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FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

	Tor other man An Auth	orized committee	Office Use Only
NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5
Caterpillar Inc. Politica	al Action Committee (C	CATPAC)	
ADDRESS (number and street)	510 Lake Cook Road		
▼ Check if different			
than previously reported. (ACC)	Deerfield		IL 60015 - L 1
2. FEC IDENTIFICATION N	UMBER ▼ CIT	(▲	STATE ▲ ZIP CODE ▲
C C00148031	3. IS	THIS NEW (N) OR	AMENDED (A)
4. TYPE OF REPORT (Choose One)	Report Due On:	20 (M2) May 20 (M5)	(Non-Election Year Only)
(a) Quarterly Reports:	Mar	20 (M3) Jun 20 (M6)	Sep 20 (M9) Dec 20 (M12) (Non-Election Year Only)
April 15 Quarterly Report (0	21)	20 (M4) x Jul 20 (M7)	Oct 20 (M10) Jan 31 (YE)
July 15 Quarterly Report (0	(c) 12-Day PRE-Election Report for the:	Primary (12P) Convention (12C)	General (12G) Runoff (12R) Special (12S)
October 15 Quarterly Report (0	·	Convention (120)	Operation (120)
January 31 Year-End Report (YE)Election	n on	in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY)	POST-Election Report for the:	General (30G)	Runoff (30R) Special (30S)
Termination Report (TER)	Election	n on/	in the State of
5. Covering Period 0		through 06	30 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
I certify that I have examined the	nis Report and to the best of Zemke, Wayne, , ,	my knowledge and belief it is to	rue, correct and complete.
Type or Print Name of Treasure			
Signature of Treasurer	ke, Wayne, , ,	[Electronically Filed]	Date 09 / 17 / 2021
NOTE: Submission of false, error	neous, or incomplete information	may subject the person signing	this Report to the penalties of 52 U.S.C. § 30109
Office Use			FEC FORM 3X Rev. 05/2016

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016) Page 2

Write or Type Committee Name

Caterpillar Inc. Political Action Committee (CATPAC)

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2021		170185.17
	(b) Cash on Hand at Beginning of Reporting Period	495591.56	
	(c) Total Receipts (from Line 19)	59451.30	366471.44
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	555042.86	536656.61
7.	Total Disbursements (from Line 31)	0.00	- 18386.25
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	555042.86	555042.86
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

Caterpillar Inc. Political Action Committee (CATPAC)

01 2021 06 30 2021 Report Covering the Period: From: To: **COLUMN A COLUMN B** I. Receipts **Total This Period** Calendar Year-to-Date 11. Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees 49512.18 238658.90 (i) Itemized (use Schedule A)..... 9939.12 127805.78 (ii) Unitemized (iii) TOTAL (add 366464.68 59451.30 Lines 11(a)(i) and (ii).....▶ 0.00 0.00 (b) Political Party Committees (c) Other Political Committees 0.00 0.00 (such as PACs)..... (d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry 366464.68 59451.30 Totals to Line 33, page 5)▶ 12. Transfers From Affiliated/Other 0.00 0.00 Party Committees..... 0.00 0.00 13. All Loans Received..... 0.00 0.00 14. Loan Repayments Received..... 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) 0.00 0.00 (Carry Totals to Line 37, page 5)..... 16. Refunds of Contributions Made to Federal Candidates and Other 0.00 0.00 Political Committees..... 17. Other Federal Receipts (Dividends, Interest, etc.)..... 0.00 6.76 18. Transfers from Non-Federal and Levin Funds (a) Non-Federal Account 0.00 0.00 (from Schedule H3)..... 0.00 0.00 (b) Levin Funds (from Schedule H5) (c) Total Transfers (add 18(a) and 18(b)).. 0.00 0.00 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))......▶ 366471.44 59451.30 20. Total Federal Receipts 59451.30 366471.44 (subtract Line 18(c) from Line 19)▶

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

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II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date			
Operating Expenditures: (a) Allocated Federal/Non-Federal	Total Tills I Gliou	Galeriuai Teal-IU-Dale			
Activity (from Schedule H4)					
(i) Federal Share	0.00	0.00			
(ii) Non-Federal Share	0.00	0.00			
(b) Other Federal Operating					
Expenditures	0.00	86.90			
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))▶	0.00	86.90			
Transfers to Affiliated/Other Party	4 4	4 4 4			
CommitteesContributions to	0.00	0.00			
Federal Candidates/Committees and Other Political Committees	0.00	- 5000.00			
Independent Expenditures	0.00	0.00			
(use Schedule E) Coordinated Party Expenditures	0.00	0.00			
(52 U.S.C. § 30116(d)) (use Schedule F)	0.00	0.00			
Loan Repayments Made	0.00	0.00			
Loan riepayments wade	0.00	0.00			
Loans Made Refunds of Contributions To:	0.00	0.00			
(a) Individuals/Persons Other Than Political Committees	0.00	326.85			
	0.00	020.00			
(b) Political Party Committees	0.00	0.00			
(c) Other Political Committees (such as PACs)	0.00	0.00			
(d) Total Contribution Refunds					
(add Lines 28(a), (b), and (c))	0.00	326.85			
Other Disbursements (Including					
Non-Federal Donations)	0.00	- 13800.00			
Federal Election Activity (52 U.S.C. § 3010	1(20))				
(a) Allocated Federal Election Activity					
(from Schedule H6)					
(i) Federal Share	0.00	0.00			
(ii) "Levin" Share	0.00	0.00			
(b) Federal Election Activity Paid	4 4	4 4			
Entirely With Federal Funds	0.00	0.00			
Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00			
	7- 1-7- 1-7- 1-7- 1-7- 1-7- 1-7- 1-7- 1	7 7 4			
Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))					
20, 24, 20, 20, 21, 20(u), 28 and 50(c))	0.00	- 18386.25			
Total Federal Disbursements					
(subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)	200				
110111 LITTE 01)	0.00	- 18386.25			

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 5

III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	59451.30	366464.68
4. Total Contribution Refunds (from Line 28(d))	0.00	326.85
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	59451.30	366137.83
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	0.00	86.90
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
8. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	86.90

FOR LINE NUMBER: PAGE 6 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Abbott, Brian, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-1296723 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 129.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) WW Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 765.87 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Abston, Trey, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-1297649 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 140.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Area Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 468.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Adams, Kevin, , , Date of Receipt Mailing Address 11601 93rd Ave N 30 2021 City Zip Code State Transaction ID: A2021-1297570 MN Maple Grove 55369 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. WW Market Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 320.02 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Aiken, Kathryn, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City Zip Code State Transaction ID: A2021-1297124 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Segment HR Director Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2499.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Akif, Sammy, , , Date of Receipt Mailing Address 1208 14th St 10 2021 City State Zip Code Transaction ID: A2021-1237981 Northlake TX 76226-2139 Amount of Each Receipt this Period FEC ID number of contributing 43.26 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 465.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Akif, Sammy, , , Date of Receipt Mailing Address 1208 14th St 24 2021 City Zip Code State Transaction ID: A2021-1255069 TX Northlake 76226-2139 Amount of Each Receipt this Period FEC ID number of contributing C 43.26 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 509.16 Other (specify) 503.18 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE (check only one) **X** 11a 11b 11c

