

2014 JUL 16 AM 11:22

Office Use Only

FEC
FORM 1STATEMENT OF
ORGANIZATION1. NAME OF
COMMITTEE (in full)☐(Check if name
is changed)Example: If typing, type
over the lines.

12FE4M5

Engineering and Software Systems, Solutions,

Inc. Political Action Committee (ES3 PAC)

ADDRESS (number and street)

550 West C Street, Suite 1630

☐(Check if address
is changed)

San Diego, CA 92101-

CITY

STATE

ZIP CODE

COMMITTEE'S E-MAIL ADDRESS (Please provide only one e-mail address)

☐(Check if address
is changed)

es3pac@es3inc.com

COMMITTEE'S WEB PAGE ADDRESS (URL)

☐(Check if address
is changed)

N/A

2. DATE

07 / 14 / 2014

3. FEC IDENTIFICATION NUMBER

C 00446948

4. IS THIS STATEMENT

☐

NEW (N)

OR

☒

AMENDED (A)

I certify that I have examined this Statement and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Helen Lum

Signature of Treasurer

Helen Lum

Date

07 / 14 / 2014

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Statement to the penalties of 2 U.S.C. §437g.

ANY CHANGE IN INFORMATION SHOULD BE REPORTED WITHIN 10 DAYS.

Office
Use
OnlyFor further information contact:
Federal Election Commission
Toll Free 800-424-9530
Local 202-694-1100FEC FORM 1
(Revised 02/2009)

5. TYPE OF COMMITTEE

Candidate Committee:

- (a) ☐ This committee is a principal campaign committee. (Complete the candidate information below.)
- (b) ☐ This committee is an authorized committee, and is NOT a principal campaign committee. (Complete the candidate information below.)

Name of Candidate

Candidate
Party AffiliationOffice
Sought:

House

Senate

President

State

District

- (c) ☐ This committee supports/opposes only one candidate, and is NOT an authorized committee.

Name of
Candidate**Party Committee:**

- (d) ☐ This committee is a ☐ (National, State or subordinate) committee of the ☐ (Democratic, Republican, etc.) Party.

Political Action Committee (PAC):

- (e) ☒ This committee is a separate segregated fund. (Identify connected organization on line 6.) Its connected organization is a:
- ☒ Corporation ☐ Corporation w/o Capital Stock ☐ Labor Organization
- ☐ Membership Organization ☐ Trade Association ☐ Cooperative
- ☐ In addition, this committee is a Lobbyist/Registrant PAC.
- (f) ☐ This committee supports/opposes more than one Federal candidate, and is NOT a separate segregated fund or party committee. (i.e., nonconnected committee)
- ☐ In addition, this committee is a Lobbyist/Registrant PAC.
- ☐ In addition, this committee is a Leadership PAC. (Identify sponsor on line 6.)

Joint Fundraising Representative:

- (g) ☐ This committee collects contributions, pays fundraising expenses and disburses net proceeds for two or more political committees/organizations, at least one of which is an authorized committee of a federal candidate.
- (h) ☐ This committee collects contributions, pays fundraising expenses and disburses net proceeds for two or more political committees/organizations, none of which is an authorized committee of a federal candidate.

Committees Participating in Joint Fundraiser

1.	<input type="text"/>	FEC ID number	<input type="text"/>
2.	<input type="text"/>	FEC ID number	<input type="text"/>
3.	<input type="text"/>	FEC ID number	<input type="text"/>
4.	<input type="text"/>	FEC ID number	<input type="text"/>

Write or Type Committee Name

ES3 PAC

6. Name of Any Connected Organization, Affiliated Committee, Joint Fundraising Representative, or Leadership PAC Sponsor

E n g i n e e r i n g a n d S o f t w a r e S y s t e m S o l u t i o n s ,
I n c . (E S 3)

Mailing Address

5 5 0 W e s t C S t r e e t , S u i t e 1 6 3 0

S a n D i e g o C A 9 2 1 0 1 -

CITY

STATE

ZIP CODE

Relationship: ☒ Connected Organization ☐ Affiliated Committee ☐ Joint Fundraising Representative ☐ Leadership PAC Sponsor

7. Custodian of Records: Identify by name, address (phone number -- optional) and position of the person in possession of committee books and records.

