

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

AXA Equitable Life Insurance Company Political Action Committee (AXA Equitable PAC)

ADDRESS (number and street) ▼

1290 Avenue of the Americas

☐ Check if different than previously reported. (ACC)

New York

NY

10104

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00161901

3. IS THIS  
REPORT☐NEW  
(N)

OR

☒AMENDED  
(A)

## 4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15  
Quarterly Report (Q1)☐ July 15  
Quarterly Report (Q2)☐ October 15  
Quarterly Report (Q3)☐ January 31  
Year-End Report (YE)☐ July 31 Mid-Year  
Report (Non-election  
Year Only) (MY)☐ Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐ Feb 20 (M2)☒ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)  
(Non-Election  
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)  
(Non-Election  
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12S)

Election on

M M / D D / Y Y Y Y Y Y

D D / D D / Y Y Y Y Y Y

Y Y Y Y Y Y / Y Y Y Y Y Y

in the  
State of

D D / D D / Y Y Y Y Y Y

(d) 30-Day

POST-Election

Report for the:

☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

M M / D D / Y Y Y Y Y Y

D D / D D / Y Y Y Y Y Y

Y Y Y Y Y Y / Y Y Y Y Y Y

in the  
State of

D D / D D / Y Y Y Y Y Y

5. Covering Period

M M / D D / Y Y Y Y Y Y  
04 01 2013

D D / D D / Y Y Y Y Y Y

Y Y Y Y Y Y / Y Y Y Y Y Y

through

M M / D D / Y Y Y Y Y Y  
04 30 2013

D D / D D / Y Y Y Y Y Y

Y Y Y Y Y Y / Y Y Y Y Y Y

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Josh Braverman

Signature of Treasurer

Josh Braverman

[Electronically Filed]

Date

M M / D D / Y Y Y Y Y Y  
12 29 2014

D D / D D / Y Y Y Y Y Y

Y Y Y Y Y Y / Y Y Y Y Y Y

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office  
Use  
Only**FEC FORM 3X**  
Rev. 12/2004

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

AXA Equitable Life Insurance Company Political Action Committee (AXA Equitable PAC)

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y 04 / 01 / 2013 To: M M / D D / Y Y Y Y Y Y 04 / 30 / 2013

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <span style="border: 1px solid black; padding: 2px;">Y Y Y Y Y Y</span> 2013		<span style="border: 1px solid black; padding: 2px;">209649.22</span>
(b) Cash on Hand at Beginning of Reporting Period.....	<span style="border: 1px solid black; padding: 2px;">210409.61</span>	
(c) Total Receipts (from Line 19) .....	<span style="border: 1px solid black; padding: 2px;">7740.32</span>	<span style="border: 1px solid black; padding: 2px;">32000.71</span>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<span style="border: 1px solid black; padding: 2px;">218149.93</span>	<span style="border: 1px solid black; padding: 2px;">241649.93</span>
7. Total Disbursements (from Line 31) .....	<span style="border: 1px solid black; padding: 2px;">3500.00</span>	<span style="border: 1px solid black; padding: 2px;">27000.00</span>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	<span style="border: 1px solid black; padding: 2px;">214649.93</span>	<span style="border: 1px solid black; padding: 2px;">214649.93</span>
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE** of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

AXA Equitable Life Insurance Company Political Action Committee (AXA Equitable PAC)

Report Covering the Period:

From:

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	4		0	1		2	0	1	3		

To:

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	4		3	0		2	0	1	3		

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	3892.10	11135.05
(ii) Unitemized .....	3848.22	20865.66
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶	7740.32	32000.71
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) .....	7740.32	32000.71
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....	7740.32	32000.71
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	7740.32	32000.71

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	3500.00	27000.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	0.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements .....	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	3500.00	27000.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	3500.00	27000.00

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	7740.32	32000.71
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	7740.32	32000.71
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ..... ►	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ..... ►	0.00	0.00

: 97 `A-G79 @G B9CI G`H9LH`F9 @5 H98 `HC`5 `F9DCFHŽG7 <98I @ `CF`+H9A-N5HCB  
.

