

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

SVB Financial Group PAC

Report Covering the Period: From: / / To: / /

| | COLUMN A This Period | COLUMN B Calendar Year-to-Date |
|--|---------------------------------------|---------------------------------------|
| 6. (a) Cash on Hand January 1, <input type="text" value="2013"/> | <input type="text" value="11859.07"/> | <input type="text" value="11859.07"/> |
| (b) Cash on Hand at Beginning of Reporting Period..... | <input type="text" value="28277.70"/> | |
| (c) Total Receipts (from Line 19) | <input type="text" value="590.00"/> | <input type="text" value="29195.00"/> |
| (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)..... | <input type="text" value="28867.70"/> | <input type="text" value="41054.07"/> |
| 7. Total Disbursements (from Line 31)..... | <input type="text" value="-1000.00"/> | <input type="text" value="11186.37"/> |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))..... | <input type="text" value="29867.70"/> | <input type="text" value="29867.70"/> |
| 9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D) | <input type="text" value="0.00"/> | |
| 10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D) | <input type="text" value="0.00"/> | |

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
of Receipts

Write or Type Committee Name

SVB Financial Group PAC

Report Covering the Period: From: / / To: / /

| I. Receipts | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|-------------------------------|-----------------------------------|
| 11. Contributions (other than loans) From: | | |
| (a) Individuals/Persons Other Than Political Committees | | |
| (i) Itemized (use Schedule A)..... | 480.00 | 26270.00 |
| (ii) Unitemized | 110.00 | 2925.00 |
| (iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶ | 590.00 | 29195.00 |
| (b) Political Party Committees | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs)..... | 0.00 | 0.00 |
| (d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) | 590.00 | 29195.00 |
| 12. Transfers From Affiliated/Other Party Committees..... | 0.00 | 0.00 |
| 13. All Loans Received | 0.00 | 0.00 |
| 14. Loan Repayments Received..... | 0.00 | 0.00 |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)..... | 0.00 | 0.00 |
| 16. Refunds of Contributions Made to Federal Candidates and Other Political Committees..... | 0.00 | 0.00 |
| 17. Other Federal Receipts (Dividends, Interest, etc.)..... | 0.00 | 0.00 |
| 18. Transfers from Non-Federal and Levin Funds | | |
| (a) Non-Federal Account (from Schedule H3)..... | 0.00 | 0.00 |
| (b) Levin Funds (from Schedule H5) | 0.00 | 0.00 |
| (c) Total Transfers (add 18(a) and 18(b)).. | 0.00 | 0.00 |
| 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ▶ | 590.00 | 29195.00 |
| 20. Total Federal Receipts (subtract Line 18(c) from Line 19)..... ▶ | 590.00 | 29195.00 |

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

| II. Disbursements | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 21. Operating Expenditures: | | |
| (a) Allocated Federal/Non-Federal Activity (from Schedule H4) | | |
| (i) Federal Share | 0.00 | 0.00 |
| (ii) Non-Federal Share..... | 0.00 | 0.00 |
| (b) Other Federal Operating Expenditures | 0.00 | 0.00 |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) | 0.00 | 0.00 |
| 22. Transfers to Affiliated/Other Party Committees..... | 0.00 | 0.00 |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees..... | -1000.00 | 11186.37 |
| 24. Independent Expenditures (use Schedule E) | 0.00 | 0.00 |
| 25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F)..... | 0.00 | 0.00 |
| 26. Loan Repayments Made..... | 0.00 | 0.00 |
| 27. Loans Made..... | 0.00 | 0.00 |
| 28. Refunds of Contributions To: | | |
| (a) Individuals/Persons Other Than Political Committees | 0.00 | 0.00 |
| (b) Political Party Committees | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs)..... | 0.00 | 0.00 |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c))..... | 0.00 | 0.00 |
| 29. Other Disbursements | 0.00 | 0.00 |
| 30. Federal Election Activity (2 U.S.C. §431(20)) | | |
| (a) Allocated Federal Election Activity (from Schedule H6) | | |
| (i) Federal Share | 0.00 | 0.00 |
| (ii) "Levin" Share..... | 0.00 | 0.00 |
| (b) Federal Election Activity Paid Entirely With Federal Funds | 0.00 | 0.00 |
| (c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b)).... | 0.00 | 0.00 |
| 31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) .. | -1000.00 | 11186.37 |
| 32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)..... | -1000.00 | 11186.37 |