8 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Alger, Matt, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-1297243 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 177.02 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Life Cycle Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1039.26 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Allen, Ali,,, Date of Receipt Mailing Address 500 N Morton Ave 2021 City State Zip Code Transaction ID : A2021-1297710 Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Amengual, Gilbert, , , Date of Receipt Mailing Address 9370 Sky Park Court / Ruffin Road 30 2021 City Zip Code State Transaction ID: A2021-1297592 CA San Diego 92123 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Dir of Mktg & Product Strategy Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 277.02 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ames, Sean, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-1297428 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 181.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1069.35 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Anderson, Mark, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2021 Suite 4000 City State Zip Code Transaction ID : A2021-1297217 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 42.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 252.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Anderson, Michael, , , Date of Receipt Mailing Address 7015 Ray Nash Dr Nw 10 2021 City Zip Code State Transaction ID: A2021-1076278 WA Gig Harbor 98335-5923 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager I Signal Engineering Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 248.27 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Anderson, Michael, , , Date of Receipt Mailing Address 7015 Ray Nash Dr Nw 2021 City Zip Code State Transaction ID: A2021-1254790 WA Gig Harbor 98335-5923 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager I Signal Engineering Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Armistead, Tom, , , Date of Receipt Mailing Address 501 SW Jefferson 2021 City State Zip Code Transaction ID: A2021-1297507 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 42.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Parts & Svc Mktg Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 249.93 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Arvin, Chris, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-1296770 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global People & Org Dev Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 102.11 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 11 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ashby, Kendall, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2021 City Zip Code State Transaction ID: A2021-1297618 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 38.25 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Support Rep II Receipt For: Aggregate Year-to-Date ▼ Primary General 230.81 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Aupperle, Clayton, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-1296796 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 54.94 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. MineStar Site Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 323.59 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bailey, Doug, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 30 2021 Suite 4000 City State Zip Code Transaction ID: A2021-1296896 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 160.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Industry Territory Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 942.27 Other (specify) 253.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 12 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bainbridge, Mark, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2021 City Zip Code State Transaction ID: A2021-1297624 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President CFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Baker, Todd, , , Date of Receipt Mailing Address 100 Tractor Drive 2021 **Building CV** City State Zip Code Transaction ID: A2021-1297499 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Value Stream Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Baldwin, Daniel, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2021 PO Box 610 City State Zip Code Transaction ID: A2021-1296825 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 41.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Engineer-Design Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 245.07 Other (specify) 191.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 13 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Balkema, Chris, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr PO Box 348 2021 City Zip Code State Transaction ID: A2021-1296772 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 178.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Supply Manager III Receipt For: Aggregate Year-to-Date ▼ Primary General 1059.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Balmer Millar, Lou, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2021 PO Box 610 City State Zip Code Transaction ID : A2021-1297201 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM High Speed Engines & Component** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Baluis, Vic, , , Date of Receipt Mailing Address 5000 Womack Rd 30 2021 City Zip Code State Transaction ID: A2021-1297537 NC Sanford 27330 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Operations Manager 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 278.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 14 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Barber, Kathy, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-1297126 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 130.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Governmental Affairs Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 771.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Beals, Andy, , , Date of Receipt Mailing Address 3000 North 27th Street 2021 City State Zip Code Transaction ID: A2021-1296648 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 59.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 349.02 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Beasley, George, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-1297609 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 60.06 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Underwriter** Receipt For: Aggregate Year-to-Date ▼ Primary General 356.82 Other (specify) 250.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Beck, Brett, , , Date of Receipt Mailing Address 1720 W Kingsbury 2021 City Zip Code State Transaction ID: A2021-1296721 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) EHS Manager I Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Becker, Chaille, , , Date of Receipt Mailing Address 812 W Washington Street 2021 City State Zip Code Transaction ID: A2021-1296759 East Peoria IL 61611 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IT Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Belcher, Jeff, , , Date of Receipt Mailing Address 501 Cardinal Drive 30 2021 City State Zip Code Transaction ID: A2021-1297003 MS Corinth 38834 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Operations Manager 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 175.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Beldock, Teresa, , , Date of Receipt Mailing Address 3000 North 27th Street 2021 City Zip Code State Transaction ID: A2021-1297474 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 96.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Material Req Planning Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 574.29 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Bennes, Bob, , , Date of Receipt Mailing Address 2120 West End Avenue 2021 P O Box 340001 City State Zip Code Transaction ID : A2021-1297766 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 251.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1485.57 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Benz, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-1297149 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 58.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Principal Digital Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 345.06 Other (specify) 407.11 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bethune, Deborah, , , Date of Receipt Mailing Address 1499 Byron Ave. 10 2021 City Zip Code State Transaction ID: A2021-1076275 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bethune, Deborah, , , Date of Receipt Mailing Address 1499 Byron Ave. 2021 City State Zip Code Transaction ID : A2021-1254787 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 550.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Beveridge, Sandra, , , Date of Receipt Mailing Address 500 N Morton Ave 30 2021 City State Zip Code Transaction ID: A2021-1297749 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 252.93 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Logistics Mgmt Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1526.07 Other (specify) 352.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Birkel, Lindsey, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-1297194 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 80.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Human Resource Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bishop, Damon, , , Date of Receipt Mailing Address 4633 Perrin Creek 2021 **Building 8** City State Zip Code Transaction ID: A2021-1296813 TX San Antonio 75284 Amount of Each Receipt this Period FEC ID number of contributing 34.05 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. SC Planning Analyst 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 200.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bjerke, Ron, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2021 **Building CV** City State Zip Code Transaction ID: A2021-1297394 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 164.05 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Blank, James, R,, Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2021 City State Zip Code Transaction ID: A2021-1296975 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 53.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 316.89 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Blichmann, Mary Ellen, , , Date of Receipt Mailing Address 3701 State Road 26 East 2021 City State Zip Code Transaction ID: A2021-1297237 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Bloch, Stephen, E, , Date of Receipt Mailing Address 3701 South Street 30 2021 City State Zip Code Transaction ID: A2021-1297445 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 158.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Manufacturing Project Engr 1 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 943.53 Other (specify) 262.21 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Blunier, Dave, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg E 2021 City State Zip Code Transaction ID: A2021-1296844 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Technologist-Test/Valid Receipt For: Aggregate Year-to-Date ▼ Primary General 301.86 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bonfield, Andrew, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City State Zip Code Transaction ID: A2021-1296643 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **CFO** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2499.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Boon, Lynn Ann, , , Date of Receipt Mailing Address 812 W Washington Street 30 2021 City State Zip Code Transaction ID: A2021-1297203 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Info Technology Manager-II Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 517.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Booth, Jamie, , , Date of Receipt Mailing Address 100 Sanford Street 2021 City Zip Code State Transaction ID: A2021-1296980 IL 61611 East Peoria Amount of Each Receipt this Period FEC ID number of contributing 40.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Production Scheduler 2** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 238.02 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bowman, Greg, , , Date of Receipt Mailing Address 2120 West End Avenue 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-1297610 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 62.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lease Services Support Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 371.37 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Boyd, Bryan, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2021 PO Box 610 City State Zip Code Transaction ID: A2021-1296747 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. EHS Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 142.91 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Braun, Eric, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City Zip Code State Transaction ID: A2021-1296910 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Breitschwerdt, Otto, , , Date of Receipt Mailing Address 954 NC Highway 42 East 2021 City State Zip Code Transaction ID: A2021-1297321 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2499.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bromm, Jaron, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-1296987 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 241.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1426.53 Other (specify) 757.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Brooks, Jen, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-1297025 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 64.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engr Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 376.41 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bryant, Jenny, , , Date of Receipt Mailing Address 9401 85Th Avenue North 2021 City State Zip Code Transaction ID: A2021-1297030 Brooklyn Park MN 55445 Amount of Each Receipt this Period FEC ID number of contributing 175.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Network Fac Mgr III Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 975.14 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Bulfer, Matthew, , , Date of Receipt Mailing Address 812 W Washington Street 30 2021 City State Zip Code Transaction ID: A2021-1297260 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 59.74 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Security Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 349.11 Other (specify) 298.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category **Detailed Summary** Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Butikofer, Mark, , , Date of Receipt Mailing Address 812 W Washington Street 2021 City Zip Code State Transaction ID: A2021-1297219 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 71.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) M&A IT Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 424.44 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Caffey, Shomari, , , Date of Receipt Mailing Address 501 SW Jefferson 2021 City State Zip Code Transaction ID : A2021-1297437 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 42.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Program Developer I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 249.48 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Cailteux, Michael, , , Date of Receipt Mailing Address 3920 Sweetclover St 10 2021 City State Zip Code Transaction ID: A2021-1076288 KS Lawrence 66049-7807 Amount of Each Receipt this Period FEC ID number of contributing 22.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 216.86 Other (specify) 136.83 SUBTOTAL of Receipts This Page (optional).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carney, Rob, , , III Date of Receipt Mailing Address 600 S. Second Street Suite 101 2021 City State Zip Code Transaction ID: A2021-1297376 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing 169.49 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Governmental Affairs Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1002.15 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carr, Bob, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-1297561 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 66.73 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Application Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 394.56 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Carroll, Troy, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-1297532 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 65.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 389.97 Other (specify) 301.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carr, Ron, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2021 City Zip Code State Transaction ID: A2021-1297638 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 62.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Infrastructure Specialist Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 372.09 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carter, Janda, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-1296983 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 226.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Assistant General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1330.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Cassidy, Dena, , , Date of Receipt Mailing Address 1720 W Kingsbury 30 2021 City State Zip Code Transaction ID: A2021-1296877 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 40.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Change Control Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 237.00 Other (specify) 329.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Chen, Leo, , , Date of Receipt Mailing Address 28194 Caterpillar Lane 2021 City Zip Code State Transaction ID: A2021-1297188 IL Washington 61571 Amount of Each Receipt this Period FEC ID number of contributing 187.97 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 1128.26 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Clements, Mary, , , Date of Receipt Mailing Address 2120 West End Avenue 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-1297626 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Digtl & Glb Transformation Dir Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 900.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Clemons, Marty, , , Date of Receipt Mailing Address 3501 South FM Hwy 1417 30 2021 City State Zip Code Transaction ID: A2021-1297656 TX Denison 75020 Amount of Each Receipt this Period FEC ID number of contributing C 50.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Service Engineer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 299.98 Other (specify) 388.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cobb, Daniel, , , Date of Receipt Mailing Address 500 N Morton Ave 2021 City Zip Code State Transaction ID: A2021-1297718 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 55.78 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Packaging Ops Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 326.85 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Cochran, Wayne, , , Date of Receipt Mailing Address 3701 South Street 2021 City State Zip Code Transaction ID: A2021-1297541 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 46.01 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineer 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 273.36 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Cole, Keri, , , Date of Receipt Mailing Address 1600 Progress Drive 30 2021 P.O. Box 1037 City State Zip Code Transaction ID: A2021-1297146 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.02 Other (specify) 518.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Coleman, Jerry, , , Date of Receipt Mailing Address 3701 State Road 26 East 2021 City Zip Code State Transaction ID: A2021-1297036 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 400.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 2400.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cone, Beth, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-1296690 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 132.17 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Elec Comp Sr. Project Lead Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 784.47 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Conley, Patrick, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2021 PO Box 610 City State Zip Code Transaction ID: A2021-1297324 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lean Transactional Sr. Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 582.17 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Coon, Korey, , , Date of Receipt Mailing Address 400 Regency Forest Drive Suite 400 2021 City Zip Code State Transaction ID: A2021-1297170 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) General Manager MHE/STTT Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Craig, Curtis, , , Date of Receipt Mailing Address 560 Rehoboth Road 2021 City State Zip Code Transaction ID: A2021-1296808 GA Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing 53.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 318.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Creech, Paul, , , Date of Receipt Mailing Address 111 Alewine Drive 24 2021 City State Zip Code Transaction ID: A2021-1254783 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Railcar Sales & Leasing Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 123.90 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 32 OF

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one)
	d Statements may not be sold or used by any per the name and address of any political committee	
NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action	n Committee (CATPAC)	
Full Name of Individual (Last, First, Middle Criss, Darilynn, , , Mailing Address 501 SW Jefferson City Peoria FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL Cocupation (for Individual) Senior Digital Consultant Aggregate Year-to-Date 326.91	Date of Receipt 06 30 2021 Transaction ID : A2021-1296837 Amount of Each Receipt this Period 57.24 Memo Item
Full Name of Individual (Last, First, Middle Cross, Johnny, , , Mailing Address 4174 E. US Hwy 36 City New Castle FEC ID number of contributing federal political committee. Name of Employer (for Individual) Progress Rail Services Receipt For: Primary General Other (specify)	State Zip Code IN 47362 C Occupation (for Individual) Manager Aggregate Year-to-Date 408.56	Date of Receipt 06 10 2021 Transaction ID : A2021-1076282 Amount of Each Receipt this Period 37.90 Memo Item
Full Name of Individual (Last, First, Middle Cross, Johnny, , , Mailing Address 4174 E. US Hwy 36 City New Castle FEC ID number of contributing federal political committee. Name of Employer (for Individual) Progress Rail Services Receipt For: Primary General Other (specify)	State Zip Code IN 47362 C Occupation (for Individual) Manager Aggregate Year-to-Date 446.46	Date of Receipt Mark
SUBTOTAL of Receipts This Page (optional))	133.04
TOTAL This Period (last page this line numb	per only)	

