Zip Code Transaction ID: A2016-2561018 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 103.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 404 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rowen, Katelyn, , , Date of Receipt Mailing Address 7300 Lone Tree Road 2016 City Zip Code State Transaction ID: A2016-2448031 TX Victoria 77901 Amount of Each Receipt this Period FEC ID number of contributing C 32.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Communications Rep - 1 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.84 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rowen, Katelyn, , , Date of Receipt Mailing Address 7300 Lone Tree Road 2016 City State Zip Code Transaction ID: A2016-2560874 TX Victoria 77901 Amount of Each Receipt this Period FEC ID number of contributing 32.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Communications Rep - 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 333.23 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rubel, Juergen, , , Date of Receipt Mailing Address 15550 NW 59Th Avenue 30 2016 City State Zip Code Transaction ID: A2016-2448615 FL Miami Lakes 33014 Amount of Each Receipt this Period FEC ID number of contributing C 79.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 863.77 Other (specify) 143.99 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 405 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rubel, Juergen, , , Date of Receipt Mailing Address 15550 NW 59Th Avenue 2016 City Zip Code State Transaction ID: A2016-2561214 FL Miami Lakes 33014 Amount of Each Receipt this Period FEC ID number of contributing C 79.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 942.98 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rubio, Leonardo, , , Date of Receipt Mailing Address 97 E. Congress St. 2016 City State Zip Code Transaction ID: A2016-2448014 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rubio, Leonardo, , , Date of Receipt Mailing Address 97 E. Congress St. 30 2016 City State Zip Code Transaction ID: A2016-2560859 ΑZ Tucson 85701 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 119.21 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 406 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Runestad, Christopher, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448729 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Runestad, Christopher, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561288 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Russell, Mark, , , Date of Receipt Mailing Address 1600 Progress Drive 80 2016 City State Zip Code Transaction ID: A2016-2561954 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP, ETS Administration Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 50.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 407 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Russell, Melissa, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2016 City Zip Code State Transaction ID: A2016-2448082 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 167.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1837.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Russell, Melissa, , , Date of Receipt Mailing Address Suite 400 2016 1425 K Street City State Zip Code Transaction ID: A2016-2560924 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 167.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2004.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ruth, Brent, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2447906 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Process Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 359.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 408 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c

12 13 14 15 16

Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ruth, Brent, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2560753 IL 61552 Mossville Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Process Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Ryan, Steven, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID : A2016-2447992 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Industry Representative** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ryan, Steven, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2560837 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Industry Representative Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 409 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Saad, Jeffrey, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2449006 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 182.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2003.65 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Saad, Jeffrey, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2561551 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 182.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2185.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Sage, Jason, , , Date of Receipt Mailing Address 400 Regency Forest Drive 30 2016 Suite 400 City State Zip Code Transaction ID : A2016-2448800 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 236.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Div HR Sup Chain & Qual Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 2604.91 Other (specify) 601.11 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 410 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sage, Jason, , , Date of Receipt Mailing Address 400 Regency Forest Drive Suite 400 2016 City Zip Code State Transaction ID: A2016-2561359 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 236.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Div HR Sup Chain & Qual Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2841.72 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Sager, Matthew, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City State Zip Code Transaction ID : A2016-2448957 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 5.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 265.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sale, Ronald, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 Building CV Dock CV City State Zip Code Transaction ID : A2016-2448492 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 64.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 705.65 Other (specify) 305.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

F	OR	LINE	NU	IMBER	PAGE	4	11 OF	,	539			
(check only one)												
	X	11a		11b		11c		12				
		13		14		15		16		17		

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sale, Ronald, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV Dock CV 2016 City Zip Code State Transaction ID: A2016-2560741 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 64.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 769.80 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sallowicz, Marcos, , , Date of Receipt Mailing Address 701 Waterford Way 2016 Suite 600 City State Zip Code Transaction ID: A2016-2448246 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution Svcs Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1100.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Sallowicz, Marcos, , , Date of Receipt Mailing Address 701 Waterford Way 30 2016 Suite 600 City State Zip Code Transaction ID: A2016-2560579 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution Svcs Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) 264.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 412 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Samples, Susan, , , Date of Receipt Mailing Address Components Group 100 Caterpillar Drive 2016 City Zip Code State Transaction ID: A2016-2448817 MS Booneville 38829 Amount of Each Receipt this Period FEC ID number of contributing C 41.96 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 461.56 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Samples, Susan, , , Date of Receipt Mailing Address Components Group 2016 100 Caterpillar Drive City State Zip Code Transaction ID: A2016-2561376 MS Booneville 38829 Amount of Each Receipt this Period FEC ID number of contributing 41.96 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 503.52 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Sampson, Jeffrey, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2448646 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Remarketing Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 108.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 413 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sampson, Jeffrey, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2561244 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Remarketing Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sandoval, Jesus, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2448706 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 330.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sandoval, Jesus, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2560951 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) 85.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 414 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Savage, E Jean, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2447924 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 416.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 4583.92 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Savage, E Jean, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2560777 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 4999.99 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Schaer, Corey, , , Date of Receipt Mailing Address 501 SW Jefferson 30 2016 City State Zip Code Transaction ID: A2016-2447981 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. 6 Sigma Black Belt-1 Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 857.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

EOD LINE NUMBER: DAGE 415 OF Use separate schedule(s)

1 01	LIIVL	IVO	IVIDEI	ITAGE	 15 01	000	
(che	ck only	or	ne)				
X	11a		11b		11c	12	
	13		14		15	16	17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Schaer, Corey, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City Zip Code State Transaction ID: A2016-2560826 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) 6 Sigma Black Belt-1 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Schaffroth, William, , , Date of Receipt Mailing Address 400 Regency Forest Drive 2016 Suite 400 City State Zip Code Transaction ID: A2016-2448997 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1477.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Schaffroth, William, , , Date of Receipt Mailing Address 400 Regency Forest Drive 30 2016 Suite 400 City State Zip Code Transaction ID: A2016-2561542 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1502.40 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FOR LINE NUMBER:						PAGE	: 4	16 UF		559	
(check only one)											
	X	11a		11b		11c		12			
		13		14		15		16		17	

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Schebo, Jack, , , Date of Receipt Mailing Address 5600 Stilwell 2016 City Zip Code State Transaction ID: A2016-2561962 MO Kansas City 64120 Amount of Each Receipt this Period FEC ID number of contributing C 30.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager I, Project Receipt For: Aggregate Year-to-Date ▼ Primary General 734.16 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Schick, Avery, , , Date of Receipt Mailing Address 400 Regency Forest Drive 2016 Suite 400 City State Zip Code Transaction ID: A2016-2448521 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Schick, Avery, , , Date of Receipt Mailing Address 400 Regency Forest Drive 30 2016 Suite 400 City State Zip Code Transaction ID: A2016-2560985 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 70.59 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 417 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Schmillen, Kenneth, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City Zip Code State Transaction ID: A2016-2447997 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 39.93 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 439.23 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Schmillen, Kenneth, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City State Zip Code Transaction ID: A2016-2560842 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 39.93 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 479.16 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Schnelle, Tonya, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 30 2016 PO Box 500 City State Zip Code Transaction ID: A2016-2448138 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 161.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. TIS Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 1771.88 Other (specify) 240.94 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 418 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Schnelle, Tonya,,, Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2016 City State Zip Code Transaction ID: A2016-2561034 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 161.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. TIS Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 1932.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Schryver, Scott, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448642 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Schryver, Scott, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561240 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 211.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 419 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Schueffner, Robert, , , Date of Receipt Mailing Address 560 Rehoboth Road 2016 City Zip Code State Transaction ID: A2016-2561529 GA Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 17.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 204.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Schwarzentraub, Barbara, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448861 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir. Cat Parts Distribution Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 4583.37 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Schwarzentraub, Barbara, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561407 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir. Cat Parts Distribution Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) 850.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 420 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Scott, Johanna, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448079 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 55.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Scott, Johanna, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2560921 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 55.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 495.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sears, Kevin, R., Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448168 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 180.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1268.57 Other (specify) 290.71 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

F	FOR	LINE	NU	IMBER	PAGE	4	21 OF		539			
(check only one)												
	X	11a		11b		11c		12				
		13		14		15		16		17		

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sears, Kevin, R,, Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561064 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 180.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1449.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. See, Alex, , , Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID : A2016-2447962 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 26.55 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 292.05 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. See, Alex, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2560808 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 26.55 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 318.60 Other (specify) 233.81 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 422 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Seely, Mary, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2448663 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 212.95 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Human Resources Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1890.65 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Seely, Mary, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2561008 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 212.95 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2103.60 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Selburg, Brent, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448793 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Parts Operations Rep Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 475.90 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 423 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Selburg, Brent, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561352 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Parts Operations Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Shaffer, Scott, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City State Zip Code Transaction ID: A2016-2448114 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Shaffer, Scott, , , Date of Receipt Mailing Address 27Th & Pershing Rd 30 2016 City State Zip Code Transaction ID: A2016-2561645 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

-	OH	LINE	NU	MRFK	PAGE	: 4	24 C)F	539		
(check only one)											
,	~	11a		المما		44.		140			
	^	11a		11b		11c		12		_	
		13		14		15		16		17	

