

Private Equity Growth Capital Council Political Action Committee (PEGCC-PAC)

2. FEC IDENTIFICATION NUMBER


STATE


AMENDED
(A)
4. TYPE OF REPORT (Choose One)
(a) Quarterly Reports:

| $\square$ | April 15 <br> Quarterly Report(Q1) |
| ---: | :--- |
| $\square$ | July 15 |
| Quarterly Report(Q2) |  |
| $\square$ | October 15 <br> Quarterly Report(Q3) |
| $\square$ | January 31 <br> Quarterly Report(YE) |
| X | July 31 Mid-Year <br> Report(Non-election <br> Year Only) (MY) |
| $\square$ | Termination Report <br> (TER) |



Feb 20 (M2)


(c) 12-Day PRE-Election Report for the:


General (12G)


Special (12G)

| Election on | $\square$ | $\square$ |
| :--- | :--- | :--- | :--- |

(d) 30-Day Post -Election Report for the:


General (30G)



Runoff (30R) $\square$ Special (30S)
in the State of $\square$
5. Covering Period
through


2011

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.
Type or Print Name of Treasurer John Steven Judge


NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437 g .

| Office <br> Use <br> Only |  |  |  |  |  | FEC FORM 3X <br> (Rev. 12/2004) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |

Write or Type Committee Name
Private Equity Growth Capital Council Political Action Committee (PEGCC-PAC)


This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

FEC Form 3X (Rev. 06/2004)
Page 3
Write or Type Committee Name
Private Equity Growth Capital Council Political Action Committee (PEGCC-PAC)

| Report Covering the Period: | From: | ${ }^{M} 3^{M}$ | D <br> 3 <br> 31 | $\begin{array}{ll} Y \\ 2 & 0 \\ W \end{array} 1^{Y}$ | To: | $\begin{aligned} & M 6 \\ & 06 \end{aligned}$ | D 3 30 | $\begin{array}{lll} Y & Y \\ 2 & 0^{Y} & 1 \end{array}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |

## I. Receipts

11. Contributions (other than loans) From:
(a) Individuals/Persons Other Than Political Committees
(i) Itemized (use Schedule A) ...........
(ii) Unitemized ....................................
(iii) TOTAL (add Lines 11(a)(i) and (ii) $\qquad$ 1
(b) Political Party Committees $\qquad$ .....
(c) Other Political Committees (such as PACs) $\qquad$
(d) Total Contributions (add Lines

11(a)(iii),(b) and (c)) (Carry
Totals to Line 33, page 5) $\qquad$
18. Transfers from Non-Federal and Levin Funds

| (a) Non-Federal Account |
| :--- |
| (from Schedule H3) ...................... |

(b) Levin Funds (from Schedule H5) .......
(c) Total Transfer (add 18(a) and 18(b)).
19. Total Receipts (add Lines 11(d),
$12,13,14,15,16,17$, and 18(c)) $\qquad$
(subtract Line 18(c) from Line 19) $\qquad$ ......
$\square 77176.76$

|  |
| :---: |
|  |
|  |
|  |
|  | 0.00


| $\square$ | 0.00 |
| :---: | :---: |
| $\square$ | 0.00 |
|  | 0.00 |

$\square$
77176.76 $\square$
77176.76
77176.76

COLUMN A Total This Period

COLUMN B Calendar Year-to-Date

| 77176.66 |
| :---: |
| 0.10 |
| 77176.76 |
| 0.00 |
| 0.00 |


|  |
| :---: |
| $\square$ |
| +0.00 |

$\square \quad 77176.76$
$\square . \quad 77176.76$
COLUMN A Total This Period

COLUMN B Calendar Year-to-Date

## II. DISBURSEMENTS

21. Operating Expenditures:
(a) Shared Federal/Non-Federal Activity (from Schedule H4)
(i) Federal Share $\qquad$
(ii) Non-Federal Share $\qquad$
(b) Other Federal Operating Expenditures
(c) Total Operating Expenditures
(add 21(a)(i), (a)(ii) and (b)).
. Transfers to Affiliated/Other Party Committees.
22. Contributions to