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Crowell, Tj,,, Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-1297498 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 593.79 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Daniell, Bruce, , , Date of Receipt Mailing Address 2500 N. 22nd Street 2021 City State Zip Code Transaction ID: A2021-1296745 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 62.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 370.86 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Davidson, Janet, , , Date of Receipt Mailing Address 200 NE Adams Street 30 2021 City State Zip Code Transaction ID: A2021-1297729 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 65.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. FTA & SC Security Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 387.27 Other (specify) 228.57 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Davis, Ella, , Miss, Date of Receipt Mailing Address Suite 400 1425 K Street 2021 City Zip Code State Transaction ID: A2021-1296908 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 34.78 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **PAC Administrator** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 203.94 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Davis, Ryan, , , Date of Receipt Mailing Address 715 Shasta Drive 2021 City State Zip Code Transaction ID: A2021-1254779 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Opers Wheel Axle Bearings Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Davis, Tim, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2021 PO Box 610 City State Zip Code Transaction ID: A2021-1297484 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 154.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Daw, Andy, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2021 City State Zip Code Transaction ID: A2021-1296650 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director of Procurement** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 900.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Day, Jeff, L,, Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2021 City State Zip Code Transaction ID: A2021-1297004 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Product Supply Mgr I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Deckard, Mitch, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 30 2021 Suite 4000 City State Zip Code Transaction ID: A2021-1297300 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 250.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Denis, Andrew, , , Date of Receipt Mailing Address 4-H Park Road P O Box 740 2021 City Zip Code State Transaction ID: A2021-1296644 IL Pontiac 61764 Amount of Each Receipt this Period FEC ID number of contributing 60.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Developmental Assignment** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 345.74 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Diaz, Andy, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-1296651 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 55.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 325.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Diccion, Amory, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 30 2021 PO Box 348 City State Zip Code Transaction ID: A2021-1297086 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Engineer-Design Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 166.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Dickerson, Douglas, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV Dock CV 2021 City Zip Code State Transaction ID: A2021-1296901 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 49.87 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engr Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 296.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. DiGennaro, David, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-1296857 Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 56.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 337.08 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Dimond, Tim, , , Date of Receipt Mailing Address 4200 Ruffin Road 30 2021 City State Zip Code Transaction ID: A2021-1297698 CA San Diego 92123-1836 Amount of Each Receipt this Period FEC ID number of contributing C 60.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Sr Principal Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 358.80 Other (specify) 167.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Doherty, Chris, , , Date of Receipt Mailing Address 27Th & Pershing Rd PO Box 1430 2021 City State Zip Code Transaction ID: A2021-1296775 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing C 45.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engineer 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 272.18 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Dreste, Rebecca, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2021 Bldg F City State Zip Code Transaction ID : A2021-1297358 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 40.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Engineer-Perf/Sim/App Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 235.77 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Driscoll, Jennifer, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2021 City State Zip Code Transaction ID: A2021-1297026 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director Investor Relations** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 135.94 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Drumond, Marcos, , , Date of Receipt Mailing Address 875 W Cushing Street 2021 City Zip Code State Transaction ID: A2021-1297210 ΑZ 85745 Tucson Amount of Each Receipt this Period FEC ID number of contributing C 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Development Rep** Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Dudek, Nicholas, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2021 City State Zip Code Transaction ID: A2021-1297314 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 59.87 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supplier Development Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 298.87 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Duhon, Jason, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-1296991 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Healthcare Design Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 169.87 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Dunbar, Nathan, , , Date of Receipt Mailing Address 3701 South Street 2021 City Zip Code State Transaction ID: A2021-1297307 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 181.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Quality Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 1070.82 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Duncan, Michael, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-1297272 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Durian, Jan, , , Date of Receipt Mailing Address 9275 Skypark Court 30 2021 Suite 250 City State Zip Code Transaction ID: A2021-1297595 CA San Diego 92123 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Job Pending Exempt Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 281.11 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Earle, Kevin, C, , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-1297151 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 242.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1435.17 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Easley, Will, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-1297546 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Eastwood, Patricia, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-1297631 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 251.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1488.39 Other (specify) 543.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name English, Paul, , , Date of Receipt Mailing Address 28194 Caterpillar Lane 2021 City Zip Code State Transaction ID: A2021-1297332 IL Washington 61571 Amount of Each Receipt this Period FEC ID number of contributing 64.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Specialist-Des Receipt For: Aggregate Year-to-Date ▼ Primary General 374.43 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Escobedo, Linda, M, , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2021 City State Zip Code Transaction ID: A2021-1297676 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Sr Supply Chain Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Evans, Josh, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-1297615 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 70.87 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Asset Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 420.48 Other (specify) 185.09 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Evans, Mike, , , Date of Receipt Mailing Address 875 W Cushing Street 2021 City Zip Code State Transaction ID: A2021-1297666 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 178.78 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1058.43 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Evenson, Eric, , , Date of Receipt Mailing Address 875 W Cushing Street 2021 City State Zip Code Transaction ID: A2021-1296911 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 63.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 371.53 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ewing, Charles, , , Date of Receipt Mailing Address 1667 Chalone Court 10 2021 City State Zip Code Transaction ID: A2021-1076286 IN Crown Point 46307 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 267.39 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ewing, Charles, , , Date of Receipt Mailing Address 1667 Chalone Court 2021 City Zip Code State Transaction ID: A2021-1254798 Crown Point IN 46307 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Fassino, Tony, , , Date of Receipt Mailing Address 400 Regency Forest Drive 2021 Suite 400 City State Zip Code Transaction ID: A2021-1297523 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing 42.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Group President** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 252.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Ferguson, Ethan, , , Date of Receipt Mailing Address 5000 Womack Rd 30 2021 City State Zip Code Transaction ID: A2021-1296927 NC Sanford 27330 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Engrg Specialist-Perf/Sim/App Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 107.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ferguson, Michael, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City Zip Code State Transaction ID: A2021-1297273 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 49.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Owner** Receipt For: Aggregate Year-to-Date ▼ Primary General 291.21 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Ferguson, Steve, M, , Date of Receipt Mailing Address 6800 Doerr Lane 2021 City State Zip Code Transaction ID : A2021-1297448 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2500.02 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Feucht, Joel, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2021 PO Box 610 City State Zip Code Transaction ID: A2021-1297070 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 89.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **GM Engine Systems Products** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 535.26 Other (specify) 555.01 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Filer, Matt, , , Date of Receipt Mailing Address 875 W Cushing Street 2021 City Zip Code State Transaction ID: A2021-1297245 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Fink, Christopher, , , Date of Receipt Mailing Address 500 N Morton Ave 2021 City State Zip Code Transaction ID: A2021-1297716 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Finn, Bart, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-1296679 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 171.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Area Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1019.97 Other (specify) 321.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Flaherty, Douglas, , , Date of Receipt Mailing Address 4508 Starlite Ln 2021 City Zip Code State Transaction ID: A2021-1254785 KY Louisville 40291 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Flannery, Mark, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-1297220 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 192.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1133.85 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Flynn, Dan, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-1296815 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Rental Director Receipt For: Aggregate Year-to-Date ▼ Primary General 1500.00 Other (specify) 462.68 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Foster, Brad, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2021 City State Zip Code Transaction ID: A2021-1296711 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Foster, Steve, S, , Date of Receipt Mailing Address 2120 West End Avenue 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-1297643 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 73.09 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Enterprise Architect** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 436.16 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Frake, Tom, G, Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-1297509 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.02 Other (specify) 539.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 49 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Freeman, Lee, , , Date of Receipt Mailing Address 9173 Sky Park Court 2021 City Zip Code State Transaction ID: A2021-1297673 CA San Diego 92123-5398 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Sr Consulting Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Friend, Paul, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-1297333 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 72.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 426.98 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Frohberg, Dave, , , Date of Receipt Mailing Address 3701 State Road 26 East 30 2021 City State Zip Code Transaction ID: A2021-1296847 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Engineer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 172.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gannon, Fred, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-1296934 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 41.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) IT AnIst III-Tech Infrastructu Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 244.05 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gaw, Matt, , , Date of Receipt Mailing Address 500 N Morton Ave 2021 City State Zip Code Transaction ID : A2021-1297736 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. GM Network Solutions & Spt Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 450.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Gee, Scott, , , Date of Receipt Mailing Address 540 W. Madison Street 30 2021 Floor 3 City State Zip Code Transaction ID: A2021-1297416 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing 48.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Aftermarket Solutions Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 288.12 Other (specify) 164.91 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Geisz, John, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-1297089 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Info & Analysis Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Gentry-Stuenkel, Wendi, , , Date of Receipt Mailing Address 540 W. Madison Street 2021 Floor 3 City State Zip Code Transaction ID: A2021-1297759 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director Integrated Logistics** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2499.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. George, Brian, W., Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2021 PO Box 610 City State Zip Code Transaction ID: A2021-1296728 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 566.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Giesfeldt, James, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2021 City Zip Code State Transaction ID: A2021-1297662 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 195.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1159.81 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Ginzel, Jon, , , Date of Receipt Mailing Address 9370 Sky Park Court / Ruffin Road 2021 City State Zip Code Transaction ID: A2021-1297600 CA San Diego 92123 Amount of Each Receipt this Period FEC ID number of contributing 105.17 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Mgr Central Human Resources Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 675.77 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Girard, Kristin, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-1297171 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Distribution Svcs Reg Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.02 Other (specify) 716.95 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gorman, Chris, , , Date of Receipt Mailing Address 954 NC Highway 42 East 2021 City Zip Code State Transaction ID: A2021-1296777 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Graf, Courtney, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-1296805 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Director Corp. Finance Svcs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Green, Leah, , , Date of Receipt Mailing Address 1600 Progress Drive 30 2021 P.O. Box 1037 City State Zip Code Transaction ID: A2021-1297187 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 200.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Greuel, Matthew, , , Date of Receipt Mailing Address 3000 North 27th Street 2021 City Zip Code State Transaction ID: A2021-1297261 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 71.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineering Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 419.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Guilford, Jerome, , , Date of Receipt Mailing Address 400 Regency Forest Drive 2021 Suite 400 City State Zip Code Transaction ID: A2021-1297035 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager BHL/TH Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2499.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gustafson, Ryan, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-1297402 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 129.01 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) General Manager EXD Operations Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 745.66 Other (specify) 616.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hall, Donald, R,, Date of Receipt Mailing Address 510 Lake Cook Road 2021 City Zip Code State Transaction ID: A2021-1296893 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Rgnl/Group Financial Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hall, John, , , Date of Receipt Mailing Address 3000 North 27th Street 2021 City State Zip Code Transaction ID : A2021-1297090 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 48.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 291.72 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Halloran, Jim, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-1297045 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 187.96 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Western Region Manager State Governi Receipt For: Aggregate Year-to-Date ▼ Primary General 1116.75 Other (specify) 311.58 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hanback, Corey, B, Date of Receipt Mailing Address 11601 93rd Ave N 2021 City Zip Code State Transaction ID: A2021-1297562 MN MapleGrove 55369 Amount of Each Receipt this Period FEC ID number of contributing C 160.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) WW Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 960.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hardin, Eric, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-1296913 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 270.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hargis, Keith, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-1297617 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) 250.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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mmary Page X 11a 11b		11c		12					
13 14		15		16		17			

