

THE
JOHNSON

CONGRESS IS A DISTRICT

RECEIVED
REG MAIL

OPERATIONS CENTER

P.O. Box 17097

Chicago, IL 60683

Phone: (217) 353-7168

2002 NOV -1 A 11: 29

October 31, 2002

Leah Palmer
Senior Campaign Finance Analyst
Reports Analysis Division
Federal Election Commission
999 H St, NW
Washington, DC 20463

RE: Friends of Tim Johnson - C00350421
July Quarterly Report (4/1/02 - 6/30/02)

Dear Ms. Palmer:

This letter responds to your correspondence dated October 16, 2002 referencing the July 15, 2002 Quarterly Report filed by our campaign committee. In response to the concerns raised in your letter, we have amended our July quarterly report. In accordance with your requests, we have fully refunded all contributions received after the day of the 2002 primary election that were nonetheless designated for that primary election. A copy of the refund checks and corresponding letters are attached.

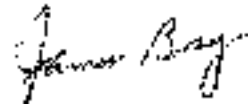
We have also enclosed documentation demonstrating the best efforts procedures we utilize to obtain employer and occupation information for contributors when such information is not initially disclosed to us. This documentation includes a sample copy of our solicitations and response devices as well as a sample copy of the letter we send to each contributor from whom we do not receive the federally required information. As a normal practice, we also include a self-addressed stamped reply envelope to facilitate the gathering of this required information. It should also be noted that we also attempt to obtain this information by placing telephone calls to the contributors as well as sending follow-up letters as necessary.

Lastly, as requested, we have amended our report to show the full and recognizable name of all political committees who donated to our campaign committee during this reporting period.

October 31, 2002

In addition to the above amendments, we have also amended our report to show three in-kind contributions made to our committee by the National Republican Congressional Committee during this reporting period, but not disclosed in our original report.

Sincerely,



James Bray
Treasurer



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

James Bray, Treasurer
Friends of Tim Johnson
P.O. Box 17097
Urbana, IL 61820

OCT 16 2002

Identification Number: C00350421

Reference: July Quarterly Report (4/1/02-6/30/02)

Dear Mr. Bray:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

- X -Schedule A of your report discloses one or more contributions received after the Primary Election which are designated for the primary. These contributions may only be accepted to the extent that the committee has net debts outstanding from the primary election. (11 CFR §110.1(b)(3)(i))

A contribution is considered to be made when the contributor relinquishes control over the contribution. A contributor shall be considered to have relinquished control over the contribution when it is delivered to the candidate, when it is delivered to an authorized committee of the candidate, or to an agent of an authorized committee of the candidate. A contribution that is mailed to any of the aforementioned recipients will be considered to have been made on the date of the postmark. Envelopes should be retained for the committee's records. (11 CFR §110.1(b)(6))

If any contribution in question was incompletely or incorrectly reported, you must amend your original report with the clarifying information.

If one or more contributions accepted exceeds the amount of net debts outstanding from the primary election, you must refund the contribution(s) or seek redesignation of the contribution(s), in writing, from the contributor to the next election. The Commission must be notified if a refund is necessary.

FRIENDS OF TIM JOHNSON
PAGE 2

The funds can be retained if within sixty (60) days of receipt, the excessive amount was properly designated for a different election, by obtaining signed written authorization from the contributor(s) pursuant to 11 CFR §110.1(b)(5) or §110.2(b)(5).

If the foregoing conditions for redesignations are not met within 60 days of receipt, the excessive amount must be refunded. See CFR §103.3(b)(1).

Please inform the Commission of your corrective action immediately in writing and provide a photocopy of your check for any refund and/ or any photocopies of letters redesignating the contributions in question. Refunds are reported on Line 20 of the Detailed Summary Page and on a supporting Schedule B of the report covering the period in which they are made. Redesignations are reported as memo entries on Schedule A of the report covering the period in which the authorization for the redesignation is received. (11 CFR §104.8(d)(2), (3) and (4))

The acceptance of excessive contributions is a serious problem. Again, the committee's procedures for processing contributions should be examined and corrected in order to avoid this problem. Although the Commission may take further legal action, prompt action by you to refund or seek redesignation of the excessive amount will be taken into consideration.

-Commission Regulations require that a committee disclose the identification of all individuals who contribute in excess of \$200 in an election cycle. (11 CFR §104.3(a)(4)(i)) Identification for an individual is defined as the full name, mailing address, occupation and name of employer. (11 CFR §100.12) Your report discloses contributions from individuals for which the identification is not complete.

