

**FEC
FORM 3X**

**REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) **USE FEC MAILING LABEL OR TYPE OR PRINT** Example: If typing, type over the lines
 The Caterpillar Employee Political Action Committee

ADDRESS (number and street) 100 N.E. Adams
 Peoria IL 61629 1430
 Check if different than previously reported. (ACC)

2. **FEC IDENTIFICATION NUMBER** CITY STATE ZIP CODE

C00148031

3. IS THIS REPORT NEW (N) OR AMENDED (A)

4. TYPE OF REPORT (Choose One)
 (a) Quarterly Reports:
 Feb 20 (M2) May 20 (M5) Aug 20 (M8) Nov 20 (M11) (Non-Election Year Only)
 Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) Dec 20 (M12) (Non-Election Year Only)
 Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (M13)
 April 15 Quarterly Report(Q1)
 July 15 Quarterly Report(Q2)
 October 15 Quarterly Report(Q3)
 January 31 Quarterly Report(YE)
 July 31 Mid-Year Report(Non-election Year Only) (MY)
 Termination Report (TER)
 (b) Monthly Report Due On:
 (c) 12-Day PRE-Election Report for the: Primary (12P) General (12G) Runoff (12R)
 Convention (12C) Special (12S)
 Election on in the State of
 (d) 30-Day Post-Election Report for the: General (30G) Runoff (30R) Special (30S)
 Election on in the State of

5. Covering Period 04 01 2002 through 04 30 2002

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Gary Vest

Signature of Treasurer Electronically Filed by Gary Vest Date 05 17 2002

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office Use Only

FEC FORM 3X
(Revised 1/2001)

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Revised 1/2001)

Page 2

Write or Type Committee Name
The Caterpillar Employee Political Action Committee

Report Covering the Period: From: ^h04 ^d01 ^y2002 To: ^h04 ^d30 ^y2002

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 ^y 2002		105903.70
(b) Cash on Hand at Beginning of Reporting Period	62683.83	
(c) Total Receipts (from Line 19)	11357.16	50474.29
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	74040.99	156277.99
7. Total Disbursements (from Line 30)	0.00	82237.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	74040.99	74040.99
9. Debts and Obligations owed TO the committee (itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations owed BY the committee (itemize all on Schedule C and/or Schedule D)	0.00	

This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

**DETAILED SUMMARY PAGE
OF RECEIPTS**

FEC Form 3X (Revised 1/2001)

Page 3

Write or Type Committee Name

The Caterpillar Employee Political Action Committee

Report Covering the Period: From: ^{MM}04 ^{DD}01 ^{YYYY}2002 To: ^{MM}04 ^{DD}30 ^{YYYY}2002

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	1450.50	
(ii) Unitemized	9857.95	
(iii) TOTAL (add Lines 11(a)(i) and (ii))	11308.45	50252.30
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 32, page 4)	11308.45	50252.30
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 36, page 4)	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.)	48.71	221.99
18. Transfers from Nonfederal Account for Joint Activity	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18)	11357.16	50474.29
20. Total Federal Receipts (subtract Line 18 from Line 19)	11357.16	50474.29

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Revised 1/2001)

Page 4

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share.....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures.....	0.00	237.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... ▶	0.00	237.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	70000.00
24. Independent Expenditure (use Schedule E).....	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees.....	0.00	0.00
(b) Political Party Committees.....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))..... ▶	0.00	0.00
29. Other Disbursements.....	0.00	12000.00
30. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), and 29)..... ▶	0.00	82237.00
31. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30)..... ▶	0.00	82237.00
<hr/>		
III. Net Contributions/Operating Expenditures		
32. Total Contributions (other than loans) from Line 11(d), page 3).....	11308.45	50252.30
33. Total Contribution Refunds (from Line 28(d)).....	0.00	0.00
34. Net Contributions (other than loans) (subtract Line 33 from Line 32).....	11308.45	50252.30
35. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))..... ▶	0.00	237.00
36. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
37. Net Operating Expenditures (subtract Line 36 from Line 35)..... ▶	0.00	237.00

