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July 28, 2014

JANE LEIDERMAN, TREASURER TED LIEU FOR CONGRESS 6380 WILSHIRE BLVD #1612 LOS ANGELES, CA 90048

Response Due Date 09/02/2014

IDENTIFICATION NUMBER: C00556506

REFERENCE: JULY QUARTERLY REPORT (05/15/2014 - 06/30/2014)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. Failure to adequately respond by the response date noted above could result in an audit or enforcement action. Additional information is needed for the following 6 item(s):

- 1. The beginning cash balance of this report does not equal the ending balance of your 12 Day Pre-Primary Report. Please correct this discrepancy and amend all subsequent reports that may be affected by the correction. (2 U.S.C. § 434(b)(1) and 11 CFR § 104.3(a)(1))
- 2. The totals listed on Line(s) 6(a), 6(c), 7(a), 7(c), 11(a)(iii), 11(e), 16, 17, and 22, Column B of the Summary and Detailed Summary Page(s) appear to be incorrect. Column B figures for the Summary and Detailed Summary Pages should equal the sum of the Column B figures on your previous report and the Column A figures on this report. Please file an amendment to your report to correct the Column B discrepancies for this report and all subsequent report(s) which may be affected by this correction. Note that Column B should reflect only the election cycle-to-date totals (11/7/12 through 11/4/14). (2 U.S.C. § 434(b) and 11 CFR § 104.3)
- **3.** Schedule A of your report indicates that your committee may have failed to file one or more of the required 48-hour notices regarding "last minute" contributions received by your committee after the close of books for the 12 Day Pre-Primary Report (see attached). A principal campaign committee must notify the Commission, in writing, within 48 hours of any contribution of \$1,000 or more received between two and twenty days before an election. These contributions are then reported on the next report required to be filed by

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the committee. To ensure that the Commission is notified of last minute contributions of \$1,000 or more to your campaign, it is recommended that you review your procedures for checking contributions received during the aforementioned time period. The failure to file 48-hour notices may result in civil money penalties or legal enforcement action. (11 CFR § 104.5(f))

If any contribution of \$1,000 or more was incorrectly reported, you must amend your original report with the clarifying information.

- **4.** Your committee filed 48-hour notices reporting the following "last minute" contributions (see attached). However, these contributions do not appear on Schedule A of this report. Please amend your report to include these contributions or provide an explanation of these apparent discrepancies. (11 CFR § 104.3(a)(4)(i))
- **5.** Itemized disbursements must include a brief statement or description of why each disbursement was made. Please amend Schedule B supporting Line 17 of your report to clarify the following description(s): "Production Costs," "Production Expenses," "Production Services," and "Retainer Fee." For further guidance regarding acceptable purposes of disbursement, please refer to 11 CFR 104.3(b)(4)(i)(A).

Additional clarification regarding inadequate purposes of disbursement published in the Federal Register is available on the FEC website at www.fec.gov/law/policy/purposeofdisbursement/inadequate_purpose_list_350 7.pdf. A non-exhaustive list of acceptable purposes is also available on the FEC website at http://www.fec.gov/rad/pacs/documents/ExamplesofAdequate Purposes.pdf.

6. Schedule B of your report discloses reimbursements to individuals for the following disbursement(s): "Supplies" and "Telephone, Banner." Please be advised that when itemizing reimbursements to individuals for goods or services, if the payment to the original vendor aggregates in excess of \$200 in an election cycle, a memo entry including the name and address of the original vendor, as well as the date, amount and purpose of the original purchase must be provided. Please amend your report to include the missing information on Schedule B and clearly identify each memo entry supporting a reimbursement. If itemization is not necessary, you must indicate so in an amendment to this report. (11 CFR §§ 104.3(b)(4)(i) and 104.9, and Advisory Opinions 1992-1 and 1996-20, footnote 3)

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Please note, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. Requests for extensions of time in which to respond will not be considered.

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended.

If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1166.

Sincerely,

Bradley Matheson

Sr. Campaign Finance & Reviewing Analyst

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Reports Analysis Division

Incorrectly Reported Receipts on 48-Hour Notices Ted Lieu for Congress (C00556506)

48 Hour Notices Filed/Contributions Not Disclosed on Schedule A

Contributor Name	Date	Amount
Garcia, Ruben	5/31/14	\$2,600.00
Harvey, Alison	5/21/14	\$1,500.00

Missing 48-Hour Notices Ted Lieu for Congress (C00556506)

Contributor Name	Date	Amount	Election
Ye, Xi	5/16/14	\$1,000.00	P2014
Park, Chul	5/20/14	\$1,000.00	P2014
Sieroty, Alan	5/26/14	\$2,600.00	P2014
Yu, Chung-Hsieo	5/26/14	\$2,000.00	P2014
Lin, Y Y	5/29/14	\$1,000.00	P2014
Miller, Lawrence F	5/29/14	\$1,000.00	P2014
Wieninger Jr., William A.	5/29/14	\$2,350.00	P2014
Albert, Susan S.	5/30/14	\$2,600.00	P2014
Schwartz, Michael	5/30/14	\$1,000.00	P2014