



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

RQ-3

January 11, 2001

Jay Castle, Treasurer
Dave Wu for Congress
818 SW 3rd Street, #1182
Portland, OR 97205

Identification Number: C00329292

Reference: 12 Day Pre-Primary (4/1/00-4/26/00), April Quarterly (1/1/00-3/31/00)
and July Quarterly (4/27/00-6/30/00) Reports

Dear Mr. Castle:

This letter is to inform you that as of January 10, 2001, the Commission has not received your response to our requests for additional information dated December 19, 2000. These notices request information essential to full public disclosure of your federal election campaign finances. To ensure compliance with the provisions of the Federal Election Campaign Act (the Act), please respond to these requests (copies enclosed).

An adequate response must be received at the Commission by January 31, 2001. Adequate responses received on or before this date will be taken into consideration in determining whether audit action will be initiated. **Requests for extensions of time in which to respond will not be considered.** Failure to provide an adequate response by this date may result in an audit of the committee. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action.

If you should have any questions regarding this matter, please contact Christopher Whyrick on our toll-free number (800) 424-9530 (at the prompt press 1, then press 2 to reach the Reports Analysis Division) or our local number (202) 694-1130.

Sincerely,

John D. Gibson
Assistant Staff Director
Reports Analysis Division

Enclosures



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

Jay Castle, Treasurer
Dave Wu for Congress
818 SW 3rd Street, #1182
Portland, OR 97205

DEC 19 2000

Identification Number: C00329292

Reference: July Quarterly Report (4/27/00-6/30/00)

Dear Mr. Castle:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Your report includes a loan owed to Merrill Lynch with a due date of 9/24/97. Please clarify the current status of this loan and disclose the current terms and conditions if the loan was renegotiated. Note that an overdue loan may be considered a prohibited contribution by the bank or lending institution. (11 CFR §100.7(b)(11))

-Schedule A of your report discloses earmarked contributions through a conduit. Please be reminded that when a committee receives an earmarked contribution(s) through an allowable conduit, each individual contribution should be itemized when the individual's total contributions to your committee aggregate over \$200 per calendar year. This itemization must include the full name, address, occupation, and employer of the individual contributor along with the date the contribution was received by the conduit.

In addition, the total contribution(s) received through the conduit should be itemized on Schedule A as a memo entry. The conduit's full name and address (and occupation and employer if the conduit is an individual) must also be provided, along with the date the contribution(s) was transferred to your committee and the total amount of earmarked contributions received from the conduit. Any unitemized contributions received through the

conduit should be included in your totals on Line 11(a)(ii) of the Detailed Summary Page. Please refer to the FEC Campaign Guide for Congressional Candidates and Committees for further guidance on how to report earmarked contributions through a conduit. (11 CFR §110.6(c)(2))

-Schedule A of your report discloses contributions received after the Primary Election which are designated for the primary. These contributions may be accepted to the extent that the committee has net debts outstanding from the primary. (11 CFR §110.1(b)(3)(i))

A contribution is considered to be made when the contributor relinquishes control over the contribution. A contributor shall be considered to have relinquished control over the contribution when it is delivered to the candidate, when it is delivered to an authorized committee of the candidate, or to an agent of an authorized committee of the candidate. A contribution that is mailed to any of the aforementioned recipients will be considered to have been made on the date of the postmark. Envelopes should be retained for the committee's records. (11 CFR §110.1(b)(6))

If the contribution(s) accepted exceeds the amount of net debts outstanding from the primary election, you should refund the contribution(s) or seek redesignation of the contribution(s), in writing, from the contributor to the next election. The Commission should be notified if a refund is necessary. Refunds are reported on Line 20 of the Detailed Summary Page and on a supporting Schedule B of the report covering the period during which the refund is made. Redesignations are reported as memo entries on a Schedule A of the report covering the period in which the authorization for the redesignation is received. (11 CFR §104.8(d)(2))

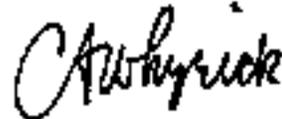
Although the Commission may take further legal action, prompt action by you to refund or seek redesignation of the excessive amount(s) will be considered.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530 (at the prompt press 1, then press 2 to reach the Reports

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DAVE WU FOR CONGRESS

Analysis Division). My local number is (202) 694-1130.

Sincerely,

A handwritten signature in cursive script that reads "C. Whyrick".

Christopher A. Whyrick
Reports Analyst
Reports Analysis Division

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