You must provide the missing information, or if you are unable to do so, you must demonstrate that "best efforts" have been used to obtain the information. To establish "best efforts," you must provide the Commission with a detailed description of your procedures for requesting the information. Establishing "best efforts" is a three-fold process.

First, your original solicitation must include a clear and conspicuous request for the contributor information and must inform the contributor of the requirements of federal law for the reporting of such information. (11 CFR §104.7(b)(1)) See 11 CFR §104.7(b)(1)(B) for examples of acceptable statements regarding the requirements of federal law.

FRIENDS OF TIM JOHNSON

PAGE 3

Second, if the information is not provided, you must make one follow-up, stand alone effort to obtain this information, regardless of whether the contribution(s) was solicited or not. This effort must occur no later than 30 days after receipt of the contribution and may be in the form of a request via mail, e-mail or telephone documented in writing. (11 CFR § 104.7(b)(2)) Follow-up requests should be done, if necessary. These requests must be documented clearly in the form of written records. The requests must:

- clearly ask for the missing information, without soliciting a contribution;
- inform the contributor of the requirements of federal law for the reporting of such information, and
- if the request is written, include a pre-addressed post card or return envelope.

Third, if you receive contributor information after the contribution(s) has been reported, you should either a) file with your next regularly scheduled report, an amended memo Schedule A listing all the contributions for which additional information was received; or b) file on or before your next regularly scheduled reporting date, amendments to the report(s) originally disclosing the contribution(s). (11 CFR §104.7(b)(4))

Please provide the missing information and a detailed description of your procedures for requesting the information. For more information on demonstrating "best efforts," please refer to the Campaign Guide for Congressional Committees and Candidates.

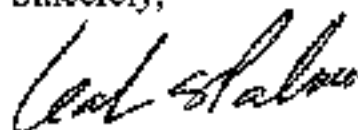
-Schedule A of your report discloses contributions from political committees but fails to include the full and/or recognizable name. Reporting only the name of the connected organization, abbreviating the name of the committee so it is unrecognizable or using an indistinguishable acronym is inadequate. (11 CFR §102.14(e) and 104.3(a)(4)(ii)). A listing of the full FEC-registered committee names, FEC Committee ID number and approved acronyms can be found on the FEC web site (www.fec.gov) or can be obtained by contacting the FEC Public Disclosure Division. Please amend your report to include the missing information.

A response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in

FRIENDS OF TIM JOHNSON
PAGE 4

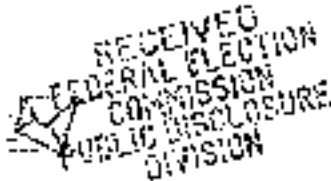
its entirety, rather than just those portions of the report that are being amended. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530 (at the prompt press 1, then press 2 to reach the Reports Analysis Division). My local number is (202) 694-1130.

Sincerely,



Leah S. Palmer
Senior Campaign Finance Analyst
Reports Analysis Division

YAHOO! Mail



Yahoo! - My Yahoo! - Help



Flights Find great fares that are available when you are. travelocity.com

Mail Addresses Calendar Notepad jcal1561@yahoo.com [Sign Out] Check Mail Compose Mail Upgrades - Search Mail - Mail Options

Check Other Mail [Edit] Previous | Next | Back to Messages Printable View - Full Headers

oreg.edu mail.house.gov

Delete Reply Reply All Forward as attachment Move to folder... OK

- Folders [Add] Inbox Draft Sent Bulk [Empty] Trash [Empty] My Folders [Hide] France

- How Bad is Your Credit? Ink refills up to 85% off Easy Faxing With Your Yahoo! Mail GoToMyPC: Try it Free Now

This message is not flagged. [Flag Message - Mark as Unread] Date: Thu, 31 Oct 2002 16:54:12 -0500 (EST) From: "Fecef1 FEC Filing Server" <http_db@nlcvainf2.sdrdc.com> | This is Spam | Add to Address Book To: jcal1561@yahoo.com Subject: FEC Electronic Filing Results

DISCLOSE -- SEC Financial Disclosure Filing Acknowledgement This is to acknowledge the receipt and acceptance of your electronic filing via the DISCLOSE protocol. Your filing was received and accepted by our system at 10/31/2002 - 16:54:08, and was assigned the Filing ID of: FEC-62574 Please make a note of this, as it will be necessary to refer to this information in the future. Thank you for using DISCLOSE.

