09/19/2001 13 : 29

**FEC FORM 3X**

# REPORT OF RECEIPTS AND DISBURSEMENTS

**For Other Than An Authorized Committee**

Office Use Only

1. NAME OF COMMITTEE (in full) — **USE FEC MAILING LABEL OR TYPE OR PRINT** ▼ — Example: If typing, type over the lines

National Association of Insurance and Financial Advisors Political Action Committee

ADDRESS (number and street) ▼ 2901 Telestar Court

Check if different than previously reported. (ACC)

Falls Church | VA | 22042 – 1205

CITY ▲ STATE ▲ ZIPCODE ▲

2. **FEC IDENTIFICATION NUMBER** ▼

C00005249

3. IS THIS REPORT NEW (N) **OR** X AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

April 15 Quarterly Report(Q1)

July 15 Quarterly Report(Q2)

October 15 Quarterly Report(Q3)

January 31 Quarterly Report(YE)

July 31 Mid-Year Report(Non-election Year Only) (MY)

Termination Report (TER)

(b) Monthly Report Due On: X

| | | | |
|---|---|---|---|
| Feb 20 (M2) | May 20 (M5) | Aug 20 (M8) | Nov 20 (M11) (Non-Election Year Only) |
| Mar 20 (M3) | Jun 20 (M6) | Sep 20 (M9) | Dec 20 (M12) (Non-Election Year Only) |
| Apr 20 (M4) | Jul 20 (M7) | Oct 20 (M10) | Jan 31 (M13) |

(c) 12-Day **PRE**-Election Report for the: Primary (12P) General (12G) Runoff (12R) Convention (12C) Special (12G)

Election on in the State of

(d) 30-Day **P**ost -Election Report for the: General (30G) Runoff (30R) Special (30S)

Election on in the State of

5. Covering Period 01 01 2001 through 01 31 2001

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Kevin M. Madden

Signature of Treasurer Electronically Filed by Kevin M. Madden Date 02 16 2001

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

| Office Use Only | | | **FEC FORM 3X** (Revised 1/2001) |
|---|---|---|---|

# SUMMARY PAGE
## OF RECEIPTS AND DISBURSEMENTS

FEC **Form 3X** (Revised 1/2001)



Write or Type Committee Name

National Association of Insurance and Financial Advisors Political Action Committee

Report Covering the Period: From: M M 01 D D 01 Y Y Y Y 2001 To: M M 01 D D 31 Y Y Y Y 2001

| | COLUMN A<br>This Period | COLUMN B<br>Calendar Year-to-Date |
|---|---|---|
| 6. (a) Cash on Hand January 1 Y Y Y Y 2001 | | 269138.94 |
| (b) Cash on Hand at Begining of Reporting Period ..... | 269138.94 | |
| (c) Total Receipts (from Line 19) ..... | 81728.11 | 81728.11 |
| (d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) ..... | 350867.05 | 350867.05 |
| 7. Total Disbursements (from Line 30) ..... | 33200.04 | 33200.04 |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) ..... | 317667.01 | 317667.01 |
| 9. Debts and Obligations owed **TO** the committee (Itemize all on Schedule C and/or Schedule D) ..... | 0.00 | |
| 10. Debts and Obligations owed **BY** the committee (Itemize all on Schedule C and/or Schedule D) ..... | 50589.84 | |

X This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-420-9530
Local 202-694-1100

# DETAILED SUMMARY PAGE
OF RECEIPTS



Write or Type Committee Name

National Association of Insurance and Financial Advisors Political Action Committee

Report Covering the Period: From: 01 01 2001 To: 01 31 2001

| I. Receipts | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|---|---|
| 11. Contributions (other than loans) From: | | |
| (a) Individuals/Persons Other Than Political Committees | | |
| (i) Itemized (use Schedule A) ..... | 16984.10 | |
| (ii) Unitemized ..... | 64744.01 | |
| (iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ▶ | 81728.11 | 81728.11 |
| (b) Political Party Committees ..... | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs) ..... | 0.00 | 0.00 |
| (d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 32, page 4) ..... ▶ | 81728.11 | 81728.11 |
| 12. Transfers From Affiliated/Other Party Committees ..... | 0.00 | 0.00 |
| 13. All Loans Received ..... | 0.00 | 0.00 |
| 14. Loan Repayments Received ..... | 0.00 | 0.00 |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 36, page 4) ..... | 0.00 | 0.00 |
| 16. Refunds of Contributions Made to Federal candidates and Other Political Committees ..... | 0.00 | 0.00 |
| 17. Other Federal Receipts Dividends, Interest, etc.) ..... | 0.00 | 0.00 |
| 18. Transfers from Nonfederal Account for Joint Activity ..... | 0.00 | 0.00 |
| 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18) ..... ▶ | 81728.11 | 81728.11 |
| 20. Total Federal Receipts (subtract Line 18 from Line 19) ..... ▶ | 81728.11 | 81728.11 |

# DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Revised 1/2001)



| II. DISBURSEMENTS | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|---|---|
| 21. Operating Expenditures: | | |
| (a) Shared Federal/Non-Federal Activity (from Schedule H4) | | |
| (i) Federal Share | 0.00 | 0.00 |
| (ii) Non-Federal Share | 0.00 | 0.00 |
| (b) Other Federal Operating Expenditures | 31800.04 | 31800.04 |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)) ▶ | 31800.04 | 31800.04 |
| 22. Transfers to Affiliated/Other Party Committees | 0.00 | 0.00 |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees | 1000.00 | 1000.00 |
| 24. Independent Expenditure (use Schedule E) | 0.00 | 0.00 |
| 25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F) | 0.00 | 0.00 |
| 26. Loan Repayments Made | 0.00 | 0.00 |
| 27. Loans Made | 0.00 | 0.00 |
| 28. Refunds of Contributions To: | | |
| (a) Individuals/Persons Other Than Political Committees | 400.00 | 400.00 |
| (b) Political Party Committees | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs) | 0.00 | 0.00 |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) ▶ | 400.00 | 400.00 |
| 29. Other Disbursements | 0.00 | 0.00 |
| 30. Total Disbursements (add Lines 21(c), 22, 23,24,25,26,27,28(d),and 29) ▶ | 33200.04 | 33200.04 |
| 31. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30) ▶ | 33200.04 | 33200.04 |
| **III. Net Contributions/Operating Expenditures** | | |
| 32. Total Contributions (other than loans) from Line 11(d), page 3) | 81728.11 | 81728.11 |
| 33. Total Contribution Refunds (from Line 28(d)) | 400.00 | 400.00 |
| 34. Net Contributions (other than loans) (subtract Line 33 from Line 32) | 81328.11 | 81328.11 |
| 35. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ▶ | 31800.04 | 31800.04 |
| 36. Offsets to Operating Expenditures (from Line 15, page 3) | 0.00 | 0.00 |
| 37. Net Operating Expenditures (subtract Line 36 from Line 35) ▶ | 31800.04 | 31800.04 |

# SCHEDULE A (FEC Form 3X)
# ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 5 / 23

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
National Association of Insurance and Financial Advisors Political Action Committee

**A.**
Full Name (Last, First, Middle Initial): Mr. Jeffrey Leonard Alison
Mailing Address: 401 Wampanoag Trail, #100
City: Riverside State: RI Zip Code: 02915-1507
FEC ID number of contributing federal political committee.
Name of Employer: Allmerica Financial
Occupation:
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 300.00
Date of Receipt: 01 26 2001
Amount of Each Receipt this Period: 300.00
Check
Transaction ID: R1236064

**B.**
Full Name (Last, First, Middle Initial): Mr. V. Stanley Benfell, CLU, ChFC
Mailing Address: 77 E Street
City: Salt Lake City State: UT Zip Code: 84103
FEC ID number of contributing federal political committee.
Name of Employer: Beneficial Life
Occupation: Executive Vice President & CMO
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 01 10 2001
Amount of Each Receipt this Period: 500.00
Credit Card
Transaction ID: R1235412

**C.**
Full Name (Last, First, Middle Initial): Mr. James M. Benson, CLU
Mailing Address: 63 Winsor Way
City: Weston State: MA Zip Code: 02193-2536
FEC ID number of contributing federal political committee.
Name of Employer: New England Financial
Occupation: President and COO
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 01 12 2001
Amount of Each Receipt this Period: 500.00
Check
Transaction ID: R1235574

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **1300.00**

**TOTAL** This Period (last page this line number only) ..... ▶

# SCHEDULE A (FEC Form 3X)
# ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 6 / 23

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
National Association of Insurance and Financial Advisors Political Action Committee

