REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full)
INVESTMENT MANAGEMENT POLITICAL ACTION COMMITTEE OF THEINVESTMENT COMPANY INSTITUTE

ADDRESS (number and street) ☐ Check if different than previously reported
1401 H STREET, NW

CITY, STATE and ZIP CODE
WASHINGTON, DC 20005

2. FEC IDENTIFICATION NUMBER

3. ☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report
☐ July 15 Quarterly Report
☐ October 15 Quarterly Report
☐ January 31 Year End Report
☐ July 31 Mid Year Report (Non-election Year Only)
☐ Termination Report

Monthly Report Due On:

☒ February 20	☐ June 20	☐ October 20
☐ March 20	☐ July 20	☐ November 20
☐ April 20	☐ August 20	☐ December 20
☐ May 20	☐ September 20	☐ January 31

☐ Twelfth day report preceding _____ (Type of Election) election on _____ in the State of _____

☐ Thirtieth day report following the General Election on _____ in the State of _____.

(b) Is this Report an Amendment? ☐ YES ☒ NO

SUMMARY	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period 1/1/95 through 1/31/95		
6. (a) Cash on Hand January 1, 19 95		$ 95,495.62
(b) Cash on Hand at Beginning of Reporting Period	$ 95,495.62	
(c) Total Receipts (from Line 19)	$ 27,102.31	$ 27,102.31
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	$ 122,597.93	$ 122,597.93
7. Total Disbursements (from Line 30)	$ 45,500.00	$ 45,500.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	$ 77,097.93	$ 77,097.93
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	$ 0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	$ 0.00	

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-219-3420

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
C. Richard Pogue

Signature of Treasurer
C. Richard Pogue

Date
2-17-95

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X
(revised 9/93)

4-00173

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DETAILED SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS
PAGE 2, FEC FORM 3X

(revised 1/1/91)

NAME OF COMMITTEE

REPORT COVERING PERIOD FROM 1/1/95 TO: 1/31/95

	COLUMN A Total This Period	COLUMN B Calendar Year	
I. Receipts			
11. Contributions (other than loans) From:			
a. Individual/Persons Other Than Political Committees			
i. Itemized (use Schedule A)	19,100.00	19,100.00	11(a)(i)
ii. Unitemized	2,800.00	2,800.00	11(a)(ii)
iii. Total (add i and ii) ➤	21,900.00	21,900.00	11(a)(iii)
b. Political Party Committees			11(b)
c. Other Political Committees (such as PACs)	5,000.00	5,000.00	11(c)
d. Total Contributions (add a iii, b and c) ➤	26,900.00	26,900.00	11(d)
12. Transfers From Affiliated/Other Party Committees			12
13. All Loans Received			13
14. Loan Repayments Received			14
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)			15
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees			16
17. Other Federal Receipts (Dividends, Interest, etc.)	202.31	202.31	17
18. Transfers from Nonfederal Account for Joint Activity			18
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) ➤	27,102.31	27,102.31	19
20. Total Federal Receipts (subtract line 18 from line 19) ➤	27,102.31	27,102.31	20
II. Disbursements			
21. Operating Expenditures:			
a. Shared Federal/Non-Federal Activity (from Schedule H4)			
i. Federal Share			21(a)(i)
ii. Non-Federal Share			21(a)(ii)
b. Other Federal Operating Expenditures			21(b)
c. Total Operating Expenditures (add a i, a ii, and b) ➤			21(c)
22. Transfers to Affiliated/Other Party Committees			22
23. Contributions to Federal Candidates/Committees and Other Political Committees	45,500.00	45,500.00	23
24. Independent Expenditures (use Schedule E)			24
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)			25
26. Loan Repayments Made			26
27. Loans Made			27
28. Refunds of Contributions To:			
a. Individuals/Persons Other Than Political Committees			28(a)
b. Political Party Committees			28(b)
c. Other Political Committees (such as PACs)			28(c)
d. Total Contribution Refunds (add a, b and c) ➤			28(d)
29. Other Disbursements	0.00	0.00	29
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) ➤	45,500.00	45,500.00	30
31. Total Federal Disbursements (subtract line 21 a ii from line 30) ➤	45,500.00	45,500.00	31
III. Net Contributions/Operating Expenditures			
32. Total Contributions (other than loans)(from line 11d)	26,900.00	26,900.00	32
33. Total Contribution Refunds (from line 28d)	0.00	0.00	33
34. Net Contributions (other than loans)(subtract line 33 from 32)	26,900.00	26,900.00	34
35. Total Federal Operating Expenditures (add 21 a i and 21 b) ➤	0.00	0.00	35
36. Offsets to Operating Expenditures (from line 15)	0.00	0.00	36
37. Net Operating Expenditures (subtract line 36 from 35) ➤	0.00	0.00	37

