

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF
COMMITTEE (in full)**USE FEC MAILING LABEL
OR TYPE OR PRINT**Example: If typing, type
over the lines

E*TRADE Financial Corporation PAC

ADDRESS (number and street)

671 North Glebe Road

Ballston Tower

☐Check if different
than previously
reported. (ACC)

Arlington

VA

22203

2. **FEC IDENTIFICATION NUMBER**

CITY

STATE

ZIP CODE

C00356345

3. IS THIS
REPORT☒NEW
(N)

OR

☐AMENDED
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

☐April 15
Quarterly Report (Q1)☐July 15
Quarterly Report (Q2)☐October 15
Quarterly Report (Q3)☐January 31
Quarterly Report (YE)☐July 31 Mid-Year
Report (Non-election
Year Only) (MY)☐Termination Report
(TER)(b) Monthly
Report
Due On:☐

Feb 20 (M2)

☐

May 20 (M5)

☐

Aug 20 (M8)

☐Nov 20 (M11)
(Non-Election
Year Only)☐

Mar 20 (M3)

☐

Jun 20 (M6)

☐

Sep 20 (M9)

☒Dec 20 (M12)
(Non-Election
Year Only)☐

Apr 20 (M4)

☐

Jul 20 (M7)

☐

Oct 20 (M10)

☐

Jan 31 (YE)

(c) 12-Day
PRE-Election
Report for the:☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12G)

Election on

in the
State of(d) 30-Day
Post -Election
Report for the:☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the
State of

5. Covering Period

11

01

2007

through

11

30

2007

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Jane Gelman

Signature of Treasurer

Electronically Filed by Jane Gelman

Date

12

11

2007

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office
Use
Only**FEC FORM 3X**
(Rev. 02/2003)

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

E*TRADE Financial Corporation PAC

Report Covering the Period:

From:

M	M	D	D	Y	Y	Y	Y
1	1	0	1	2	0	0	7

To:

M	M	D	D	Y	Y	Y	Y
1	1	3	0	2	0	0	7

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 2007		153859.73
(b) Cash on Hand at Beginning of Reporting Period	249550.30	
(c) Total Receipts (from Line 19)	8254.38	113944.95
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	257804.68	267804.68
7. Total Disbursements (from Line 31)	0.00	10000.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	257804.68	257804.68
9. Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 02/2003)

Page 3

Write or Type Committee Name

E*TRADE Financial Corporation PAC

Report Covering the Period:

From:

M	M
1	1

D	D
0	1

Y	Y	Y	Y
2	0	0	7

To:

M	M
1	1

D	D
3	0

Y	Y	Y	Y
2	0	0	7

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees	8029.76	101427.62
(i) Itemized (use Schedule A)		
(ii) Unitemized	57.70	11001.40
(iii) TOTAL (add Lines 11(a)(i) and (ii) ➤	8087.46	112429.02
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b) and (c)) (Carry Totals to Line 33, page 5) ➤	8087.46	112429.02
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.)	166.92	1515.93
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	8254.38	113944.95
20. Total Federal Receipts (subtract Line 18(c) from Line 19)	8254.38	113944.95

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. DISBURSEMENTS		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:			
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		0.00	0.00
(i) Federal Share.....			
(ii) Non-Federal Share.....		0.00	0.00
(b) Other Federal Operating Expenditures.....		0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)).....		0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....		0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....		0.00	10000.00
24. Independent Expenditure (use Schedule E)		0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....		0.00	0.00
26. Loan Repayments Made.....		0.00	0.00
27. Loans Made.....		0.00	0.00
28. Refunds of Contributions To:			
(a) Individuals/Persons Other Than Political Committees		0.00	0.00
(b) Political Party Committees		0.00	0.00
(c) Other Political Committees (such as PACs)		0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))		0.00	0.00
29. Other Disbursements.....		0.00	0.00
30. Federal Election Activity (2 U.S.C 431(20))			
(a) Shared Federal Election Activity (from Schedule H6)			
(i) Federal Share		0.00	0.00
(ii) "Levin" Share		0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds		0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....		0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..		0.00	10000.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30(a)(ii) from Line 31).....		0.00	10000.00

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3)	8087.46	112429.02
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	8087.46	112429.02
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 31

(check only one)

☐ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☒ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

E*Trade Bank

Mailing Address PO Box 1542

City

Merrifield

State

VA

Zip Code

22110-9949

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

1515.93

Date of Receipt

M M / D D / Y Y Y Y
1 1 / 3 0 / 2 0 0 7

Transaction ID: 14810499

Amount of Each Receipt this Period

166.92

Bank Interest Received

SUBTOTAL of Receipts This Page (optional)

166.92

TOTAL This Period (last page this line number only)

166.92

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

A. Allison Jeannotte

Mailing Address 60 State Street

City State Zip Code
 Boston MA 02109

FEC ID number of contributing
federal political committee.