**A.**
Full Name (Last, First, Middle Initial): Mr. William Biordi
Mailing Address: 128 School Road
City: Wilmington State: DE Zip Code: 19803
FEC ID number of contributing federal political committee.
Name of Employer:
Occupation: Vice President
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 360.00
Date of Receipt: 01 19 2001
Amount of Each Receipt this Period: 360.00
Check
Transaction ID: R1235721

**B.**
Full Name (Last, First, Middle Initial): Mr. Forrest J. Boushall, CLU,ChFC
Mailing Address: 3705 Barcelona St
City: Tampa State: FL Zip Code: 33629
FEC ID number of contributing federal political committee.
Name of Employer: Boushall & Associates, Inc
Occupation:
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 400.00
Date of Receipt: 01 16 2001
Amount of Each Receipt this Period: 400.00
Check
Transaction ID: R1235802

**C.**
Full Name (Last, First, Middle Initial): Mr. John H. Boushall, Jr., CLU, ChFC
Mailing Address: 902 S. Dakota #4B
City: Tampa State: FL Zip Code: 33606
FEC ID number of contributing federal political committee.
Name of Employer: Davis, Baldwin, Inc.
Occupation:
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 01 09 2001
Amount of Each Receipt this Period: 500.00
Check
Transaction ID: R1235307

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **1260.00**

**TOTAL** This Period (last page this line number only) ..... ▶

# SCHEDULE A (FEC Form 3X)
# ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 7 / 23

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
National Association of Insurance and Financial Advisors Political Action Committee

**A.**
Full Name (Last, First, Middle Initial): Mr. Lawrence Brody, JD
Mailing Address: 17 Fielding Road
City: St. Louis State: MO Zip Code: 63124-1306
FEC ID number of contributing federal political committee.
Name of Employer: Bryan, Cave
Occupation:
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 600.00
Date of Receipt: 01 26 2001
Amount of Each Receipt this Period: 600.00
Check
Transaction ID: R1236046

**B.**
Full Name (Last, First, Middle Initial): Mr. Rice E. Brown, CLU, ChFC, MSF
Mailing Address: 13 Malibu
City: Laguna Niguel State: CA Zip Code: 92677
FEC ID number of contributing federal political committee.
Name of Employer: Rice E. Brown Financial Services
Occupation:
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 250.00
Date of Receipt: 01 03 2001
Amount of Each Receipt this Period: 250.00
Check
Transaction ID: R1234963

**C.**
Full Name (Last, First, Middle Initial): Mr. Walter M. Gardnet, CLU
Mailing Address: 221 Jones Rd
City: Los Gatos State: CA Zip Code: 95032-7016
FEC ID number of contributing federal political committee.
Name of Employer: Guardian
Occupation:
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 600.00
Date of Receipt: 01 04 2001
Amount of Each Receipt this Period: 600.00
Check
Transaction ID: R1235105

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **1450.00**

**TOTAL** This Period (last page this line number only) ..... ▶

# SCHEDULE A (FEC Form 3X)
# ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 8 / 23

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
National Association of Insurance and Financial Advisors Political Action Committee

**A.**
Full Name (Last, First, Middle Initial): Mr. H. Ryan Coker, CLU, ChFC, AEP
Mailing Address: 4941 Fairhaven Way
City: Roswell State: GA Zip Code: 30075-6110
FEC ID number of contributing federal political committee.
Name of Employer: The Benefit Company
Occupation:
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 400.00
Date of Receipt: 01 03 2001
Amount of Each Receipt this Period: 400.00
Check
Transaction ID: R1234997

**B.**
Full Name (Last, First, Middle Initial): Mr. Henry A. Deppe, CLU
Mailing Address: 1629 Royal Palm Way
City: Boca Raton State: FL Zip Code: 33432-7439
FEC ID number of contributing federal political committee.
Name of Employer: National Pension Service, Inc.
Occupation: President
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 01 16 2001
Amount of Each Receipt this Period: 500.00
Check
Transaction ID: R1235623

**C.**
Full Name (Last, First, Middle Initial): Mr. William Dobbs, CLU, ChFC
Mailing Address: 805 Lurline Drive
City: Foster City State: CA Zip Code: 94404-1803
FEC ID number of contributing federal political committee.
Name of Employer:
Occupation:
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 250.00
Date of Receipt: 01 22 2001
Amount of Each Receipt this Period: 250.00
Credit Card
Transaction ID: R1235785