For your reference, the output of the validation check was as follows:

FEC File Validator Version 4.00.14 Developed for the Federal Election Commission by NTC Technologies, Inc. 1996-2000

----- Identification Section ----- Committee ID: 000350421 Committee Name: Friends of Tim Johnson Filing Type: 13A Error/Through: 20020401 - 20020630 Software/Ver#: Aristotle International CM4 2M4 / Ver# Version 4.1.1

----- Results Section ----- >>>----> FEC data file passed validation. <----<<<

22037832347



RECEIVED
FEDERAL ELECTION
COMMISSION
PUBLIC DISCLOSURE
DIVISION

P.O. Box 17097
Urbana, IL 61803
Phone: (217)353-7168

2002 OCT 32 P 1:25

October 29, 2002

American Optometric PAC
1505 Prince St
Alexandria, VA 22314

To Whom It May Concern:

I would like to thank you for your \$500 contribution dated March 28, 2002 (check# 8186) designated for the 2002 Primary Election. We received your contribution after the date of the primary election and according to Federal Election Commission (FEC) guidelines this is not permissible. I am enclosing with this letter a full refund of this contribution in the amount of \$500.

Again, thank you for your support.

Best regards,

James Bray
Treasurer

Enclosure

FRIENDS OF TIM JOHNSON 70-99
P.O. BOX 17097
URBANA, IL 61803

70-1897711
01199159

1528

DATE 10/29/02

ORDER OF American Optometric PAC \$ 500.00
Five hundred dollars and xx/100 DOLLARS

Bank Illinois

100 West University Avenue
Champaign, Illinois 61820

MEMO refund of contribution Jim Callahan

⑆071101996⑆ 011991591528

TIM JOHNSON
CONGRESS 1ST DISTRICT

P.O. Box 17097
Urbana, IL 61803
Phone: (217)553-7158

October 29, 2002

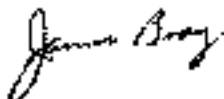
AACIPAC
1 Massachusetts Ave
Suite 800
Washington, DC 20001

To Whom It May Concern:

It has recently come to our attention that your check for \$1000 dated May 7, 2002 (check# 1669) was intended for Tim Johnson from South Dakota. Believing it was for our candidate, we deposited this check for our primary election as designated on the check. According to Federal Election Commission (FEC) guidelines, a check can only be designated for the primary if it is received prior to the primary election. Recognizing the incorrect election cycle receipt and the discovery that the contribution was not designated for our campaign committee, I am enclosing with this letter a full refund of this contribution in the amount of \$1000.

I apologize for any inconvenience this may have caused your committee.

Best regards,



James Bray
Treasurer

Enclosure

FRIENDS OF TIM JOHNSON 10-58		73-130/711 01281126	1526
P.O. BOX 17097 URBANA, IL 61803		DATE <u>10/29/02</u>	
PAY TO THE ORDER OF	<u>AACI-PAC</u>	\$ <u>1000.00</u>	
<u>One - Thousand dollars and 00/100</u>		DOLLARS	
Bank Illinois 100 West LaSalle Street Chicago, Illinois 60601			
MEMO	<u>Refund of contribution</u>		
		<u>Jim Callahan</u>	

TIM JOHNSON

CONGRESS 1ST DISTRICT

P.O. Box 17097
Urbana, IL 61804
Phone: (217)553-7168

October 29, 2002

Farm Credit PAC
50 F St. NW
Suite 900
Washington, DC 20001

To Whom It May Concern:

I would like to thank you for your \$500 contribution dated February 11, 2002 (check# 5381) designated for the 2002 Primary Election. We received your contribution after the date of the primary election and according to Federal Election Commission (FEC) guidelines this is not permissible. I am enclosing with this letter a full refund of this contribution in the amount of \$500.

Again, thank you for your support.