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shankwitz, Phillip, , , Date of Receipt Mailing Address 600 Balderson Blvd 2016 P O Box 6 City State Zip Code Transaction ID: A2016-2449026 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing 169.72 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Quality Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 1866.92 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Shankwitz, Phillip, , , Date of Receipt Mailing Address 600 Balderson Blvd 2016 PO Box 6 City State Zip Code Transaction ID: A2016-2561571 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing 169.72 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Quality Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2036.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Shannon, David, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 30 2016 Suite 4000 City State Zip Code Transaction ID: A2016-2448499 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 389.44 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 425 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shannon, David, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2016 City State Zip Code Transaction ID: A2016-2560963 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Shapland, Brad, , , Date of Receipt Mailing Address 812 W. Washington St 2016 City State Zip Code Transaction ID: A2016-2448890 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 79.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IT Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 866.39 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Shapland, Brad, , , Date of Receipt Mailing Address 812 W. Washington St 30 2016 City State Zip Code Transaction ID: A2016-2561436 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 79.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IT Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 945.84 Other (specify) 208.90 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 426 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sharp, Robert, , , Date of Receipt Mailing Address 501 Cardinal Drive 2016 City Zip Code State Transaction ID: A2016-2448212 Corinth MS 38834 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Project Engr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 804.12 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Sharp, Robert, , , Date of Receipt Mailing Address 501 Cardinal Drive 2016 City State Zip Code Transaction ID: A2016-2561108 MS Corinth 38834 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Project Engr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 804.12 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Sharp, Robert, , , Date of Receipt Mailing Address 501 Cardinal Drive 30 2016 City Zip Code State Transaction ID: A2016-2561109 MS Corinth 38834 Amount of Each Receipt this Period FEC ID number of contributing C -10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Project Engr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 804.12 Other (specify) 10.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

Use separate schedule(s)

FOF	I LINE	MOMBER	: ٢/	1GE 4	127 OF	- 559
(che	ck only	one)				
×	11a	11b	110		12	
	13	14	15		16	17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shaw, Ernest, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City Zip Code State Transaction ID: A2016-2448566 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Parts Information Project Ldr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Shaw, Ernest, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City State Zip Code Transaction ID: A2016-2561164 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Parts Information Project Ldr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Shepherd, Scott, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2448036 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 76.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Director of Purchasing Receipt For: Aggregate Year-to-Date ▼ Primary General 833.00 Other (specify) 126.00 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

Receipt For:

Primary

Other (specify)

General

ITEMIZED RECEIPTS

SCHEDULE A (FEC Form 3X) FOR LINE NUMBER: PAGE 428 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shepherd, Scott, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2560879 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 76.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director of Purchasing Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 909.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Shook, Barry, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2448728 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 41.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Solution Lead I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 458.26 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Shook, Barry, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2561287 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 41.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Solution Lead I

											_
SUBTOTAL of Receipts This Page (optional)	I			Ī				15	9.32	_	
TOTAL This Period (last page this line number only)	_	Ξ	-	_	_	-	_	_	-	_	

499.92

Aggregate Year-to-Date ▼

FOR LINE NUMBER: PAGE 429 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shook, Paula, , , Date of Receipt Mailing Address 812 W. Washington St 2016 City Zip Code State Transaction ID: A2016-2449001 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 180.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Info Technology Manager-III Receipt For: Aggregate Year-to-Date ▼ Primary General 1982.53 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Shook, Paula, , , Date of Receipt Mailing Address 812 W. Washington St 2016 City State Zip Code Transaction ID: A2016-2561546 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 180.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Info Technology Manager-III Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2162.76 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Shubert, Lindsey, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2448046 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 31.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate Communications Rep Receipt For: Aggregate Year-to-Date ▼ Primary General 363.24 Other (specify) 391.77 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 430 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shubert, Lindsey, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2560889 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 32.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate Communications Rep Receipt For: Aggregate Year-to-Date ▼ Primary General 395.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Shurman, Rodney, , , Date of Receipt Mailing Address 3701 State Road 26 East 2016 City State Zip Code Transaction ID: A2016-2448894 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 229.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2412.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Shurman, Rodney, , , Date of Receipt Mailing Address 3701 State Road 26 East 30 2016 City State Zip Code Transaction ID: A2016-2561440 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 229.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2641.20 Other (specify) 490.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 431 OF (check only one) **X** 11a 11b 11c 12

Use separate schedule(s) for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shute, Truman, , , Date of Receipt Mailing Address 2800 E. Cedar Hills Drive 2016 City Zip Code State Transaction ID: A2016-2448590 IL Chillicothe 61523 Amount of Each Receipt this Period FEC ID number of contributing C 63.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 696.85 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Shute, Truman, , , Date of Receipt Mailing Address 2800 E. Cedar Hills Drive 2016 City State Zip Code Transaction ID: A2016-2561187 IL Chillicothe 61523 Amount of Each Receipt this Period FEC ID number of contributing 63.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 760.20 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Sidles, Timothy, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2448167 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 146.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 432 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sidles, Timothy, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2561063 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Siebenaler, Chad, , , Date of Receipt Mailing Address 600 W Washington St 2016 City State Zip Code Transaction ID: A2016-2448662 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 385.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Siebenaler, Chad, , , Date of Receipt Mailing Address 600 W Washington St 30 2016 City State Zip Code Transaction ID: A2016-2561272 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) 90.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 433 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Siekmann, Timothy, , , Date of Receipt Mailing Address 100 Ne Adams 2016 City Zip Code State Transaction ID: A2016-2448897 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Siekmann, Timothy, , , Date of Receipt Mailing Address 100 Ne Adams 2016 City State Zip Code Transaction ID: A2016-2561443 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Simison, Theodore, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2449038 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 4583.37 Other (specify) 456.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 434 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Simison, Theodore, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2561583 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Simmons, Thomas, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448824 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Quality Manager 3** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 440.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Simmons, Thomas, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City Zip Code State Transaction ID: A2016-2561384 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Quality Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) 496.63 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 435 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Simon, Danial, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2016 City State Zip Code Transaction ID: A2016-2448823 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Simon, Danial, , , Date of Receipt Mailing Address 100 Tractor Drive 2016 **Building CV** City State Zip Code Transaction ID: A2016-2561383 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Simpson, Trent, A, Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2448901 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 197.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 2175.25 Other (specify) 237.75 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER. DACE 426 OF Use separate schedule(s)

FUF	1 LIIVE	NOMBER	FAGE	43	00	- 555				
(check only one)										
×	11a	11b		11c	<u> </u>	12				
	13	14		15	Π.	16	17			

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Simpson, Trent, A,, Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2561447 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 197.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 2373.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Singanallur, Chidambaram, , , Date of Receipt Mailing Address 812 W. Washington St 2016 City State Zip Code Transaction ID: A2016-2448522 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior IT Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Singanallur, Chidambaram, , , Date of Receipt Mailing Address 812 W. Washington St 30 2016 City State Zip Code Transaction ID: A2016-2560986 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior IT Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 247.75 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 437 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sinks, Wayne, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2016 City State Zip Code Transaction ID: A2016-2448611 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Quality Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sinks, Wayne, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2016 City State Zip Code Transaction ID: A2016-2561210 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Quality Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Sisler, Tammy, , , Date of Receipt Mailing Address 1167 NC Hwy 42 East 30 2016 City Zip Code State Transaction ID: A2016-2448377 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing C 26.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 285.78 Other (specify) 66.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 438 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sisler, Tammy, , , Date of Receipt Mailing Address 1167 NC Hwy 42 East 2016 City Zip Code State Transaction ID: A2016-2561699 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing C 21.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 307.58 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Skarvan, Dennis, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID : A2016-2448847 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 4583.37 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Skarvan, Dennis, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561251 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) 855.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 439 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Skeels, Gregory, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2448109 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Skeels, Gregory, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2561640 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Skinner, Jaime, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2447937 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 125.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: Use separate schedule(s) (check only one) **X** 11a 11b

PAGE 440 OF 11c 12 13 14 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Skinner, Jaime, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2560790 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Sluga, Maureen, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2016 Suite 4000 City State Zip Code Transaction ID: A2016-2448992 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 80.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 867.71 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sluga, Maureen, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 30 2016 Suite 4000 City State Zip Code Transaction ID: A2016-2561537 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 80.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 948.40 Other (specify) 186.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 441 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Smith, Aaron, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2449063 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Segment Manager B Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Smith, Aaron, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2561608 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Segment Manager B Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Smith, Bret, , , Date of Receipt Mailing Address 250 Dozer Drive 30 2016 City State Zip Code Transaction ID: A2016-2448680 GΑ Athens 30606 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 60.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 442 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Smith, Bret, , , Date of Receipt Mailing Address 250 Dozer Drive 2016 City State Zip Code Transaction ID: A2016-2561001 GA Athens 30606 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Smith, Mary, , , Date of Receipt Mailing Address 1600 Progress Drive 2016 City State Zip Code Transaction ID: A2016-2561971 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 85.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1943.62 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Smith, Mary, , , Date of Receipt Mailing Address 1600 Progress Drive 22 2016 City State Zip Code Transaction ID: A2016-2561932 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 85.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2029.00 Other (specify) 190.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X) IT