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **1150.00**

**TOTAL** This Period (last page this line number only) ..... ▶

# SCHEDULE A (FEC Form 3X)
# ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 9 / 23

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
National Association of Insurance and Financial Advisors Political Action Committee

**A.**
Full Name (Last, First, Middle Initial): Mr. Robert E. Eichler, LUTCF,CFP,CLU
Mailing Address: 210-19 26th Avenue
City: Bayside State: NY Zip Code: 11360
FEC ID number of contributing federal political committee.
Name of Employer: Metropolian Financial Services shore R
Occupation:
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 350.00
Date of Receipt: 01 03 2001
Amount of Each Receipt this Period: 350.00
Check
Transaction ID: R1235013

**B.**
Full Name (Last, First, Middle Initial): Mr. James N. Elowitch, CLU, ChFC
Mailing Address: 15 Hemlock Road
City: Livingston State: NJ Zip Code: 07039-1436
FEC ID number of contributing federal political committee.
Name of Employer: Barry, Elowitch & Petruzzelis
Occupation:
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 300.00
Date of Receipt: 01 02 2001
Amount of Each Receipt this Period: 300.00
Check
Transaction ID: R1234899

**C.**
Full Name (Last, First, Middle Initial): Mr. Marvin H. Feldman, CLU, ChFC
Mailing Address: 932 Midway Lane
City: East Liverpool State: OH Zip Code: 43920-1242
FEC ID number of contributing federal political committee.
Name of Employer: The Feldman Agency
Occupation:
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 01 03 2001
Amount of Each Receipt this Period: 500.00
Check
Transaction ID: R1235058

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **1150.00**

**TOTAL** This Period (last page this line number only) ..... ▶

# SCHEDULE A (FEC Form 3X)
# ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 10 / 23

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
National Association of Insurance and Financial Advisors Political Action Committee

**A.**
Full Name (Last, First, Middle Initial): Mr. Larry G. Foster, Jr., CLU,CFBS,A
Mailing Address: 1D Windbrack Way
City: Greensboro State: NC Zip Code: 27455
FEC ID number of contributing federal political committee.
Name of Employer: MassMutual Financial Group
Occupation:
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 275.00
Date of Receipt: 01 05 2001
Amount of Each Receipt this Period: 275.00
Check
Transaction ID: R1235171

**B.**
Full Name (Last, First, Middle Initial): Mr. Lawrence M. Halperin
Mailing Address: 3616 Post Rd.
City: Warwick State: RI Zip Code: 02886
FEC ID number of contributing federal political committee.
Name of Employer: The Penn Mutual Life Ins. Co.
Occupation:
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 600.00
Date of Receipt: 01 05 2001
Amount of Each Receipt this Period: 600.00
Check
Transaction ID: R1235202

**C.**
Full Name (Last, First, Middle Initial): Mr. Terry K. Headley, LUTCF,LIC
Mailing Address: 20704 Meadow Ridge Dr.
City: Springfield State: NE Zip Code: 68059
FEC ID number of contributing federal political committee.
Name of Employer: The Principal Financial Group
Occupation:
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 249.60
Date of Receipt: 01 10 2001
Amount of Each Receipt this Period: 249.60
Payroll Deduction
Transaction ID: R1232391

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **1124.60**

**TOTAL** This Period (last page this line number only) ..... ▶

# SCHEDULE A (FEC Form 3X)
# ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 11 / 23

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
National Association of Insurance and Financial Advisors Political Action Committee

**A.**
Full Name (Last, First, Middle Initial): Mr. Michael C. Karp
Mailing Address: 10100 SW 2 St
City: Plantation State: FL Zip Code: 33324-2230
FEC ID number of contributing federal political committee.
Name of Employer: Karp Ins. Consultants, Inc.
Occupation: President
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 250.00
Date of Receipt: 01 31 2001
Amount of Each Receipt this Period: 250.00
Credit Card
Transaction ID: R1236174

**B.**
Full Name (Last, First, Middle Initial): Mr. Barry N. Koslow, CLU, JD
Mailing Address: 6 Spearfields Lane
City: Lynnfield State: MA Zip Code: 01940
FEC ID number of contributing federal political committee.
Name of Employer: Morrill, Koslow & Associates
Occupation: CFO
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 300.00
Date of Receipt: 01 02 2001
Amount of Each Receipt this Period: 300.00
Check
Transaction ID: R1234791

