FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

David Alpert, Treasurer
New York State Democratic Committee
60 Madison Avenue, Suite 1201
New York, NY 10010

JAN 15 2003

Identification Number: C00143230

Reference: Amended October Quarterly Report (7/1/02-9/30/02), received 12/20/02

Dear Mr. Alpert:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule A of your report (pertinent portions attached) discloses one or more contributions which appear to exceed the limits set forth in the Act. 2 U.S.C. §441a(f) and 11 CFR §110.1(d) prohibit a committee and its affiliates from receiving any contribution from another political committee or person in excess of $5,000 per calendar year.

If any apparently excessive contribution in question was incompletely or incorrectly disclosed, you must amend your original report with the clarifying information.

If any contribution you received exceeds the limits, you may have to refund the excessive amount. The funds can be retained if within 60 days of receipt, (1) the excessive amount was properly reattributed to another person, such as a joint account holder, by obtaining signed written authorizations from each person making the contribution pursuant to 11 CFR 110.1(k)(3), and (2) the treasurer informs the person making the contribution that he or she may request the return of the excessive portion of the contribution if it is not intended to be a joint contribution. Any request from a donor for a refund must be honored.

Alternatively, the funds can be retained if within 60 days of receipt you (1) transferred the excessive amount to an account not used to influence federal elections, and (2) provided written notice to the person making the contribution of the option of receiving a refund. Any request from a donor for a refund must be honored.

If the foregoing conditions for reattributions or transfers to a non-federal account were not met within 60 days of receipt, the excessive amount must be refunded.

Please inform the Commission of your corrective action immediately in writing and provide a photocopy of your check for any transfer-out or refund. In addition, any reattributions should be reported as memo entries on Schedule A of the report covering the period during which the authorization for the reattribution is received. Any transfers-out or refunds should be disclosed on Schedule B supporting Line 22 or 28 of the report during which the transaction was made.

Although the Commission may take further legal action regarding the acceptance of an excessive contribution(s), prompt action by your committee to seek reattribution, transfer-out or refund the excessive amount will be taken into consideration.

-Please clarify all expenditures made for "Consulting-Media" and "Press Relations" on Schedule H4. If a portion or all of these expenditures were made on behalf of specifically identified federal candidates, this amount should be disclosed on Schedules B, E or F supporting Lines 23, 24 or 25 and include the amount, name, address and office sought by each candidate. 11 CFR §§104.3(b) and 106.1

A response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530 (at the prompt press 1, then press 2 to reach the Reports Analysis Division). My local number is (202) 694-1130.

Sincerely,

Alissa Sagri

Alissa Sagri
Campaign Finance Analyst
Reports Analysis Division

23037923341

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 41 / 197

☐ 11a ☐ 11b ☒ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
NEW YORK STATE DEMOCRATIC COMMITTEE

A.
Full Name (Last, First, Middle Initial)
CITIGROUP INC. POLITICAL ACTION COMMITTEE - FEDERAL/STATE (CITIGROUP PAC-FEDERAL/ST
Mailing Address
153 EAST 53RD STREET
City: NEW YORK State: NY Zip Code: 10043
FEC ID number of contributing federal political committee: C00039305
Name of Employer:
Occupation:
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 7000.00
Date of Receipt: 08 15 2002
Amount of Each Receipt this Period: 5000.00
Transaction ID: SA11C.16183

B.
Full Name (Last, First, Middle Initial)
ENGEL FOR CONGRESS
Mailing Address
462 CALIFORNIA RD
City: BRONXVILLE State: NY Zip Code: 10708
FEC ID number of contributing federal political committee: C00236513
Name of Employer:
Occupation:
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 3500.00
Date of Receipt: 07 25 2002
Amount of Each Receipt this Period: 1000.00
Transaction ID: SA11C.16387

C.
Full Name (Last, First, Middle Initial)
Stephanie Aldersley for Congress
Mailing Address
189 Wisner Rd.
City: Rochester State: NY Zip Code: 14622
FEC ID number of contributing federal political committee: C00380236
Name of Employer:
Occupation:
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 07 25 2002
Amount of Each Receipt this Period: 500.00
Transaction ID: SA11C.16377

SUBTOTAL of Receipts This Page (optional) ▶ $500.00

TOTAL This Period (last page has line number only) ▶ $500.00

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SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 41 / 187
(check only one)
☐ 11a ☐ 11b ☒ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
NEW YORK STATE DEMOCRATIC COMMITTEE

A. Full Name (Last, First, Middle Initial): CITIGROUP INC POLITICAL ACTION COMMITTEE - FEDERAL/STATE (CITIGROUP PAC-FEDERAL/ST
Mailing Address: 153 EAST 53RD STREET
City: NEW YORK State: NY Zip Code: 10043
FEC ID number of contributing federal political committee: C00039305
Name of Employer:
Occupation:
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 2000.00
Date of Receipt: M M 03 D D 15 Y Y Y Y 2002
Amount of Each Receipt this Period: 2000.00
Transaction ID: SA11C.13784