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 5 / 11	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

A. Full Name (Last, First, Middle Initial)
DONALD INGS

Date of Receipt
M / D / Y Y Y Y

Mailing Address
102 TRELLINGWOOD DR

City State Zip Code
MORRISVILLE NC 27560

Amount of Each Receipt this Period
75.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar Inc. VICE PRESIDENT

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$75.00 Monthly)
Primary General Other (specify) ▼ 300.00

Transaction ID: PR38055327442

B. Full Name (Last, First, Middle Initial)
FRANCIS MC PHEETERS

Date of Receipt
M / D / Y Y Y Y

Mailing Address
5201 N SHAG BARK CIR

City State Zip Code
PEORIA IL 61614

Amount of Each Receipt this Period
80.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar Inc. VICE PRESIDENT

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$80.00 Monthly)
Primary General Other (specify) ▼ 360.00

Transaction ID: PR38060477442

C. Full Name (Last, First, Middle Initial)
SIDNEY BANWART

Date of Receipt
M / D / Y Y Y Y

Mailing Address
12918 GEORGETOWNE RD

City State Zip Code
DUNLAP IL 61525

Amount of Each Receipt this Period
100.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar Inc. VICE PRESIDENT

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$100.00 Monthly)
Primary General Other (specify) ▼ 400.00

Transaction ID: PR38042827442

SUBTOTAL of Receipts This Page (optional) ▶ **265.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 6 / 11	
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)
A. JAMES BEARD

Mailing Address
133 PROSPECT HILL

City State Zip Code
NASHVILLE TN 37205

Date of Receipt
M / D / Y Y Y Y

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar Inc. VICE PRESIDENT

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$62.50 Monthly)
Primary General Other (specify) ▼ 250.00

Amount of Each Receipt this Period
82.50

Transaction ID: PR38043237442

Full Name (Last, First, Middle Initial)
B. GLEN BARTON

Mailing Address
242 W DETWEILLER DR

City State Zip Code
PEORIA IL 61615

Date of Receipt
M / D / Y Y Y Y

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar Inc. CHRMN OF BOARD

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$250.00 Monthly)
Primary General Other (specify) ▼ 1000.00

Amount of Each Receipt this Period
250.00

Transaction ID: PR38043117442

Full Name (Last, First, Middle Initial)
C. RICHARD BENSON

Mailing Address
1013 HILLCREST DR

City State Zip Code
WASHINGTON IL 61571

Date of Receipt
M / D / Y Y Y Y

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar Inc. VICE PRESIDENT

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$65.00 Monthly)
Primary General Other (specify) ▼ 260.00

Amount of Each Receipt this Period
65.00

Transaction ID: PR38043547442

SUBTOTAL of Receipts This Page (optional) ▶ **377.50**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 7 / 11	
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

A. Full Name (Last, First, Middle Initial)
THOMAS GALES

Date of Receipt
M / D / Y Y Y Y

Mailing Address
327 VALLEY VIEW COURT

City State Zip Code
PEORIA IL 61615

Amount of Each Receipt this Period
90.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar Inc. VICE PRESIDENT

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$90.00 Monthly)
Primary General Other (specify) ▼ 360.00

Transaction ID: PR38051087442

B. Full Name (Last, First, Middle Initial)
DANIEL MURPHY

Date of Receipt
M / D / Y Y Y Y

Mailing Address
5804 N PROSPECT RD

City State Zip Code
PEORIA IL 61614

Amount of Each Receipt this Period
90.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar Inc. VICE PRESIDENT

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$90.00 Monthly)
Primary General Other (specify) ▼ 360.00

Transaction ID: PR38062047442

C. Full Name (Last, First, Middle Initial)
DOUGLAS OBERHELMAN

Date of Receipt
M / D / Y Y Y Y

Mailing Address
6005 N KICKAPOO EDWARD

City State Zip Code
EDWARDS IL 61526

Amount of Each Receipt this Period
75.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar Inc. GROUP PRESIDENT

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$75.00 Monthly)
Primary General Other (specify) ▼ 300.00