**C.**
Full Name (Last, First, Middle Initial): Mr. D. Michael Lane, CLU
Mailing Address: 411 Union St., #1910
City: Nashville State: TN Zip Code: 37219-1701
FEC ID number of contributing federal political committee.
Name of Employer: New England Financial
Occupation:
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 504.50
Date of Receipt: 01 04 2001
Amount of Each Receipt this Period: 500.00
Check
Transaction ID: R1235077

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **1050.00**

**TOTAL** This Period (last page this line number only) ..... ▶

# SCHEDULE A (FEC Form 3X)
# ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 12 / 23

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
National Association of Insurance and Financial Advisors Political Action Committee

**A.**
Full Name (Last, First, Middle Initial): Mr. D. Michael Lane, CLU
Mailing Address: 411 Union St., #1910
City: Nashville State: TN Zip Code: 37219-1701
FEC ID number of contributing federal political committee.
Name of Employer: New England Financial
Occupation:
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 504.50
Date of Receipt: 01 10 2001
Amount of Each Receipt this Period: 4.50
Payroll Deduction
Transaction ID: R1233951

**B.**
Full Name (Last, First, Middle Initial): Mr. William C. Lohnes, CLU
Mailing Address: 4191 River Bottom Drive
City: Norcross State: GA Zip Code: 30092-1348
FEC ID number of contributing federal political committee.
Name of Employer: Greater Atlanta Agency
Occupation: Managing Director
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 350.00
Date of Receipt: 01 04 2001
Amount of Each Receipt this Period: 350.00
Check
Transaction ID: R1235063

**C.**
Full Name (Last, First, Middle Initial): Mr. Kenneth D. Martinelli, CLU
Mailing Address: 18 Saunders Road
City: Sudbury State: MA Zip Code: 01776
FEC ID number of contributing federal political committee.
Name of Employer: The New England General Life
Occupation: Vice President
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 300.00
Date of Receipt: 01 03 2001
Amount of Each Receipt this Period: 300.00
Check
Transaction ID: R1234988

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **654.50**

**TOTAL** This Period (last page this line number only) ..... ▶

# SCHEDULE A (FEC Form 3X)
# ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 13 / 23

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
National Association of Insurance and Financial Advisors Political Action Committee

**A.**
Full Name (Last, First, Middle Initial): Mr. Mark Booth Murphy, CLU, ChFC
Mailing Address: 708 Jenny Trail
City: Franklin Lakes State: NY Zip Code: 07417
FEC ID number of contributing federal political committee.
Name of Employer: Northeast Private Client Group.
Occupation:
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 600.00
Date of Receipt: 01 26 2001
Amount of Each Receipt this Period: 600.00
Check
Transaction ID: R1236065

**B.**
Full Name (Last, First, Middle Initial): Mr. Joseph F. Ouellette, CLU, ChFC
Mailing Address: 766 El Cerrito
City: Hillsborough State: CA Zip Code: 94010-6950
FEC ID number of contributing federal political committee.
Name of Employer: AXA Advisors, LLC
Occupation:
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 01 03 2001
Amount of Each Receipt this Period: 500.00
Check
Transaction ID: R1235010

**C.**
Full Name (Last, First, Middle Initial): Mr. John M. Quaty, CLU
Mailing Address: 625 S. Skinker #1203
City: St. Louis State: MO Zip Code: 63105-2301
FEC ID number of contributing federal political committee.
Name of Employer: Northwestern Mutual
Occupation: General Agent
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 600.00
Date of Receipt: 01 05 2001
Amount of Each Receipt this Period: 600.00
Check
Transaction ID: R1235193

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **1700.00**

**TOTAL** This Period (last page this line number only) ..... ▶

# SCHEDULE A (FEC Form 3X)
# ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 14 / 23

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
National Association of Insurance and Financial Advisors Political Action Committee

**A.**
Full Name (Last, First, Middle Initial): Mr. Francis X. Quesly, Jr., CLU, ChFC
Mailing Address: 128 Colony Road
City: Darien State: CT Zip Code: 06820-3806
FEC ID number of contributing federal political committee.
Name of Employer: Quesly Group, Inc.
Occupation:
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 225.00
Date of Receipt: 01 09 2001
Amount of Each Receipt this Period: 225.00
Check
Transaction ID: R1235319