C

Name of Employer
ETrade Finance Corp

Occupation
Senior Corp Comm Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1005718119779

Amount of Each Receipt this Period

30.00

P/R Deduction (\$15.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. Charles Leonard

Mailing Address 671 North Glebe Road

City State Zip Code
 Arlington VA 22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Bank

Occupation
Senior Mgr, Customer Service

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1012220819779

Amount of Each Receipt this Period

30.00

P/R Deduction (\$15.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. David Douglass

Mailing Address 671 North Glebe Road

City State Zip Code
 Arlington VA 22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Capital Holdings

Occupation
Sr Mgr, Brokerage Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1016861419779

Amount of Each Receipt this Period

30.00

P/R Deduction (\$15.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

90.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

A. Alison Mittelstadt

Mailing Address 671 North Glebe Rd.

City State Zip Code
Arlington VA 22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Capital Holdings

Occupation
Sr Director, Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

936.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1030171919779

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. Randall Brown

Mailing Address 4005 Windward Plaza Drive

City State Zip Code
Alpharetta GA 30005

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Financial Corp.

Occupation
Director, Network & Systems Admin.

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

936.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045599619779

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. Russell Ross

Mailing Address 4500 Bohannon Drive

City State Zip Code
Menlo Park CA 94025

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Financial Corp.

Occupation
Director, Corporate Info Security

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045600019779

Amount of Each Receipt this Period

50.00

P/R Deduction (\$25.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

206.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A. Full Name (Last, First, Middle Initial) Daniel Howe Mailing Address 440 South LaSalle City Chicago State IL Zip Code 60605 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Brokerage Holdings Occupation EVP, Retail Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3230.88			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1045600419779 Amount of Each Receipt this Period 269.24 P/R Deduction (\$134.62 Bi-Weekly)
B. Full Name (Last, First, Middle Initial) Adam Townsend Mailing Address 10951 White Rock Road City Rancho Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Financial Corp. Occupation SVP, Investor Relations Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1848.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1045600619779 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
C. Full Name (Last, First, Middle Initial) Brent Simonich Mailing Address 10951 White Rock Road City Rancho Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Brokerage Service- s, Inc. Occupation Director, Brokerage Controller Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 240.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1045600719779 Amount of Each Receipt this Period 20.00 P/R Deduction (\$10.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

443.24

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A. Full Name (Last, First, Middle Initial)
Steve Lingeman
Mailing Address 671 North Glebe Road

City State Zip Code
Arlington VA 22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Financial Corp

Occupation
Director, Software Engineering

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

936.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045600819779

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

B. Full Name (Last, First, Middle Initial)
Amit Banerjee
Mailing Address 671 North Glebe Road

City State Zip Code
Arlington VA 22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Financial Corp.

Occupation
VP, Software Engineering

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1848.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045600919779

Amount of Each Receipt this Period

154.00

P/R Deduction (\$77.00 Bi-Weekly)

C. Full Name (Last, First, Middle Initial)
Karen Wall
Mailing Address 671 North Glebe Road

City State Zip Code
Arlington VA 22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Bank

Occupation
VP, Human Resources

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

936.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045607619779

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

310.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 11 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A. Full Name (Last, First, Middle Initial)
Michael Murphy
Mailing Address 135 E. 57th Street

City State Zip Code
New York NY 10022

FEC ID number of contributing federal political committee.

C

Name of Employer
E*TRADE Securities, LLCOccupation
VP, Relationship Mgmt.

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

936.00

Date of Receipt

M M / D D / Y Y Y Y Y
M M / D D / Y Y Y Y Y

Transaction ID: PR1055089319779

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

B. Full Name (Last, First, Middle Initial)
Edouard Dejoux
Mailing Address 135 East 57th Street

City State Zip Code
New York NY 10022

FEC ID number of contributing federal political committee.