4-00173

SCHEDULE A ITEMIZED RECEIPTS Page 1 of _____
For Line Number: 11a(1)

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE: Investment Management PAC of the Investment Company Institute

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SCHEDULE A ITEMIZED RECEIPTS Page 1 of _____
For Line Number: 11a(i)

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NAME OF COMMITTEE: Investment Management PAC of the Investment Company Institute

Name	Name of Employer	Date	Amount
Robert L. Hechler 6300 Lamar P.O. Box 29217 Shawnee Mission, KS 66202-4247	United Funds Occupation President	01/04/95	1,000.00
	Aggregate Year-to-Date-->	1,000.00	

Name	Name of Employer	Date	Amount
Charles T. Bauer Eleven Greenway Plaza Suite 1919 Houston, TX 77046	AIM Group Occupation Chairman	01/04/95	500.00
	Aggregate Year-to-Date-->	500.00	

Name	Name of Employer	Date	Amount
Loretta McCarthy Two World Trade Center 34th Fl. New York, NY 10048-0203	Oppenheimer/Centennial Occupation Senior Vice President	01/05/95	650.00
	Aggregate Year-to-Date-->	650.00	

Name	Name of Employer	Date	Amount
William H. Keough 60 State St. Boston, MA 02109-1803	Pioneer Occupation Senior VP and CFO	01/05/95	500.00
	Aggregate Year-to-Date-->	500.00	

Name	Name of Employer	Date	Amount
E.V. Dominguez 777 Mariners Island Blvd. San Mateo, CA 94404	Franklin Group/Templeton Occupation Sr. Vice President	01/05/95	500.00
	Aggregate Year-to-Date-->	500.00	

Name	Name of Employer	Date	Amount
Robert H. Graham 11 Greenway Plaza Ste. 1919 Houston, TX 77046-1104	AIM Group Occupation President	01/09/95	250.00
	Aggregate Year-to-Date-->	250.00	

Name	Name of Employer	Date	Amount
Timothy H. Schoeffler Two World Trade Center New York, NY 10048	Oppenheimer/Centennial Occupation Regional Vice President	01/12/95	500.00
	Aggregate Year-to-Date-->	500.00	

Subtotal of Receipts this page..... 3,900.00

SCHEDULE A ITEMIZED RECEIPTS Page 2 of _____
For Line Number: 11a(i)

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NAME OF COMMITTEE: Investment Management PAC of the Investment Company Institute

Name	Name of Employer	Date	Amount
Michael J. Cemo P.O. Box 4333 Houston, TX 77210-4333	AIM Group Occupation President	01/13/95	1,000.00
	Aggregate Year-to-Date-->	1,000.00	

Name	Name of Employer	Date	Amount
Patrice A. Falagrady 3410 S. Galena Street Denver, CO 80231	Oppenheimer/Centennial Occupation Senior Vice President	01/13/95	350.00
	Aggregate Year-to-Date-->	350.00	

Name	Name of Employer	Date	Amount
Harmon E. Burns 777 Mariners Island Blvd. San Mateo, CA 94404-1584	Franklin Group/Templeton Occupation Vice President	01/17/95	2,500.00
	Aggregate Year-to-Date-->	2,500.00	

Name	Name of Employer	Date	Amount
Barbara Hennigar 3410 S. Galena St. Denver, CO 80231-5078	Oppenheimer/Centennial Occupation President & CEO	01/17/95	1,000.00
	Aggregate Year-to-Date-->	1,000.00	

Name	Name of Employer	Date	Amount
William H. Young 3410 So. Galena Street Denver, CO 80231	Oppenheimer/Centennial Occupation Sr. Vice President	01/17/95	350.00
	Aggregate Year-to-Date-->	350.00	

Name	Name of Employer	Date	Amount
Charles B. Johnson 777 Mariners Island Blvd. San Mateo, CA 94404-1584	Franklin Group/Templeton Occupation President/CEO	01/23/95	3,000.00
	Aggregate Year-to-Date-->	3,000.00	

Name	Name of Employer	Date	Amount
Alvin M. Younger, Jr. 100 E. Pratt Street Baltimore, MD 21202	T. Rowe Price Occupation Managing Director	01/24/95	500.00
	Aggregate Year-to-Date-->	500.00	

