REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

01/19/2001 11 : 53

1. NAME OF COMMITTEE (in full)
Auction Markets Political Action Committee of the Chicago Board of Trade

ADDRESS (number and street) ☐ Check if different than previously reported
1455 Pennsylvania Avenue, N.W.

2. FEC IDENTIFICATION NUMBER
C00055832

CITY, STATE, and ZIP CODE
Washington DC 20004

3. ☒ This committee has qualified as a multi-candidate committee (see FEC Form 1M)

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report

☐ July 15 Quarterly Report

☐ October 15 Quarterly Report

☒ January 31 Year End Report

☐ July 31 Mid-Year Report (Non-election Year Only)

☐ Termination report

Monthly Report Due On:

☐ February 20	☐ June 20	☐ October 20
☐ March 20	☐ July 20	☐ November 20
☐ April 20	☐ August 20	☐ December 20
☐ May 20	☐ September 20	☐ January 31

☐ Twelfth day report preceding _____ (election type)
election on _____ in the State of _____

☐ Thirtieth day report following the General Election
on _____ in the State of _____

(b) Is this Report an Amendment ☐ YES ☒ NO

SUMMARY 5. Covering Period 11/28/2000 through 12/31/2000	**COLUMN A** **This Period**	**COLUMN B** **Calendar Year-to-Date**
6. (a) Cash on Hand, January 1, 2000		307785.23
(b) Cash on Hand at Beginning of Reporting Period	138674.98	
(c) Total Receipts (from line 19)	959.92	88900.57
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	139634.90	396685.80
7. Total Disbursements (from line 30)	4000.00	261050.90
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	135634.90	135634.90
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-219-3420

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct, and complete.

Type or Print Name of Treasurer
Electronically Filed by Mr. Glen M. Johnson

Signature of Treasurer

Date
01/31/2001

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X
(revised 9/98)

4-00173

DETAILED SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS
(PAGE 2, FEC FORM 3X) (revised 1/1/91)

NAME OF COMMITTEE
Auction Markets Political Action Committee of the Chicago Board of Trade

REPORT COVERING PERIOD
FROM 11/28/2000 TO: 12/31/2000

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year	
11. Contributions (other than loans) From:			
a. Individual/Persons Other Than Political Committees			
i. Itemized (use Schedule A)	200.00	73070.00	11.a.i.
ii. Unitemized	0.00	3080.00	11.a.ii.
iii. Total (add i and ii)»	200.00	76150.00	11.a.iii.
b. Political Party Committees	0.00	0.00	11.b.
c. Other Political Committees (such as PACs)	0.00	0.00	11.c.
d. Total Contributions (add a iii, b and c)»	200.00	76150.00	11.d.
12. Transfers From Affiliated/Other Party Committees	0.00	0.00	12.
13. All Loans Received	0.00	0.00	13.
14. Loan Repayments Received	0.00	0.00	14.
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)	0.00	0.00	15.
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees	0.00	5000.00	16.
17. Other Federal Receipts (Dividends, Interest, etc.)	759.92	7750.57	17.
18. Transfers From Nonfederal Account for Joint Activity	0.00	0.00	18.
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18)»	959.92	88900.57	19.
20. Total Federal Receipts (subtract line 18 from line 19)»	959.92	88900.57	20.
II. Disbursements			
21. Operating Expenditures:			
a. Shared Federal/Non-Federal Activity (from Schedule H4)			
i. Federal Share	0.00	0.00	21.a.i.
ii. Non-Federal Share	0.00	0.00	21.a.ii.
b. Other Federal Operating Expenditures	0.00	459.51	21.b.
c. Total Operating Expenditures (add a i, a ii, and b)»	0.00	459.51	21.c.
22. Transfers to Affiliated/Other Party Committees	0.00	0.00	22.
23. Contributions to Federal Candidates/Committees and Other Political Committees	4000.00	256000.00	23.
24. Independent Expenditures (use Schedule E)	0.00	0.00	24.
25. Coordinated Expenditures Made by Party Committees (2 U.S.C 441a(d)) (use Sch. F)	0.00	0.00	25.
26. Loan Repayments Made	0.00	0.00	26.
27. Loans Made	0.00	0.00	27.
28. Refunds of Contributions To:			
a. Individuals/Persons Other Than Political Committees	0.00	0.00	28.a.
b. Political Party Committees	0.00	0.00	28.b.
c. Other Political Committees (such as PACs)	0.00	0.00	28.c.
d. Total Contributions Refunds (add a, b, and c)»	0.00	0.00	28.d.
29. Other Disbursements	0.00	4591.39	29.
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29)»	4000.00	261050.90	30.
31. Total Federal Disbursements (subtract line 21 a ii from line 30)»	4000.00	261050.90	31.
III. Net Contributions / Operating Expenditures			
32. Total Contributions (other than loans) (from line 11d)	200.00	76150.00	32.
33. Total Contribution Refunds (from line 28d)	0.00	0.00	33.
34. Net Contributions (other than loans) (subtract line 33 from 32)	200.00	76150.00	34.
35. Total Federal Operating Expenditures (add 21 a i and 21 b)»	0.00	459.51	35.
36. Offsets to Operating Expenditures (from line 15)	0.00	0.00	36.
37. Net Operating Expenditures (subtract line 36 from 35)»	0.00	459.51	37.