B. Full Name (Last, First, Middle Initial): Drive Political Fund
Mailing Address: 25 Louisiana Avenue N.W.
City: Washington State: DC Zip Code: 20001
FEC ID number of contributing federal political committee: C00032979
Name of Employer:
Occupation:
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 5000.00
Date of Receipt: M M 03 D D 05 Y Y Y Y 2002
Amount of Each Receipt this Period: 5000.00
Transaction ID: SA11C.13538

C. Full Name (Last, First, Middle Initial): FEDERAL EXPRESS POLITICAL ACTION COMMITTEE (FED EXPAC)
Mailing Address: 942 SOUTH SHADY GROVE ROAD
City: MEMPHIS State: TN Zip Code: 38120
FEC ID number of contributing federal political committee: C00065692
Name of Employer:
Occupation:
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 5000.00
Date of Receipt: M M 02 D D 06 Y Y Y Y 2002
Amount of Each Receipt this Period: 5000.00
Transaction ID: SA11C.13394

SUBTOTAL of Receipts This Page (optional) ▶ 12000.00

TOTAL This Period (last page this line number only) ▶

23037923343

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 30 / 197
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
NEW YORK STATE DEMOCRATIC COMMITTEE

A.
Full Name (Last, First, Middle Initial): Brian Preston
Mailing Address: 203 Chatterton Pkwy
City: White Plains State: NY Zip Code: 10606
FEC ID number of contributing federal political committee:
Name of Employer:
Occupation: School Admin
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: .00
Date of Receipt: 09 20 2002
Amount of Each Receipt this Period: 237.50
NY Party Victory Fund
[MEMO ITEM]
Transaction ID: SA11A1.16471

B.
Full Name (Last, First, Middle Initial): Mr. Kenneth Prewitt
Mailing Address: 112 Prince St
City: New York State: NY Zip Code: 10012
FEC ID number of contributing federal political committee:
Name of Employer: Columbia Univ.
Occupation: Professor
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: .00
Date of Receipt: 09 26 2002
Amount of Each Receipt this Period: 237.50
NY Party Victory Fund
[MEMO ITEM]
Transaction ID: SA11A1.16455

C.
Full Name (Last, First, Middle Initial): MR KIRK PADKE
Mailing Address: 52 W 70TH ST
City: NEW YORK State: NY Zip Code: 10023
FEC ID number of contributing federal political committee:
Name of Employer: KIRKLAND & ELLISS
Occupation: LAWYER
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: .00
Date of Receipt: 07 24 2002
Amount of Each Receipt this Period: 1000.00
ASDC Seeking Reallocation
[MEMO ITEM]
Transaction ID: SA11A1.16506

SUBTOTAL of Receipts This Page (optional) ▶ 0.00

TOTAL This Period (last page this line number only) ▶

23037923344

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 31 / 197
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
NEW YORK STATE DEMOCRATIC COMMITTEE

A.
Full Name (Last, First, Middle Initial)
Kirk August Radke
Mailing Address
153 East 53rd Street
City: New York State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: Kirkland & Ellis
Occupation: Attorney
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 5000.00
Date of Receipt: 07 15 2002
Amount of Each Receipt this Period: 3000.00
Transaction ID: SA11A1.15914

B.
Full Name (Last, First, Middle Initial)
MR JOHN SAXMAN
Mailing Address
106 MORNINGSIDE DR APT 61
City: NEW YORK State: NY Zip Code: 10027
FEC ID number of contributing federal political committee.
Name of Employer: TEACHERS COLLEGE
Occupation: PROFESSOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: .00
Date of Receipt: 07 09 2002
Amount of Each Receipt this Period: 125.00
ASDC Dollars for Democrats
[MEMO ITEM]
Transaction ID: SA11A1.16508

C.
Full Name (Last, First, Middle Initial)
Ms. Carol Schlitzis
Mailing Address
315 E 72nd St
City: New York State: NY Zip Code: 10021
FEC ID number of contributing federal political committee.
Name of Employer:
Occupation: Retired
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: .00
Date of Receipt: 08 29 2002
Amount of Each Receipt this Period: 237.50
NY Party Victory Fund
[MEMO ITEM]
Transaction ID: SA11A1.16443

SUBTOTAL of Receipts This Page (optional) ▶ 3000.00

TOTAL This Period (last page this line number only) ▶

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SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 23 / 185

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
NEW YORK STATE DEMOCRATIC COMMITTEE