Form/Schedule: F3XA  
Transaction ID :

Amending due to initial filing was missing two disbursements.

Form/Schedule:  
Transaction ID:

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 OF 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**AXA Equitable Life Insurance Company Political Action Committee (AXA Equitable PAC)**

Full Name (Last, First, Middle Initial)

A. ALLEN ZABUSKY

Mailing Address 525 WASHINGTON BOULEVARD

City

JERSEY CITY

State

NJ

Zip Code

07310-1606

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

SVP &amp; CONTROLLER

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

280.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
04 / 30 / 2013

Transaction ID : PR1018383030026

Amount of Each Receipt this Period

70.00

P/R Deduction (\$70.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. LUIS GABRIEL CHIAPPY

Mailing Address 9130 SOUTH DADELAND BLVD.  
SUITE 1400

City

MIAMI

State

FL

Zip Code

33156-7818

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AXA Advisors, LLC

Occupation

District Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
04 / 30 / 2013

Transaction ID : PR1018385330026

Amount of Each Receipt this Period

100.00

P/R Deduction (\$100.00 Monthly)

Full Name (Last, First, Middle Initial)

C. HUGO CASTRO

Mailing Address 9130 S. DADELAND BLVD  
SUITE 1400

City

MIAMI

State

FL

Zip Code

33156-7818

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AXA Advisors, LLC

Occupation

District Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

320.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
04 / 30 / 2013

Transaction ID : PR1018388730026

Amount of Each Receipt this Period

80.00

P/R Deduction (\$80.00 Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

250.00

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 8 OF 18

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**AXA Equitable Life Insurance Company Political Action Committee (AXA Equitable PAC)**

Full Name (Last, First, Middle Initial)

## **A. DAVE HATTEM**

Mailing Address 1290 Ave. of the Americas

City State Zip Code  
 New York NY 10104-0101

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

SVP & DEP GEN COUNSEL

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

280.00

Date of Receipt

04 / 30 / 2013

Transaction ID : PR1018390830026

Amount of Each Receipt this Period

70.00

P/R Deduction (\$70.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

## **B. WENDY COOPER**

Mailing Address 1290 Ave. of the Americas

City State Zip Code  
 New York NY 10104-0101

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

SVP & ASSOC. GENERAL COUNSEL

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

280.00

Date of Receipt

04 / 30 / 2013

Transaction ID : PR1018390930026

Amount of Each Receipt this Period

70.00

P/R Deduction (\$35.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

## **C. DAVID KARR**

Mailing Address 40 MONUMOUNT ROAD

City State Zip Code  
 BALA CYNWYD PA 19004-1737

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AXA Advisors, LLC

Occupation

EVP --BM---Philadelphia

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

660.00

Date of Receipt

04 / 30 / 2013

Transaction ID : PR1018399630026

Amount of Each Receipt this Period

165.00

P/R Deduction (\$152.32 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

305.00



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 9 OF 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**AXA Equitable Life Insurance Company Political Action Committee (AXA Equitable PAC)**

Full Name (Last, First, Middle Initial)

**A. DAVID KAM**

Mailing Address 1290 Ave. of the Americas

City

New York

State

NY

Zip Code

10104-0101

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

SVP &amp; SENIOR ACTUARY

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

280.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
04 / 30 / 2013

Transaction ID : PR1018406230026

Amount of Each Receipt this Period

70.00

P/R Deduction (\$70.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**B. ANNE KATCHER**

Mailing Address 1290 Avenue of the Americas

City

NEW YORK

State

NY

Zip Code

10104-0101

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

SVP &amp; SENIOR ACTUARY

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

280.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
04 / 30 / 2013

Transaction ID : PR1018408230026

Amount of Each Receipt this Period

70.00

P/R Deduction (\$70.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**C. TED BEAL Sr**Mailing Address 333 Thornall Street  
8th

City

Edison

State

NJ

Zip Code

08837-2220

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AXA Advisors

Occupation

EVP Branch Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
04 / 30 / 2013

Transaction ID : PR1018409030026

Amount of Each Receipt this Period

150.00

P/R Deduction (\$150.00 Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

290.00

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 10 OF 18

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**AXA Equitable Life Insurance Company Political Action Committee (AXA Equitable PAC)**