Transaction ID: PR38062997442

SUBTOTAL of Receipts This Page (optional) ▶ **255.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 8 / 11	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
				<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)
A. JAMES OWENS

Mailing Address
5504 N PROSPECT RD

City State Zip Code
PEORIA IL 61616

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar Inc. GROUP PRESIDENT

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$100.00 Monthly)
Primary General Other (specify) ▼ 400.00

Date of Receipt
M / D / Y Y Y Y

Amount of Each Receipt this Period
100.00

Transaction ID: PR38063367442

Full Name (Last, First, Middle Initial)
B. GERALD PALMER

Mailing Address
2415 FAWN LAKE CIR

City State Zip Code
NAPERVILLE IL 60564

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar Inc. VICE PRESIDENT

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$85.00 Monthly)
Primary General Other (specify) ▼ 260.00

Date of Receipt
M / D / Y Y Y Y

Amount of Each Receipt this Period
85.00

Transaction ID: PR38063427442

Full Name (Last, First, Middle Initial)
C. ALAN RASCI

Mailing Address
5956 N ELM LN

City State Zip Code
PEORIA IL 61614

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar Inc. VICE PRESIDENT

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$100.00 Monthly)
Primary General Other (specify) ▼ 400.00

Date of Receipt
M / D / Y Y Y Y

Amount of Each Receipt this Period
100.00

Transaction ID: PR38064787442

SUBTOTAL of Receipts This Page (optional) ▶ **265.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 9 / 11

11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

A. Full Name (Last, First, Middle Initial)
GERALD SHAHEEN

Date of Receipt
M / D / Y Y Y Y

Mailing Address
119 E PAWNEE CT

City State Zip Code
PEORIA IL 61615

Amount of Each Receipt this Period
83.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar Inc. GROUP PRESIDENT

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$83.00 Monthly)
Primary General Other (specify) ▼ 252.00

Transaction ID: PR38067417442

B. Full Name (Last, First, Middle Initial)
GARY STROUP

Date of Receipt
M / D / Y Y Y Y

Mailing Address
12107 TRAVERTINE CT

City State Zip Code
POWAY CA 92064

Amount of Each Receipt this Period
80.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar Inc. VICE PRESIDENT

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$80.00 Monthly)
Primary General Other (specify) ▼ 320.00

Transaction ID: PR38068517442

C. Full Name (Last, First, Middle Initial)
STEVEN WUNNING

Date of Receipt
M / D / Y Y Y Y

Mailing Address
471 E HIGH POINT DRIVE

City State Zip Code
PEORIA IL 61614

Amount of Each Receipt this Period
85.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar Inc. VICE PRESIDENT

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$85.00 Monthly)
Primary General Other (specify) ▼ 340.00

Transaction ID: PR38073147442

SUBTOTAL of Receipts This Page (optional) ▶ **228.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)				PAGE 10 / 11
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)
A. SHERRIL WEST

Mailing Address
1D KARA CT

City State Zip Code
WASHINGTON IL 61571

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar Inc. VICE PRESIDENT

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$60.00 Monthly)
Primary General Other (specify) ▼ 240.00

Date of Receipt
M / D / Y Y Y Y

Amount of Each Receipt this Period
80.00

Transaction ID: PR38072137442

B.

C.

SUBTOTAL of Receipts This Page (optional)	▶	60.00
TOTAL This Period (last page this line number only)	▶	1450.50

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)				PAGE 11 / 11
	<input type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16	<input checked="" type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)
A. First Bank

Mailing Address
240 SW Jefferson St.

City State Zip Code
Peoria IL 61602

Date of Receipt
M M / D D / Y Y Y Y
04 / 30 / 2002

FEC ID number of contributing federal political committee.

Name of Employer Occupation

Amount of Each Receipt this Period
48.71

Receipt For: Aggregate Year-to-Date ▼
Primary General
Other (specify) ▼ 221.99

Transaction ID: 6426847

B.

C.

SUBTOTAL of Receipts This Page (optional)	▶	48.71
TOTAL This Period (last page this line number only)	▶	48.71