FOR LINE NUMBER: PAGE 443 OF 539

EMIZED RECEIPTS	Use separate schedule(s)	(check only one)							000		
EMIZED RECEIPTS	for each category of the Detailed Summary Page	×	11a 13		11b	\vdash	11c	$\overline{}$	12 16		17
ny information copied from such Reports and Statements may for commercial purposes, other than using the name and a	, , , , ,		or the		oose o	f so	liciting	cor	ntribut		17
NAME OF COMMITTEE (In Full)											

	-									
\rangle	NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po	litical /	Actic	on Committee						
١.	Full Name of Individual (Last, First, Middle Initial Smith, Michael, , ,	l) or Full	Organ	nization Name	Date of	Receipt				
	Mailing Address 12301 Old Galena Road	11	30 2016							
	City	State Zip Code			Transaction ID : A2016-2448140					
	Mossville	IL 61552			Amount of Each Receipt this Period					
	FEC ID number of contributing federal political committee.	С			20.00					
	Name of Employer (for Individual)	Oc	ccupati	ion (for Individual)	Me	emo Item				
	Caterpillar Inc.	M	lanage	r						
	Receipt For:	Aggregat	te Yea	r-to-Date ▼						
	Primary General Other (specify) ▼		7	220.00						
3.	Full Name of Individual (Last, First, Middle Initial Smith, Michael, , ,	l) or Full	Organ	nization Name	Date of	Receipt				
	Mailing Address 12301 Old Galena Road				12	30 2016				
	City	State		Zip Code	Trans	action ID : A2016-2561036				
	Mossville	IL		61552	Amount	of Each Receipt this Period				
	FEC ID number of contributing federal political committee.	С				20.00				
	Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) Manager			Me	emo Item				
	Receipt For:	Aggregat	te Yea	r-to-Date ▼						
	Primary General									
	Other (specify) ▼		,	240.00						
).	Full Name of Individual (Last, First, Middle Initial Smith, Nigel, , ,	l) or Full	Organ	nization Name	Date of	Receipt				
	Mailing Address 501 SW Jefferson				11	30 2016				
	City	State		Zip Code	Trans	action ID : A2016-2448700				
	Peoria	IL		61629	Amount	of Each Receipt this Period				
	FEC ID number of contributing federal political committee.	С			Ē	20.00				
	Name of Employer (for Individual) Caterpillar Inc.		ccupati anagei	ion (for Individual) r	M	emo Item				
		Aggregat	te Yea	r-to-Date ▼						
	Primary General Other (specify)		220.00							
s	UBTOTAL of Receipts This Page (optional)			>		60.00				
Т	OTAL This Period (last page this line number on	ly)								

FOR LINE NUMBER: PAGE 444 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Smith, Nigel, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City Zip Code State Transaction ID: A2016-2560945 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Smith, Ronald, , , Date of Receipt Mailing Address 3701 State Road 26 East 2016 City State Zip Code Transaction ID: A2016-2448936 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Smith, Ronald, , , Date of Receipt Mailing Address 3701 State Road 26 East 30 2016 City State Zip Code Transaction ID: A2016-2561482 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 445 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Smith, Wayne, , , Date of Receipt Mailing Address 500 N Morton Ave 2016 City Zip Code State Transaction ID: A2016-2448654 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 137.01 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1494.05 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Smith, Wayne, , , Date of Receipt Mailing Address 500 N Morton Ave 2016 City State Zip Code Transaction ID: A2016-2561264 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 137.01 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1631.06 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Snyder, Brian, , , Date of Receipt Mailing Address 560 Rehoboth Road 30 2016 City State Zip Code Transaction ID: A2016-2448755 GΑ Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 54.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 604.01 Other (specify) 328.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 446 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Snyder, Brian, , , Date of Receipt Mailing Address 560 Rehoboth Road 2016 City State Zip Code Transaction ID: A2016-2561314 GA Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 54.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 658.92 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Soendlin, Deron, , , Date of Receipt Mailing Address 500 N. Morton Ave 2016 City State Zip Code Transaction ID: A2016-2448131 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Soendlin, Deron, , , Date of Receipt Mailing Address 500 N. Morton Ave 30 2016 City State Zip Code Transaction ID: A2016-2561662 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 104.91 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 447 OF (check only one) **X** 11a 11b 11c

Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Soriano, Jose, , , Date of Receipt Mailing Address 1600 Progress Drive 2016 City Zip Code State Transaction ID: A2016-2561972 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP, Intermodal Operation **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Souza Costa, Rafael, , , Date of Receipt Mailing Address USA-FL-Miami 2016 City State Zip Code Transaction ID: A2016-2561923 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 55.37 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Account Manager-Sales-O&G Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 226.23 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Speake, Laura, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448071 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 127.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 895.16 Other (specify) 203.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

Use separate schedule(s)

F	OR	LINE	NU	MBER	PAGE	4	48 OF	,	539	
(check only one)										
	X	11a		11b		11c		12		
		13		14		15		16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Speake, Laura, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2560913 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 127.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1023.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Spears, Andrew, , , Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2448361 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Spears, Andrew, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2561684 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 167.88 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 449 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Spears, Christopher, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448228 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Deputy General Counsel** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 825.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Spears, Christopher, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561124 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 900.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Spielman, Michael, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 30 2016 PO Box 348 City State Zip Code Transaction ID: A2016-2448546 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 175.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 450 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Spielman, Michael, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr PO Box 348 2016 City State Zip Code Transaction ID: A2016-2561144 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Spitznagle, Kathryn, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2449004 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 550.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Spitznagle, Kathryn, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561549 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 125.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 451 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Spitznagle, Matthew, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448171 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 38.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 425.70 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Spitznagle, Matthew, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561067 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 38.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 464.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Spooner, David, , , Date of Receipt Mailing Address 2045 West Pike Street 30 2016 City State Zip Code Transaction ID: A2016-2448018 PΑ Houston 15342 Amount of Each Receipt this Period FEC ID number of contributing C 62.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 439.31 Other (specify) 139.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 452 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Spooner, David, , , Date of Receipt Mailing Address 2045 West Pike Street 2016 City Zip Code State Transaction ID: A2016-2560863 PA Houston 15342 Amount of Each Receipt this Period FEC ID number of contributing C 62.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 501.51 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Spruiell, Laine, , , Date of Receipt Mailing Address 1600 Progress Drive 2016 City State Zip Code Transaction ID: A2016-2561965 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Controller, International Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 480.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stafl, Lisa, , , Date of Receipt Mailing Address 9301 West 55th Street 30 2016 City State Zip Code Transaction ID: A2016-2448027 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 132.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 453 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stafl, Lisa, , , Date of Receipt Mailing Address 9301 West 55th Street 2016 City Zip Code State Transaction ID: A2016-2560870 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Staley, Daniel, , , Date of Receipt Mailing Address 100 Ne Adams 2016 City State Zip Code Transaction ID: A2016-2448070 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 55.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 596.46 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Staley, Daniel, , , Date of Receipt Mailing Address 100 Ne Adams 30 2016 City State Zip Code Transaction ID: A2016-2560912 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 55.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 651.78 Other (specify) 160.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 454 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stanley, James, , , Date of Receipt Mailing Address Route 29 & Old Galena Road Dock 55 2016 City Zip Code State Transaction ID: A2016-2448435 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 92.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Quality Supervisor 5** Receipt For: Aggregate Year-to-Date ▼ Primary General 1013.43 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stanley, James, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City State Zip Code Transaction ID: A2016-2560684 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 92.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Quality Supervisor 5** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1105.56 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Starr, James, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 30 2016 PO Box 500 City State Zip Code Transaction ID: A2016-2448752 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 234.26 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 455 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Starr, James, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2016 City State Zip Code Transaction ID: A2016-2561311 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Steidinger, Theodore, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City State Zip Code Transaction ID: A2016-2448856 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 98.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. 6 Sigma Black Belt-1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1082.29 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Steidinger, Theodore, , , Date of Receipt Mailing Address 501 SW Jefferson 30 2016 City State Zip Code Transaction ID: A2016-2561259 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 98.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. 6 Sigma Black Belt-1 Receipt For: Aggregate Year-to-Date ▼ Primary General 1180.68 Other (specify) 246.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 456 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Steiner, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448661 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2916.69 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Steiner, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561271 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 3333.36 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Sterton, Scott, , , Date of Receipt Mailing Address 3535 Factoria Blvd. SE 30 2016 Suite 350 City State Zip Code Transaction ID: A2016-2448760 WA Bellevue 98006 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Regional Insurance Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 858.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

F	OR	LINE	NU	MBER	PAGE	4	57 OF	;	539			
(0	(check only one)											
	×	11a		11b		11c		12				
		13		14		15		16		17		

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sterton, Scott, , , Date of Receipt Mailing Address 3535 Factoria Blvd. SE Suite 350 2016 City State Zip Code Transaction ID: A2016-2561319 WA Bellevue 98006 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Regional Insurance Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stevens, Janet, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City State Zip Code Transaction ID : A2016-2448072 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 55.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 615.01 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stevens, Janet, , , Date of Receipt Mailing Address 501 SW Jefferson 30 2016 City State Zip Code Transaction ID: A2016-2560914 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 55.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 670.92 Other (specify) 136.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 458 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stevens, Matthew, , , Date of Receipt Mailing Address 9401 85Th Avenue North 2016 City Zip Code State Transaction ID: A2016-2448484 MN Brooklyn Park 55445 Amount of Each Receipt this Period FEC ID number of contributing C 36.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 405.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stevens, Matthew, , , Date of Receipt Mailing Address 9401 85Th Avenue North 2016 City State Zip Code Transaction ID: A2016-2560734 Brooklyn Park MN 55445 Amount of Each Receipt this Period FEC ID number of contributing 36.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 442.56 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stevenson, Brad, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2449007 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 131.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. EHS Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 1436.03 Other (specify) 205.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 459 OF (check only one) **X** 11a 11b 11c 12