C

Name of Employer
E*TRADE Securities, LLCOccupation
SVP, Global Trading

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1848.00

Date of Receipt

M M / D D / Y Y Y Y Y
M M / D D / Y Y Y Y Y

Transaction ID: PR1067673019779

Amount of Each Receipt this Period

154.00

P/R Deduction (\$77.00 Bi-Weekly)

C. Full Name (Last, First, Middle Initial)
Roderick Mikus
Mailing Address 135 E. 57th Street

City State Zip Code
New York NY 10022

FEC ID number of contributing federal political committee.

C

Name of Employer
E*TRADE Securities LLCOccupation
Director, Relationship Management

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

936.00

Date of Receipt

M M / D D / Y Y Y Y Y
M M / D D / Y Y Y Y Y

Transaction ID: PR1083003719779

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

310.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

A. John Matos

Mailing Address 135 E. 57th Street

City State Zip Code
 New York NY 10022

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Securities LLC

Occupation
Director, Product Management

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

936.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1083003819779

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. David Poole

Mailing Address 4005 Windward Plaza Drive

City State Zip Code
 Alpharetta GA 30005

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Securities LLC

Occupation
Senior Mgr, Corp. Relationship Mgmt.

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1083003919779

Amount of Each Receipt this Period

30.00

P/R Deduction (\$15.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. Ardwin Droessler

Mailing Address 440 South LaSalle

City State Zip Code
 Chicago IL 60605

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE--Dempsey

Occupation
Director, Trading

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

936.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1088490819779

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

186.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A. Full Name (Last, First, Middle Initial) Christine Wolf Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Financial Corporation Occupation EVP, Human Resources Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1848.00		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1090244519779 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
B. Full Name (Last, First, Middle Initial) Paul Halpern Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. C Name of Employer ETrade Financial Corporation Occupation SVP, Bank Marketing Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1848.00		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1142911319779 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
C. Full Name (Last, First, Middle Initial) Kevin Delo Mailing Address 4500 Bohannon Drive City Menlo Park State CA Zip Code 94025 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Securities LLC Occupation Director, Retail Product Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 936.00		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1179644519779 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

386.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A. Full Name (Last, First, Middle Initial) Anthony Altobelli Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Securities LLC Occupation Dir. Relationship Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General Aggregate Year-to-Date 936.00 <input type="checkbox"/> Other (specify) ▼			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR122287719779 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
B. Full Name (Last, First, Middle Initial) Lea Stendahl Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corporation Occupation Director, Active Trader Mktg. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General Aggregate Year-to-Date 936.00 <input type="checkbox"/> Other (specify) ▼			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1253490119779 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
C. Full Name (Last, First, Middle Initial) Craig Burton Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corporation Occupation Director, Financial Planning and Analy Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General Aggregate Year-to-Date 936.00 <input type="checkbox"/> Other (specify) ▼			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1271748219779 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

234.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A. Full Name (Last, First, Middle Initial) Powell Jones Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corporation Occupation Director, Metrics and Reporting Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 936.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1271748419779 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
B. Full Name (Last, First, Middle Initial) David Goldberg Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corporation Occupation Director, Business Analysis Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 936.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1271748519779 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
C. Full Name (Last, First, Middle Initial) Mitchell Caplan Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corp. Occupation CEO Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 4615.20			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR408625119779 Amount of Each Receipt this Period 384.60 P/R Deduction (\$192.30 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

540.60

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

A. Arlen W. Gelbard

Mailing Address 671 North Glebe Road

City State Zip Code
Arlington VA 22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Bank

Occupation
President, E*TRADE Bank

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4615.20

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR408625619779

Amount of Each Receipt this Period

384.60

P/R Deduction (\$192.30 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. Robert Lilien

Mailing Address 135 E. 57th Street

City State Zip Code
New York NY 10022

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Financial Corp.

Occupation
President & COO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4615.20

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR408626119779

Amount of Each Receipt this Period

384.60

P/R Deduction (\$192.30 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. Shane O. Mulron

Mailing Address 10951 White Rock Road

City State Zip Code
Rancho Cardova CA 95670

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Brokerage Holdings

Occupation
EVP, Retail Finance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3240.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR408626419779