Best regards,

James Bray
Treasurer

James Bray
Enclosure

FRIENDS OF TIM JOHNSON 10-29
P.O. BOX 17097
URBANA, IL 61804

70-102/711
01250156

1529

DATE 10/29/02

PAID BY
ORDER OF

Farm Credit PAC

\$ 500.00

Five-hundred dollars and 00/100

DOLLARS

Bank Illinois

100 West Liberty Avenue
Chicago, IL 60601

MEMO

refund of contribution

Jim Callahan

⑆0001101996⑆ 01250156⑆ 1529



P.O. Box 17097
Urbana, IL 61803
Phone: (217)355-7168

October 29, 2002

Minnesota Sugar PAC
PO Box 500
Renville, MN 56284-0500

To Whom It May Concern:

I would like to thank you for your \$500 contribution dated January 28, 2002 (check# 6967) designated for the 2002 Primary Election. We received your contribution after the date of the primary election and according to Federal Election Commission (FEC) guidelines this is not permissible. I am enclosing with this letter a full refund of this contribution in the amount of \$500.

Again, thank you for your support.

Best regards,

James Bray
James Bray
Treasurer

Enclosure

FRIENDS OF TIM JOHNSON 10-99
P.O. BOX 17097
URBANA, IL 61803

70-1087711
01993158

1530

DATE 10/29/02

PAY TO THE CREDIT OF

Minnesota Sugar PAC

\$ 500.00

Five hundred dollars and 00/100

DOLLARS

Bank Illinois

100 West University Avenue
Champaign, Illinois 61820

MEMO refund of contribution

Jim Callahan

4071301996: 01993158 1530



P.O. Box 17097
Urbana, IL 61803
Phone: (217)553-7168

October 29, 2002

United Airlines PAC
PO Box 66423
AMF O'Hare, IL 60666

To Whom It May Concern:

I would like to thank you for your \$1000 contribution dated March 25, 2002 (check# 1272) designated for the 2002 Primary Election. We received your contribution after the date of the primary election and according to Federal Election Commission (FEC) guidelines this is not permissible. I am enclosing with this letter a full refund of this contribution in the amount of \$1000.

Again, thank you for your support.

Best regards,

James Bray
James Bray
Treasurer

Enclosure

FRIENDS OF TIM JOHNSON 20-09
P.O. BOX 17087
URBANA, IL 61803

70-100/711
0:100158

1531

DATE 10/29/02

~~PAID TO ORDER OF~~ United Airlines PAC \$ 1000.00
One thousand dollars and 00/100 DOLLARS

Bank Illinois

100 West Lincoln Avenue
Champaign, IL 61820

62200 refund of contribution James Bray

⑆071101998⑆ 01110915⑆ ⑆1531



RECEIVED
FEDERAL ELECTION
COMMISSION
PUBLIC DISCLOSURE
DIVISION

P.O. Box 12292 • MEMPHIS, TN 38112

(901) 274-9030 • FAX (901) 274-9210

1:25

CERTIFIED MAIL, RETURN RECEIPT
REQUESTED, RECEIPT #7099 3400 0003 8013 1424

August 12, 2002

Jim Bray, Treasurer
Friends of Tim Johnson
P. O. Box 17097
Urbana, IL 61820

Dear Mr. Bray:

On May 13, 2002 the Committee for the Advancement of Cotton sent you a check for \$500.00 and designated this check for the 2002 Primary. The Illinois Primary was over on March 19, 2002. Therefore we must ask for a refund at this time due to FEC regulations. Please return these funds as soon as possible as we must notify the FEC when they are received.

When we receive a refund we will issue a check for \$500.00 to the General fund.

Thank you for your assistance in this matter.

Respectfully,

William R. Tracy
William R. Tracy
Treasurer, CAC

cc: Federal Election Commission

FRIENDS OF TIM JOHNSON 10-80
P.O. BOX 17687
URBANA, IL 61803

70-150/7-11
III 180155

1445

DATE: 9/5/02

PAY TO THE
CREDIT OF

Committee for the Advancement of Latin \$ 500.00

Bank Illinois

120 West University Avenue
Chicago, IL 60607

Address: Verband of Institution

Teri Callahan

150711019951: 011119015 on 8/14/02 1445

DOLLARS

RECEIVED
FEDERAL ELECTION
COMMISSION
PUBLIC DISCLOSURE
DIVISION

2002 OCT 32 P 1:26

- \$34.00 to purchase a roll of stamps
- \$200.00 for a mail piece
- \$75.00 to help purchase letterhead
- \$500.00 for a television ad
- \$100.00 for a phone bill
- \$150.00 for a radio ad
- \$10.00 to purchase supplies
- \$20.00 for a newspaper ad

If you contribute \$200 or more, we need you list your employer and occupation:

Make checks payable to:
Friends of Tim Johnson
P O Box 17097
Urbana, IL 61803

Name: _____

Employer: _____ Occupation: _____

Mail for by Friends of Tim Johnson. Contributions by corporations and labor unions are prohibited.