Use separate schedule(s) for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stevenson, Brad, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561552 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 131.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) EHS Manager I Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1567.72 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stewart, Leonard, , , Date of Receipt Mailing Address 100 Ne Adams 2016 City State Zip Code Transaction ID: A2016-2448279 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stewart, Leonard, , , Date of Receipt Mailing Address 100 Ne Adams 30 2016 City State Zip Code Transaction ID: A2016-2560613 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 171.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 460 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stiles, Sally, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448172 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir. of Tax & Trade Operations Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 4583.37 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stiles, Sally, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID : A2016-2561068 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir. of Tax & Trade Operations Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 5000.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stimeling, John, , , Date of Receipt Mailing Address 1720 Kingsbury 30 2016 City State Zip Code Transaction ID: A2016-2448351 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 45.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 517.86 Other (specify) 878.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

EOD LINE NUMBER: DAGE 461 OF Use separate schedule(s)

I TOTT LINE INDIVIDETT.							IAGL		O I	Oi		000
	(check only one)											
		×	11a		11b		11c		12			
			13		14		15		16			17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stimeling, John, , , Date of Receipt Mailing Address 1720 Kingsbury 2016 City Zip Code State Transaction ID: A2016-2561674 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 45.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 563.49 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stockwell, Tammi, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2447936 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 109.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 983.43 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stockwell, Tammi, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2560789 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 109.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1093.28 Other (specify) 265.33 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 462 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stokes, Jennifer, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City Zip Code State Transaction ID: A2016-2448339 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Rental/Used Equipment Cnslt Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Stokes, Jennifer, , , Date of Receipt Mailing Address 501 SW Jefferson 2016 City State Zip Code Transaction ID: A2016-2560675 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Rental/Used Equipment Cnslt Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stoller, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448835 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Cash Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 463 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stoller, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561395 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Cash Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Stone, Brian, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448325 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 108.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1195.15 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Stone, Brian, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2560661 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 108.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 1303.80 Other (specify) 242.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 464 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stone, Casey, , , Date of Receipt Mailing Address 812 W. Washington St 2016 City Zip Code State Transaction ID: A2016-2447930 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Quality Supervisor 5** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Stone, Casey, , , Date of Receipt Mailing Address 812 W. Washington St 2016 City State Zip Code Transaction ID: A2016-2560783 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Quality Supervisor 5** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stone, Jonathan, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 **Building CV** City State Zip Code Transaction ID: A2016-2448326 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 138.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1508.34 Other (specify) 188.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

l	FOF	R LINE	NUN	/IBER	PAGE	4	65 OF		539		
l	(check only one)										
	X	11a		11b		11c		12			
l		13	Π.	14		15		16		17	

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stone, Jonathan, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2016 City State Zip Code Transaction ID: A2016-2560662 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 138.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1646.66 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stratton, Mark, , , Date of Receipt Mailing Address 100 NE Adams 2016 Eastfield City State Zip Code Transaction ID: A2016-2448132 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Reman Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 4583.37 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stratton, Mark, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 Eastfield City State Zip Code Transaction ID: A2016-2561663 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Reman Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) 971.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 466 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Strong, Robert, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City Zip Code State Transaction ID: A2016-2448976 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 230.55 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Technical Services Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 2536.05 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Strong, Robert, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City State Zip Code Transaction ID: A2016-2561521 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 230.55 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Technical Services Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2766.60 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Strong, Theresa, , , Date of Receipt Mailing Address 27Th & Pershing Rd 30 2016 City State Zip Code Transaction ID: A2016-2561554 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 486.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 467 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stroup, Dana, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2016 City State Zip Code Transaction ID: A2016-2448778 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 192.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1922.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stroup, Dana, , , Date of Receipt Mailing Address 100 Tractor Drive **Building CV** 2016 City State Zip Code Transaction ID: A2016-2561337 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 192.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2114.20 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stuart, Robert, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448485 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Benefit Vndr Mgr w/ Plan Desig Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 409.40 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

ı	FOF	R LINE	NUMBER	: PAG	E 468 OF	539						
l	(check only one)											
	X	11a	11b	11c	12							
ı		13	14	15	16	17						

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stuart, Robert, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2560735 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Benefit Vndr Mgr w/ Plan Desig Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sundell, Daniel, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448118 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 124.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager-KEC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1357.42 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sundell, Daniel, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561649 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 124.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager-KEC Receipt For: Aggregate Year-to-Date ▼ Primary General 1481.90 Other (specify) 273.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 469 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Swan, Ronald, , , Date of Receipt Mailing Address 500 N. Morton Ave 2016 City Zip Code State Transaction ID: A2016-2448539 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Swan, Ronald, , , Date of Receipt Mailing Address 500 N. Morton Ave 2016 City State Zip Code Transaction ID: A2016-2561137 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Swenson, Rodney, , , Date of Receipt Mailing Address 1720 Kingsbury 30 2016 City State Zip Code Transaction ID: A2016-2449059 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 65.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 470 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Swenson, Rodney, , , Date of Receipt Mailing Address 1720 Kingsbury 2016 City Zip Code State Transaction ID: A2016-2561604 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Swick, William, , , Date of Receipt Mailing Address 1167 NC Hwy 42 East 2016 City State Zip Code Transaction ID: A2016-2448805 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 76.36 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 832.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Swick, William, , , Date of Receipt Mailing Address 1167 NC Hwy 42 East 30 2016 City State Zip Code Transaction ID: A2016-2561364 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing C 76.36 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 909.04 Other (specify) 177.72 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 471 OF (check only one) **X** 11a 11b 11c 12

Use separate schedule(s) for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Talley, Ross, , , Date of Receipt Mailing Address 600 Balderson Blvd P O Box 6 2016 City State Zip Code Transaction ID: A2016-2447926 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing C 30.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 330.77 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Talley, Ross, , , Date of Receipt Mailing Address 600 Balderson Blvd PO Box 6 2016 City State Zip Code Transaction ID: A2016-2560779 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing 30.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 360.84 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Taphorn, Matthew, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 **Building CV** City State Zip Code Transaction ID : A2016-2448388 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 112.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1232.88 Other (specify) 172.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 472 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Taphorn, Matthew, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2016 City Zip Code State Transaction ID: A2016-2561710 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 112.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1344.96 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Tate, Larry, , , Date of Receipt Mailing Address 100 Tractor Drive 2016 **Building CV** City State Zip Code Transaction ID : A2016-2449070 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 4583.37 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Tate, Larry, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 **Building CV** City State Zip Code Transaction ID: A2016-2561615 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) 945.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 473 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name ter Horst, Kenneth, , , Date of Receipt Mailing Address USA-CA-Customer Support Center 2016 City Zip Code State Transaction ID: A2016-2447865 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Prin Project Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** ter Horst, Kenneth, , , Date of Receipt Mailing Address USA-CA-Customer Support Center 2016 City State Zip Code Transaction ID : A2016-2561908 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Prin Project Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Terpstra, Daniel, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2448912 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 171.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 1884.08 Other (specify) 221.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 474 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Terpstra, Daniel, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2561458 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 171.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 2055.36 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Thieneman, Kevin, , , Date of Receipt Mailing Address 100 S. L. White Blvd. 2016 City State Zip Code Transaction ID: A2016-2448555 GA La Grange 30241 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1100.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Thieneman, Kevin, , , Date of Receipt Mailing Address 100 S. L. White Blvd. 30 2016 City State Zip Code Transaction ID: A2016-2561153 GΑ La Grange 30241 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) 371.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 475 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Thomas, Heather, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448709 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Communications Rep - 2 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Thomas, Heather, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2560954 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Communications Rep - 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Thompson, Mark, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448888 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 476 OF Use separate schedule(s)

ı	1 01	IVIDEI	1 / (, ,				
	(che	ck only	or	ne)				
	×	11a		11b		11c	12	
		13		14		15	16	17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Thompson, Mark, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561434 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Business Resource Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Thompson, Mary, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2447989 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.02 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 275.54 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Thompson, Mary, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2560834 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.02 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.56 Other (specify) 100.04 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 477 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Thompson, Robert, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2448259 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 435.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. President Insurance Services Receipt For: Aggregate Year-to-Date ▼ Primary General 4785.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Thompson, Robert, , , Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2560592 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 215.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. President Insurance Services Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 5000.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Tillotson, Steven, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2448925 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 160.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **CPGS Projects Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1767.81 Other (specify) 810.71 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 478 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Tillotson, Steven, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2561471 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 160.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **CPGS Projects Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1928.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Tilly, Bradley, , , Date of Receipt Mailing Address 100 Tractor Drive 2016 **Building CV** City State Zip Code Transaction ID: A2016-2448532 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 82.74 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Commercial Mining Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 910.14 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Tilly, Bradley, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 **Building CV** City State Zip Code Transaction ID: A2016-2561130 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 82.74 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Commercial Mining Receipt For: Aggregate Year-to-Date ▼ Primary General 992.88 Other (specify) 326.19 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 479 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Tilson, James, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2448852 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Technologist - Design Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Tilson, James, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2561256 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Technologist - Design Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Tisdale, Kurt, , , Date of Receipt Mailing Address 501 SW Jefferson 30 2016 City State Zip Code Transaction ID: A2016-2449061 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Market Development Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 215.00 Other (specify) 50.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