**B.**
Full Name (Last, First, Middle Initial): Mr. Lawrence B. Raymond, CLU, ChFC
Mailing Address: 230 Woodberry Dr
City: Bloomfield Hills State: MI Zip Code: 48304-3561
FEC ID number of contributing federal political committee.
Name of Employer: Retirement Funding Corporation
Occupation:
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 01 09 2001
Amount of Each Receipt this Period: 500.00
Check
Transaction ID: R1235336

**C.**
Full Name (Last, First, Middle Initial): Mr. C. Andrew Robinson, CLU, ChFC
Mailing Address: 8501 N. Chamise Ln.
City: Clovis State: CA Zip Code: 93611-9464
FEC ID number of contributing federal political committee.
Name of Employer: Guardian
Occupation: General Agent
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 01 19 2001
Amount of Each Receipt this Period: 500.00
Check
Transaction ID: R1235755

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **1225.00**

**TOTAL** This Period (last page this line number only) ..... ▶

# SCHEDULE A (FEC Form 3X)
# ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 15 / 23

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
National Association of Insurance and Financial Advisors Political Action Committee

**A.**
Full Name (Last, First, Middle Initial): Mr. Ronald S. Rosbruch, CLU, ChFC
Mailing Address: 140 Broadway 22nd Fl.
City: New York State: NY Zip Code: 10005
FEC ID number of contributing federal political committee.
Name of Employer: Strategies for Wealth Creation & Prote
Occupation: General Agent
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 01 03 2001
Amount of Each Receipt this Period: 500.00
Check
Transaction ID: R1234986

**B.**
Full Name (Last, First, Middle Initial): Mr. Arnold S. Ross, CLU
Mailing Address: 145 Central Park West
City: New York State: NY Zip Code: 10023-2004
FEC ID number of contributing federal political committee.
Name of Employer: Ross Insurance Brokerage, Inc
Occupation: Agent
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 250.00
Date of Receipt: 01 03 2001
Amount of Each Receipt this Period: 250.00
Check
Transaction ID: R1234974

**C.**
Full Name (Last, First, Middle Initial): Mr. Robert G. Runnette, CLU
Mailing Address: 114 Hillcrest Rd
City: Pittsburgh State: PA Zip Code: 15236-2306
FEC ID number of contributing federal political committee.
Name of Employer: Brand, Burstin & Runnette
Occupation:
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 250.00
Date of Receipt: 01 12 2001
Amount of Each Receipt this Period: 250.00
Check
Transaction ID: R1235571

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **1000.00**

**TOTAL** This Period (last page this line number only) ..... ▶

# SCHEDULE A (FEC Form 3X)
# ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 16 / 23

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
National Association of Insurance and Financial Advisors Political Action Committee

**A.**
Full Name (Last, First, Middle Initial): Ms. Aviva E. Sapers, CLU, ChFC
Mailing Address: 1501 Beacon Street #901
City: Brookline State: MA Zip Code: 02446
FEC ID number of contributing federal political committee.
Name of Employer: Sapers & Wallack Ins. Agency Inc
Occupation: VP - Sales and Management
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 225.00
Date of Receipt: 01 02 2001
Amount of Each Receipt this Period: 225.00
Check
Transaction ID: R1234881

**B.**
Full Name (Last, First, Middle Initial): Mr. Steven J. Schacter
Mailing Address: 108-14 72nd Avenue
City: Forest Hills State: NY Zip Code: 11375-5350
FEC ID number of contributing federal political committee.
Name of Employer: Forest Hills Financial Group
Occupation: Account Executive
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 01 24 2001
Amount of Each Receipt this Period: 500.00
Credit Card
Transaction ID: R1236092

**C.**
Full Name (Last, First, Middle Initial): Mr. Sanford A. Schmidt, CLU, ChFC, CFP
Mailing Address: 5521 N. Cumberland Ave, Suite 1106
City: Chicago State: IL Zip Code: 60656
FEC ID number of contributing federal political committee.
Name of Employer: Schmidt Financial Group, Inc.
Occupation: President
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 01 03 2001
Amount of Each Receipt this Period: 500.00
Check
Transaction ID: R1235015

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **1225.00**

**TOTAL** This Period (last page this line number only) ..... ▶

# SCHEDULE A (FEC Form 3X)
# ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 17 / 23

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
National Association of Insurance and Financial Advisors Political Action Committee

**A.**
Full Name (Last, First, Middle Initial): Mr. Thomas H. Smoot, II. CLU, ChFC,
Mailing Address: P. O. Box 2010D
City: Saint Simons Islan State: GA Zip Code: 31522-0100
FEC ID number of contributing federal political committee.
Name of Employer: New York Life
Occupation:
Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 01 09 2001
Amount of Each Receipt this Period: 500.00
Check
Transaction ID: R1235369