Low dollar

~~_____~~
MIM

~~_____~~ will attend

_____ will not be able to attend

\$75.00 per person
\$250.00 a sponsor

Name: ~~_____~~
Employer: ~~_____~~
Occupation: ~~_____~~

Checks may be mailed to:
Friends of Tim Johnson
P.O. Box 17097
Urbana, IL 61803

Please R.S.V.P. by August 17, 2002

High dollar

TIM
JOHNSON

CONGRESS 15TH DISTRICT

P.O. Box 17997

Urbana, IL 61803

Phone: (217)353-7168

April 24, 2002

[REDACTED]
 [REDACTED]
 [REDACTED]

[REDACTED]

I would like to take this opportunity to thank you for your contribution. I greatly appreciate your support as I seek re-election this year.

As you may know, I am required by law as a congressional candidate to disclose certain information about my contributors to the Federal Election Commission (FEC). Included in this is the requirement that I disclose the employer and occupation of each individual who contributes \$200 or more to my campaign. We are currently missing this information from you in our records.

The FEC has very strict laws regarding this matter and will pursue all parties involved if this information is not disclosed. I sincerely appreciate your timely response. Because the consequences of failing to comply with all FEC statutes include heavy fines and possible jeopardy of this campaign, it is essential that we receive this information as soon as is possible. You may call the campaign office at any time. If no one is present in the office at the time of your call, please feel free to leave the message on our confidential voicemail.

I truly appreciate your understanding and cooperation. Again, thank you for your support, and I look forward to hearing from you soon.

Sincerely,



Tim Johnson

TVJ/jc

TIM
JOHNSON
 CONGRESS 15TH DISTRICT

P.O. Box 17097
 Helena, MT 59603
 Phone: (417)353-7168

September 13, 2002

[REDACTED]
 [REDACTED]
 [REDACTED]
 [REDACTED]

I would like to take this opportunity to thank you for your contribution. I greatly appreciate your support as I seek re-election this year.

As you may know, I am required by law as a congressional candidate to disclose certain information about my contributors to the Federal Election Commission (FEC). Included in this is the requirement that I disclose the employer and occupation of each individual who contributes \$200 or more to my campaign. We are currently missing this information from you in our records.

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I truly appreciate your understanding and cooperation. Again, thank you for your support, and I look forward to hearing from you soon.

Sincerely,


 Tim Johnson

TVJ/jc

YIM
JOHNSON
CONGRESS 15TH DISTRICT

P.O. Box 17497
Urbana, IL 61803
Phone: (217)353-7168

October 29, 2002

[REDACTED]
[REDACTED]
[REDACTED]

Dear [REDACTED]

I would like to take this opportunity to thank you for your contribution. I greatly appreciate your support as I seek re-election this year.

As you may know, I am required by law as a congressional candidate to disclose certain information about my contributors to the Federal Election Commission (FEC). Included in this is the requirement that I disclose the employer and occupation of each individual who contributes \$200 or more to my campaign. We are currently missing this information from you in our records.

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I truly appreciate your understanding and cooperation. Again, thank you for your support, and I look forward to hearing from you soon.

Sincerely,


Tim Johnson

TVJ/jc



TIM
JOHNSON
CONGRESS 15TH DISTRICT

P.O. Box 17097
Urbana, IL 61803


Friends of Tim Johnson
PO Box 17097
Urbana, IL 61803

[The right side of the page contains extremely faint and illegible text, likely bleed-through from the reverse side of the document.]

Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

<input checked="" type="checkbox"/> Hand Delivered	Date of Receipt 11/10/02
<input type="checkbox"/> First Class Mail	POSTMARKED
<input type="checkbox"/> Registered/Certified Mail	POSTMARKED (R/C)
<input type="checkbox"/> No Postmark	
<input type="checkbox"/> Postmark Illegible	
<input type="checkbox"/> Received from the House office of Records and Registration	Date of Receipt
<input type="checkbox"/> Received from the Senate Office of Public Records	Date of Receipt
<input type="checkbox"/> Other (Specify):	Postmarked and/or Date of Receipt
<input type="checkbox"/> Electronic Filing	
 PREPARER	11/10/02 DATE PREPARED