Full Name

H e l e n L u m

Mailing Address

5 5 0 W e s t C S t r e e t , S u i t e 1 6 3 0

S a n D i e g o C A 9 2 1 0 1 -

Title or Position

CITY

STATE

ZIP CODE

T r e a s u r e r

Telephone number

6 1 9 - 3 3 8 - 0 3 1 5

8. Treasurer: List the name and address (phone number -- optional) of the treasurer of the committee; and the name and address of any designated agent (e.g., assistant treasurer).

Full Name
of Treasurer

H e l e n L u m

Mailing Address

5 5 0 W e s t C S t r e e t , S u i t e 1 6 3 0

S a n D i e g o C A 9 2 1 0 1 -

CITY

STATE

ZIP CODE

Title or Position

T r e a s u r e r

Telephone number

6 1 9 - 3 3 8 - 0 3 1 5

Full Name of
Designated
Agent

Mailing Address

Title or Position

Telephone number

9. **Banks or Other Depositories:** List all banks or other depositories in which the committee deposits funds, holds accounts, rents safety deposit boxes or maintains funds.

Name of Bank, Depository, etc.

Mailing Address

Name of Bank, Depository, etc.

Mailing Address



cross



From: (619) 338-0315
Helen Lum
ES3 PAC
550 WEST C STREET
SUITE 1630
SAN DIEGO, CA 92101

Origin ID: SDMA



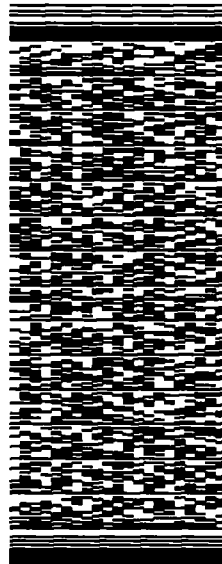
J142014061903uv

SHIP TO: (202) 694-1157

BILL SENDER

Laura Sinram
Federal Election Commission
999 E ST NW

WASHINGTON, DC 20463



Extremely Urgent

2014 JUL 16 AM 10:02

FEC MAIL CENTE

RT 677
FZ 678
6
8719
07.16

Ship Date: 15 JUL 14
ActWgt: 0.5 LB
CAD: 7370947/INET3550

Delivery Address Bar Code



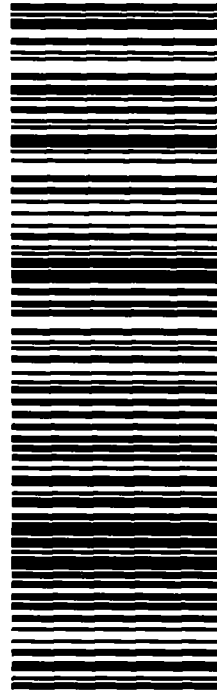
Ref # 300(U)
Invoice #
PO #
Dept #

WED - 16 JUL 10:30A
PRIORITY OVERNIGHT

TRK# 7706 0575 8719

10201


XC RDVA
20463
DC-US
IAD



522G2/ED4FBAC3

Insert shipping document here.

Federal Election Commission
ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS
The FEC added this page to the end of this filing to indicate how it was received.

<input type="checkbox"/> Hand Delivered	Date of Receipt
<input type="checkbox"/> USPS First Class Mail	Postmarked
<input type="checkbox"/> USPS Registered/Certified	Postmarked (R/C)
<input type="checkbox"/> USPS Priority Mail	Postmarked
<input type="checkbox"/> USPS Priority Mail Express	Postmarked
<input type="checkbox"/> Postmark Illegible	
<input type="checkbox"/> No Postmark	
<input checked="" type="checkbox"/> Overnight Delivery Service (Specify): <i>fed Ex</i> Shipping Date <i>7/15/14</i>	
Next Business Day Delivery <input checked="" type="checkbox"/>	
<input type="checkbox"/> Received from House Records & Registration Office	Date of Receipt
<input type="checkbox"/> Received from Senate Public Records Office	Date of Receipt
<input type="checkbox"/> Received from Electronic Filing Office	Date of Receipt
<input type="checkbox"/> Other (Specify):	Date of Receipt or Postmarked
 PREPARER (8/2013)	<i>7/16/15</i> DATE PREPARED