Image# 14960014351 PAGE 1 / 10

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

									Office	Use Only	
1.	NAME OF COMMITTEE (in		YPE OR F	PRINT ▼		mple: If typi r the lines.	ng, type	12FE	4M5		
S	VB Financial	Group P	AC .								1
ADI	DRESS (number and	d street)	3005 Tas	man Dr							
ř	Check if diffe	erent									
h	than previous reported. (AC	sly CC)	Santa Cla	ara				CA	950)54	
2.	FEC IDENTIFIC	ATION NUM	//BER ▼		CITY 🛦		5	STATE A		ZIP CO	DE 🛦
	C C0033365	8			3. IS THIS REPORT		NEW (N) OR		AMENDE (A)	D	
4.	TYPE OF REP (Choose One)	ORT	(b) Mon	ort	Feb 20 (M2)		May 20 (M5)		Aug 20 (M8	3)	Nov 20 (M11) (Non-Election Year Only)
	(a) Quarterly Rep	orts:	Due	On:	Mar 20 (M3)		Jun 20 (M6)		Sep 20 (MS	9)	Dec 20 (M12) (Non-Election Year Only)
	April 15				Apr 20 (M4)		Jul 20 (M7)		Oct 20 (M1	0)	Jan 31 (YE)
		Report (Q1)) (c)	12-Day	П	Primary (12F	P)	Ger	neral (12G)	П	Runoff (12R)
	July 15 Quarterly	Report (Q2))	PRE-Electio		Convention ((12C)	Sne	cial (12S)		
	October Quarterly	15 Report (Q3))	. Topon ioi i			(1.20)	S P S	o.a. (120)		
	January Year-End	31 I Report (YE)	E	Election on	M M /	D D /	Y Y Y	Y	in the State o	of
	July 31 Report (Year On	Non-election	(d)	30-Day POST-Electi		General (300	G)	Rur	off (30R)		Special (30S)
	Terminat (TER)	ion Report		Report for the	ne:	M = M /	D D /	Y = Y = Y	Υ	in the	
	(:=:-)			E	Election on					State o	of
5.	Covering Period	12	01		013	through	M M M	31		2013	
l ce	ertify that I have ex	amined this	Report a	nd to the be	est of my kno	wledge and	belief it is tru	e. correc	t and comm	olete.	
	e or Print Name o		Rebecca								
		n i	01							D D /	YYYY
Sig	nature of Treasure	Rebecco	u Oison			[Electronicall	y Filed] D	ate	01	08	2014
NO [.]	TE: Submission of f	alse, erroneo	ous, or inco	mplete infor	mation may su	bject the per	son signing th	is Repor	t to the pena	alties of 2	U.S.C. §437g.
	Office				-	-				C FOR	
	Use Only								'	Rev. 12/2	

OF FEC Form 3X (Rev. 02/2003)	SUMMARY PAGE RECEIPTS AND DISBURSEMENTS	Page 2
Write or Type Committee Name		
SVB Financial Group PAC		
Report Covering the Period: From: 12	01 / 2013 To:	12 31 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
_	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, 2013		11859.07
(b) Cash on Hand at Beginning of Reporting Period	28277.70	
(c) Total Receipts (from Line 19)	590.00	29195.00
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	28867.70	41054.07
7. Total Disbursements (from Line 31)	-1000.00	11186.37
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	29867.70	29867.70
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
X This committee has qualified as a multicandid	date committee. (see FEC FORM 1M)	
For	r further information contact:	
F	Federal Election Commission 999 E Street, NW	

Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

SVB	Financial	Group	PAC
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port Covering the Period: From: 12	01 2013 To:	
I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
(i) Itemized (use Schedule A)	480.00	26270.00
(ii) Unitemized(iii) TOTAL (add	110.00	2925.00
Lines 11(a)(i) and (ii)▶	590.00	29195.00
· ·	0.00	0.00
(such as PACs)	0.00	0.00
Totals to Line 33, page 5)▶	590.00	29195.00
	0.00	0.00
All Loans Received	0.00	0.00
Loan Repayments Received	0.00	0.00
•		
	0.00	0.00
	0.00	0.00
	0.00	0.00
· ·	0.00	0.00
· · · · · · · · · · · · · · · · · · ·	0.00	5.00
(from Schedule H3)	0.00	0.00
b) Levin Funds (from Schedule H5)	0.00	0.00
c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
	Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees (i) Itemized (use Schedule A)	Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees (i) Itemized (use Schedule A)