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

| III. Net Contributions/Operating Expenditures | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 33. Total Contributions (other than loans) (from Line 11(d), page 3) | 590.00 | 29195.00 |
| 34. Total Contribution Refunds (from Line 28(d)) | 0.00 | 0.00 |
| 35. Net Contributions (other than loans) (subtract Line 34 from Line 33) | 590.00 | 29195.00 |
| 36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) | 0.00 | 0.00 |
| 37. Offsets to Operating Expenditures (from Line 15, page 3)..... | 0.00 | 0.00 |
| 38. Net Operating Expenditures (subtract Line 37 from Line 36) | 0.00 | 0.00 |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 6 OF 10 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
SVB Financial Group PAC

Full Name (Last, First, Middle Initial)
A. Michael Lempres

Mailing Address 4641 Clark PI NW

City Washington State DC Zip Code 20007

FEC ID number of contributing federal political committee. **C**

Name of Employer SVB Financial Group Occupation Assistant General Counsel

Receipt For: 2013
 Primary General
 Other (specify) Calendar Year

Aggregate Year-to-Date **810.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 03 / 2013
Transaction ID : 11AI-489

Amount of Each Receipt this Period
 40.00

Full Name (Last, First, Middle Initial)
B. Michael Lempres

Mailing Address 4641 Clark PI NW

City Washington State DC Zip Code 20007

FEC ID number of contributing federal political committee. **C**

Name of Employer SVB Financial Group Occupation Assistant General Counsel

Receipt For: 2013
 Primary General
 Other (specify) Calendar Year

Aggregate Year-to-Date **810.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 16 / 2013
Transaction ID : 11AI-495

Amount of Each Receipt this Period
 40.00

Full Name (Last, First, Middle Initial)
c. Christie Ma

Mailing Address 3003 Tasman Dr

City Santa Clara State CA Zip Code 95054

FEC ID number of contributing federal political committee. **C**

Name of Employer SVB Financial Group Occupation Attorney

Receipt For: 2013
 Primary General
 Other (specify) Calendar Year

Aggregate Year-to-Date **480.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 03 / 2013
Transaction ID : 11AI-490

Amount of Each Receipt this Period
 20.00

SUBTOTAL of Receipts This Page (optional)..... **100.00**

TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

| | | |
|---|------------------------------|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: | PAGE 7 OF 10 |
| | (check only one) | |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 12 |
| | | <input type="checkbox"/> 15 |
| | | <input type="checkbox"/> 16 |
| | | <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
SVB Financial Group PAC

Full Name (Last, First, Middle Initial)
A. Christie Ma

Mailing Address 3003 Tasman Dr

City Santa Clara State CA Zip Code 95054

FEC ID number of contributing federal political committee. **C**

Name of Employer SVB Financial Group Occupation Attorney

Receipt For: 2013
 Primary General
 Other (specify) Calendar Year

Aggregate Year-to-Date **480.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 16 / 2013

Transaction ID : 11AI-496

Amount of Each Receipt this Period
 20.00

Full Name (Last, First, Middle Initial)
B. Mark Maclennan

Mailing Address 3005 Tasman Dr

City Santa Clara State CA Zip Code 95054

FEC ID number of contributing federal political committee. **C**

Name of Employer SVB Financial Group Occupation Managing Director

Receipt For: 2013
 Primary General
 Other (specify) Calendar Year

Aggregate Year-to-Date **425.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 03 / 2013

Transaction ID : 11AI-491

Amount of Each Receipt this Period
 25.00

Full Name (Last, First, Middle Initial)
C. Mark Maclennan

Mailing Address 3005 Tasman Dr

City Santa Clara State CA Zip Code 95054

FEC ID number of contributing federal political committee. **C**

Name of Employer SVB Financial Group Occupation Managing Director

Receipt For: 2013
 Primary General
 Other (specify) Calendar Year

Aggregate Year-to-Date **425.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 16 / 2013

Transaction ID : 11AI-497

Amount of Each Receipt this Period
 25.00

SUBTOTAL of Receipts This Page (optional)..... **70.00**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|--------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 8 OF 10 |
| | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
SVB Financial Group PAC

A. Brendan Smith-Bentley
 Full Name (Last, First, Middle Initial)
 Mailing Address 224 S Morrison Ave
 City San Jose State CA Zip Code 95126
 FEC ID number of contributing federal political committee. **C**
 Name of Employer SVB Financial Group Occupation Internal Communications Manage
 Receipt For: 2013
 Primary General
 Other (specify) Calendar Year

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 03 / 2013
Transaction ID : 11AI-493
 Amount of Each Receipt this Period
 10.00
 Aggregate Year-to-Date ▼
 240.00

B. Brendan Smith-Bentley
 Full Name (Last, First, Middle Initial)
 Mailing Address 224 S Morrison Ave
 City San Jose State CA Zip Code 95126
 FEC ID number of contributing federal political committee. **C**
 Name of Employer SVB Financial Group Occupation Internal Communications Manage
 Receipt For: 2013
 Primary General
 Other (specify) Calendar Year