SCHEDULE A (FEC Form 3X) IT

FOR LINE NUMBER: PAGE 480 OF

ΙT	EMIZED RECEIPTS		for each category of the Detailed Summary Page	(check only one) X 11a
	y information copied from such Reports and S for commercial purposes, other than using the			erson for the purpose of soliciting contributions
	NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee F	Political A	ction Committee	
Α.		tial) or Full C	rganization Name	Date of Receipt
	Mailing Address 501 SW Jefferson			12 30 / Y Y Y Y Y
	Peoria Peoria	State IL	Zip Code 61629	Transaction ID : A2016-2561606 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		10.00
	Name of Employer (for Individual) Caterpillar Inc.		upation (for Individual)	Memo Item
	Receipt For:		Year-to-Date ▼	
	Primary General Other (specify) ▼	Aggregate	225.00]
- R	Full Name of Individual (Last, First, Middle Ini	tial) or Full C	Organization Name	Date of Receipt
٠.	Mailing Address 100 NE Adams	11 30 2016		
	City	State	Zip Code	
	Peoria	IL	61629	Transaction ID : A2016-2448937 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		86.08
	Name of Employer (for Individual) Caterpillar Inc.		upation (for Individual) I Program Manager	Memo Item
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 909.39]
— С.	Full Name of Individual (Last, First, Middle Ini Tobias, Charles, , ,	tial) or Full C	Organization Name	Date of Receipt
	Mailing Address 100 NE Adams			12 30 2016
	City Peoria	State IL	Zip Code 61629	Transaction ID : A2016-2561483 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		86.08
	Name of Employer (for Individual) Caterpillar Inc.		upation (for Individual) Program Manager	Memo Item
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 995.47]
Н	UBTOTAL of Receipts This Page (optional) OTAL This Period (last page this line number			182.16

FOR LINE NUMBER: PAGE 481 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Toher, Susan, , , Date of Receipt Mailing Address 4-H Park Road P O Box 740 2016 City Zip Code State Transaction ID: A2016-2448626 IL Pontiac 61764 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Toher, Susan, , , Date of Receipt Mailing Address 4-H Park Road 2016 P O Box 740 City State Zip Code Transaction ID: A2016-2561225 IL **Pontiac** 61764 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1200.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Tolin, Fredrik, , , Date of Receipt Mailing Address 27Th & Pershing Rd 30 2016 City State Zip Code Transaction ID: A2016-2448054 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 32.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 332.00 Other (specify) 232.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 482 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Tolin, Fredrik, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City Zip Code State Transaction ID: A2016-2560896 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 32.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 364.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Tomlins, Gregory, , , Date of Receipt Mailing Address 100 Tractor Drive 2016 **Building CV** City State Zip Code Transaction ID: A2016-2448199 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 160.93 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1287.44 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Tomlins, Gregory, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 **Building CV** City State Zip Code Transaction ID: A2016-2561095 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 160.93 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1448.37 Other (specify) 353.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 483 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Tomsovic, Brian, , , Date of Receipt Mailing Address 1720 Kingsbury 2016 City Zip Code State Transaction ID: A2016-2448779 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 71.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 776.26 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Tomsovic, Brian, , , Date of Receipt Mailing Address 1720 Kingsbury 2016 City State Zip Code Transaction ID: A2016-2561338 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing 71.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 847.44 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Towne, Ursula, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2016 Bldg L City State Zip Code Transaction ID: A2016-2448653 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 38.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 412.85 Other (specify) 180.89 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FOR LINE NUMBER:						PAGE 484 OF 539						
(0	(check only one)											
	X	11a		11b		11c		12				
		13		14		15		16		17		

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Towne, Ursula, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg L 2016 City State Zip Code Transaction ID: A2016-2561263 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 38.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 451.38 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Townsend, Gary, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City State Zip Code Transaction ID: A2016-2560680 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Trent, Andrew, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448619 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) 93.53 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 485 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Trent, Andrew, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561218 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Trueblood, John, , , Date of Receipt Mailing Address 751 International Dr 2016 City State Zip Code Transaction ID: A2016-2447913 IN Franklin 46131 Amount of Each Receipt this Period FEC ID number of contributing 38.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 421.63 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Trueblood, John, , , Date of Receipt Mailing Address 751 International Dr 30 2016 City State Zip Code Transaction ID: A2016-2560766 IN Franklin 46131 Amount of Each Receipt this Period FEC ID number of contributing 38.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 459.96 Other (specify) 106.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FOR LINE NUMBER:					PAGE	: 4	86 UF		539		
	(check only one)										
	×	11a	11b		11c		12				
		13	14		15		16		17		

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Tyre, Beth, , , Date of Receipt Mailing Address 812 W. Washington St 2016 City Zip Code State Transaction ID: A2016-2448206 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Human Resources Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Tyre, Beth, , , Date of Receipt Mailing Address 812 W. Washington St 2016 City State Zip Code Transaction ID: A2016-2561102 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Uken, Gary, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 **Building CV** City State Zip Code Transaction ID: A2016-2448579 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Director of Engineering Receipt For: Aggregate Year-to-Date ▼ Primary General 280.00 Other (specify) 80.00 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 487 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Uken, Gary, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2016 City State Zip Code Transaction ID: A2016-2561176 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Director of Engineering Receipt For: Aggregate Year-to-Date ▼ Primary General 310.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Umpleby, Donald, , , III Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448850 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Group President** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 4583.37 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Umpleby, Donald, , , III Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561254 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Group President** Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) 863.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 488 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Underwood, Jonathon, , , Date of Receipt Mailing Address 8826 2 Route 24 2016 City Zip Code State Transaction ID: A2016-2448459 IL 61547 Mapleton Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engineer 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Underwood, Jonathon, , , Date of Receipt Mailing Address 8826 2 Route 24 2016 City State Zip Code Transaction ID: A2016-2560709 IL Mapleton 61547 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engineer 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Utley, Tana, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 30 2016 Dock 55 City State Zip Code Transaction ID: A2016-2449066 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 4583.37 Other (specify) 466.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 489 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Utley, Tana, , , Date of Receipt Mailing Address Route 29 & Old Galena Road Dock 55 2016 City State Zip Code Transaction ID: A2016-2561611 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Valek, Robert, , , Date of Receipt Mailing Address 751 International Dr 2016 City State Zip Code Transaction ID : A2016-2447914 IN Franklin 46131 Amount of Each Receipt this Period FEC ID number of contributing 40.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 442.31 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Valek, Robert, , , Date of Receipt Mailing Address 751 International Dr 30 2016 City State Zip Code Transaction ID: A2016-2560767 IN Franklin 46131 Amount of Each Receipt this Period FEC ID number of contributing C 40.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 482.52 Other (specify) 497.05 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 490 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Van Kley, Thomas, , , Date of Receipt Mailing Address 500 N Morton Ave 2016 City Zip Code State Transaction ID: A2016-2448511 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Van Kley, Thomas, , , Date of Receipt Mailing Address 500 N Morton Ave 2016 City State Zip Code Transaction ID: A2016-2560975 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 5.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 280.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Vance, Terry, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 **Building CV** City State Zip Code Transaction ID: A2016-2448271 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Area Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 50.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 491 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Vance, Terry, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2016 City State Zip Code Transaction ID: A2016-2560604 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Area Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Vanden Avond, Daniel, , , Date of Receipt Mailing Address 5520 13th St 2016 City State Zip Code Transaction ID: A2016-2448000 MI Menominee 49858 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Vanden Avond, Daniel, , Date of Receipt Mailing Address 5520 13th St 30 2016 City Zip Code State Transaction ID: A2016-2560845 MI Menominee 49858 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 60.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 492 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Vanek, Jeffrey, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448515 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 126.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Industry Representative Receipt For: Aggregate Year-to-Date ▼ Primary General 907.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Vanek, Jeffrey, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2560979 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 126.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Industry Representative** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1034.55 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** VanHootegem, Janelle, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2447947 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 33.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 365.42 Other (specify) 287.04 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 493 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name VanHootegem, Janelle, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2016 City Zip Code State Transaction ID: A2016-2560760 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 33.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 398.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Vannette, Mark, , , Date of Receipt Mailing Address 3501 South FM Hwy 1417 2016 City State Zip Code Transaction ID: A2016-2448272 TX Denison 75020 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Vannette, Mark, , , Date of Receipt Mailing Address 3501 South FM Hwy 1417 30 2016 City Zip Code State Transaction ID: A2016-2560605 TX Denison 75020 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 83.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER, DACE 404 OF Use separate schedule(s)

FOR LINE NUMBER.					FAGL	- 4	94 OI	555	
(c	he	ck only	or	ne)					
	X	11a		11b		11c		12	
		13		14		15		16	17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Varela, Antonio, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City Zip Code State Transaction ID: A2016-2448362 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 41.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 331.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Varela, Antonio, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City State Zip Code Transaction ID: A2016-2561685 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 41.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 372.69 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Vassallo, Gina, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID : A2016-2448640 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 165.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Process Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1817.20 Other (specify) 248.02 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 495 OF Use separate schedule(s)