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NAME OF COMMITTEE (In Full)			
Caterpillar Inc. Political Action C	Committee (CATPAC)		
Full Name of Individual (Last, First, Middle Init	Date of Receipt		
Mailing Address 600 Balderson Blvd	06 30 2021		
P O Box 6	Transaction ID : A2021-1297706		
Wamego	State Zip Code KS 66547	Amount of Each Receipt this Period	
FEC ID number of contributing federal political committee.	C	42.09	
Name of Employer (for Individual)	Occupation (for Individual)	Memo Item	
Caterpillar Inc.	Production Scheduler 1	-	
Receipt For:	Aggregate Year-to-Date ▼		
Primary General			
Other (specify) ▼	249.78		
Full Name of Individual (Last, First, Middle Init	ial) or Full Organization Name		
Harris, Stephen, , ,	Date of Receipt		
Mailing Address 12085 New Day Dr		06 10 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	
City	State Zip Code	Transaction ID : A2021-1076289	
Fort Worth	TX 76179-9168	Amount of Each Receipt this Period	
FEC ID number of contributing federal political committee.	C	125.00	
Name of Employer (for Individual) Progress Rail Services	Occupation (for Individual) Manager	Memo Item	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1250.00		
Full Name of Individual (Last, First, Middle Init	ial) or Full Organization Name	Date of Receipt	
Mailing Address 12085 New Day Dr	06 24 2021		
City	State Zip Code	Transaction ID : A2021-1254801	
Fort Worth	TX 76179-9168	Amount of Each Receipt this Period	
FEC ID number of contributing federal political committee.	C	125.00	
Name of Employer (for Individual) Progress Rail Services	Occupation (for Individual) Manager	Memo Item	
Receipt For:	Aggregate Year-to-Date ▼		
Primary General Other (specify)	1375.00		
SUBTOTAL of Receipts This Page (optional)	····	292.09	
TOTAL This Period (last page this line number of	only)		