Amount of Each Receipt this Period

270.00

P/R Deduction (\$135.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

1039.20

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A. Full Name (Last, First, Middle Initial) Connie Dotson			Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div>	
Mailing Address 10951 White Rock Road			Transaction ID: PR540115719779	
City State Zip Code Rancho Cordova CA 95670			Amount of Each Receipt this Period <div>382.00</div>	
FEC ID number of contributing federal political committee. <div>C</div>				
Name of Employer E*Trade Financial Corp.		Occupation Chief Communications Officer		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ <div>4584.00</div>		
B. Full Name (Last, First, Middle Initial) Todd Mackay			Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div>	
Mailing Address 671 North Glebe Road			Transaction ID: PR540117019779	
City State Zip Code Arlington VA 22203			Amount of Each Receipt this Period <div>268.00</div>	
FEC ID number of contributing federal political committee. <div>C</div>				
Name of Employer E*Trade Financial Corp.		Occupation Mg Director, Corp. Dev.		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ <div>3216.00</div>		
C. Full Name (Last, First, Middle Initial) Curtis Smith			Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div>	
Mailing Address 1650 Union Hill Road			Transaction ID: PR540118519779	
City State Zip Code Alpharetta GA 30005			Amount of Each Receipt this Period <div>154.00</div>	
FEC ID number of contributing federal political committee. <div>C</div>				
Name of Employer E*Trade Financial Corp.		Occupation SVP, Global Infrastructure		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ <div>1848.00</div>		

P/R Deduction (\$191.00 Bi-Weekly)

P/R Deduction (\$134.00 Bi-Weekly)

P/R Deduction (\$77.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

804.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A. Full Name (Last, First, Middle Initial) Lee Thompson Mailing Address 111 Congress Avenue City Austin State TX Zip Code 78701 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corp. Occupation VP, Chief Technologist Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 936.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR540118819779 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
B. Full Name (Last, First, Middle Initial) Christopher V. Reyes Mailing Address 10951 White Rock Road City Rancho Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Brokerage Holdings Occupation Business Mgr, Regulatory Reporting Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 360.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR559347619779 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)
C. Full Name (Last, First, Middle Initial) Jonathan K. Law Mailing Address 4500 Bohannon Drive City Menlo Park State CA Zip Code 94025 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corp. Occupation Business Mgr, Software Engineering Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 360.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR650307419779 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

138.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 19 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A. Full Name (Last, First, Middle Initial) Jane Gelman Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corp. Occupation VP, Administrative Services Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 936.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR673132019779 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
B. Full Name (Last, First, Middle Initial) Greg Framke Mailing Address 535 Madison Ave 35th Floor City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corp. Occupation Mg Director, CIO Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3240.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR799168119779 Amount of Each Receipt this Period 270.00 P/R Deduction (\$135.00 Bi-Weekly)
C. Full Name (Last, First, Middle Initial) Kenneth Marienau Mailing Address 4005 Windward Plaza Drive City Alpharetta State GA Zip Code 30005 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corp. Occupation VP, General Services Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1848.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR799168219779 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

502.00

TOTAL This Period (last page this line number only)

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 / 31

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A. Full Name (Last, First, Middle Initial) Matthew J. Audette Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Bank Occupation EVP, Corp. Controller Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3710.88			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR799168419779 Amount of Each Receipt this Period 309.24 P/R Deduction (\$154.62 Bi-Weekly)
B. Full Name (Last, First, Middle Initial) Michael Curcio Mailing Address 135 East 57th St. 31st Floor City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Securities LLC Occupation Mg Director, Global Retail Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3230.88			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR799168619779 Amount of Each Receipt this Period 269.24 P/R Deduction (\$134.62 Bi-Weekly)
C. Full Name (Last, First, Middle Initial) Dennis E. Webb Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Global Asset Mgmt, Inc. Occupation President, Capital Markets Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1771.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR799168819779 Amount of Each Receipt this Period 77.00 P/R Deduction (\$77.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)**655.48****TOTAL** This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 21 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A. Full Name (Last, First, Middle Initial) Robert Simmons Mailing Address 10951 White Rock Road City Rancho Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corp. Occupation Chief Financial Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 4560.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR799169019779 Amount of Each Receipt this Period 380.00 P/R Deduction (\$190.00 Bi-Weekly)
B. Full Name (Last, First, Middle Initial) Lance Ullom Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Global Asset Mgmt Occupation EVP, Fixed Income Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1771.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR946040719779 Amount of Each Receipt this Period 77.00 P/R Deduction (\$77.00 Bi-Weekly)
C. Full Name (Last, First, Middle Initial) Hartley Caldwell Mailing Address 1650 Union Hill Road City Alpharetta State GA Zip Code 30005 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Financial Corp. Occupation VP, Re-Engineering Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1848.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950171219779 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