A.
Full Name (Last, First, Middle Initial): Anne Pollack
Mailing Address: 44 Gramercy Park
City: New York State: NY Zip Code: 10010
FEC ID number of contributing federal political committee:
Name of Employer: New York Life Insurance Co.
Occupation: Chief Investment Officer
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 1000.00
Date of Receipt: M 04 D 23 Y 2002
Amount of Each Receipt this Period: 1000.00
Transaction ID: SA11A1.14540

B.
Full Name (Last, First, Middle Initial): MR KIRK PADKE
Mailing Address: 52 W 70TH ST
City: NEW YORK State: NY Zip Code: 10023
FEC ID number of contributing federal political committee:
Name of Employer: KIRKLAND & ELLISS
Occupation: LAWYER
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: .00
Date of Receipt: M 04 D 26 Y 2002
Amount of Each Receipt this Period: 1000.00
ASDC Dollars for Democrats
[MEMO ITEM]
Transaction ID: SA11A1.15594

C.
Full Name (Last, First, Middle Initial): Mr. John Reeves
Mailing Address: 9 Neway Ln
City: Brookhaven State: NY Zip Code: 11719
FEC ID number of contributing federal political committee:
Name of Employer:
Occupation: Retired
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: .00
Date of Receipt: M 06 D 06 Y 2002
Amount of Each Receipt this Period: 251.75
NY Party Victory Fund
[MEMO ITEM]
Transaction ID: SA11A1.16550

SUBTOTAL of Receipts This Page (optional): 1000.00

TOTAL This Period (last page this line number only):

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SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 31/187
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
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NAME OF COMMITTEE (In Full)
NEW YORK STATE DEMOCRATIC COMMITTEE

A.
Full Name (Last, First, Middle Initial): Kirk August Radke
Mailing Address: 153 East 53rd Street
City: New York | State: NY | Zip Code: 10022
FEC ID number of contributing federal political committee:
Name of Employer: Kirkland & Ellis
Occupation: Attorney
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 1000.00
Date of Receipt: 03 12 2002
Amount of Each Receipt this Period: 1000.00
Transaction ID: SA11A1.13581

B.
Full Name (Last, First, Middle Initial): Kirk August Radke
Mailing Address: 153 East 53rd Street
City: New York | State: NY | Zip Code: 10022
FEC ID number of contributing federal political committee:
Name of Employer: Kirkland & Ellis
Occupation: Attorney
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 2000.00
Date of Receipt: 03 12 2002
Amount of Each Receipt this Period: 1000.00
Transaction ID: SA11A1.13690

C.
Full Name (Last, First, Middle Initial): Mr. John Reeves
Mailing Address: 9 Newey Ln
City: Brookhaven | State: NY | Zip Code: 11719
FEC ID number of contributing federal political committee:
Name of Employer:
Occupation: Retired
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: .00
Date of Receipt: 01 31 2002
Amount of Each Receipt this Period: 251.75
NY Party Victory Fund
[MEMO ITEM]
Transaction ID: SA11A1.14140

SUBTOTAL of Receipts This Page (optional) ▶ 2000.00

TOTAL This Period (last page this line number only) ▶

FEC Schedule A (Revised 1/2001)

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 30 / 187

[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
NEW YORK STATE DEMOCRATIC COMMITTEE

A. Full Name (Last, First, Middle Initial)
Barbara Pearl

Mailing Address
1020 Park Avenue Apt. 7A

City: New York State: NY Zip Code: 10028

FEC ID number of contributing federal political committee.

Name of Employer: Self Employed
Occupation: CPA

Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 5000.00

Date of Receipt: M M / D D / Y Y Y Y 02 06 2002

Amount of Each Receipt this Period: 5000.00

Transaction ID: SA11A1.13415

B. Full Name (Last, First, Middle Initial)
Ms. Leslie Fay Pomerantz

Mailing Address
475 W 57th St Apt 3b1

City: New York State: NY Zip Code: 10019

FEC ID number of contributing federal political committee.

Name of Employer:
Occupation: Retired

Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: .00

Date of Receipt: M M / D D / Y Y Y Y 02 06 2002

Amount of Each Receipt this Period: 475.00

NY Party Victory Fund
[MEMO ITEM]

Transaction ID: SA11A1.14136

C. Full Name (Last, First, Middle Initial)
MR KIRK RADKE

Mailing Address
52 W 70TH ST

City: NEW YORK State: NY Zip Code: 10023

FEC ID number of contributing federal political committee.

Name of Employer: KIRKLAND & ELLISS
Occupation: LAWYER

Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: .00

Date of Receipt: M M / D D / Y Y Y Y 01 15 2002

Amount of Each Receipt this Period: 1025.00

ASDC Dollars for Democrats
[MEMO ITEM]

Transaction ID: SA11A1.14113

SUBTOTAL of Receipts This Page (optional) ▶ 5000.00

TOTAL This Period (last page this line number only) ▶