SCHEDULE A	ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	3 / 5 FOR LINE NUMBER 11A1

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address, and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Mr. Bernard P. Carey Sr. 356 E. 1500N Chesterton IN 46304 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Self Occupation: Trader Aggregate Year-to-Date > $ 1200.00	12/22/2000	100.00
Mr. Hollis J. Griffin 70 E Cedar St Chicago IL 60611-1135 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Self Occupation: Trader Aggregate Year-to-Date > $ 600.00	12/22/2000	50.00
Mr. Stephen Anthony Anichini 28984 Noble Knoll Court Ivanhoe IL 60060 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Self Occupation: Commodities Trader Aggregate Year-to-Date > $ 600.00	12/22/2000	50.00

SUBTOTALS of Receipts This Page (Optional)	
TOTALS This Period (last page this line number only)	**200.00**

SCHEDULE A	ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	4 / 5 FOR LINE NUMBER 17

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NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address, and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Bank of America 231 South LaSalle Street Chicago IL 60697 Receipt For: [ ] Primary [X] General [ ] Other (specify) :	Interest Occupation Aggregate Year-to-Date > $ 7750.57	11/30/2000	373.17
Bank of America 231 South LaSalle Street Chicago IL 60697 Receipt For: [ ] Primary [X] General [ ] Other (specify) :	Interest Occupation Aggregate Year-to-Date > $ 7750.57	12/29/2000	386.75

SUBTOTALS of Receipts This Page (Optional)	
TOTALS This Period (last page this line number only)	**759.92**

SCHEDULE B	ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	5 / 5 FOR LINE NUMBER 23

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NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address, and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Citizens for Harkin 227 Massachusetts Avenue, NE Suite 101 Washington DC 20002	Contribution: Tom Harkin (IA-D) (Senate IA-D) Contribution: Tom Harkin (IA-D) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	12/12/2000	1000.00
Reed Committee PO Box 8628 Cranston RI 02920	Contribution: Jack F. Reed (RI-D) (Senate RI-D) Contribution: Jack F. Reed (RI-D) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	12/12/2000	1000.00
Friends of Max Baucus 203 C Street, NE Washington DC 20002	Contribution: Max Baucus (MT-D) (Senate MT-D) Contribution: Max Baucus (MT-D) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	12/12/2000	1000.00
Tim Johnson for South Dakota 420 C Street NE Lower Level Washington DC 20003	Contribution: Tim Johnson (SD-D) (Senate SD-D) Contribution: Tim Johnson (SD-D) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	12/12/2000	1000.00

SUBTOTALS of Disbursements This Page (Optional)	
TOTALS This Period (last page this line number only)	**4000.00**