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

	II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
1.	Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4)		Calonida Tour to Buto
	(i) Federal Share	0.00	0.00
	(ii) Non-Federal Share	0.00	0.00
	(b) Other Federal Operating		
	Expenditures	0.00	0.00
	(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))▶	0.00	0.00
	Transfers to Affiliated/Other Party		5.55
	Contributions to	0.00	0.00
	Contributions to Federal Candidates/Committees and Other Political Committees	-1000.00	11186.37
	Independent Expenditures	0.00	0.00
	(use Schedule E)		0.00
	(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00
	Loan Repayments Made	0.00	0.00
	Loans MadeRefunds of Contributions To:	0.00	0.00
	(a) Individuals/Persons Other Than Political Committees	0.00	0.00
	(b) Political Party Committees	0.00	0.00
	(c) Other Political Committees (such as PACs)	0.00	0.00
	(d) Total Contribution Refunds		
	(add Lines 28(a), (b), and (c))▶	0.00	0.00
	Other Disbursements	0.00	0.00
	Federal Election Activity (2 U.S.C. §431(20)) (a) Allocated Federal Election Activity		
	(from Schedule H6)		
	(i) Federal Share	0.00	0.00
	(ii) "Levin" Share	0.00	0.00
	(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
	(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00
	Total Disbursements (add Lines 21(c), 22,		
	23, 24, 25, 26, 27, 28(d), 29 and 30(c))	-1000.00	11186.37
	Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii)		
	from Line 31)	-1000.00	11186.37

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	590.00	29195.00
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	590.00	29195.00
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	0.00	0.00
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
8. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

SCHEDULE A (FEC Form 3X) IT

FOR LINE NUMBER: PAGE 6 OF

EMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	`	<	k only 11a 13	or	ne) 11b 14	11c	12 16	17
ny information copied from such Reports and Statements may for commercial purposes, other than using the name and a	, , , ,						_		6
NAME OF COMMITTEE (In Full)									

٥,	151 Commorbial purposco, other than doing the	That is and address of any political committee to t	conort contributions from odor confinitites.
	NAME OF COMMITTEE (In Full) SVB Financial Group PAC		
۹.	Full Name (Last, First, Middle Initial) Michael Lempres Mailing Address 4641 Clark PI NW City Washington FEC ID number of contributing federal political committee. Name of Employer SVB Financial Group Receipt For: 2013 Primary General Other (specify) Calendar Year	State Zip Code DC 20007 C Occupation Assistant General Counsel Aggregate Year-to-Date ▼	Date of Receipt 12 03 2013 Transaction ID: 11AI-489 Amount of Each Receipt this Period 40.00
	Full Name (Last, First, Middle Initial) Michael Lempres Mailing Address 4641 Clark PI NW City Washington FEC ID number of contributing federal political committee. Name of Employer SVB Financial Group Receipt For: 2013 Primary General Other (specify) Calendar Year	State Zip Code DC 20007 C Occupation Assistant General Counsel Aggregate Year-to-Date ▼ 810.00	Date of Receipt 12 16 2013 Transaction ID: 11AI-495 Amount of Each Receipt this Period 40.00
C.	Full Name (Last, First, Middle Initial) Christie Ma Mailing Address 3003 Tasman Dr City Santa Clara FEC ID number of contributing federal political committee. Name of Employer SVB Financial Group Receipt For: 2013 Primary General Other (specify) Calendar Year	State Zip Code CA 95054 C Occupation Attorney Aggregate Year-to-Date ▼ 480.00	Date of Receipt 12 03 2013 Transaction ID: 11AI-490 Amount of Each Receipt this Period 20.00
s	UBTOTAL of Receipts This Page (optional)	>	100.00
Т	OTAL This Period (last page this line number o	nly)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