FOR LINE NUMBER: PAGE 58 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hart, Andrea, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2021 City Zip Code State Transaction ID: A2021-1296642 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 214.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1104.21 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hartmann, Melissa, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2021 Bldg L City State Zip Code Transaction ID: A2021-1297267 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 52.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **EHS Professional II** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 306.51 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Haycraft, Martin, , , Date of Receipt Mailing Address 404 E Main St 10 2021 City State Zip Code Transaction ID: A2021-1076266 AL Albertville 35950-2444 Amount of Each Receipt this Period FEC ID number of contributing C 209.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP/CMO LRS Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 2276.72 Other (specify) 476.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Haycraft, Martin, , , Date of Receipt Mailing Address 404 E Main St 2021 City Zip Code State Transaction ID: A2021-1254778 AL Albertville 35950-2444 Amount of Each Receipt this Period FEC ID number of contributing C 209.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP/CMO LRS Sales **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 2486.22 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hays, Katie, , , Date of Receipt Mailing Address Suite 400 2021 1425 K Street City State Zip Code Transaction ID: A2021-1297127 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 200.79 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Intn'l & Fed Gov Affairs Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1184.37 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Heiar, Keith, J., Date of Receipt Mailing Address 501 SW Jefferson 30 2021 City State Zip Code Transaction ID: A2021-1297131 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 171.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1017.27 Other (specify) 581.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 60 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Heminger, Pamela, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City Zip Code State Transaction ID: A2021-1297322 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 2499.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Henderson, Dan, E,, Date of Receipt Mailing Address 14009 Old Galena Rd. 2021 Bldg A City State Zip Code Transaction ID: A2021-1296817 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Innovation & Emerging Tech Dtr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1200.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Henley, Dave, , , Date of Receipt Mailing Address 1627 Veterans Memorial Pkwy E 30 2021 City Zip Code State Transaction ID: A2021-1297720 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Logistics Node Manager III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 666.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Henry, Michael, , , Date of Receipt Mailing Address 1904 South First Street 2nd Floor 2021 City State Zip Code Transaction ID: A2021-1297275 IL Champaign 61820 Amount of Each Receipt this Period FEC ID number of contributing 101.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 598.92 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hepler, Greg, , , Date of Receipt Mailing Address 100 Tractor Drive 2021 **Building CV** City State Zip Code Transaction ID: A2021-1296949 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Mining Products** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Herbert, David, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2021 City State Zip Code Transaction ID: A2021-1296859 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 201.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Herman, Jason, , , Date of Receipt Mailing Address 3000 North 27th Street 2021 City Zip Code State Transaction ID: A2021-1296993 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 66.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 397.14 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hermann, Eric, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2021 Suite 4000 City State Zip Code Transaction ID: A2021-1296914 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 190.43 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Integrated Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1145.30 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Heussner, Roy, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-1297398 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 69.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 417.66 Other (specify) 326.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 63 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hillman, Jonathan, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2021 City State Zip Code Transaction ID: A2021-1297110 61552 IL Mossville Amount of Each Receipt this Period FEC ID number of contributing 221.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 1317.18 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hitchcox, Stephanie, , , Date of Receipt Mailing Address 1720 W Kingsbury 2021 City State Zip Code Transaction ID : A2021-1297442 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing 51.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chn Performance Engr 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 306.12 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hladun, Johanna, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-1297073 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Compliance Review Director Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 373.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hodges, Susan, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-1297463 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Learning Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hoefft, Tim, , , Date of Receipt Mailing Address 14009 N Old Galena Rd 2021 Tech Center Bldg K City State Zip Code Transaction ID : A2021-1297488 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Materials Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hoeft, David, , , Date of Receipt Mailing Address 200 NE Adams Street 30 2021 City State Zip Code Transaction ID: A2021-1296860 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 200.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1183.11 Other (specify) 300.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hoepfinger, Dean, , , Date of Receipt Mailing Address 2901 Gateway Blvd. 2021 City Zip Code State Transaction ID: A2021-1297722 TX Waco 76712 Amount of Each Receipt this Period FEC ID number of contributing C 60.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General 362.41 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Holland, Sally, Date of Receipt Mailing Address 2120 West End Avenue 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-1297639 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 69.05 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Receivables Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 410.25 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hollingshead-Cook, Vanessa, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-1297650 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Region Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 179.95 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Husar, Lucian, M,, Date of Receipt Mailing Address 9401 85Th Avenue North 2021 City Zip Code State Transaction ID: A2021-1297571 MN Brooklyn Park 55445 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Specialist-Design** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hutt, Joe, , , Date of Receipt Mailing Address 812 W Washington Street 2021 City State Zip Code Transaction ID: A2021-1297064 East Peoria IL 61611 Amount of Each Receipt this Period FEC ID number of contributing 190.79 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1128.06 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Ibrahim, Daniel, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2021 PO Box 610 City State Zip Code Transaction ID: A2021-1296826 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 205.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 1223.25 Other (specify) 446.68 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name James, Danielle, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2021 City Zip Code State Transaction ID: A2021-1296832 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 78.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Political Action Committee Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 459.66 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Jarboe, Kevin, Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2021 PO Box 610 City State Zip Code Transaction ID: A2021-1297153 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 49.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Elec Sys V&V Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 282.15 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Jerge, Martin, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-1297235 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Security Investigator I Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 177.97 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Alicia, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City Zip Code State Transaction ID: A2021-1297083 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 243.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Segment HR Director Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1423.08 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Johnson, Brad, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City State Zip Code Transaction ID: A2021-1296712 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Mgr Adv Comp Mfg Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1200.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Johnson, Cheryl, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2021 City State Zip Code Transaction ID: A2021-1296766 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Human Resources Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2499.96 Other (specify) 860.19 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Craig, , , Date of Receipt Mailing Address 2200 Pacific Highway 2021 City Zip Code State Transaction ID: A2021-1297583 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.02 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Johnson, Denise, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City State Zip Code Transaction ID: A2021-1296878 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Group President** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2500.02 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Johnson, Jerry, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2021 City Zip Code State Transaction ID: A2021-1297037 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 61.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Program Specialist III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 363.66 Other (specify) 894.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Nathan, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-1297309 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 49.96 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Talent Acquisition Mgr - CI Salaried a Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 295.41 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Johnston, Dayven, , , Date of Receipt Mailing Address 2045 West Pike Street 2021 City State Zip Code Transaction ID: A2021-1296871 PA Houston 15342 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 360.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Kaiser, Jack, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-1296968 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Procurement Manager II Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 209.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Karol, Kathryn, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2021 City Zip Code State Transaction ID: A2021-1297125 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.02 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Kearns, Patrick, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-1297325 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 95.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Industry Region Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 563.73 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kees, Matt, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2021 **Building CV** City State Zip Code Transaction ID: A2021-1297252 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Rgnl/Group Financial Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 561.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kibby, Steve, , , Date of Receipt Mailing Address 200 NE Adams Street 2021 City Zip Code State Transaction ID: A2021-1297452 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 230.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1367.55 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kim, Charlie, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2021 Bldg E City State Zip Code Transaction ID : A2021-1296762 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 61.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Engineering Project Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 363.60 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kinsey, Don, , , Date of Receipt Mailing Address 751 International Dr 30 2021 City State Zip Code Transaction ID: A2021-1296892 IN Franklin 46131 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 341.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Knepp, Wes, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-1297545 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 187.97 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Labor & Employee Relations Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1116.78 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Knotts, Christine, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-1296785 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 68.58 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Engineering Project Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 407.43 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Krueckeberg, Todd, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 30 2021 Suite 4000 City State Zip Code Transaction ID: A2021-1297504 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 306.55 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kruse, Brian, , , Date of Receipt Mailing Address 560 Rehoboth Road 2021 City Zip Code State Transaction ID: A2021-1296730 GA Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 106.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Solutions Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 634.26 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kupferschmid, Jason, , , Date of Receipt Mailing Address 3501 South FM Hwy 1417 2021 City State Zip Code Transaction ID: A2021-1297655 TX Denison 75020 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lagacy, Julie, , , Date of Receipt Mailing Address 812 W Washington Street 30 2021 City State Zip Code Transaction ID: A2021-1297118 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.02 Other (specify) 623.42 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lane-Christian, Deborah, , , Date of Receipt Mailing Address 200 NE Adams Street 2021 City Zip Code State Transaction ID: A2021-1296875 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 36.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Paralegal V Receipt For: Aggregate Year-to-Date ▼ Primary General 215.73 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lanza, Gabriela, , , Date of Receipt Mailing Address 701 Waterford Way 2021 Suite 600 City State Zip Code Transaction ID: A2021-1296938 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Assistant General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lauber, Priska, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2021 **Building CV** City State Zip Code Transaction ID: A2021-1297349 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 38.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Network Specialist III Receipt For: Aggregate Year-to-Date ▼ Primary General 224.09 Other (specify) 125.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lautzenheiser, Scott, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-1297420 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 201.96 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1191.27 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lawley, Jimmy, , , Date of Receipt Mailing Address 312 Burleson Mountain Rd 10 2021 City State Zip Code Transaction ID: A2021-1076269 AL Hartselle 35640-4340 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services **VP Information Technology** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Lawley, Jimmy, , , Date of Receipt Mailing Address 312 Burleson Mountain Rd 24 2021 City State Zip Code Transaction ID: A2021-1254781 AL Hartselle 35640-4340 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services **VP Information Technology** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 251.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Leamon, Brittany, , , Date of Receipt Mailing Address 701 Waterford Way Suite 600 2021 City State Zip Code Transaction ID: A2021-1296742 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing 54.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Assistant Finance Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 318.48 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. LeBaron, Liz,, Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 2021 PO Box 348 City State Zip Code Transaction ID: A2021-1297196 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Leber, Jeff, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2021 PO Box 610 City State Zip Code Transaction ID: A2021-1297011 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Order Fulfillment Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 154.12 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ledford, Curtis, , , Date of Receipt Mailing Address 1167 NC Hwy 42 East 2021 City Zip Code State Transaction ID: A2021-1296809 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 42.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supplier Development Eng II Receipt For: Aggregate Year-to-Date ▼ Primary General 248.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Lee, Christopher, , , Date of Receipt Mailing Address 812 W Washington Street 2021 City State Zip Code Transaction ID: A2021-1296789 East Peoria IL 61611 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Program Mgmt Developer III Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 270.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Leustek, Jennifer, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2021 PO Box 610 City State Zip Code Transaction ID: A2021-1297027 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) 147.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Liang, Chih, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-1296767 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Light, Jake, , , Date of Receipt Mailing Address 7300 Lone Tree Road 2021 City State Zip Code Transaction ID: A2021-1296973 TX Victoria 77901 Amount of Each Receipt this Period FEC ID number of contributing 46.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 252.03 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lindberg, Richard, , , Date of Receipt Mailing Address 4-H Park Road 30 2021 PO Box 740 City State Zip Code Transaction ID: A2021-1297370 IL **Pontiac** 61764 Amount of Each Receipt this Period FEC ID number of contributing 78.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engr Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 441.17 Other (specify) 225.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Liu, Trevor, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2021 City Zip Code State Transaction ID: A2021-1297531 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 68.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 409.17 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Lohmeier, Larry, , , Date of Receipt Mailing Address 3701 State Road 26 East 2021 City State Zip Code Transaction ID: A2021-1297181 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 192.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1143.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Long, Suzette, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2021 City State Zip Code Transaction ID: A2021-1297467 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Legal Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2499.96 Other (specify) 677.90 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lorenzetti, JR,,, Date of Receipt Mailing Address 400 Regency Forest Drive Suite 400 2021 City Zip Code State Transaction ID: A2021-1297114 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Worldwide Sales & Mrkt Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Luttrell, Brad, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2021 Bldg A City State Zip Code Transaction ID: A2021-1296713 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 39.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Category Buyer IV Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 235.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Markun, Joe, , , Date of Receipt Mailing Address 3701 State Road 26 East 30 2021 City State Zip Code Transaction ID: A2021-1297065 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Large Engine Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 179.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Martin, Alex, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2021 City Zip Code State Transaction ID: A2021-1297761 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 146.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Funding Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 859.98 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Martin, Arthur, , , Date of Receipt Mailing Address 9330 Sky Park Court 2021 City State Zip Code Transaction ID: A2021-1297579 CA San Diego 92123-5398 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Dir Bus Management-Oil & Gas Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Martin, David, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2021 City State Zip Code Transaction ID: A2021-1296862 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Rgnl/Group Financial Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2499.96 Other (specify) 613.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Matheny, Steve, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-1297453 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 220.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1306.77 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Matheson, Sean, , , Date of Receipt Mailing Address 500 N Morton Ave 2021 City State Zip Code Transaction ID: A2021-1297753 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 88.36 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Supply Network Lead I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 393.74 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Mathis, Colleen, , , Date of Receipt Mailing Address 875 W Cushing Street 30 2021 City State Zip Code Transaction ID: A2021-1296801 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 49.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Demand Consultant** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 290.97 Other (specify) 358.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McCartan, Patrick, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2021 City Zip Code State Transaction ID: A2021-1297633 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CFSC Vice President / CFO Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McCartney, Sandi, , , Date of Receipt Mailing Address 100 Tractor Drive 2021 **Building CV** City State Zip Code Transaction ID: A2021-1297412 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 218.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1308.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McCaslin, James, , , Date of Receipt Mailing Address 1540 Newton Pass 10 2021 City Zip Code State Transaction ID: A2021-1076290 OH **Broadview Hts** 44147-3631 Amount of Each Receipt this Period FEC ID number of contributing C 36.74 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 397.90 Other (specify) 304.74 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McCaslin, James, , , Date of Receipt Mailing Address 1540 Newton Pass 2021 City Zip Code State Transaction ID: A2021-1254802 OH **Broadview Hts** 44147-3631 Amount of Each Receipt this Period FEC ID number of contributing C 36.74 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 434.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. McClure, Rebecca, , , Date of Receipt Mailing Address 2120 West End Avenue 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-1297634 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 62.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Customer Relations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 365.16 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McGuire, Andy, A, Date of Receipt Mailing Address 200 NE Adams Street 30 2021 City State Zip Code Transaction ID: A2021-1296654 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.02 Other (specify) 515.71 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McKay, Heather Rae, R, Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-1296957 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 54.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) WD Functional Area Architect Lead Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 320.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McMullen, Karen, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-1297120 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 78.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Tax Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 468.69 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Mellott, Lauren, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-1297622 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 59.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Credit Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 357.99 Other (specify) 192.19 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