Full Name (Last, First, Middle Initial)

**A. JOHN PASSANANTI**

Mailing Address 1415 W 22nd Stree  
Suite 330

City State Zip Code  
Oak Brook IL 60523-2023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AXA Advisors, LLC

Occupation

EVP--Chicago Branch

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

660.00

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 30 / 2013

**Transaction ID : PR1018411330026**

Amount of Each Receipt this Period

165.00

P/R Deduction (\$165.00 Monthly)

Full Name (Last, First, Middle Initial)

**B. ROBERT WOODCOCK**

Mailing Address 855 ROUTE 146

City State Zip Code  
CLIFTON PARK NY 12065-3890

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AXA Advisors, LLC

Occupation

Branch Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

320.00

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 30 / 2013

**Transaction ID : PR1018417730026**

Amount of Each Receipt this Period

80.00

P/R Deduction (\$80.00 Monthly)

Full Name (Last, First, Middle Initial)

**C. CHRISTOPHER NOONAN**

Mailing Address 12377 MERIT DRIVE  
SUITE 1500

City State Zip Code  
DALLAS TX 75251-2224

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AXA Advisors, LLC

Occupation

District Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 30 / 2013

**Transaction ID : PR1018418330026**

Amount of Each Receipt this Period

100.00

P/R Deduction (\$100.00 Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

345.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 11 OF 18

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**AXA Equitable Life Insurance Company Political Action Committee (AXA Equitable PAC)**

Full Name (Last, First, Middle Initial)

**A. SUSAN LAVALLEE**

Mailing Address 100 MADISON STREET

City  
SYRACUSE

State Zip Code  
NY 13202-2723

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

SVP - SERVICE DELIVERY

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

280.00

Date of Receipt

04 / 30 / 2013

Transaction ID : PR1907711530026

Amount of Each Receipt this Period

70.00

P/R Deduction (\$70.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**B. MICHAEL MCCARTHY**

Mailing Address 6 Ayer Court

City  
West Chester

State Zip Code  
PA 19382-6793

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AXA Distributors, LLC

Occupation

SVP--NATIONAL SALES MANAGER

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

280.00

Date of Receipt

04 / 30 / 2013

Transaction ID : PR1919303930026

Amount of Each Receipt this Period

70.00

P/R Deduction (\$70.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**C. JOEL ALBERT**

Mailing Address 2399 Highway 34  
Suite C-2

City  
Manasquan

State Zip Code  
NJ 08736-1500

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AXA Advisors

Occupation

EVP--Manasquan Branch

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

527.10

Date of Receipt

04 / 30 / 2013

Transaction ID : PR1923670630026

Amount of Each Receipt this Period

32.10

P/R Deduction (\$825.00 Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

172.10

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 OF 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**AXA Equitable Life Insurance Company Political Action Committee (AXA Equitable PAC)**

Full Name (Last, First, Middle Initial)

**A. DROR NIR**

Mailing Address 1633 Broadway

City  
New York

State Zip Code  
NY 10019-6708

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AXA Advisors, LLC

Occupation

EVP---NY Metro

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

660.00

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 30 / 2013

Transaction ID : PR1926422830026

Amount of Each Receipt this Period

165.00

P/R Deduction (\$165.00 Monthly)

Full Name (Last, First, Middle Initial)

**B. RYAN BECK**

Mailing Address 2825 E. Cottonwood Pkwy  
Suite 430

City  
Salt Lake City

State Zip Code  
UT 84121-7055

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AXA Advisors

Occupation

EVP---BM Salt Lake City

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

660.00

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 30 / 2013

Transaction ID : PR1926905230026

Amount of Each Receipt this Period

165.00

P/R Deduction (\$165.00 Monthly)

Full Name (Last, First, Middle Initial)

**C. ROBERT WRIGHT JR.**

Mailing Address 1290 AVENUE OF THE AMERICAS

City  
NEW YORK

State Zip Code  
NY 10104-0101

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AXA Advisors, LLC

Occupation

EVP - WEALTH MANAGEMENT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 30 / 2013