611.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 22 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A. Full Name (Last, First, Middle Initial) Matthew Geary Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Bank Occupation EVP, Risk & Credit Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3230.88			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950171319779 Amount of Each Receipt this Period 269.24 P/R Deduction (\$134.62 Bi-Weekly)
B. Full Name (Last, First, Middle Initial) Eric Seasholtz Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Global Asset Mgmt. Inc. Occupation VP, Mortgage Portfolio Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 936.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950172719779 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
C. Full Name (Last, First, Middle Initial) Michael Klena Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Securities LLC Occupation SVP, Investing Ops Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1848.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950173019779 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

501.24

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A. Full Name (Last, First, Middle Initial)
Christopher Larkin
Mailing Address 135 E. 57th Street

City State Zip Code
New York NY 10022

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Securities LLC

Occupation
VP, Active Trader

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1335.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR950173119779

Amount of Each Receipt this Period

100.00

P/R Deduction (\$50.00 Bi-Weekly)

B. Full Name (Last, First, Middle Initial)
Lori Smith
Mailing Address 10951 White Rock Road

City State Zip Code
Rancho Cordova CA 95670

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Financial Corp.

Occupation
Manager, Communications Center

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR950173419779

Amount of Each Receipt this Period

30.00

P/R Deduction (\$15.00 Bi-Weekly)

C. Full Name (Last, First, Middle Initial)
W Chris Staab
Mailing Address 671 North Glebe Road

City State Zip Code
Arlington VA 22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Global Asset Mgmt

Occupation
Director, Credit Cards

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

936.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR950173619779

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

208.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A. Full Name (Last, First, Middle Initial) Paul Vienick Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Securities LLC Occupation SVP, Prodcut Dev. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1848.00		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950173719779 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
B. Full Name (Last, First, Middle Initial) Lisa Romano Mailing Address 4005 Windward Plaza Drive City State Zip Code Alpharetta GA 30005 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Financial Corp. Occupation Manager, Procurement Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 360.00		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR956826819779 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)
C. Full Name (Last, First, Middle Initial) Francisco Gutierrez Mailing Address 4500 Bohannon Drive City State Zip Code Menlo Park CA 94025 FEC ID number of contributing federal political committee. C Name of Employer ETrade Finance Corp Occupation Sr Manager, Software Engineer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 360.00		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR956827419779 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

214.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 25 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A. Full Name (Last, First, Middle Initial) Andrew Flynn Mailing Address 4005 Windward Plaza Drive City State Zip Code Alpharetta GA 30005 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Financial Corporation Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Occupation Senior Staff, Engineering Aggregate Year-to-Date ▼ 240.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR956827519779 Amount of Each Receipt this Period 20.00 P/R Deduction (\$10.00 Bi-Weekly)
B. Full Name (Last, First, Middle Initial) Michael Krompegal Mailing Address 4005 Windward Plaza Drive City State Zip Code Alpharetta GA 30005 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Financial Corporation Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Occupation Manager, Re-engineering Aggregate Year-to-Date ▼ 240.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR956827819779 Amount of Each Receipt this Period 20.00 P/R Deduction (\$10.00 Bi-Weekly)
C. Full Name (Last, First, Middle Initial) Michael Schoenberger Mailing Address 4005 Windward Plaza Drive City State Zip Code Alpharetta GA 30005 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Securities, LLC Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Occupation Senior Mgr, Relationship Management Aggregate Year-to-Date ▼ 360.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR956828319779 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

70.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

A. John Caylor

Mailing Address 4005 Windward Plaza Drive

City State Zip Code
 Alpharetta GA 30005

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Securities LLC

Occupation
Business Analyst

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971301719779

Amount of Each Receipt this Period

20.00

P/R Deduction (\$10.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. Joseph Corso

Mailing Address 440 S. LaSalle St.
Suite 3030

City State Zip Code
 Chicago IL 60605

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE GVR

Occupation
Manager, Trading

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971302019779

Amount of Each Receipt this Period

50.00

P/R Deduction (\$25.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. Noel Dalzell

Mailing Address 440 S. LaSalle St.