147 FOR LINE NUMBER: PAGE 87 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Menssen, Jim, J,, Date of Receipt Mailing Address 4-H Park Road P O Box 740 2021 City Zip Code State Transaction ID: A2021-1297049 IL Pontiac 61764 Amount of Each Receipt this Period FEC ID number of contributing 44.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Technologist-Test/Validation Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 262.83 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Mesa, Santiago, , , Date of Receipt Mailing Address 9275 Skypark Court 2021 Suite 250 City State Zip Code Transaction ID: A2021-1297690 CA San Diego 92123 Amount of Each Receipt this Period FEC ID number of contributing 45.43 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines **Digital Product Owner** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 269.37 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Michael, Dave, , , Date of Receipt Mailing Address 100 NE Adams St. 30 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-1297602 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **AFS Commercial Director** Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 190.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Miller, Andy, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg E 2021 City State Zip Code Transaction ID: A2021-1296655 IL 61552 Mossville Amount of Each Receipt this Period FEC ID number of contributing 58.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product & Tech Training Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 348.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Miller, Scott, , , Date of Receipt Mailing Address 501 SW Jefferson 2021 City State Zip Code Transaction ID: A2021-1297423 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Strategy Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Miller, Shane, , , Date of Receipt Mailing Address 501 Cardinal Drive 30 2021 City Zip Code State Transaction ID: A2021-1297431 MS Corinth 38834 Amount of Each Receipt this Period FEC ID number of contributing C 54.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Quality Manager 1** Receipt For: Aggregate Year-to-Date ▼ Primary General 324.72 Other (specify) 163.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Milman, Kelsey, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-1297137 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 2496.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Mitts, Marsha, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-1297234 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 151.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager II-Export Controls Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 902.67 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Mohrman, Patrick, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2021 PO Box 610 City State Zip Code Transaction ID: A2021-1297326 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 95.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 569.01 Other (specify) 663.63 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Monteleone, Arnold, , , Date of Receipt Mailing Address 1000 Morningside Cir 10 2021 City Zip Code State Transaction ID: A2021-1076270 TX Denison 75020-4154 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) VP Trackwork Division **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Monteleone, Arnold, , , Date of Receipt Mailing Address 1000 Morningside Cir 2021 City State Zip Code Transaction ID : A2021-1254782 TX Denison 75020-4154 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Trackwork Division Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Montgomery, Djuana, , , Date of Receipt Mailing Address 812 W Washington Street 30 2021 City Zip Code State Transaction ID: A2021-1296891 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 157.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Information Technology Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 923.25 Other (specify) 207.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mooney, Martin, , , Date of Receipt Mailing Address 3000 North 27th Street 2021 City Zip Code State Transaction ID: A2021-1297236 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 170.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineering Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 1016.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Moore, Jann, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-1296986 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 135.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 794.19 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Moore, Rich, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2021 City State Zip Code Transaction ID: A2021-1297367 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Treasurer Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) 506.61 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Moran, Barbra, , , Date of Receipt Mailing Address 6800 Doerr Lane 2021 City Zip Code State Transaction ID: A2021-1296676 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Morton, Susan, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-1297465 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 58.01 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Guest Relations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 337.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Morzy, Adam, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 30 2021 PO Box 500 City State Zip Code Transaction ID: A2021-1297658 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 67.55 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Accounting Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 396.57 Other (specify) 175.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Moss, Jarrod, , , Date of Receipt Mailing Address 6000 W. Caterpillar Trail 2021 City Zip Code State Transaction ID: A2021-1296989 ΑZ Green Valley 85614 Amount of Each Receipt this Period FEC ID number of contributing 182.78 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 1076.91 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Mund, Brian, , , Date of Receipt Mailing Address 875 W Cushing Street 2021 City State Zip Code Transaction ID : A2021-1296732 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 66.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 394.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Muthekepalli, Srinivas, , , Date of Receipt Mailing Address 500 N. Morton Ave 30 2021 City State Zip Code Transaction ID: A2021-1297754 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 74.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Info Systems Specialist Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 414.96 Other (specify) 323.89 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Myers, Bart, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2021 City State Zip Code Transaction ID: A2021-1296682 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) General Mgr EP Diesel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Myers, Chris, , , Date of Receipt Mailing Address Suite 400 2021 1425 K Street City State Zip Code Transaction ID: A2021-1296779 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 308.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Federal Government Affairs Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1853.04 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Myers, Kirsten, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-1297619 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 88.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Lease Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 523.71 Other (specify) 497.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Newell, Dontae, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City Zip Code State Transaction ID: A2021-1296895 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 93.87 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 555.60 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Nicoll, David, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2021 Bldg E City State Zip Code Transaction ID: A2021-1296866 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 55.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 330.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Norlin, John, , , Date of Receipt Mailing Address 14009 N Old Galena Rd 30 2021 Tech Center Bldg K City State Zip Code Transaction ID: A2021-1297098 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 180.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 1035.91 Other (specify) 328.99 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name North, William, , , Date of Receipt Mailing Address 9201 Faulkner Lake Road 2021 City Zip Code State Transaction ID: A2021-1297551 AR 72117-9546 N. Little Rock Amount of Each Receipt this Period FEC ID number of contributing 32.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Occupational Health Nurse IV Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 201.86 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Nuttall, Angela, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-1296658 Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 55.16 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **EHS Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 324.21 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** O'Neil, Ed, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-1296905 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Earthmoving Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 187.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Osborn, Sheri, , , Date of Receipt Mailing Address 2200 Pacific Highway 2021 City Zip Code State Transaction ID: A2021-1297692 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing 77.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Supply Chain Area Sr. Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 455.36 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Parks, Brett, , , Date of Receipt Mailing Address 2120 West End Avenue 2021 P O Box 340001 City State Zip Code Transaction ID : A2021-1297768 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 211.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1253.37 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Paternoga, Bob, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2021 PO Box 610 City State Zip Code Transaction ID: A2021-1296705 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Reman Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.02 Other (specify) 705.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pellette, Tom, , , Date of Receipt Mailing Address 2200 Pacific Hwy 2021 City Zip Code State Transaction ID: A2021-1297513 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.02 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Perez Sandi, Alex, , , Date of Receipt Mailing Address 8826 2 Route 24 2021 City State Zip Code Transaction ID: A2021-1297080 IL Mapleton 61547 Amount of Each Receipt this Period FEC ID number of contributing 107.93 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 621.39 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Perez, Marco, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 30 2021 City State Zip Code Transaction ID: A2021-1297677 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 88.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Dev Assignment Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 520.62 Other (specify) 613.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Peterson, Vanessa, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-1297536 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 51.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Tax Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 306.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Pfautsch, Brian, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2021 PO Box 610 City State Zip Code Transaction ID: A2021-1296734 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 450.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Pickell, Mike, E, , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2021 PO Box 610 City State Zip Code Transaction ID: A2021-1297294 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 166.63 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pierce, Robert, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2021 City State Zip Code Transaction ID: A2021-1297383 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 85.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Supply Chain Performance Mgr 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 508.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Pitts, Dave, , , Date of Receipt Mailing Address 2120 West End Avenue 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-1296852 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 69.05 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Executive Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 410.25 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Pladers, Missy, , , Date of Receipt Mailing Address 3000 North 27th Street 30 2021 City State Zip Code Transaction ID: A2021-1297299 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 45.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Staff Acct II - Accountable Receipt For: Aggregate Year-to-Date ▼ Primary General 267.18 Other (specify) 199.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pohm, John, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2021 City State Zip Code Transaction ID: A2021-1297100 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 42.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engineer 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 234.36 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Potluru, Pitchaiah, , , Date of Receipt Mailing Address 3287 Pacific Dr 10 2021 City State Zip Code Transaction ID: A2021-1237979 FL **Naples** 34119-8666 Amount of Each Receipt this Period FEC ID number of contributing 28.02 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 303.18 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Potluru, Pitchaiah, , , Date of Receipt Mailing Address 3287 Pacific Dr 24 2021 City State Zip Code Transaction ID: A2021-1255067 FL **Naples** 34119-8666 Amount of Each Receipt this Period FEC ID number of contributing C 28.02 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 331.20 Other (specify) 98.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Potts, Rachel, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City Zip Code State Transaction ID: A2021-1297351 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir Enterprise Communications Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Price, Kyle, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2021 PO Box 610 City State Zip Code Transaction ID : A2021-1297177 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director of Procurement** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Price, Stephanie, , , Date of Receipt Mailing Address 3000 North 27th Street 30 2021 City State Zip Code Transaction ID: A2021-1297443 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 35.95 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engineer 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 212.04 Other (specify) 285.95 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Qualey, Richard, , , Date of Receipt Mailing Address 5122 N. Jefferson Pl. 2021 City Zip Code State Transaction ID: A2021-1297371 WA Oak Harbor 98277 Amount of Each Receipt this Period FEC ID number of contributing 57.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 343.95 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Quick, Hallie,, Date of Receipt Mailing Address 2120 West End Avenue 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-1297611 ΤN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 48.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Compliance Risk Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 289.62 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Quigg, Jim, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2021 PO Box 610 City State Zip Code Transaction ID: A2021-1297051 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Product Source Plng. & RE Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2499.96 Other (specify) 522.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rabago, Alfredo,,, Date of Receipt Mailing Address 9330 Sky Park Court 2021 City Zip Code State Transaction ID: A2021-1297578 CA San Diego 92123-5398 Amount of Each Receipt this Period FEC ID number of contributing C 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Group Manager IV Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Ramalingam, Prabhakar, , , Date of Receipt Mailing Address 875 W Cushing Street 2021 City State Zip Code Transaction ID : A2021-1297347 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Rasmussen, Marie, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2021 Bldg L City State Zip Code Transaction ID: A2021-1297215 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 56.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Specialist-Design** Receipt For: Aggregate Year-to-Date ▼ Primary General 333.30 Other (specify) 136.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rassi, A.J., , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2021 City State Zip Code Transaction ID: A2021-1297077 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 110.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Strategy & Planning Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 660.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rathman, Carol, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2021 PO Box 610 City State Zip Code Transaction ID: A2021-1296752 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 67.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Electronic Engrg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 399.81 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Rea, David, M, Date of Receipt Mailing Address 875 W Cushing Street 30 2021 City State Zip Code Transaction ID: A2021-1296867 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Mining Products** Receipt For: Aggregate Year-to-Date ▼ Primary General 2499.96 Other (specify) 594.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Reiners, Eric, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-1296920 IL 61552 Mossville Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Automation Sr. Tech Strategist Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rider, Woody, , , Date of Receipt Mailing Address 501 Cardinal Drive 2021 City State Zip Code Transaction ID: A2021-1297554 MS Corinth 38834 Amount of Each Receipt this Period FEC ID number of contributing 55.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 330.15 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Riggle, Bob, , , Date of Receipt Mailing Address 875 W Cushing Street 30 2021 City State Zip Code Transaction ID: A2021-1296706 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 70.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Performance Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 418.36 Other (specify) 175.91 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ringel, Michael, , , Date of Receipt Mailing Address 500 N Morton Ave 2021 City Zip Code State Transaction ID: A2021-1297739 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 168.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Regional Packaging Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 988.86 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Robbins, Ryan, , , Date of Receipt Mailing Address 812 W Washington Street 2021 City State Zip Code Transaction ID : A2021-1297406 East Peoria IL 61611 Amount of Each Receipt this Period FEC ID number of contributing 58.25 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Category Buyer IV Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 342.78 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Robinson, Adam, J., Date of Receipt Mailing Address 9370 Sky Park Court / Ruffin Road 30 2021 City Zip Code State Transaction ID: A2021-1297575 CA San Diego 92123 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines ProgramMgrStrategicGrowthIII Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 267.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rochford, Mike, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-1297295 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) GM Gas & Med Speed Engines Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Rodawold, Joseph, , , Date of Receipt Mailing Address 875 W Cushing Street 2021 City State Zip Code Transaction ID: A2021-1297113 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 166.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 983.52 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Roethler, Mary, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-1297238 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 204.79 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Support Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1205.10 Other (specify) 431.33 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rolinitis, Steve, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-1297456 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director Risk Management** Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Rooney, Jim, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-1297052 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2500.02 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Roos, Kim, , , Date of Receipt Mailing Address 28194 Caterpillar Lane 30 2021 City State Zip Code Transaction ID: A2021-1297166 IL Washington 61571 Amount of Each Receipt this Period FEC ID number of contributing 61.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 363.52 Other (specify) 578.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rosebraugh, Jeff, , , Date of Receipt Mailing Address 3701 South Street 2021 City Zip Code State Transaction ID: A2021-1297016 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 389.88 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rottman, Joe, , , Date of Receipt Mailing Address 875 W Cushing Street 2021 City State Zip Code Transaction ID : A2021-1297068 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 66.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Commercial Mining Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 392.04 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ruppel, Jeff, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-1297017 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 226.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Russell, Mark,,, Date of Receipt Mailing Address 1595 Northridge Trace 10 2021 City Zip Code State Transaction ID: A2021-1076265 AL Albertville 35951 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP ETS Administration **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Russell, Mark, , , Date of Receipt Mailing Address 1595 Northridge Trace 2021 City State Zip Code Transaction ID : A2021-1254777 AL Albertville 35951 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP ETS Administration Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Russell, Melissa, , , Date of Receipt Mailing Address Suite 400 30 2021 1425 K Street City Zip Code State Transaction ID: A2021-1297268 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 167.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Governmental Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 1002.00 Other (specify) 217.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Saad, Jeffrey, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-1297023 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 221.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1384.37 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Salahuddin, Jihad, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-1297042 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 159.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Venture Capital Investmt Mg Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 947.79 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Sale, Ron, , , Date of Receipt Mailing Address 8826 2 Route 24 30 2021 City State Zip Code Transaction ID: A2021-1297395 IL Mapleton 61547 Amount of Each Receipt this Period FEC ID number of contributing 80.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Section Mgr 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 452.85 Other (specify) 461.39 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sallowicz, Marcos, , , Date of Receipt Mailing Address 701 Waterford Way Suite 600 2021 City State Zip Code Transaction ID: A2021-1297211 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing & Ops Support Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Sanders, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-1297157 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Funding Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Santilli, Stefano, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-1297642 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 207.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Risk Analytics Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1217.70 Other (specify) 342.90 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Schaer, Corey, , , Date of Receipt Mailing Address 501 SW Jefferson 2021 City Zip Code State Transaction ID: A2021-1296802 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Data Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Schaffroth, Bill, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-1296696 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Wear Components** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Schell, Bill, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2021 Bldg L City State Zip Code Transaction ID: A2021-1296697 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 140.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 115 OF Use separate schedule(s)