Federal Candidates/Committees. and Other Political Committees.
24. Independent Expenditure (use Schedule E)
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F) $\qquad$
26. Loan Repayments Made. $\qquad$
27. Loans Made.
28. Refunds of Contributions To:
(a) Individuals/Persons Other

Than Political Committees $\qquad$
(b) Political Party Committees
(c) Other Political Committees (such as PACs) $\qquad$
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) $>$
29. Other Disbursements. $\qquad$
30. Federal Election Activity (2 U.S.C 431(20))
(a) Shared Federal Election Activity (from Schedule H6)
(i) Federal Share $\qquad$
(ii) "Levin" Share $\qquad$
(b) Federal Election Activity Paid Entirely With Federal Funds $\qquad$ ......
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....


| +112.50 |
| :---: |
| +112.50 |
| $\square$ |
| +0.00 |


| $\square$ | 0.00 |
| :--- | :--- |
| $\square$ | 0.00 |
|  | 0.00 |


|  |
| :---: |
| $\square$ |
| 0.00 |


|  | 0.00 |
| :---: | :---: |
| $\cdots$ | 0.00 |
|  | 0.00 |
| $\cdots$ | 0.00 |
|  | 0.00 |


| 0.00 |
| :---: |
| 0.00 |
| 112.50 |
| 112.50 |
| 0.00 |
| 0.00 |
| 0.00 |
| 0.00 |
| 0.00 |
| 0.00 |
| 0.00 |
| 0.00 |
| 0.00 |
| 0.00 |


| $\square$ | 0.00 |
| :---: | :---: |
|  | 0.00 |
| $\ldots \ldots$ | 0.00 |
|  | 0.00 |


| 0.00 |
| :---: |
| 0.00 |
| 0.00 |
| 0.00 |

$\square$
$\square$ 112.50 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).. $\qquad$ ...... $\square$
112.50

FEC Form 3X (Rev. 02/2003)

| COLUMN A |
| :---: | :---: |
| Total This Period | COLUMN B Calendar Year-to-Date

33. Total Contributions (other than loans) from Line 11(d), page 3) $\qquad$
$\square$
77176.76
77176.76
34. Total Contribution Refunds (from Line 28(d)) $\qquad$
$\square$
$\square$0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) $\qquad$
$\square$
77176.76
77176.76
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21 (b)). $\qquad$
$\square$
112.50 $\square$
37. Offsets to Operating Expenditures (from Line 15, page 3) $\qquad$
$\square$
0.00
$\square .0 .00$
38. Net Operating Expenditures (subtract Line 37 from Line 36) $\qquad$
$\square$
112.50
0.00
112.50

## SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: $\quad$ PAGE 6/17 (check only one)
 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions NAME OF COMMITTEE (In Full)
Private Equity Growth Capital Council Political Action Committee (PEGCC-PAC)