**B.**
Full Name (Last, First, Middle Initial): Mr. Mark Swartz, JD, CLU, ChFC
Mailing Address: 9833 Avenel Farm Drive
City: Potomac State: MD Zip Code: 20854
FEC ID number of contributing federal political committee.
Name of Employer: AXA Advisors, LLC
Occupation: President, Mid-Atlantic Region
Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ 420.00
Date of Receipt: 01 02 2001
Amount of Each Receipt this Period: 420.00
Check
Transaction ID: R1234851

**C.**
Full Name (Last, First, Middle Initial): Mr. Richard F. Swider, CLU, ChFC
Mailing Address: 111 E. Kilbourn Ave #2800
City: Milwaukee State: WI Zip Code: 53202-6811
FEC ID number of contributing federal political committee.
Name of Employer: Richard F. Swider & Assoc., Inc.
Occupation:
Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ 300.00
Date of Receipt: 01 02 2001
Amount of Each Receipt this Period: 300.00
Check
Transaction ID: R1234884

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **1220.00**

**TOTAL** This Period (last page this line number only) ..... ▶

# SCHEDULE A (FEC Form 3X)
# ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 18 / 23

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
National Association of Insurance and Financial Advisors Political Action Committee

**A.**
Full Name (Last, First, Middle Initial): Mr. Donald J. Tharp, MSFS
Mailing Address: 6879 Windsor Drive
City: Hudson State: OH Zip Code: 44236-1508
FEC ID number of contributing federal political committee.
Name of Employer: Hudson Financial Advisors
Occupation: Financial Advisor
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 01 05 2001
Amount of Each Receipt this Period: 500.00
Check
Transaction ID: R1235225

**B.**
Full Name (Last, First, Middle Initial): Mr. Michael L. Weinbaub, CLU
Mailing Address: 2372 Hagen Oaks Dr.
City: Alamo State: CA Zip Code: 94507-2209
FEC ID number of contributing federal political committee.
Name of Employer: Contemporary Pensions Inc.
Occupation: President & CEO
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 250.00
Date of Receipt: 01 04 2001
Amount of Each Receipt this Period: 250.00
Check
Transaction ID: R1235065

**C.**
Full Name (Last, First, Middle Initial): Mr. Henry Whitten, CLU, ChFC, CFP
Mailing Address: 1105 Sunrise Hill
City: Concord State: CA Zip Code: 94518
FEC ID number of contributing federal political committee.
Name of Employer: The Estate Advisory Group
Occupation:
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 350.00
Date of Receipt: 01 02 2001
Amount of Each Receipt this Period: 350.00
Check
Transaction ID: R1234887

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **1100.00**

**TOTAL** This Period (last page this line number only) ..... ▶

## SCHEDULE A (FEC Form 3X)
## ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 19 / 23

[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
National Association of Insurance and Financial Advisors Political Action Committee

A.
Full Name (Last, First, Middle Initial)
Mr. David F. Woods, CLU, ChFC

Mailing Address
114 Prynwood Road

City: Longmeadow
State: MA
Zip Code: 01106-2722

FEC ID number of contributing federal political committee.

Name of Employer: Woods Financial Group
Occupation: President

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
375.00

Date of Receipt
01 08 2001

Amount of Each Receipt this Period
375.00

Check

Transaction ID: R1235243

B.

C.

| | | |
|---|---|---|
| **SUBTOTAL** of Receipts This Page (optional) | ▶ | 375.00 |
| **TOTAL** This Period (last page this line number only) | ▶ | 16984.10 |

## SCHEDULE B (FEC Form 3X)
## ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

[X] 21b [ ] 22 [ ] 23 [ ] 24 [ ] 25
[ ] 26 [ ] 27 [ ] 28a [ ] 28b [ ] 28c [ ] 29



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NAME OF COMMITTEE (In Full)
National Association of Insurance and Financial Advisors Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)
NAIFA

Mailing Address
2901 Telestar Court

City: Falls Church
State: VA
Zip Code: 22042

Purpose of Disbursement
Refund to Individual

Candidate Name

Category/Type

Office Sought: House / Senate / President
State: District:

Disbursement For: Primary / General / Other (specify)

