REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

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1999 OCT 21 A 11:58

TYPE OR PRINT

1. NAME OF COMMITTEE (in full)
National Association of Life Underwriters Political Action Committee

ADDRESS (number and street) ☐ Check if different than previously reported
1922 F Street, NW

CITY, STATE and ZIP CODE
Washington, DC 20006

2. FEC IDENTIFICATION NUMBER
C00005249

3. ☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1 M)

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report
☐ July 15 Quarterly Report
☐ October 15 Quarterly Report
☒ January 31 Year End Report
☐ July 31 Mid Year Report (Non-election Year Only)
☐ Termination Report

Monthly Report Due On:
☐ February 20 ☐ June 20 ☐ October 20
☐ March 20 ☐ July 20 ☐ November 20
☐ April 20 ☐ August 20 ☐ December 20
☐ May 20 ☐ September 20 ☐ January 31

☐ 12-Day Pre-Election Report for the _____ (Type of Election)
election on _____ in the State of _____

☐ 30-Day Post-Election Report following the General Election
on _____ in the State of _____

(b) Is this Report an Amendment? ☒ YES ☐ NO

SUMMARY 5. Covering Period Jan 1, 1996 through Dec 31, 1996	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, 19 96		$ 975,225.05
(b) Cash on Hand at Beginning of Reporting Period January 1, 1996	$ 975,225.05	
(c) Total Receipts (from Line 19)	$ 980,835.21	$ 980,835.21
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	$ 1,956,060.26	$ 1,956,060.26
7. Total Disbursements (from Line 30)	$ 1,373,727.38	$ 1,373,727.38
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	$ 582,332.88	$ 582,332.88
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	$ 0	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	$ 0	

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-694-1100

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
Kevin M. Madden, CPA

Signature of Treasurer
Kevin M. Madden, CPA

Date
10/14/99

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X
(revised 8/99)

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DETAILED SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS
PAGE 2, FEC FORM 3X

(revised 1/1/91)

NAME OF COMMITTEE
National Association of Life Underwriters Political Action Committee

REPORT COVERING PERIOD FROM 1/1/96 TO 12/31/96

	COLUMN A Total This Period	COLUMN B Calendar Year
I. Receipts		
11. Contributions (other than loans) From:		
a. Individual/Persons Other Than Political Committees	119,993.49	119,993.49
i. Itemized (use Schedule A)		
ii. Unitemized	828,014.17	828,014.17
iii. Total (add i and ii) >	948,007.66	948,007.66
b. Political Party Committees		
c. Other Political Committees (such as PACs)		
d. Total Contributions (add a iii, b and c) >	948,007.66	948,007.66
12. Transfers From Affiliated/Other Party Committees		
13. All Loans Received		
14. Loan Repayments Received		
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)		
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees	1,000.00	1,000.00
17. Other Federal Receipts (Dividends, Interest, etc.)	31,827.55	31,827.55
18. Transfers from Nonfederal Account for Joint Activity		
19. Total Receipts (add 11 d, 12, 13, 14, 15, 16, 17, and 18) >	980,835.21	980,835.21
20. Total Federal Receipts (subtract line 18 from line 19) >	980,835.21	980,835.21
II. Disbursements		
21. Operating Expenditures:		
a. Shared Federal Non-Federal Activity (from Schedule 1-14)		
i. Federal Share		
ii. Non-Federal Share		
b. Other Federal Operating Expenditures	212,977.38	212,977.38
c. Total Operating Expenditures (add a i, a ii, and b) >	212,977.38	212,977.38
22. Transfers to Affiliated/Other Party Committees		
23. Contributions to Federal Candidates/Committees and Other Political Committees	1,155,750.00	1,155,750.00
24. Independent Expenditures (use Schedule E)		
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)		
26. Loan Repayments Made		
27. Loans Made		
28. Refunds of Contributions To:		
a. Individuals/Persons Other Than Political Committees		
b. Political Party Committees		
c. Other Political Committees (such as PACs)		
d. Total Contribution Refunds (add a, b and c) >		
29. Other Disbursements	5,000.00	5,000.00
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) >	1,373,727.38	1,373,727.38
31. Total Federal Disbursements (subtract line 21 a ii from line 30) >	1,373,727.38	1,373,727.38
III. Net Contributions/Operating Expenditures		
32. Total Contributions (other than loans)(from line 11d)	948,007.66	948,007.66
33. Total Contribution Refunds (from line 28d)	-0-	-0-
34. Net Contributions (other than loans)(subtract line 33 from 32)	948,007.66	948,007.66
35. Total Federal Operating Expenditures (add 21 a i and 21 b) >	212,977.38	212,977.38
36. Offsets to Operating Expenditures (from line 15)	0	0
37. Net Operating Expenditures (subtract line 36 from 35) >	212,977.38	212,977.38

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FEDERAL ELECTION
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RQ-2

1999 OCT 21 A 11:58

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

Paul M. Smith, Sr., CLU, Treasurer
National Association of Life Underwriters
Political Action Committee
1922 F Street, NW
Washington, DC 20006

SEP 29 1999

Identification Number: C00005249

Reference: Amended Year End Report (12/1/96-12/31/96, dated 4/20/99)

Dear Mr. Smith:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Line 6(a) of the Summary Page represents the total cash-on-hand as of January 1, 1996. The figure disclosed on this report differs from the January 1, 1996 totals disclosed on all other reports filed during the calendar year. In contrast, the figure disclosed on Line 6(a) of this report corresponds with the ending balance disclosed on your committee's Amended 1995 Year End Report (dated April 22, 1999). In addition, there is a discrepancy between the beginning cash of the Amended 1996 Year End Report and the ending cash balance of your Amended 1996 30 Day Post General Report. It appears that your committee has filed an amended Year End Report which discloses previously undisclosed financial activity, however no amendment has been made to the cash-on hand figures of subsequent reports. The Commission recommends that you correct the apparent discrepancies between your cash-on-hand figures by submitting a comprehensive amendment covering the period January 1, 1996 through December 31, 1996. The comprehensive amendment should include the Summary and Detailed Summary Pages. Please provide an amendment(s) to correct these apparent discrepancies.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our

toll-free number, (800) 424-9530. My local number is (202) 694-1130.

Sincerely,

Donald L. Averett
Reports Analyst
Reports Analysis Division

Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

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SM	10-21-99
PREPARER	DATE PREPARED

(4/98)