| A. | Full Name (Last, First, Middle Initial) Bruce Anderson |  | Date of Receipt <br> Transaction ID: SA11AI. 4139 |
| :---: | :---: | :---: | :---: |
|  | Mailing Address 116 Settlers Row N |  |  |
|  | City | State Zip Code |  |
|  | Ponte Vedra | FL 32082 | Amount of Each Receipt this Period |
|  | FEC ID number of contributing federal political committee. | C , , , , , | $1000.00$ |
|  | Name of Employer <br> Welsh, Carson, Anderson <br> \& Stowe | Occupation Partner |  |
|  |  | Aggregate Year-to-Date |  |
| B. | Full Name (Last, First, Middle Initial) Mark Anderson |  | Date of Receipt <br> Transaction ID: SA11AI. 4186 |
|  | Mailing Address 600 N. Fairbanks <br>  No. 3905 |  |  |
|  | City <br> Chicago | State Zip Code |  |
|  |  | IL 60611 | Amount of Each Receipt this Period |
|  | FEC ID number of contributing federal political committee. |  | $2000.00$ |
|  | Name of Employer GTCR | Occupation Principal |  |
|  | Receipt For: $\square$ Primary $\square$ General $\square$ Other (specify) $\boldsymbol{\nabla}$ | Aggregate Year-to-Date |  |
| C. | Full Name (Last, First, Middle Initial) Craig Bondy |  | Date of Receipt <br> Transaction ID: SA11AI. 4187 |
|  | Mailing Address 1209 Green Bay Road |  |  |
|  | City <br> Highland Park | State Zip Code <br> IL 60035 |  |
|  |  |  | Amount of Each Receipt this Period |
|  | FEC ID number of contributing federal political committee. |  | $\square, 2000.00$ |
|  | Name of Employer GTCR | Occupation Principal |  |
|  | Receipt For: Primary General Other (specify) | Aggregate Year-to-Date $\boldsymbol{\nabla}$ |  |
|  | SUBTOTAL of Receipts This Page (optional) ........................................................ ${ }^{\text {a }}$. |  | 5000.00 |
|  | TOTAL This Period (last page this line number ondy) | y) ................................................ |  |

## SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 7/17 (check only one)

> Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.
$\sum$
NAME OF COMMITTEE (In Full)
Private Equity Growth Capital Council Political Action Committee (PEGCC-PAC)

| A. | Full Name (Last, First, Middle Initial) Patrick Boroian |  | Date of Receipt <br> Transaction ID: SA11AI. 4176 |
| :---: | :---: | :---: | :---: |
|  | Mailing Address 1020 Grand Court |  |  |
|  | City | State Zip Code |  |
|  | Highland Beach | FL 33487 | Amount of Each Receipt this Period |
|  | FEC ID number of contributing federal political committee. $\square$ |  | $2500.00$ |
|  | Name of Employer Brockway Moran \& Partners | Occupation Partner |  |
|  | Receipt For: $\square$ Primary $\square$ General $\square$ Other (specify) $\boldsymbol{\nabla}$ | Aggregate Year-to-Date $\boldsymbol{\nabla}$ |  |
| B. | Full Name (Last, First, Middle Initial) Peter Brockway |  | Date of Receipt |
|  | Mailing Address 443 Royal Palm Way |  |  |



## SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 8/17 (check only one)


> Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.
$\rangle$
NAME OF COMMITTEE (In Full)
Private Equity Growth Capital Council Political Action Committee (PEGCC-PAC)



## SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 9/17 (check only one)


> Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.


NAME OF COMMITTEE (In Full)
Private Equity Growth Capital Council Political Action Committee (PEGCC-PAC)


## SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: $\quad$ PAGE $10 / 17$ (check only one)
 or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Private Equity Growth Capital Council Political Action Committee (PEGCC-PAC)


## SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 11/17 (check only one)
 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full)
Private Equity Growth Capital Council Political Action Committee (PEGCC-PAC)


## SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: $\quad$ PAGE $12 / 17$ (check only one)
 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions NAME OF COMMITTEE (In Full)
Private Equity Growth Capital Council Political Action Committee (PEGCC-PAC)


## SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 13/17 (check only one)


$$
\begin{aligned}
& \text { Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions } \\
& \text { or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. }
\end{aligned}
$$

$$
\begin{aligned}
& \text { or for commercial purposes, other than using the name and address of any political committee to soli } \\
& \text { NAME OF COMMITTEE (In Full) } \\
& \text { Private Equity Growth Capital Council Political Action Committee (PEGCC-PAC) }
\end{aligned}
$$

| A. | Full Name (Last, First, Middle Initial) John Megrue | Date of Receipt |
| :---: | :---: | :---: |
|  | $\begin{array}{ll}\text { Mailing Address } & 601 \text { Lexington Avenue } \\ & \text { FL } 53\end{array}$ |  |



## SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 14/17 (check only one)
 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than
Private Equity Growth Capital Council Political Action Committee (PEGCC-PAC)

| A. | Full Name (Last, First, Middle Initial) Alex Pellegrini |  | Date of Receipt <br> Transaction ID: SA11AI. 4170 |
| :---: | :---: | :---: | :---: |
|  | Mailing Address 344 3rd Avenue <br>  $\# 19 B$ |  |  |
|  | City | State Zip Code |  |
|  | New York | NY 10010 | Amount of Each Receipt this Period |
|  | FEC ID number of contributing federal political committee. |  |  |
|  | Name of Employer Apax Partners | Occupation Partner |  |
|  |  | Aggregate Year-to-Date |  |
| B. | Full Name (Last, First, Middle Initial) Michael Psaros |  | Date of Receipt $\square$ 02 $\square$ 2011 <br> Transaction ID: SA11AI. 4174 |
|  | Mailing Address 6 Azalea Circle |  |  |
|  | City <br> Purchase | State Zip Code <br> NY 10577 |  |
|  |  |  | Transaction ID: SA11AI. 4174 <br> Amount of Each Receipt this Period |
|  | FEC ID number of contributing federal political committee. | C , , , , , | $\square 5000.00$ |
|  | Name of Employer KPS Capital Partners, LP | Occupation <br> Co-Managing Partner |  |
|  | Receipt For: | Aggregate Year-to-Date $\square$ $5000.00$ |  |
| C. | Full Name (Last, First, Middle Initial) Robin Psaros |  | Date of Receipt <br> Transaction ID: SA11AI. 4175 |
|  | Mailing Address 6 Azalea Circle |  |  |
|  | City <br> Purchase | State Zip Code <br> NY 10577 |  |
|  |  |  | Transaction ID: SA11AI. 4175 <br> Amount of Each Receipt this Period |
|  | FEC ID number of contributing federal political committee. |  | Amount of Each Receipt this Period |
|  | Name of Employer Housewife | Occupation Housewife |  |
|  |  | Aggregate Year-to-Date |  |
|  | SUBTOTAL of Receipts This Page (optional) |  | 11270.00 |
|  | TOTAL This Period (last page this line number | ) ................................................ |  |

## SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 15/17 (check only one)


> Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.
$\rangle$
NAME OF COMMITTEE (In Full)
Private Equity Growth Capital Council Political Action Committee (PEGCC-PAC)
C.

| Full Name (Last, First, Middle Initial) Collin Roche |  |
| :---: | :---: |
| Mailing Address 718 Park Avenue |  |
| City | State Zip Code |
| Winnetka | IL 60093 |
| FEC ID number of contributing federal political committee. | C |
| Name of Employer GTCR | Occupation Principal |
| Receipt For: <br> $\square \begin{aligned} & \text { Primary } \square \text { General } \\ & \text { Other (specify) } \boldsymbol{\nabla}\end{aligned}$ | Aggregate Year-to-Date |

Date of Receipt
Full Name (Last, First, Middle Initial)
A.

| Full Name (Last, First, Middle Initial) Paul Queally |  |  |  |
| :---: | :---: | :---: | :---: |
| Mailing Address | 67 Lone Tree Farm Road |  |  |
| City |  | State | Zip Code |
| New Canaan |  | CT | 06840 |
| FEC ID number of federal political co | contributing mmittee. | C |  |


| Name of Employer <br>  <br> Stowe |
| :--- |
| Receipt For: <br> $\square$ Primary $\square$ General <br> $\square$ |


| Occupation <br> Partner |  |
| :--- | :--- |
| Aggregate Year-to-Date $\boldsymbol{\nabla}$ |  |
|  | 4000.00 |