City State Zip Code
 Chicago IL 60605

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE--GVR

Occupation
Director, Trading

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

897.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971302119779

Amount of Each Receipt this Period

39.00

P/R Deduction (\$39.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

109.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A. Full Name (Last, First, Middle Initial) Colleen Ryan			Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div>	
Mailing Address 440 S. LaSalle St. Suite 3030			Transaction ID: PR971302419779	
City State Zip Code Chicago IL 60605			Amount of Each Receipt this Period <div>50.00</div>	
FEC ID number of contributing federal political committee. <div>C</div>				
Name of Employer E*TRADE GVR		Occupation Director, ETCM Trading		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ <div>600.00</div>		
B. Full Name (Last, First, Middle Initial) Kevin Skarbek			Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div>	
Mailing Address 440 South LaSalle			Transaction ID: PR971302519779	
City State Zip Code Chicago IL 60605			Amount of Each Receipt this Period <div>20.00</div>	
FEC ID number of contributing federal political committee. <div>C</div>				
Name of Employer E*TRADE--GVR		Occupation Manager, Trading		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ <div>240.00</div>		
C. Full Name (Last, First, Middle Initial) June Batcheller			Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div>	
Mailing Address 671 North Glebe Road			Transaction ID: PR971302619779	
City State Zip Code Arlington VA 22203			Amount of Each Receipt this Period <div>30.00</div>	
FEC ID number of contributing federal political committee. <div>C</div>				
Name of Employer ETrade Capitol Holdings		Occupation Director, Compliance Officer		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ <div>360.00</div>		

P/R Deduction (\$25.00 Bi-Weekly)

P/R Deduction (\$10.00 Bi-Weekly)

P/R Deduction (\$15.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

100.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A. Full Name (Last, First, Middle Initial) Robert Sondheim Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Capital Holdings Occupation Sr. Advertising Principal Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 240.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR971303119779 Amount of Each Receipt this Period 20.00 P/R Deduction (\$10.00 Bi-Weekly)
B. Full Name (Last, First, Middle Initial) Christopher Amato Mailing Address 440 S. LaSalle Street City State Zip Code Chicago IL 60605 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE-GVR Occupation Senior Mgr, Trading Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 360.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR971303519779 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)
C. Full Name (Last, First, Middle Initial) Eric Tassell Mailing Address 9255 Town Center Drive City State Zip Code San Diego CA 92121 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Securities, LLC Occupation Senior Mgr, Retail Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 360.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR971303919779 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

80.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 29 / 31

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

Ken Elder

Mailing Address 671 North Glebe Road

City

Arlington

State

VA

Zip Code

22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Global Asset Mgmt

Occupation

Sr. Portfolio Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971304019779

Amount of Each Receipt this Period

30.00

P/R Deduction (\$15.00 Bi-Weekly)

B.

Full Name (Last, First, Middle Initial)

Marco Pellini

Mailing Address 440 South LaSalle

City

Chicago

State

IL

Zip Code

60605

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE--Dempsey

Occupation

VP, Trading Ops

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

480.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971304119779

Amount of Each Receipt this Period

40.00

P/R Deduction (\$20.00 Bi-Weekly)

C.

Full Name (Last, First, Middle Initial)

Brian Young

Mailing Address 4005 Windward Plaza Drive

City

Alpharetta

State

GA

Zip Code

30005

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Securities LLC

Occupation

Manager, Power E*TRADE

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971304319779

Amount of Each Receipt this Period

20.00

P/R Deduction (\$10.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

90.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 30 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A. Full Name (Last, First, Middle Initial) Michael Alpert Mailing Address 671 North Glebe Rd. City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corp. Occupation Director, Corp Dev. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 648.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR971304419779 Amount of Each Receipt this Period 54.00 P/R Deduction (\$27.00 Bi-Weekly)
B. Full Name (Last, First, Middle Initial) Heather Carroll-Cox Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Bank Occupation VP, Retail Banking Ops Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 936.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR971304519779 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
C. Full Name (Last, First, Middle Initial) Adam McChane Mailing Address 4500 Bohannon Drive City State Zip Code Menlo Park CA 94025 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Financial Corp. Occupation Executive Producer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 360.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR971304819779 Amount of Each Receipt this Period 30.00 P/R Deduction (\$15.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

162.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 31 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

Dirk Wyckoff

Mailing Address 671 North Glebe Road

City

Arlington

State

VA

Zip Code

22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Bank

Occupation

Director, Ass't Controller

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

480.00

Date of Receipt

M M / D D / Y Y Y Y

Transaction ID: PR971305019779

Amount of Each Receipt this Period

40.00

P/R Deduction (\$20.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

40.00

TOTAL This Period (last page this line number only)

8029.76