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a						
	Statements may not be sold or used by any pene name and address of any political committee							
NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action	Committee (CATPAC)							
Full Name of Individual (Last, First, Middle In Schmillen, Ken, , , Mailing Address 501 SW Jefferson City Peoria FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation (for Individual) Parts & Svc Mktg Consultant Aggregate Year-to-Date 278.16	Date of Receipt M M M / 30 / 2021 Transaction ID : A2021-1297140 Amount of Each Receipt this Period 47.10 Memo Item						
Full Name of Individual (Last, First, Middle In Seely, Mary, , , Mailing Address 6800 Doerr Lane City Schertz FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code TX 78154 C Occupation (for Individual) Lead Human Resources Mgr Aggregate Year-to-Date ▼ 2118.03	Date of Receipt M M / 30 / 2021 Transaction ID : A2021-1297239 Amount of Each Receipt this Period 356.48 Memo Item						
Full Name of Individual (Last, First, Middle In Selburg, Brent, , , Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer (for Individual) Caterpillar Inc. Receipt For: Primary Other (specify)	State Zip Code IL Zip Code 61629 C Occupation (for Individual) Parts Operations Consultant Aggregate Year-to-Date 300.00	Date of Receipt Mark						
SUBTOTAL of Receipts This Page (optional)		453.58						
TOTAL This Period (last page this line numbe	r only)							

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shan, Katie, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City Zip Code State Transaction ID: A2021-1297128 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Shapland, Brad, , , Date of Receipt Mailing Address 812 W Washington Street 2021 City State Zip Code Transaction ID: A2021-1296714 East Peoria IL 61611 Amount of Each Receipt this Period FEC ID number of contributing 93.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IT Architect Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 556.59 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Shea, Ryan, , , Date of Receipt Mailing Address 600 Balderson Blvd 30 2021 PO Box 6 City State Zip Code Transaction ID: A2021-1297709 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing 58.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. 6 Sigma Black Belt-2 Receipt For: Aggregate Year-to-Date ▼ Primary General 347.55 Other (specify) 202.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sheffield, Maria, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2021 City Zip Code State Transaction ID: A2021-1297214 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 216.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **President Insurance Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 1279.62 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Shepherd, Scott, , , Date of Receipt Mailing Address 500 N Morton Ave 2021 City State Zip Code Transaction ID: A2021-1297752 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 90.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir Aftermarket Parts Dist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 540.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Shurman, Rod, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 30 2021 Suite 4000 City State Zip Code Transaction ID: A2021-1297388 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 382.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2139.17 Other (specify) 688.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shute, Truman, , , Date of Receipt Mailing Address 2800 E. Cedar Hills Drive 2021 City Zip Code State Transaction ID: A2021-1297758 IL 61523 Chillicothe Amount of Each Receipt this Period FEC ID number of contributing 104.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Solution Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 465.23 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Siena, Paul, , , Date of Receipt Mailing Address 501 SW Jefferson 2021 City State Zip Code Transaction ID: A2021-1297340 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 36.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Intelligence Analyst** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 212.49 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Simmons, Tom, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2021 PO Box 610 City State Zip Code Transaction ID: A2021-1297514 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Quality Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 180.94 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sivertsen, Nick, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-1297743 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Transportation Manager III Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Sluga, Maureen, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2021 Suite 4000 City State Zip Code Transaction ID: A2021-1297263 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 188.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1106.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Smith, Mary, , , Date of Receipt Mailing Address 653 Roden Ave 10 2021 City State Zip Code Transaction ID: A2021-1076276 AL Boaz 35957-4923 Amount of Each Receipt this Period FEC ID number of contributing C 126.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1364.69 Other (specify) 364.81 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Use separate schedule(s) for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Smith, Mary, , , Date of Receipt Mailing Address 653 Roden Ave 2021 City Zip Code State Transaction ID: A2021-1254788 AL Boaz 35957-4923 Amount of Each Receipt this Period FEC ID number of contributing C 126.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1491.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Smith, Ron, , , Date of Receipt Mailing Address 3701 State Road 26 East 2021 City State Zip Code Transaction ID: A2021-1297396 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Smith, Wayne, , , Date of Receipt Mailing Address 500 N Morton Ave 30 2021 City State Zip Code Transaction ID: A2021-1297542 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 1.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Project Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 311.64 Other (specify) 167.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sonnemaker, Brian, , , Date of Receipt Mailing Address 812 W Washington Street 2021 City Zip Code State Transaction ID: A2021-1296736 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Group CIO IT Engagement Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Speake, Laura, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-1297183 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 155.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Regional Chief Economist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 919.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Spears, Drew, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-1297606 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assn - 4 Pillars Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) 465.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Spitznagle, Matt,,, Date of Receipt Mailing Address 560 Rehoboth Road 2021 City State Zip Code Transaction ID: A2021-1297258 GA Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 51.71 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 302.94 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sporre, Eric, , , Date of Receipt Mailing Address 812 W Washington Street 2021 City State Zip Code Transaction ID : A2021-1296922 East Peoria IL 61611 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Info Security Officer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Staley, Daniel, , , Date of Receipt Mailing Address 200 NE Adams Street 30 2021 City State Zip Code Transaction ID: A2021-1296829 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 160.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 954.24 Other (specify) 312.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stanbary, Mary, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-1297240 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 78.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) HR Merger & Acquistion Consult Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 472.09 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Stanley, Jim, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2021 Bldg E City State Zip Code Transaction ID: A2021-1297055 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 118.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 698.82 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Starr, Jim, , , Date of Receipt Mailing Address 875 W Cushing Street 30 2021 City State Zip Code Transaction ID: A2021-1297056 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 196.79 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Aftermarket Solutions Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1167.75 Other (specify) 393.88 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Steiner, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-1297158 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 158.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 943.14 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stenger, James, , , Date of Receipt Mailing Address 2749 Waverley Dr 10 2021 City State Zip Code Transaction ID: A2021-1237983 TX Trophy Club 76262-3425 Amount of Each Receipt this Period FEC ID number of contributing 39.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 425.95 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stenger, James, , , Date of Receipt Mailing Address 2749 Waverley Dr 24 2021 City State Zip Code Transaction ID: A2021-1255071 TX Trophy Club 76262-3425 Amount of Each Receipt this Period FEC ID number of contributing C 39.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 465.30 Other (specify) 237.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stevens, Janet, , , Date of Receipt Mailing Address 3701 State Road 26 East 2021 City Zip Code State Transaction ID: A2021-1296985 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 168.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 987.90 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Stevens, Matt, , , Date of Receipt Mailing Address 9401 85Th Avenue North 2021 City State Zip Code Transaction ID: A2021-1297573 Brooklyn Park MN 55445 Amount of Each Receipt this Period FEC ID number of contributing 42.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engineer 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 253.11 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stevenson, Brad, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-1296715 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 153.36 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. EHS Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 906.75 Other (specify) 364.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stinson, John, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-1297103 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 191.72 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Commercial Mining Receipt For: Aggregate Year-to-Date ▼ Primary General 1137.66 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Stone, Brian, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-1296737 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 131.02 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engrg Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 758.70 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stone, Casey, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2021 **Building CV** City State Zip Code Transaction ID: A2021-1296754 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 71.78 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Information Technology Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 423.42 Other (specify) 394.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stone, Jonathan, , , Date of Receipt Mailing Address 27Th & Pershing Rd PO Box 1430 2021 City State Zip Code Transaction ID: A2021-1297111 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing C 164.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Support Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 966.72 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stratton, Mark, , , Date of Receipt Mailing Address 1720 W Kingsbury 2021 City State Zip Code Transaction ID: A2021-1297231 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM IPSD Large Engines** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2500.02 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Strother, Art, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 Cileungsi Bogor City State Zip Code Transaction ID: A2021-1296666 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 167.95 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facility Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 997.83 Other (specify) 748.90 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full)	- (CATDAC)								