Contribution
Date of Receipt
B. $\quad \frac{\text { Jonathan Rather }}{\text { Mailing Address } 241 \text { Fairview Avenue }}$

| City |
| :--- | :--- |
| Stamford |
| $\begin{array}{l}\text { FEC ID number of contributing } \\ \text { federal political committee. }\end{array}$ |
| Name of Employer <br>  <br> Stowe <br> Receipt For: <br> $\square \begin{array}{l}\text { Primary } \\ \square\end{array}$ General |


| State |  |
| :--- | :--- |
| CT | 06902 |
| $\mathbf{C}$ |  |


Transaction ID: SA11AI. 4232
Amount of Each Receipt this Period

|  | 1000.00 |
| :--- | :--- |

## Contribution

## Date of Receipt

| ${ }^{M} 06{ }^{\text {M }}$ | $\begin{array}{r}\text { D } \\ \hline 17\end{array}$ | $\begin{gathered} Y Y 1 \\ 2011 \end{gathered}$ |
| :---: | :---: | :---: |

Transaction ID: SA11AI. 4192
Amount of Each Receipt this Period
$\square, 2000.00$

| SUBTOTAL of Receipts This Page (optional) ........................................................ | $\checkmark$ | 7000.00 |
| :---: | :---: | :---: |
| TOTAL This Period (last page this line number only) ............................................... | - |  |

## SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 16/17 (check only one)
 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions NAME OF COMMITTEE (In Full)
PPrivate Equity Growth Capital Council Political Action Committee (PEGCC-PAC)

| A. | Full Name (Last, First, Middle Initial) Thomas Scully |  | Date of Receipt <br> Transaction ID: SA11AI. 4184 |
| :---: | :---: | :---: | :---: |
|  | Mailing Address 1801 Edgehill Drive |  |  |
|  | City | State Zip Code |  |
|  | Alexandria | VA 22307 | Amount of Each Receipt this Period |
|  | FEC ID number of contributing federal political committee. <br> C $\square$ |  |  |
|  | Name of Employer <br> Welsh, Carson, Anderson <br> \& Stowe | Occupation Partner |  |
|  |  | Aggregate Year-to-Date |  |
| B. | Full Name (Last, First, Middle Initial) Sanjay Swani |  | Date of Receipt <br> Transaction ID: SA11AI. 4178 |
|  | Mailing Address 350 E. 79th Street <br>  <br> Apt. 29A |  |  |
|  | City <br> New York | State Zip Code <br> NY 10075 |  |
|  |  |  | Amount of Each Receipt this Period |
|  | FEC ID number of contributing federal political committee. | $\mathbf{C}$ | $\square 2250.00$ |
|  | Name of Employer <br> Welsh, Carson, Anderson <br> \& Stowe | Occupation Partner |  |
|  | Receipt For: $\square$ Primary $\square$ General $\square$ Other (specify) $\boldsymbol{\nabla}$ | Aggregate Year-to-Date |  |
| C. | Full Name (Last, First, Middle Initial) Sean Traynor |  | Date of Receipt <br> Transaction ID: SA11AI. 4193 |
|  | Mailing Address 101 Mendota Avenue |  |  |
|  |  | State Zip Code <br> NY 10580 |  |
|  |  |  | Amount of Each Receipt this Period |
|  | FEC ID number of contributing federal political committee. |  | $\square 2250.00$ |
|  | Name of Employer <br> Welsh, Carson, Anderson <br> \& Stowe | Occupation General Partner |  |
|  | Receipt For: $\square$ Primary General Other (specify) | Aggregate Year-to-Date |  |
|  | SUBTOTAL of Receipts This Page (optional) ........................................................ |  | 5500.00 |
|  | TOTAL This Period (last page this line number | y) ................................................ |  |

## SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: $\quad$ PAGE $17 / 17$ (check only one)


> Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.


NAME OF COMMITTEE (In Full)
Private Equity Growth Capital Council Political Action Committee (PEGCC-PAC)