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 16 / 2013
Transaction ID : 11AI-500
 Amount of Each Receipt this Period
 10.00
 Aggregate Year-to-Date ▼
 240.00

C. Lufe C Vittitoe
 Full Name (Last, First, Middle Initial)
 Mailing Address PO Box 460877
 City San Francisco State CA Zip Code 94146
 FEC ID number of contributing federal political committee. **C**
 Name of Employer SVB Financial Group Occupation Vice President II
 Receipt For: 2013
 Primary General
 Other (specify) Calendar Year

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 20 / 2013
Transaction ID : 11AI-502
 Amount of Each Receipt this Period
 250.00
 Aggregate Year-to-Date ▼
 250.00

SUBTOTAL of Receipts This Page (optional)..... ▶ 270.00
TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|--|--------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 9 OF 10 |
| | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
SVB Financial Group PAC

| | | |
|---|---|--|
| Full Name (Last, First, Middle Initial) A. Robert Vogelsang | | Date of Receipt M M M / D D D / Y Y Y Y Y Y 12 / 03 / 2013 Transaction ID : 11AI-494 |
| Mailing Address 3005 Tasman Dr | | Amount of Each Receipt this Period 20.00 |
| City Santa Clara State CA Zip Code 95054 | FEC ID number of contributing federal political committee. C | |
| Name of Employer SVB Financial Group Occupation Senior Advisor | Receipt For: 2013 <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify) Calendar Year | Aggregate Year-to-Date 340.00 |

| | | |
|---|---|--|
| Full Name (Last, First, Middle Initial) B. Robert Vogelsang | | Date of Receipt M M M / D D D / Y Y Y Y Y Y 12 / 16 / 2013 Transaction ID : 11AI-501 |
| Mailing Address 3005 Tasman Dr | | Amount of Each Receipt this Period 20.00 |
| City Santa Clara State CA Zip Code 95054 | FEC ID number of contributing federal political committee. C | |
| Name of Employer SVB Financial Group Occupation Senior Advisor | Receipt For: 2013 <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify) Calendar Year | Aggregate Year-to-Date 340.00 |

| | | |
|--|---|--|
| Full Name (Last, First, Middle Initial) C. | | Date of Receipt M M M / D D D / Y Y Y Y Y Y |
| Mailing Address | | Amount of Each Receipt this Period |
| City State Zip Code | FEC ID number of contributing federal political committee. C | |
| Name of Employer Occupation | Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) | Aggregate Year-to-Date |

| | |
|--|--------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 40.00 |
| TOTAL This Period (last page this line number only).....▶ | 480.00 |

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

| | | | | | |
|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24 | <input type="checkbox"/> 25 | <input type="checkbox"/> 26 |
| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)
SVB Financial Group PAC

Full Name (Last, First, Middle Initial)

A. Democratic Senatorial Campaign Committee (DSCC)

Date of Disbursement

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 12 | / | 09 | / | 2013 |

Mailing Address 120 Maryland Ave NE

Transaction ID : 23-49

City Washington State DC Zip Code 20002

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

Purpose of Disbursement
Political Contribution

| |
|-------------------|
| 011 |
| Category/ Type |

Candidate Name

Democratic Senatorial Campaign Committee (DSCC)

Office Sought: House
 Senate
 President

Disbursement For: 2013
 Primary General
 Other (specify) ▼

State: District: Calendar year

Full Name (Last, First, Middle Initial)

B. Jared Polis Victory Fund

Date of Disbursement

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 12 | / | 05 | / | 2013 |

Mailing Address PO Box 4572

Transaction ID : 23-50

City Boulder State CO Zip Code 80306

Amount of Each Disbursement this Period

| |
|----------|
| -2000.00 |
|----------|

Purpose of Disbursement
Contribution Issued 10/26/2012 voided. Check Never Cashed

| |
|-------------------|
| 011 |
| Category/ Type |

Candidate Name

Jared Polis

Office Sought: House
 Senate
 President

Disbursement For: 2012
 Primary General
 Other (specify) ▼

State: CO District: 02

Full Name (Last, First, Middle Initial)

C.

Date of Disbursement

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
|-------|---|-------|---|-------------|

Mailing Address

Amount of Each Disbursement this Period

| |
|--|
| |
|--|

City State Zip Code

Purpose of Disbursement

| |
|-------------------|
| |
| Category/ Type |

Candidate Name

Office Sought: House
 Senate
 President

Disbursement For:
 Primary General
 Other (specify) ▼

State: District:

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

| |
|----------|
| -1000.00 |
|----------|

| |
|----------|
| -1000.00 |
|----------|