Transaction ID : PR1928264530026

Amount of Each Receipt this Period

150.00

P/R Deduction (\$19.41 Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

480.00

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**AXA Equitable Life Insurance Company Political Action Committee (AXA Equitable PAC)**

Full Name (Last, First, Middle Initial)

**A. JOSEPH DI MORA**

Mailing Address 120 Madison Street

City

Syracuse

State

NY

Zip Code

13202-2821

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AXA Advisors

Occupation

EVP---Syracuse Branch

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

660.00

Date of Receipt

04 / 30 / 2013

**Transaction ID : PR1937997230026**

Amount of Each Receipt this Period

165.00

P/R Deduction (\$165.00 Monthly)

Full Name (Last, First, Middle Initial)

**B. NICK LANE**

Mailing Address 1290 Avenue of the Americas

City

New York

State

NY

Zip Code

10104-0101

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

SVP - AXA ADVISORS BUSINESS PLATFORM

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

04 / 30 / 2013

**Transaction ID : PR2148756030026**

Amount of Each Receipt this Period

200.00

P/R Deduction (\$200.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**C. GEORGETTE GELLER**

Mailing Address 1266 East Main Street

City

Stamford

State

CT

Zip Code

06902-3529

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AXA Advisors

Occupation

EVP--Branch Mgr--Conn

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

04 / 30 / 2013

**Transaction ID : PR2164789930026**

Amount of Each Receipt this Period

160.00

P/R Deduction (\$80.00 Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

525.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 OF 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**AXA Equitable Life Insurance Company Political Action Committee (AXA Equitable PAC)**

Full Name (Last, First, Middle Initial)

**A. TIMOTHY MAGUIRE**

Mailing Address 40 Monument road

City

Bala Cynwyd

State

PA

Zip Code

19004-1737

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AXA Advisors

Occupation

EVP---Northeast Division

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

320.00

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 30 / 2013

Transaction ID : PR2170755630026

Amount of Each Receipt this Period

80.00

P/R Deduction (\$80.00 Monthly)

Full Name (Last, First, Middle Initial)

**B. MICHEL PERRIN**

Mailing Address 1290 Avenue of the Americas

City

New York

State

NY

Zip Code

10104-0101

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

SVP &amp; ACTUARY

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

280.00

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 30 / 2013

Transaction ID : PR2176757630026

Amount of Each Receipt this Period

70.00

P/R Deduction (\$70.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**C. PETER GOLDEN**

Mailing Address 1290 Avenue of the Americas

City

New York

State

NY

Zip Code

10104-0101

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AXA Distributors, LLC

Occupation

Divisional VP - ADL

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1320.00

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 30 / 2013

Transaction ID : PR2176757730026

Amount of Each Receipt this Period

330.00

P/R Deduction (\$165.00 Weekly)

SUBTOTAL of Receipts This Page (optional)..... ►

480.00

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**AXA Equitable Life Insurance Company Political Action Committee (AXA Equitable PAC)**

Full Name (Last, First, Middle Initial)

**A. JEFFREY COOMES**

Mailing Address 1290 AVENUE OF THE AMERICAS

City State Zip Code  
 NEW YORK NY 10104-0101

FEC ID number of contributing federal political committee.

C

Name of Employer  
 AXA Distributors, INC.

Occupation  
 VP - WEB CONTENT STRATEGY

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

280.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 04 30 2013

Transaction ID : PR2245275330026

Amount of Each Receipt this Period

70.00

P/R Deduction (\$35.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**B. DILLAN MICUS**Mailing Address 14851 N. Scottsdale Rd  
Suite 103

City State Zip Code  
 Scottsdale AZ 85254-2790

FEC ID number of contributing federal political committee.

C

Name of Employer  
 AXA Advisors, LLC

Occupation  
 EVP--Scottsdale AZ

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

660.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 04 30 2013

Transaction ID : PR2247853630026

Amount of Each Receipt this Period

165.00

P/R Deduction (\$165.00 Monthly)

Full Name (Last, First, Middle Initial)

**C. JANET ELIE**

Mailing Address 80 SCOTT SWAMP ROAD

City State Zip Code  
 FARMINGTON CT 06032-2847

FEC ID number of contributing federal political committee.