ı	1 01	17101	 00 01					
	(che	ck only	or	ne)				
	×	11a		11b		11c	12	
		13		14		15	16	17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Vassallo, Gina, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561238 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 165.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Process Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1982.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Velasquez, Sheryl, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID : A2016-2448237 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Velasquez, Sheryl, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2560570 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 215.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 496 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Velde, Brandon, , , Date of Receipt Mailing Address 211 Fulton Street Suite 500B 2016 City Zip Code State Transaction ID: A2016-2448716 IL Peoria 61602 Amount of Each Receipt this Period FEC ID number of contributing C 27.87 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Technology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 306.57 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Velde, Brandon, , , Date of Receipt Mailing Address 211 Fulton Street 2016 Suite 500B City State Zip Code Transaction ID: A2016-2561275 IL Peoria 61602 Amount of Each Receipt this Period FEC ID number of contributing 27.87 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Technology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 334.44 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Verheyen, Michael, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2449024 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 80.74 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 497 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Verheyen, Michael, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2561569 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Verpraet, Annette, R,, Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID : A2016-2448791 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Division Communications Lead** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1100.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Verpraet, Annette, R., Date of Receipt Mailing Address 100 NE Adams 30 2016 City Zip Code State Transaction ID: A2016-2561350 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Division Communications Lead Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) 225.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 498 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name VeZain, Darilynn, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448009 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 41.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 373.16 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. VeZain, Darilynn, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2560854 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 41.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 415.04 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Viars, Vincent, , , Date of Receipt Mailing Address 2045 West Pike Street 30 2016 City State Zip Code Transaction ID: A2016-2448035 PΑ Houston 15342 Amount of Each Receipt this Period FEC ID number of contributing C 38.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 427.90 Other (specify) 122.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 499 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Viars, Vincent, , , Date of Receipt Mailing Address 2045 West Pike Street 2016 City Zip Code State Transaction ID: A2016-2560878 PA Houston 15342 Amount of Each Receipt this Period FEC ID number of contributing C 38.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 466.80 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Vick, Mary, , , Date of Receipt Mailing Address 100 Tractor Drive 2016 **Building CV** City State Zip Code Transaction ID : A2016-2448751 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Division HR Communication Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Vick, Mary, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 **Building CV** City State Zip Code Transaction ID: A2016-2561310 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Division HR Communication Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 88.90 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 500 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Volz, Carl, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448179 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 67.05 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Governmental Affairs Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 731.15 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Volz, Carl, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561075 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 67.05 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 798.20 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Walenta, John, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 Building CV Dock CV City State Zip Code Transaction ID: A2016-2449040 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 94.17 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Factory Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1035.87 Other (specify) 228.27 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 501 OF

Use separate schedule(s)	(che	ck only	or	ne)					
for each category of the Detailed Summary Page	X	11a		11b		11c	12		
		13		14		15		16	17
					,				

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Walenta, John, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV Dock CV 2016 City Zip Code State Transaction ID: A2016-2561585 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 94.17 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Factory Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1130.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Walters, Thomas, , , Date of Receipt Mailing Address 600 S. Second Street 2016 Suite 101 City State Zip Code Transaction ID: A2016-2448750 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing 195.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Governmental Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2145.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Walters, Thomas, , , Date of Receipt Mailing Address 600 S. Second Street 30 2016 Suite 101 City State Zip Code Transaction ID: A2016-2561309 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing 195.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Governmental Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 2340.00 Other (specify) 484.17 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 502 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Walton, David, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448880 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 238.98 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President CFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2628.78 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Walton, David, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561426 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 238.98 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2867.76 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ward, Timothy, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2447954 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director Supply Chain Planning** Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) 577.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 503 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ward, Timothy, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2560800 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director Supply Chain Planning** Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Warning, Kenneth, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2561739 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 41.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 208.15 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Weaver, Travis, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448053 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 255.20 Other (specify) 167.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

F	FOR LINE NUMBER:						PAGE 504 OF				
(0	(check only one)										
	×	11a		11b		11c		12			
		13		14		15		16		17	

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Weaver, Travis, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2560895 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 280.72 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Weer, Noel, S,, Date of Receipt Mailing Address Building H Dock 13 2016 11 City State Zip Code Transaction ID: A2016-2448659 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 64.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Demand & Orders Mgr 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 710.27 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Weer, Noel, S, , Date of Receipt Mailing Address Building H Dock 13 30 2016 City State Zip Code Transaction ID: A2016-2561269 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 64.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Demand & Orders Mgr 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 774.84 Other (specify) 154.66 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 505 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Weiss, Karl, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2016 City State Zip Code Transaction ID: A2016-2448087 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 4583.37 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Weiss, Karl, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2016 City State Zip Code Transaction ID: A2016-2560929 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 5000.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** West, Christopher, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 **Building CV Dock CV** City State Zip Code Transaction ID: A2016-2448578 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 48.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 529.98 Other (specify) 881.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 506 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name West, Christopher, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV Dock CV 2016 City Zip Code State Transaction ID: A2016-2561175 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 48.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 578.37 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. West, Greg,, Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City State Zip Code Transaction ID : A2016-2448347 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 33.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 360.74 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. West, Greg, , , Date of Receipt Mailing Address 27Th & Pershing Rd 30 2016 City State Zip Code Transaction ID: A2016-2561670 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 33.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 394.26 Other (specify) 115.43 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 507 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Weston, Douglas, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2448099 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Segment Manager A Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 825.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Weston, Douglas, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City State Zip Code Transaction ID: A2016-2561630 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Segment Manager A Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 900.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** White, Christopher, , , Date of Receipt Mailing Address 3701 State Road 26 East 30 2016 City State Zip Code Transaction ID: A2016-2448741 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Purchasing Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 200.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 508 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name White, Christopher, , , Date of Receipt Mailing Address 3701 State Road 26 East 2016 City Zip Code State Transaction ID: A2016-2561300 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Purchasing Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. White, Scott, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City State Zip Code Transaction ID: A2016-2448189 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 32.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 361.13 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. White, Scott, , , Date of Receipt Mailing Address 27Th & Pershing Rd 30 2016 City State Zip Code Transaction ID: A2016-2561085 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 32.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 393.96 Other (specify) 115.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FO	R LINE	NUMBER	:	PAGE	: 5	09 OF	•	539
(ch	eck only	one)						
×	1 11a	11b		11c		12		
	13	14		15		16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Whitehead, John, , , Date of Receipt Mailing Address 100 S. L. White Blvd. 2016 City State Zip Code Transaction ID: A2016-2448405 GA La Grange 30241 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 700.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Whitehead, John, , , Date of Receipt Mailing Address 100 S. L. White Blvd. 2016 City State Zip Code Transaction ID : A2016-2561727 GA La Grange 30241 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 800.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Whiteley, Douglas, , , Date of Receipt Mailing Address 90 Ceramic Tile Dr 30 2016 City State Zip Code Transaction ID : A2016-2448782 NC Morganton 28655 Amount of Each Receipt this Period FEC ID number of contributing C 176.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1926.84 Other (specify) 376.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 510 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Whiteley, Douglas, , , Date of Receipt Mailing Address 90 Ceramic Tile Dr 2016 City Zip Code State Transaction ID: A2016-2561341 NC Morganton 28655 Amount of Each Receipt this Period FEC ID number of contributing C 176.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2102.94 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wick, Jennifer, , , Date of Receipt Mailing Address 100 Ne Adams 2016 City State Zip Code Transaction ID: A2016-2448960 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 72.76 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 801.72 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wick, Jennifer, , , Date of Receipt Mailing Address 100 Ne Adams 30 2016 City State Zip Code Transaction ID: A2016-2561505 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 72.76 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 874.48 Other (specify) 321.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 511 OF (check only one) **X** 11a 11b 12 11c

Use separate schedule(s) for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wickenhauser, Autumn, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448049 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 1.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 446.30 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Wiegardt, Marcy, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2449003 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 330.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Wiegardt, Marcy, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561548 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) 61.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 512 OF Use separate schedule(s)

(0	che	ck only	or	ne)			
	X	11a		11b	11c	12	
		13		14	15	16	17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wiener, Chad, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448051 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wiener, Chad, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2560893 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wieringa, Gerritt, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448068 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 55.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 600.78 Other (specify) 95.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 513 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wieringa, Gerritt, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2560910 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 55.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 656.10 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wiest, Valerie, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2016 Bldg F City State Zip Code Transaction ID: A2016-2448194 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 83.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 920.48 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Wiest, Valerie, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2016 Bldg F City State Zip Code Transaction ID: A2016-2561090 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 83.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 1004.16 Other (specify) 222.68 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 514 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wignall, Troy,,, Date of Receipt Mailing Address 500 N Morton Ave 2016 City Zip Code State Transaction ID: A2016-2448472 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 58.25 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 378.54 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wignall, Troy, , , Date of Receipt Mailing Address 500 N Morton Ave 2016 City State Zip Code Transaction ID: A2016-2560722 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 50.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 428.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wiklund, Robert, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 Central Boulevard Blythe Valley Pa City Zip Code State Transaction ID: A2016-2448965 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 158.39 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: (check only one) **X** 11a 11b 11c

