REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

RECEIVED
FEDERAL ELECTION
COMMISSION
MAIL ROOM

SEP 20 1 05 PM '95

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full)
INVESTMENT MANAGEMENT POLITICAL ACTION COMMITTEE OF THE INVESTMENT COMPANY INSTITUTE

ADDRESS (number and street) ☐ Check if different than previously reported
1401 H STREET, NW

CITY, STATE and ZIP CODE
WASHINGTON, DC 20005

2. FEC IDENTIFICATION NUMBER
C00105981

3. ☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report
☐ July 15 Quarterly Report
☐ October 15 Quarterly Report
☐ January 31 Year End Report
☐ July 31 Mid Year Report (Non-election Year Only)
☐ Termination Report

Monthly Report Due On:

☐ February 20	☐ June 20	☐ October 20
☐ March 20	☐ July 20	☐ November 20
☐ April 20	☐ August 20	☐ December 20
☐ May 20	☒ September 20	☐ January 31

☐ Twelfth day report preceding _____ (Type of Election) election on _____ in the State of _____

☐ Thirtieth day report following the General Election on _____ in the State of _____.

(b) Is this Report an Amendment? ☐ YES ☒ NO

SUMMARY	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period 08/01/95 through 08/31/95		
6. (a) Cash on Hand January 1, 1995		$ 95,495.62
(b) Cash on Hand at Beginning of Reporting Period	$ 43,336.13	
(c) Total Receipts (from Line 19)	$ 30,022.68	$ 110,772.88
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	$ 73,358.81	$ 206,268.50
7. Total Disbursements (from Line 30)	$ 5,527.28	$ 138,436.97
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	$ 67,831.53	$ 67,831.53
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	$	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	$	

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-219-3420

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
C. RICHARD POGUE

Signature of Treasurer
C. Richard Pogue

Date
9/20/95

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X
(revised 9/93)

4-00173

95039975311

DETAILED SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS
PAGE 2, FEC FORM 3X

(revised 1/1/91)

NAME OF COMMITTEE INVESTMENT MANAGEMENT POLITICAL ACTION COMMITTEE OF THE INVESTMENT COMPANY INSTITUTE

REPORT COVERING PERIOD FROM 08/01/95 TO: 08/31/95

	COLUMN A Total This Period	COLUMN B Calendar Year	
I. Receipts			
11. Contributions (other than loans) From:			
a. Individual/Persons Other Than Political Committees			
i. Itemized (use Schedule A)	12,000.00	62,925.00	11(a
ii. Unitemized	10,000.00	16,675.00	11(a
iii. Total (add i and ii) ➤	22,000.00	79,600.00	11(a
b. Political Party Committees			11(b
c. Other Political Committees (such as PACs)	8,000.00	30,500.00	11(c
d. Total Contributions (add a iii, b and c) ➤	30,000.00	110,100.00	11(c
12. Transfers From Affiliated/Other Party Committees			12
13. All Loans Received			13
14. Loan Repayments Received			14
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)			15
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees			16
17. Other Federal Receipts (Dividends, Interest, etc.)	22.68	672.88	17
18. Transfers from Nonfederal Account for Joint Activity			18
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) ➤	30,022.68	110,772.88	19
20. Total Federal Receipts (subtract line 18 from line 19) ➤	30,022.68	110,772.88	20
II. Disbursements			
21. Operating Expenditures:			
a. Shared Federal/Non-Federal Activity (from Schedule H4)			
i. Federal Share			21(a
ii. Non-Federal Share			21(a
b. Other Federal Operating Expenditures	27.28	1,172.34	21(b
c. Total Operating Expenditures (add a i, a ii, and b) ➤	27.28	1,172.34	21(c
22. Transfers to Affiliated/Other Party Committees			22
23. Contributions to Federal Candidates/Committees and Other Political Committees	5,500.00	132,264.63	23
24. Independent Expenditures (use Schedule E)			24
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)			25
26. Loan Repayments Made			26
27. Loans Made			27
28. Refunds of Contributions To:			
a. Individuals/Persons Other Than Political Committees		3,000.00	28(a
b. Political Party Committees			28(b
c. Other Political Committees (such as PACs)		2,000.00	28(c
d. Total Contribution Refunds (add a, b and c) ➤		5,000.00	28(d
29. Other Disbursements			29
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) ➤	5,527.28	138,436.97	30
31. Total Federal Disbursements (subtract line 21 a ii from line 30) ➤	5,527.28	138,436.97	31
III. Net Contributions/Operating Expenditures			
32. Total Contributions (other than loans)(from line 11d)	30,000.00	110,100.00	32
33. Total Contribution Refunds (from line 28d)	.00	5,000.00	33
34. Net Contributions (other than loans)(subtract line 33 from 32)	30,000.00	105,100.00	34
35. Total Federal Operating Expenditures (add 21 a i and 21 b) ➤	27.28	1,172.34	35
36. Offsets to Operating Expenditures (from line 15)	.00	.00	36
37. Net Operating Expenditures (subtract line 36 from 35) ➤	27.28	1,172.34	37