FOR LINE NUMBER: PAGE 7 OF 10 Use separate schedule(s) for each category of the Detailed Summary Page

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(che	ck only	or	ıe)					
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	13		14	15		16		17

or for commercial purposes, other than usin	and Statements may not be sold or used by any pering the name and address of any political committee	
NAME OF COMMITTEE (In Full)		
SVB Financial Group PAC		
Full Name (Last, First, Middle Initial) A. Christie Ma		Date of Receipt
Mailing Address 3003 Tasman Dr		12 16 2013
City	State Zip Code	Transaction ID : 11AI-496
Santa Clara	CA 95054	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer	Occupation	†
SVB Financial Group	Attorney	
Receipt For: 2013	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) Calendar Year	480.00	
Full Name (Last, First, Middle Initial) Mark Maclennan		Date of Receipt
Mailing Address 3005 Tasman Dr		M = M / D = D / Y = Y = Y = Y = Y = Y = Y = Y = Y = Y
City	State Zip Code	12 03 2013 Transaction ID : 11 Al-491
Santa Clara	CA 95054	Transaction ID : 11AI-491 Amount of Each Receipt this Period
		, and an or Lauri receipt this refloor
FEC ID number of contributing federal political committee.	С	25.00
Name of Employer	Occupation	7
SVB Financial Group	Managing Director	_
Receipt For: 2013	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼ Calendar Year	425.00	
Full Name (Last, First, Middle Initial) C. Mark Maclennan		Date of Receipt
Mailing Address 3005 Tasman Dr		12 16 2013
City	State Zip Code	Transaction ID : 11AI-497
Santa Clara	CA 95054	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	-
SVB Financial Group	Managing Director	_
Receipt For: 2013	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼ Calendar Year	425.00	
SUBTOTAL of Receipts This Page (options	al)	70.00
age (upilulic		
TOTAL This Period (last page this line nur	mber only)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR	LINE N	NUMBER	:	PAGE	8	OF	10
(checl	k only	one)					
X	11a	11b		11c	12		
	13	14		15	16		17

	and Statements may not be sold or used by any pering the name and address of any political committee	
NAME OF COMMITTEE (In Full)		
SVB Financial Group PAC		
Full Name (Last, First, Middle Initial) A. Brendan Smith-Bentley		Date of Receipt
Mailing Address 224 S Morrison Ave		12 03 2013
City	State Zip Code	Transaction ID : 11AI-493
San Jose	CA 95126	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	10.00
Name of Employer	Occupation	7
SVB Financial Group	Internal Communications Manage	
Receipt For: 2013	Aggregate Year-to-Date ▼	7
Primary General	0.0	
Other (specify) Calendar Year	240.00	
Full Name (Last, First, Middle Initial) Brendan Smith-Bentley		Date of Receipt
Mailing Address 224 S Morrison Ave		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	12 16 2013 Transaction ID : 11 Al-500
San Jose	CA 95126	Transaction ID : 11AI-500 Amount of Each Receipt this Period
FEC ID number of contributing		, and an end of Labri Hoodipt tills I ellou
federal political committee.	C	10.00
Name of Employer	Occupation	
SVB Financial Group	Internal Communications Manage	
Receipt For: 2013	Aggregate Year-to-Date ▼	
Primary General	0.0	
◯ Other (specify) ▼ Calendar Year	240.00	
Full Name (Last, First, Middle Initial) Lafe C Vittitoe		Date of Receipt
Mailing Address PO Box 460877		12 20 _ 2013 _
City	State Zip Code	Transaction ID : 11AI-502
San Francisco	CA 94146	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer	Occupation	
SVB Financial Group	Vice President II	
Receipt For: 2013	Aggregate Year-to-Date ▼	
Primary General	0.0	
Other (specify) ▼ Calendar Year	250.00	
SUBTOTAL of Receipts This Page (option	nal)	270.00
TOTAL This Period (last page this line number)	mber only)	