PAGE 515 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wiklund, Robert, , , Date of Receipt Mailing Address 100 NE Adams Central Boulevard Blythe Valley Pa 2016 City Zip Code State Transaction ID: A2016-2561510 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wilcoxen, Sanford, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 PO Box 1430 City State Zip Code Transaction ID: A2016-2448657 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing 53.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 588.06 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Wilcoxen, Sanford, , , Date of Receipt Mailing Address 27Th & Pershing Rd 30 2016 PO Box 1430 City State Zip Code Transaction ID: A2016-2561267 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing C 53.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 641.52 Other (specify) 156.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 516 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wilken, Laurence, , , Date of Receipt Mailing Address 100 Ne Adams 2016 City Zip Code State Transaction ID: A2016-2448288 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wilken, Laurence, , , Date of Receipt Mailing Address 100 Ne Adams 2016 City State Zip Code Transaction ID: A2016-2560622 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1125.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Willi, Martin, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2016 Bldg F City State Zip Code Transaction ID: A2016-2449076 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 81.16 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 885.04 Other (specify) 206.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 517 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Willi, Martin, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg F 2016 City State Zip Code Transaction ID: A2016-2561621 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 81.16 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 966.20 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Williams, Timothy, , , Date of Receipt Mailing Address 211 Fulton Street 2016 Suite 500B City State Zip Code Transaction ID: A2016-2447911 IL Peoria 61602 Amount of Each Receipt this Period FEC ID number of contributing 108.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Director Global Security Svcs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1190.20 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Williams, Timothy, , , Date of Receipt Mailing Address 211 Fulton Street 30 2016 Suite 500B City State Zip Code Transaction ID: A2016-2560764 IL Peoria 61602 Amount of Each Receipt this Period FEC ID number of contributing C 108.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Director Global Security Svcs Receipt For: Aggregate Year-to-Date ▼ Primary General 1298.40 Other (specify) 297.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s) for each category of the

FO	R LINE	:	PAGE	5	18 OF	539	
(ch	eck only						
[>	1 1a	11b		11c		12	
	13	14		15		16	17

Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Williamson, Theodore, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448726 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 116.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 816.69 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Williamson, Theodore, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561285 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 122.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 939.20 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Winkel, Christopher, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2449064 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 52.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. 6 Sigma Strategy Champion Receipt For: Aggregate Year-to-Date ▼ Primary General 576.51 Other (specify) 291.59 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 519 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Winkel, Christopher, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2561609 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 52.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) 6 Sigma Strategy Champion Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 628.92 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Winkler, Michelle, , , Date of Receipt Mailing Address 100 Tractor Drive 2016 **Building CV** City State Zip Code Transaction ID: A2016-2448033 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 49.97 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 540.15 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Winkler, Michelle, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 **Building CV** City State Zip Code Transaction ID: A2016-2560876 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 49.97 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 590.12 Other (specify) 152.35 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 520 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wisher, Jessica, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448368 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wisher, Jessica, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561691 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wofford, Gregory, A, , Date of Receipt Mailing Address USA-CA-Customer Support Center 30 2016 City Zip Code State Transaction ID: A2016-2447852 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Job Pending Management Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 521 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wofford, Gregory, A,, Date of Receipt Mailing Address USA-CA-Customer Support Center 2016 City Zip Code State Transaction ID: A2016-2561888 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Job Pending Management Solar Turbines Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Woith, Mark, , Date of Receipt Mailing Address 100 NE Adams 2016 44534 Luenen City State Zip Code Transaction ID: A2016-2449015 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Purchasing Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Woith, Mark, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 44534 Luenen City State Zip Code Transaction ID: A2016-2561560 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Purchasing Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 522 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wojda, Kelly, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448860 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director of Talent Attraction** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wojda, Kelly, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2561406 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director of Talent Attraction** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 360.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wolbert, Robert, , , Date of Receipt Mailing Address 1600 Progress Drive 80 2016 City State Zip Code Transaction ID: A2016-2561952 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 71.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1783.80 Other (specify) 131.42 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 523 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wolbert, Robert, , , Date of Receipt Mailing Address 1600 Progress Drive 2016 City Zip Code State Transaction ID: A2016-2561928 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 71.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1855.22 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Wolfe, Timothy, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City State Zip Code Transaction ID: A2016-2448853 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Power Systems Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Wolfe, Timothy, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 30 2016 Dock 55 City State Zip Code Transaction ID: A2016-2561257 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Power Systems Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 121.42 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 524 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wood, Bruce, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City Zip Code State Transaction ID: A2016-2448690 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 30.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 337.15 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wood, Bruce, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2016 City State Zip Code Transaction ID: A2016-2561023 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 30.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 367.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Wurtzbacher, Corey, , , Date of Receipt Mailing Address 12301 Old Galena Road 30 2016 City State Zip Code Transaction ID: A2016-2448422 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Site Purchasing Manager B Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 111.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FOR LINE NUMBER:						PAGE	5	25 O	F	539
(0	che	ck only	or	ie)						
	X	11a		11b		11c		12		
		13		14		15		16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wurtzbacher, Corey, , , Date of Receipt Mailing Address 12301 Old Galena Road 2016 City Zip Code State Transaction ID: A2016-2561744 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Site Purchasing Manager B Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Yang, Zhishang, , , Date of Receipt Mailing Address 100 Tractor Drive 2016 **Building CV** 11 City State Zip Code Transaction ID: A2016-2448516 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 28.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 258.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Yang, Zhishang, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2016 **Building CV** City State Zip Code Transaction ID: A2016-2560980 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 28.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 286.00 Other (specify) 106.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 526 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Yenamala, Kesava, , , Date of Receipt Mailing Address Route 29 & Old Galena Road Dock 55 2016 City State Zip Code Transaction ID: A2016-2448370 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Yenamala, Kesava, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 2016 Dock 55 City State Zip Code Transaction ID: A2016-2561693 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Yerby, Gary, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2448265 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 527 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Yerby, Gary, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2560598 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Yergler, Daren, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448187 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 150.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1651.65 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Yergler, Daren, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2561083 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 150.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1801.80 Other (specify) 325.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 528 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Yordy, Christine, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448481 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 42.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Communications Rep - 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 426.97 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Yordy, Christine, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2560731 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 42.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Communications Rep - 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 469.65 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. York, Derrick, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2447988 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director Strategic Investments** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 110.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 529 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name York, Derrick, , , Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2560833 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director Strategic Investments** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Younessi, Ramin, , , Date of Receipt Mailing Address 6800 Doerr Lane 2016 City State Zip Code Transaction ID: A2016-2448061 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 4583.37 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Younessi, Ramin, , , Date of Receipt Mailing Address 6800 Doerr Lane 30 2016 City State Zip Code Transaction ID: A2016-2560903 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing C 416.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) 858.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER, DACE 520 OF Use separate sche

edule(s)		eck only		FAGL	. 3	30 01	 300
of the Page	×	11a	11b	11c		12	
9-		13	14	15		16	17

for each category **Detailed Summary** Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Young, Bridget, M, Date of Receipt Mailing Address 100 NE Adams 2016 City Zip Code State Transaction ID: A2016-2448498 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Exec. Office Sr. Communicator Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Young, Bridget, M, , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2560962 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Exec. Office Sr. Communicator Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Young, Donald, , , Date of Receipt Mailing Address 812 W. Washington St 30 2016 City State Zip Code Transaction ID: A2016-2448393 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1050.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 531 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Young, Donald, , , Date of Receipt Mailing Address 812 W. Washington St 2016 City Zip Code State Transaction ID: A2016-2561715 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Young, Michael, , , Date of Receipt Mailing Address 100 NE Adams 2016 City State Zip Code Transaction ID: A2016-2448445 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir Corp. Business Developmnt Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1100.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Young, Michael, , , Date of Receipt Mailing Address 100 NE Adams 30 2016 City State Zip Code Transaction ID: A2016-2560694 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir Corp. Business Developmnt Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) 250.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FOF	LINE	NU	MBER	:	PAGE	5	32 OF	: (539
(che	ck only	or	ne)						
×	11a		11b		11c		12		
	13		14		15		16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Young, Timothy, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2016 City State Zip Code Transaction ID: A2016-2448380 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Supply Chain Performance Mgr4 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Young, Timothy, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2016 City State Zip Code Transaction ID: A2016-2561702 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Performance Mgr4 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Zameda, Sarah, E, Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 30 2016 PO Box 348 City State Zip Code Transaction ID: A2016-2448684 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 26.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Staff Acct II Cost / DS Receipt For: Aggregate Year-to-Date ▼ Primary General 286.00 Other (specify) 76.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

7

FOR LINE NUMBER: PAGE 533 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Zameda, Sarah, E, , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr PO Box 348 2016 City State Zip Code Transaction ID: A2016-2561005 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 26.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Staff Acct II Cost / DS Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 312.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Zaydel, Alexander, , , Date of Receipt Mailing Address 10650 Highway 80 East 2016 City State Zip Code Transaction ID: A2016-2561974 AL Montgomery 36117 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Managing Dir International Bus Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 480.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Zemke, Wayne, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 30 2016 Suite 4000 City State Zip Code Transaction ID: A2016-2448718 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 96.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 534 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Zemke, Wayne, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2016 City State Zip Code Transaction ID: A2016-2561277 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Zentmyer, Erik, G,, Date of Receipt Mailing Address USA-CA-Skypark 2 2016 City State Zip Code Transaction ID: A2016-2447874 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 1.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Prin Project Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 501.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Zentmyer, Erik, G, Date of Receipt Mailing Address USA-CA-Skypark 2 30 2016 City State Zip Code Transaction ID: A2016-2561917 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 1.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Prin Project Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 502.00 Other (specify) 52.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 535 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Zircher, Jeffrey, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2016 City State Zip Code Transaction ID: A2016-2448859 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Zircher, Jeffrey, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2016 Bldg A City State Zip Code Transaction ID : A2016-2561405 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Zmugg, Leslie, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2448266 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 4583.37 Other (specify) 516.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER. DACE 526 OF Use separate schedu for each category of