Date of Disbursement
01 31 2001

Amount of Each Disbursement this Period
31800.04

Refund to Individual

Transaction ID: D6175

**B.**

**C.**

| | |
|---|---|
| **SUBTOTAL** of Disbursements This Page (optional) ..... ▶ | **31800.04** |
| **TOTAL** This Period (last page this line number only) ..... ▶ | **31800.04** |

# SCHEDULE B (FEC Form 3X)
# ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

| | | | | | |
|---|---|---|---|---|---|
| ☐ 21b | ☐ 22 | ☒ 23 | ☐ 24 | ☐ 25 | |
| ☐ 26 | ☐ 27 | ☐ 28a | ☐ 28b | ☐ 28c | ☐ 29 |



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NAME OF COMMITTEE (In Full)
National Association of Insurance and Financial Advisors Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)
Shelby for US Senate

Mailing Address
PO Box 1091

City: Tuscaloosa
State: AL
Zip Code: 35403

Purpose of Disbursement
Contribution: Richard C. Shelby (AL-R-US

Candidate Name
Richard C. Shelby (AL-R)

Category/Type

Office Sought: House / X Senate / President
State: AL District: 0

Disbursement For: X Primary / General / Other (specify)

Date of Disbursement
01 16 2001

Amount of Each Disbursement this Period
1000.00

Contribution: Richard C. Shelby (AL-R-US Se

Transaction ID: D6147

**B.**

**C.**

| | |
|---|---|
| **SUBTOTAL** of Disbursements This Page (optional) ..... ▶ | **1000.00** |
| **TOTAL** This Period (last page this line number only) ..... ▶ | **1000.00** |

# SCHEDULE B (FEC Form 3X)
# ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☐ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☒ 28a ☐ 28b ☐ 28c ☐ 29



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NAME OF COMMITTEE (In Full)
National Association of Insurance and Financial Advisors Political Action Committee

**A.**
Full Name (Last, First, Middle Initial)
Neil Brown

Mailing Address
9 Wampus Lake Drive
City: Armonk
State: NY
Zip Code: 10504-1125

Purpose of Disbursement
Refund to Individual

Candidate Name

Category/Type

Office Sought: House / Senate / President
State: District:

Disbursement For: Primary / General / X Other (specify)

Date of Disbursement
01 03 2001

Amount of Each Disbursement this Period
200.00

Refund to Individual

Transaction ID: D6148

**B.**
Full Name (Last, First, Middle Initial)
Marla McClain

Mailing Address
5402 Tortuga Trail
City: Austin
State: TX
Zip Code: 78731-4542

Purpose of Disbursement
Refund to Individual

Candidate Name

Category/Type

Office Sought: House / Senate / President
State: District:

Disbursement For: Primary / General / X Other (specify)

Date of Disbursement
01 31 2001

Amount of Each Disbursement this Period
200.00

Refund to Individual

Transaction ID: D6148

**C.**

| | |
|---|---|
| **SUBTOTAL** of Disbursements This Page (optional) ..... ▶ | **400.00** |
| **TOTAL** This Period (last page this line number only) ..... ▶ | **400.00** |

**SCHEDULE D**

**DEBTS AND OBLIGATIONS**

**Excluding Loans**

(Use separate schedule(s) for each numbered line)



FOR LINE NUMBER: (check only one)

- [ ] 9
- [X] 10

NAME OF COMMITTEE (In Full)

National Association of Insurance and Financial Advisors Political Action Committee

A Full Name (Last, First, Middle Initial) of Debtor or Creditor

National Association of Insurance and Financial Advisors Political Action Committee

Mailing Address

2901 Telstar Court

City State ZIP Code

Falls Church VA 22042-1205

Nature of Debt (Purpose):

Operating Expenses

Outstanding Balance Beginning This Period

39491.99

Transaction ID: DD1234

| Amount Incurred This Period | Payment This Period | Outstanding Balance at Close of This Period |
|---|---|---|
| 41261.79 | 30163.94 | 50589.84 |

B

C

| | |
|---|---|
| 1) **SUBTOTALS** This Period This Page (optional) ..... ▶ | **50589.84** |
| 2) **TOTALS** This Period (last page this line number only) ..... ▶ | **50589.84** |
| 3) **TOTALS OUTSTANDING LOANS** from Schedule C (last page only) ..... ▶ | |
| 4) **ADD 2)** and **3)** and carry forward to appropriate line of Summary Page (last page only) ▶ | |