Caterpillar Inc. Political Acti	on Committee ((CATPAC)					
Full Name of Individual (Last, First, Mid Taphorn, Matt, , , Mailing Address 100 Tractor Drive	dle Initial) or Full Orga	anization Name	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y				
Building CV	10	T					
City	State IL	Zip Code	Transaction ID : A2021-1297259 Amount of Each Receipt this Period 128.32				
East Peoria	IL	61630					
FEC ID number of contributing federal political committee.	C						
Name of Employer (for Individual) Caterpillar Inc.	Occupa Division	ation (for Individual) n CFO	Memo Item				
Receipt For: Primary General Other (specify) ▼	Aggregate Ye	ar-to-Date ▼ 762.42					
Full Name of Individual (Last, First, Mid B. Thaker, Hrishikesh, , ,		anization Name	Date of Receipt				
Mailing Address Illinois Route 29 at Old			06 30 2021				
City	State	Zip Code	Transaction ID : A2021-1296961				
Mossville	IL	61552	Amount of Each Receipt this Period				
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Primary General Other (specify) ▼		426.96					
Full Name of Individual (Last, First, Mid C. Thomas, Heather, , ,	dle Initial) or Full Orga	anization Name	Date of Receipt				
Mailing Address 100 NE Adams			06 30 / Y Y Y Y Y Y				
City	State	Zip Code	Transaction ID : A2021-1296959				
Peoria	IL	61629	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C		54.96				
Name of Employer (for Individual) Caterpillar Inc.		ation (for Individual) Product Mktg Consultant	Memo Item				
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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Tippey, Trent, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-1297529 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Tobias, Mitch, , , Date of Receipt Mailing Address 100 Tractor Drive 2021 Building CV Dock CV City State Zip Code Transaction ID: A2021-1297301 East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 125.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Strategy & Planning Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 750.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Toher, Susan, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2021 PO Box 610 City State Zip Code Transaction ID: A2021-1297466 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 325.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Tolin, Fred, , , Date of Receipt Mailing Address 3000 North 27th Street 2021 City Zip Code State Transaction ID: A2021-1296936 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 41.26 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineer-Design** Receipt For: Aggregate Year-to-Date ▼ Primary General 244.86 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Tomlins, Greg, , , Date of Receipt Mailing Address 100 Tractor Drive 2021 **Building CV** City State Zip Code Transaction ID: A2021-1296951 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 171.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Value Chain Engrg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1019.16 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Tomsovic, Brian, , , Date of Receipt Mailing Address 1720 W Kingsbury 30 2021 City State Zip Code Transaction ID: A2021-1296738 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 81.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 483.93 Other (specify) 294.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Towne, Ursula, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg L 2021 City State Zip Code Transaction ID: A2021-1297533 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 48.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Engineering Project Team Leadr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 287.74 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Tyre, Beth, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2021 PO Box 610 City State Zip Code Transaction ID: A2021-1296691 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 322.26 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Umpleby, Jim, , , III Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-1297059 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. CEO Chairman of the Board Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.02 Other (specify) 490.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Utley, Tana, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-1297471 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.02 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Vanek, Jeff, , , Date of Receipt Mailing Address 100 NE Adams 2021 Suite 902 City State Zip Code Transaction ID: A2021-1297018 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 145.99 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 867.03 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Varela, Antonio, , , Date of Receipt Mailing Address 875 W Cushing Street 30 2021 City State Zip Code Transaction ID: A2021-1296663 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 58.25 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Operations Rep** Receipt For: Aggregate Year-to-Date ▼ Primary General 296.82 Other (specify) 620.91 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Varghese, Asha,,, Date of Receipt Mailing Address 510 Lake Cook Road 2021 City Zip Code State Transaction ID: A2021-1296667 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 207.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **President Cat Foundation** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1214.67 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Venkataraghavan, Jay, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2021 Bldg L City State Zip Code Transaction ID : A2021-1296998 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 64.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 373.26 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Verlasky, Paul, , , Date of Receipt Mailing Address 9330 Sky Park Court 30 2021 City State Zip Code Transaction ID: A2021-1297682 CA San Diego 92123-5398 Amount of Each Receipt this Period FEC ID number of contributing C 60.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Consulting Application Engr Receipt For: Aggregate Year-to-Date ▼ Primary General 351.03 Other (specify) 331.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Villegas, Hiram, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-1296960 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Accounts Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Voigt, John, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2021 City State Zip Code Transaction ID: A2021-1297599 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 38.98 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Info Technology Analyst III Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.46 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Walters, Tom, , , Date of Receipt Mailing Address 600 S. Second Street 30 2021 Suite 101 City State Zip Code Transaction ID: A2021-1297518 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing 260.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. US State & Americas GGCA Dir. Receipt For: Aggregate Year-to-Date ▼ Primary General 1700.00 Other (specify) 348.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Walters, Tom, , , Date of Receipt Mailing Address 600 S. Second Street Suite 101 2021 City State Zip Code Transaction ID: A2021-1297517 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing 240.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US State & Americas GGCA Dir. Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1700.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Walton, Dave, , , Date of Receipt Mailing Address 2120 West End Avenue 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-1296855 ΤN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2499.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Walton, Jay, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-1296999 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Caterpillar Defense** Receipt For: Aggregate Year-to-Date ▼ Primary General 2499.96 Other (specify) 1073.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Warning, Kenny, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-1297143 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 46.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Electronic Black Belt Receipt For: Aggregate Year-to-Date ▼ Primary General 276.06 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Waters, Bill, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-1296699 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 167.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Division Risk & Compliance Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 984.72 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Weaver, Travis, , , Date of Receipt Mailing Address 540 W. Madison Street 30 2021 Floor 3 City State Zip Code Transaction ID: A2021-1297527 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing 55.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Mgr Strategic Bus Planning Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 326.37 Other (specify) 268.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Weer, Noel, S,, Date of Receipt Mailing Address 3000 North 27th Street 2021 City Zip Code State Transaction ID: A2021-1297320 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 84.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sales & Oprns Plng Coord 2 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 480.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Weiss, Karl, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2021 Bldg A City State Zip Code Transaction ID: A2021-1297123 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2500.02 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. West, Greg, , , Date of Receipt Mailing Address 27Th & Pershing Rd 30 2021 PO Box 1430 City State Zip Code Transaction ID: A2021-1296952 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing 37.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **EHS Professional I** Receipt For: Aggregate Year-to-Date ▼ Primary General 223.14 Other (specify) 538.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name White, Christopher, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City Zip Code State Transaction ID: A2021-1296793 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Product Supply Mgr I Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Whiteley, Douglas, , , Date of Receipt Mailing Address 2416 Mid-America Industrial Dr 2021 City State Zip Code Transaction ID: A2021-1296903 MO Boonville 65233-2635 Amount of Each Receipt this Period FEC ID number of contributing 186.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1112.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. White, Scott, , , Date of Receipt Mailing Address 3000 North 27th Street 30 2021 City State Zip Code Transaction ID: A2021-1297427 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 39.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Facilities Engineer 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 233.76 Other (specify) 276.03 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wiest, Valerie, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bl<u>dg</u> F 2021 City State Zip Code Transaction ID: A2021-1297535 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 95.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager 4** Receipt For: Aggregate Year-to-Date ▼ Primary General 568.08 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wiklund, Bob, Date of Receipt Mailing Address 2120 West End Avenue 2021 P O Box 340001 City State Zip Code Transaction ID : A2021-1297767 ΤN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **CPF Managing Director** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wilkerson, Jeff, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2021 Bldg A City State Zip Code Transaction ID: A2021-1297019 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 157.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 928.71 Other (specify) 303.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Williamson, Ted,,, Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-1297473 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 80.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CPS Black Belt-3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 446.01 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wilson, lan, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-1297661 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Value Stream Manager Longwi Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Winkel, Chris, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-1296784 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 52.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Prod Compliance & Support Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 314.46 Other (specify) 182.87 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wolbert, Robert, , , Date of Receipt Mailing Address 3570 Granite Way 10 2021 City Zip Code State Transaction ID: A2021-1076264 GA Martinez 30907-8974 Amount of Each Receipt this Period FEC ID number of contributing C 81.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 882.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Wolbert, Robert, , , Date of Receipt Mailing Address 3570 Granite Way 2021 City State Zip Code Transaction ID : A2021-1254776 GA Martinez 30907-8974 Amount of Each Receipt this Period FEC ID number of contributing 81.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 963.72 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wolf, Bill, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2021 City Zip Code State Transaction ID : A2021-1296700 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 212.88 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wolfe, Tim,,, Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-1297493 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wood, Bruce, , , Date of Receipt Mailing Address 3000 North 27th Street 2021 City State Zip Code Transaction ID: A2021-1296746 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 34.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Infor Developer 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 204.12 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wu, Christina, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 30 2021 PO Box 500 City State Zip Code Transaction ID: A2021-1297660 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 67.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Staff Acct I Cost / DS Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 304.14 Other (specify) 141.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wurtzbacher, Corey, , , Date of Receipt Mailing Address 100 Sanford Street 2021 City Zip Code State Transaction ID: A2021-1296803 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 2499.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Yergler, Daren, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-1296836 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 182.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1073.37 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Yoeu, Rammagy, , , Date of Receipt Mailing Address 100 NE Adams 30 2021 City State Zip Code Transaction ID: A2021-1297353 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Engineer-Design Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.36 Other (specify) 650.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name York, Derrick, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2021 City State Zip Code Transaction ID: A2021-1296890 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Marine Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Young, Michael, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City State Zip Code Transaction ID: A2021-1297283 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Young, Timothy, , , Date of Receipt Mailing Address 100 Sanford Street 30 2021 City State Zip Code Transaction ID: A2021-1297496 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 185.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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