ıle(s) the		ck only	ne)	. FAGE 330 OF 333					
trie age	X	11a	11b		11c		12		
5		13	14		15		16		17

Detailed Summary Pa Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Zmugg, Leslie, , , Date of Receipt Mailing Address 2120 West End Avenue 2016 P O Box 340001 City State Zip Code Transaction ID: A2016-2560599 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 416.63 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Zundel, Jurg, , , Date of Receipt Mailing Address 701 Waterford Way 11 2016 Suite 600 City State Zip Code Transaction ID: A2016-2448970 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Assistant General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General

Other (specify) ▼	4	275.00	
Full Name of Individual (Last, First, Middle In Zundel, Jurg, , , Mailing Address 701 Waterford Way Suite 600 City Miami FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Receipt For: Primary General Other (specify)	State FL C Occupa	Zip Code 33126 ation (for Individual) int General Counsel	Date of Receipt 12 30 2016 Transaction ID: A2016-2561515 Amount of Each Receipt this Period 25.00 Memo Item
SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line number		<u> </u>	466.63 128142.98
			FF0 0-1-1-1-4 (F 0V) D00/0

SCHEDULE B (FEC Form 3X)	rate schedule(s)	a aabadula(a) l				E NUMBER: PAGE 537 OF 539							
TEMIZED DISBURSEMENTS	for each	category of the Summary Page	"	X		22		23		26	27		
	Detailed	Summary rage			28a	28b		28c		29	30b		
Any information copied from such Reports and Staten or for commercial purposes, other than using the name													
NAME OF COMMITTEE (In Full)													
The Caterpillar Inc. Employee Polit	ical Acti	on Committe	e 										
Full Name (Last, First, Middle Initial)						Date	of D	isburse	ment				
1st Mid-Illinois Bank & Trust						M	м /	D		Υ	Y	Y	
Mailing Address 230 S.W. Adams Street, Suite 100						11	_	30	0	L	2016		
,	State	Zip Code				FEC	ldent	ification	n Nun	nber			
Peoria	IL	61602					-			-			
Purpose of Disbursement Bank Service Charge			(001		C							
Candidate Name			Cat	eaoi	rv/	-		action Each			02 ent this	Period	i
				ype	,		-		-	-	24	00	1
	nent For: 2 Primary	2016 General					_	7		7	24.	.00	_
	Other (spec						lomo	Item					
State: District:		Not Applicable				IV.	iemo	iteiii					
Full Name (Last, First, Middle Initial)													
3. 1st Mid-Illinois Bank & Trust							of D	isburse					
Mailing Address 230 S.W. Adams Street, Suite 100)					M 12	M	3	- 1	Y	2016	Y	
City	State	Zip Code											
Peoria	IL	61602				_	ldent	ificatior	n Nun	nber			
Purpose of Disbursement Bank Service Charge		l I	-	001		C							
Candidate Name			Cat		2//			action			59 ent this	Pariod	4
				ype	y/	Alliot	-	Lacii	DISDU	11301110			7
	nent For: 2										16.	.65	
	Primary Other (spec	General cify)				п.							
State: District:		Not Applicable				I N	lemo	Item					
Full Name (Last, First, Middle Initial)						Data	-4 D						
J .						M		isburse		V	Y	V	
Mailing Address						Ľ.		Ľ.		Ĺ			
City	State	Zip Code				FEC	ldent	ification	n Nun	nber			
Purpose of Disbursement			-	-	\neg	С	Ξ		Ξ				
Candidate Name		I	Cat		ry/	Amou	nt of	Each	Disbu	ırseme	ent this	Period	i
Office Sought: House Disburser	ment For:			ype	\dashv	Г.		-					1
Senate	Primary	General						-				-	-1
President State: District:	Other (spec	cify) 🔻				N	1emo	Item					
State. DISTILL.						_	-	_	_	_		_	_
SUBTOTAL of Disbursements This Page (optional)					•			7			40	.65	
TOTAL This Period (last page this line number only)					_	П.					40	.65	7

П

SCHEDULE B (FEC Form 3X)	Han ser-	roto osboduls/-\		NUMBER:		PAGE	538 OF	539		
ITEMIZED DISBURSEMENTS		rate schedule(s) category of the	(Orlook Orli	' '	- 22] ae	7 07			
		Summary Page	21b 28a	22 28b	23 28c	26 29	27 30b			
Any information copied from such Reports and Stater	<u>I</u> ments mav r	not be sold or us						ns		
or for commercial purposes, other than using the nan	ne and addr	ess of any politi	cal committee to	o solicit conti	ibutions fro	m such	committee	€.		
NAME OF COMMITTEE (In Full)		_								
The Caterpillar Inc. Employee Polit	tical Acti	on Committ	ee							
Full Name (Last, First, Middle Initial)				Data of I	Dialaaaaa	-4				
A. Friends of Jim Clyburn				Date of Disbursement						
Mailing Address PO Box 12567				11 29 2016						
,	State	Zip Code		FEC Identification Number						
Columbia Purpose of Disbursement	SC	29211								
Contribution			011		00255562					
Candidate Name			Category/		saction ID : of Each Disl			eriod		
Clyburn, James, E, ,			Type							
0	ment For: 2				7	7	2500.00			
President	Primary Other (spec	General Gifv) ▼		п						
State: SC District: 06	(-1	· · · · · ·		Mem	o Item					
Full Name (Last, First, Middle Initial)										
В.				Date of I	Disbursemer	nt				
Mailing Address		М = М	/ D D	/ Y	Y	7				
Mailing Addiess								-		
City	State	Zip Code		FEC Ider	ntification Nu	umber				
Purpose of Disbursement				C			7			
'										
Candidate Name			Category/	Amount of	of Each Disl	bursemer	nt this Pe	riod		
Office Sought: House Disburser	mant Far		Туре					П		
Senate Dispulser	Primary	General			7	7	1 /80	_		
President	Other (spec			Memo Item						
State: District:				Mem	o item					
Full Name (Last, First, Middle Initial)				D	S. 1					
C.					Disbursemer			_		
Mailing Address				M = M	/ D D	/ Y	Y Y Y			
		T								
City	State	Zip Code		FEC Ider	ntification Nu	umber				
Purpose of Disbursement				С						
	L									
Candidate Name		Category/	Amount of	Amount of Each Disbursement this Period						
Office Sought: House Disburser	ment For:		Туре							
Senate	Primary	General			7	7	- 45			
President	Other (spec	cify) 🔻		Memo Item						
State: District:										
CURTOTAL of Dishumanata Till D. ()							2500.00	,		
SUBTOTAL of Disbursements This Page (optional)			······	-		7	_500.00	#		
TOTAL This Period (last page this line number only))						2500.00)		

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	chedule(s) FOR LINE NUMBER: PAGE 539 OF					
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(check only 21b 28a	one) 22 23 26 27 28b 28c x 29 30b				
Any information copied from such Reports and Staten or for commercial purposes, other than using the name							
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Polit	,,		SOLON COMMISSION WITH SUCH SOMMINGO.				
Full Name (Last, First, Middle Initial) A. Tim Griffin for Lieutenant Governor			Date of Disbursement				
Mailing Address 1819 N. Tyler St.			12 13 2016				
Little Rock	State Zip Code AR 72207		FEC Identification Number				
Purpose of Disbursement P-2018 Lt. Governor AR Candidate Name		011	Transaction ID : B636673 Amount of Each Disbursement this Period				
Griffin, Tim, , , Office Sought: House Disburser Senate President	Category/ Type	2700.00					
State: AR District:	Other (specify) ▼		Memo Item				
B. Jim Tracy for State Senate Mailing Address P.O. Box 332116		Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y					
Murfreesboro Purpose of Disbursement	State Zip Code TN 37133		FEC Identification Number				
x Senate	nent For: 2016 Primary 🗶 General Other (specify)	011 Category/ Type	Transaction ID: B631376 Amount of Each Disbursement this Period -1000.00 Voided: Original check dates 10/10/16				
State: TN District: 14 Full Name (Last, First, Middle Initial) C. Jim Tracy for State Senate			Date of Disbursement				
Mailing Address P.O. Box 332116			12 19 / 2016				
City Murfreesboro Purpose of Disbursement G-2016 State Senate 14 TN	State Zip Code TN 37133	011	FEC Identification Number				
Candidate Name Tracy, Jim, , ,		Category/ Type	Transaction ID: B636977 Amount of Each Disbursement this Period				
Office Sought: House Senate President State: TN District: 14 Disburser	nent For: 2016 Primary General Other (specify)		1000.00 Memo Item				
SUBTOTAL of Disbursements This Page (optional)		·····	2700.00				
TOTAL This Period (last page this line number only)			2700.00				