4-00172

95039975312

For Line Number : 11a

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE: Investment Management PAC of the Investment Company Institute

Name	Name of Employer	Date	Amount
Martin L. Flanagan 777 Mariners Island Blvd. San Mateo, CA 94404-1584	Franklin/Templeton Group **Occupation** Senior Vice President & CEO	08/17/95	1,000.00
Aggregate Year-to-Date —>	$1,000.00		

Name	Name of Employer	Date	Amount
Robert L. Hechler 6300 Lamar P.O. Box 29217 Shawnee Mission, KS 66202-4247	Waddell & Reed, Inc. **Occupation** President	08/17/95	1,000.00
Aggregate Year-to-Date —>	$2,000.00		

Name	Name of Employer	Date	Amount
Henry Herrmann 6300 Lamar P.O. Box 29217 Shawnee Mission, KS 66202-4247	Waddell & Reed Investment Management Co. **Occupation** Chief Investment Officer	08/17/95	1,000.00
Aggregate Year-to-Date —>	$1,000.00		

Name	Name of Employer	Date	Amount
David S. Lee Two International Place Boston, MA 02110-4101	Scudder Investor Services, Inc. **Occupation** President	08/17/95	250.00
Aggregate Year-to-Date —>	$250.00		

Name	Name of Employer	Date	Amount
Alvin Blumenfeld 95 Wall Street New York, NY 10005	First Investors **Occupation** Senior Vice President - Sales	08/24/95	500.00
Aggregate Year-to-Date —>	$500.00		

Subtotal of Receipts this page	3,750.00
Total this period	

For Line Number : 11a

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NAME OF COMMITTEE: Investment Management PAC of the Investment Company Institute

Name	Name of Employer	Date	Amount
Conrad W. Charak 95 Wall Street New York, NY 10005	First Investors **Occupation** Vice President - Sales	08/24/95	500.00
Aggregate Year-to-Date ---->	$500.00		

Name	Name of Employer	Date	Amount
Lawrence A. Fauci 95 Wall St. 22nd Fl. New York, NY 10005-4201	First Investors Management Company, Inc. **Occupation** Sr. Vice President	08/24/95	500.00
Aggregate Year-to-Date ---->	$500.00		

Name	Name of Employer	Date	Amount
Benn Feltheimer 95 Wall St. 22nd Fl. New York, NY 10005-4201	First Investors Management Company, Inc. **Occupation** Vice President - Sales	08/24/95	500.00
Aggregate Year-to-Date ---->	$500.00		

Name	Name of Employer	Date	Amount
Myron Feltheimer 2 Penn Plaza New York, NY 10121	First Investors **Occupation** Senior Vice President - Sales	08/24/95	500.00
Aggregate Year-to-Date ---->	$500.00		

Name	Name of Employer	Date	Amount
Howard A. Froman 95 Wall Street New York, NY 10005	First Investors **Occupation** Senior Vice President - Sales	08/24/95	500.00
Aggregate Year-to-Date ---->	$500.00		

Subtotal of Receipts this page	2,500.00
Total this period	

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NAME OF COMMITTEE: Investment Management PAC of the Investment Company Institute

Name	Name of Employer / Occupation	Date	Amount
Kathryn S. Head 10 Woodbridge Ctr. Dr. Woodbridge, NJ 07095-1106	First Investors Management Company, Inc. Occupation Vice President & CFO	08/24/95	250.00
	Aggregate Year-to-Date ---> $250.00		
Name	**Name of Employer / Occupation**	**Date**	**Amount**
Glenn O. Head 95 Wall St. 23rd Fl. New York, NY 10005-4201	First Investors Management Company, Inc. Occupation Chairman of the Board	08/24/95	750.00
	Aggregate Year-to-Date ---> $750.00		
Name	**Name of Employer / Occupation**	**Date**	**Amount**
Marvin Hacker 95 Wall St. 23rd Fl. New York, NY 10005-4201	First Investors Corporation Occupation President	08/24/95	500.00
	Aggregate Year-to-Date ---> $500.00		
Name	**Name of Employer / Occupation**	**Date**	**Amount**
Larry R. Lavoie 95 Wall St. 23rd Fl. New York, NY 10005-4201	First Investors Corporation Occupation General Counsel	08/24/95	500.00
	Aggregate Year-to-Date ---> $500.00		
Name	**Name of Employer / Occupation**	**Date**	**Amount**
John T. Sullivan 95 Wall St. 23rd Fl. New York, NY 10005-4201	First Investors Corporation Occupation Chairman, Executive Committee	08/24/95	250.00
	Aggregate Year-to-Date ---> $250.00		