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

		LINE NUMBER: PAGE 9 OF 10							
(che	ck only	or	ie)						
×	11a		11b		11c		12		
	13		14		15		16	;	17

or for commercial purposes, other than usin	g the name and address of any political committee			
SVB Financial Group PAC				
Full Name (Last, First, Middle Initial) Robert Vogelsang Mailing Address 3005 Tasman Dr	Date of Receipt			
City Santa Clara	State Zip Code CA 95054	12 03 2013 Transaction ID : 11Al-494		
FEC ID number of contributing federal political committee.	CA 95054	Amount of Each Receipt this Period		
Name of Employer SVB Financial Group Receipt For: 2013 Primary General Other (specify) ▼ Calendar Year	Occupation Senior Advisor Aggregate Year-to-Date ▼ 340.00			
Full Name (Last, First, Middle Initial) Robert Vogelsang Mailing Address 3005 Tasman Dr	•	Date of Receipt		
City Santa Clara	State Zip Code CA 95054	Transaction ID : 11AI-501 Amount of Each Receipt this Period		
FEC ID number of contributing federal political committee.	С	20.00		
Name of Employer SVB Financial Group Receipt For: 2013	Occupation Senior Advisor			
Primary General Other (specify) ▼ Calendar Year	Aggregate Year-to-Date ▼ 340.00			
Full Name (Last, First, Middle Initial)	'	Date of Receipt		
Mailing Address	Otata Zin Oudu	M = M / D = D / Y = Y = Y		
City	State Zip Code	Amount of Each Receipt this Period		
FEC ID number of contributing federal political committee.	C			
Name of Employer	Occupation			
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼			
SUBTOTAL of Receipts This Page (options	al)	40.00		
TOTAL This Period (last page this line num	nber only)	480.00		

SCHEDULE B (FEC Form 3X)	Llos conorote schedule/e\	FOR LINE NUMBER: PAGE 10 OF 10					
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	(check only	22 🗙 23 🗌 24 📗 25 🦳 2				
		27	28a 28b 28c 29				
Any information copied from such Reports and Staten or for commercial purposes, other than using the nam							
NAME OF COMMITTEE (In Full)							
SVB Financial Group PAC							
/							
Full Name (Last, First, Middle Initial) Democratic Senatorial Campaign (Date of Disbursement					
A. Democratic Senatorial Campaign C	M M / D D / Y Y Y						
Mailing Address 120 Maryland Ave NE			12 09 2013				
City	State Zip Code		Transaction ID : 23-49				
Washington	DC 20002		Transaction ID: 23-49				
Purpose of Disbursement Political Contribution		011	Amount of Each Disbursement this Peri				
Candidate Name	(D.C.C.)	Category/	1000.00				
Democratic Senatorial Campaign Con	,	Туре	1000.00				
	nent For: 2013 Primary General						
	Other (specify)						
State: District:	Calendar year						
Full Name (Last, First, Middle Initial)							
3. Jared Polis Victory Fund			Date of Disbursement				
	M M / D D / Y Y Y Y						
Mailing Address PO Box 4572			12 05 2013				
	State Zip Code		Transaction ID: 23-50				
Boulder Purpose of Disbursement	CO 80306						
Contribuition Issued 10/26/2012 voided. Check Nev	rer Cashed	011	Amount of Each Disbursement this Peri				
Candidate Name	Category/						
Jared Polis		Type	-2000.00				
	nent For: 2012						
	Primary General						
	Other (specify) ▼						
State: CO District: 02 Full Name (Last, First, Middle Initial)							
C.			Date of Disbursement				
Mailing Address	M M / D D / Y Y Y Y						
City	State Zip Code						
Purpose of Disbursement							
i dipose of bisbursement			Amount of Each Disbursement this Peri				
Candidate Name		Category/ Type	Amount of Each Disbursement this Pen				
Office Sought: House Disbursen	nent For:	турс					
	Primary General						
President	Other (specify) ▼						
State: District:							
·							
SUBTOTAL of Disbursements This Page (optional)			-1000.00				
TOTAL TIL D. 1. //			-1000.00				
TOTAL This Period (last page this line number only)			1000.00				