Name	Name of Employer	Date	Amount
M. David Testa 100 E. Pratt St. Baltimore, MD 21202-1009	T. Rowe Price Occupation Managing Director	01/24/95	1,500.00
	Aggregate Year-to-Date-->	1,500.00	

Subtotal of Receipts this page..... 10,200.00

SCHEDULE A ITEMIZED RECEIPTS Page 3 of _____
For Line Number: 11a(i)

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NAME OF COMMITTEE: Investment Management PAC of the Investment Company Institute

Name	Name of Employer	Date	Amount
Mary John Miller 100 East Pratt Street Baltimore, MD 21202	T. Rowe Price Occupation Managing Director Aggregate Year-to-Date-->	01/24/95 500.00	500.00
George A. Roche 100 E. Pratt St. Baltimore, MD 21202-1009	T. Rowe Price Occupation Managing Director & CFO Aggregate Year-to-Date-->	01/24/95 1,500.00	1,500.00
John H. LaPorte 100 E. Pratt Street Baltimore, MD 21202	T. Rowe Price Occupation General Managing Director Aggregate Year-to-Date-->	01/24/95 500.00	500.00
Thomas H. Broadus, Jr. 100 East Pratt Street Baltimore, MD 21202	T. Rowe Price Occupation Managing Director Aggregate Year-to-Date-->	01/24/95 500.00	500.00
Peter Van Dyke 100 E. Pratt Street Baltimore, MD 21202	T. Rowe Price Occupation Managing Director Aggregate Year-to-Date-->	01/24/95 500.00	500.00
George J. Collins 100 E. Pratt St. Baltimore, MD 21202-1009	T. Rowe Price Occupation President Aggregate Year-to-Date-->	01/24/95 500.00	500.00
James A. Kennedy 100 E. Pratt Street Baltimore, MD 21202	T. Rowe Price Occupation Managing Director Aggregate Year-to-Date-->	01/24/95 500.00	500.00
Henry H. Hopkins 100 E. Pratt St. Baltimore, MD 21202-1009	T. Rowe Price Occupation Managing Director Aggregate Year-to-Date-->	01/24/95 500.00	500.00

Subtotal of Receipts this page..... 5,000.00
Total this period..... 19,100.00

SCHEDULE A ITEMIZED RECEIPTS Page 1 of _____
For Line Number: 11c

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NAME OF COMMITTEE: Investment Management PAC of the Investment Company Institute

Name	Name of Employer	Date	Amount
John Hancock Mutual Life Insurance Company PAC P.O. Box 111 Boston, MA 02117	N/A Occupation N/A	01/18/95	5,000.00
	Aggregate Year-to-Date-->	5,000.00	

Subtotal of Receipts this page..... 5,000.00
Total this period..... 5,000.00

SCHEDULE A ITEMIZED RECEIPTS Page 1 of _____
For Line Number: 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE: Investment Management PAC of the Investment Company Institute

Name	Name of Employer	Date	Amount
Interest	N/A	01/31/95	202.31
	Occupation		
	Executive		
	Aggregate Year-to-Date-->	202.31	

Subtotal of Receipts this page..... 202.31
Total this period..... 202.31

SCHEDULE B ITEMIZED DISBURSEMENTS Page 1 of ____
For Line Number: 21

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE: Investment Management PAC of the Investment Company Institute

Name	Purpose of Disbursement	Date	Amount
Republican Senate Council 425 Second Street, NE Washington, DC 20002	PARTY COMMITTEE CONTRIBUTION Disbursement for:	01/28/95	15,000.00
Lazio for Congress '96 P.O. Box 5064 Bayshore, NY 11706	Lazio - Congress New York(02) Disbursement for: PRIMARY	01/28/95	500.00
Democratic Congressional Campaign Committee Washington, DC 20003	PARTY COMMITTEE CONTRIBUTION Disbursement for:	01/28/95	15,000.00
Democratic Senatorial Campaign Committee Washington, DC 20003	PARTY COMMITTEE CONTRIBUTION Disbursement for:	01/28/95	15,000.00

Total this period..... 45,500.00

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Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

☑	Hand Delivered	DATE OF RECEIPT 2-21-95
☐	First Class Mail	POSTMARKED
☐	Registered/Certified Mail	POSTMARKED
☐	No Postmark	
☐	Postmark Illegible	
☐	Received from the House Office of Records and Registration	DATE OF RECEIPT
☐	Received from the Senate Office of Public Records	DATE OF RECEIPT
☐	Other (Specify):	POSTMARKED and/or DATE OF RECEIPT

JMN
PREPARER

2-21-95
DATE PREPARED

FEC FORM 70-2 (12/92)

9 5 0 3 9 0 6 4 3 1 9