Subtotal of Receipts this page	2,250.00
Total this period	

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For Line Number : 11a

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NAME OF COMMITTEE: **Investment Management PAC of the Investment Company Institute**

Name	Name of Employer	Date	Amount
Rupert H. Johnson 777 Mariners Island Blvd. San Mateo, CA 94404-1584	Franklin/Templeton Group **Occupation** Executive Vice President	08/25/95	3,000.00

Aggregate Year-to-Date ---> $3,000.00

Name	Name of Employer	Date	Amount
William R. Molinari One Parkview Plaza Oakbrook Terrace, IL 60181-4400	Van Kampen American Capital Distributors, Inc. **Occupation** Pres. of Retail Distribution	08/31/95	500.00

Aggregate Year-to-Date ---> $1,000.00

Subtotal of Receipts this page	3,500.00
Total this period	12,000.00

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SCHEDULE A **ITEMIZED RECEIPTS**

For Line Number : 11c

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NAME OF COMMITTEE: **Investment Management PAC of the Investment Company Institute**

Name	Name of Employer	Date	Amount
Legg Mason PAC 7 East Redwood Street Baltimore, MD 21203	N/A **Occupation** N/A	08/03/95	3,000.00

Aggregate Year-to-Date ---> $3,000.00

Name	Name of Employer	Date	Amount
Torchmark PAC Birmingham, AL	N/A **Occupation** N/A	08/17/95	5,000.00

Aggregate Year-to-Date ---> $5,000.00

Subtotal of Receipts this page	8,000.00
Total this period	8,000.00

For Line Number : 17

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NAME OF COMMITTEE: Investment Management PAC of the Investment Company Institute

Name	Name of Employer	Date	Amount
First Union National Bank of Washington 740 15th St., NW Washington, DC 20005	Interest Income **Occupation** N/A	08/31/95	22.68

Aggregate Year-to-Date ----> $672.88

Subtotal of Receipts this page	22.68
Total this period	22.68

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For Line Number: 21b

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NAME OF COMMITTEE Investment Management PAC of the Investment Company Institute

Name	Purpose :	Date:	Amount :
First Union National Bank of Washington 740 15th St., NW Washington, DC 20005	Service Charges Election Type:	08/31/95	27.28

Subtotal of Disbursements This Page	27.28
Total This Period	27.28

95039375319

For Line Number: 23

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NAME OF COMMITTEE Investment Management PAC of the Investment Company Institute

Name	Purpose :	Date:	Amount :
The Billy Tauzin Committee 550 South Van Houma, LA 70361	Contribution **Election Type:** Primary	08/11/95	1,000.00
Oxley for Congress PO Box 1994 Findlay, OH 45839	Contribution **Election Type:** Primary	08/11/95	1,000.00
Bill Frist for Senate 1922 West End Ave. Nashville, TN 37203	Contribution **Election Type:** General Debt Retirement	08/11/95	1,000.00
Friends of John Boehner 7908 Cincinnati-Dayton Rd. West Chester, OH 45069	Contribution **Election Type:** General	08/11/95	2,000.00
Royce Campaign Committee 125 North Roosevelt Fullerton, CA 92632	Contribution **Election Type:** Primary	08/22/95	500.00

Subtotal of Disbursements This Page	5,500.00
Total This Period	5,500.00

Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

☑	Hand Delivered	DATE OF RECEIPT 9-20-95
☐	First Class Mail	POSTMARKED
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☐	No Postmark	
☐	Postmark Illegible	
☐	Received from the House Office of Records and Registration	DATE OF RECEIPT
☐	Received from the Senate Office of Public Records	DATE OF RECEIPT
☐	Other (Specify):	POSTMARKED and/or DATE OF RECEIPT

JMN
PREPARER

9-20-95
DATE PREPARED

FEC FORM 70-2 (12/92)

95039573321