C

Name of Employer  
 AXA Distributors, LLC

Occupation  
 RVP - ADL

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1320.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 04 30 2013

Transaction ID : PR2263126130026

Amount of Each Receipt this Period

330.00

P/R Deduction (\$330.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

565.00

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**

 Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**AXA Equitable Life Insurance Company Political Action Committee (AXA Equitable PAC)**

Full Name (Last, First, Middle Initial)

**A. MARY FERNALD**

Mailing Address 10840 BALLANTYNE COMMONS PARKWAY

 City State Zip Code  
 CHARLOTTE NC 28277-2492

FEC ID number of contributing federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

SVP - CHIEF UNDERWRITING OFFICER

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

280.00

Date of Receipt

 M M M / D D D / Y Y Y Y Y Y  
 04 30 2013

Transaction ID : PR2369237030026

Amount of Each Receipt this Period

70.00

P/R Deduction (\$35.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**B. CAROL MACALUSO**

Mailing Address 120 MADISON ST

 City State Zip Code  
 SYRACUSE NY 13202-2821

FEC ID number of contributing federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

SVP - ACCOUNTING SERVICES AND OPERA/

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

280.00

Date of Receipt

 M M M / D D D / Y Y Y Y Y Y  
 04 30 2013

Transaction ID : PR2441784130026

Amount of Each Receipt this Period

70.00

P/R Deduction (\$70.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**C. HARRY SCOTT LONG**

Mailing Address 80 SCOTT SWAMP ROAD

 City State Zip Code  
 FARMINGTON CT 06032-2847

FEC ID number of contributing federal political committee.

C

Name of Employer

AXA Partners

Occupation

SVP - NATIONAL SALES MANAGER

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

280.00

Date of Receipt

 M M M / D D D / Y Y Y Y Y Y  
 04 30 2013

Transaction ID : PR2491196730026

Amount of Each Receipt this Period

70.00

P/R Deduction (\$70.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

210.00



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**AXA Equitable Life Insurance Company Political Action Committee (AXA Equitable PAC)**

Full Name (Last, First, Middle Initial)

**A. TODD SOLASH**

Mailing Address 1290 AVENUE OF THE AMERICAS

City State Zip Code  
 NEW YORK NY 10104-0101

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

SVP - RETIREMENT SAVINGS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

280.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 04 30 2013

**Transaction ID : PR2491845830026**

Amount of Each Receipt this Period

70.00

P/R Deduction (\$70.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**B. Andrea ANDREA NITZAN**

Mailing Address 68 VALLEY VIEW TERRACE

City State Zip Code  
 MONTVALE NJ 07645-1041

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AXA Financial

Occupation

Executive Vice President - Finance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 04 30 2013

**Transaction ID : PR2563949430026**

Amount of Each Receipt this Period

200.00

P/R Deduction (\$200.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City State Zip Code

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

270.00

3892.10

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 18 OF 18

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**AXA Equitable Life Insurance Company Political Action Committee (AXA Equitable PAC)**

Full Name (Last, First, Middle Initial)

**A. Schock For Congress**

Mailing Address PO Box 10555

City	State	Zip Code
Peoria	IL	61612

Purpose of Disbursement

011

Candidate Name

**Rep. Aaron Schock**

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: IL District: 18

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
04		11		2013

**Transaction ID : 35977350**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. Stivers For Congress**

Mailing Address 81 S Fifth Street

City	State	Zip Code
Columbus	OH	43215

Purpose of Disbursement

011

Candidate Name

**Mr. Steve Stivers**

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: OH District: 15

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
04		25		2013

**Transaction ID : 36073615**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C. David Scott For Congress**

Mailing Address 162 Hurt Street Ne

City	State	Zip Code
Atlanta	GA	30307

Purpose of Disbursement

011

Candidate Name

**Rep. David Scott**

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: GA District: 13

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
04		25		2013

**Transaction ID : 36073620**

Amount of Each Disbursement this Period

1500.00
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**SUBTOTAL** of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

3500.00
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3500.00
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