



**The Options
Clearing Corporation**

440 South LaSalle Street
Chicago, Illinois 60605-1060
312.322.9200

Frank J. Larocca, Jr. CPA
Vice President/Controller

RECEIVED
FEDERAL ELECTION
COMMISSION
MAIL ROOM

OCT 7 2 30 PM '96

October 1, 1996

**VIA CERTIFIED MAIL
RETURN RECEIPT REQUESTED**

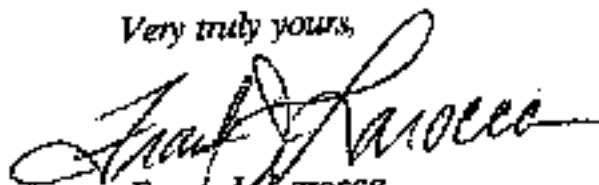
*Federal Election Commission
999 E Street, N.W.
Washington, D.C. 20463*

To Whom It May Concern:

On behalf of The Options Clearing Corporation Political Action Committee ("OCC PAC"), I am enclosing FEC Form 3X, a report of receipts and disbursements of OCC PAC (the "Report"). The Report is for the period July 1, 1996 through and including September 30, 1996.

If you have any questions with respect to this Report or OCC PAC, please call me at (312) 322-2027.

Very truly yours,


Frank J. Larocca

Enclosure

*FJL/ts
fec3x.wp*

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

RECEIVED
FEDERAL ELECTION
COMMISSION
MAIL ROOM

Oct 7 2 30 PM '96

USE FEC MAILING LABEL OR TYPE OR PRINT

| | |
|--|---|
| 1. NAME OF COMMITTEE (in full) THE OPTIONS CLEARING CORPORATION POLITICAL ACTION COMMITTEE | 2. FEC IDENTIFICATION NUMBER C00255877 |
| ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 440 SOUTH LASALLE STREET, SUITE 2400 | 3. <input type="checkbox"/> This committee has qualified as a multicandidate committee. (see FEC FORM 1M) |
| CITY, STATE and ZIP CODE CHICAGO, IL 60605-1050 | |

4. TYPE OF REPORT

- (a) April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)
- Termination Report

- Monthly Report Due On:
- | | | |
|--------------------------------------|---------------------------------------|--------------------------------------|
| <input type="checkbox"/> February 20 | <input type="checkbox"/> June 20 | <input type="checkbox"/> October 20 |
| <input type="checkbox"/> March 20 | <input type="checkbox"/> July 20 | <input type="checkbox"/> November 20 |
| <input type="checkbox"/> April 20 | <input type="checkbox"/> August 20 | <input type="checkbox"/> December 20 |
| <input type="checkbox"/> May 20 | <input type="checkbox"/> September 20 | <input type="checkbox"/> January 31 |

- Twelfth day report preceding _____ (Type of Election) election on _____ in the State of _____
- Thirtieth day report following the General Election on _____ in the State of _____

(b) Is this Report an Amendment? YES NO

| SUMMARY | COLUMN A This Period | COLUMN B Calendar Year-to-Date |
|---|-------------------------|---|
| 5. Covering Period <u>JULY 1, 1996</u> through <u>SEPTEMBER 30, 1996</u> | | \$ 1613.22 |
| 6. (a) Cash on Hand January 1, 19 <u>96</u> | | |
| (b) Cash on Hand at Beginning of Reporting Period | \$ 2592.22 | |
| (c) Total Receipts (from Line 19) | \$ - | \$ 1000.00 |
| (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) | \$ 2592.22 | \$ 2613.22 |
| 7. Total Disbursements (from Line 30) | \$ - | \$ 21.00 |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) | \$ 2592.22 | \$ 2592.22 |
| 9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D) | \$ - | For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-218-3420 |
| 10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D) | \$ - | |

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

| | |
|--|-----------------|
| Type or Print Name of Treasurer FRANK J. LAROCCA, ASSISTANT TREASURER | Date 10/1/96 |
| Signature of Treasurer | |

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

| | | | | | | | | | |
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FEC FORM 3X
(revised 9/93)

DETAILED SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS PAGE 2, FEC FORM 3X

(revised 1/1/81)

| NAME OF COMMITTEE THE OPTIONS CLEARING CORPORATION POLITICAL ACTION COMMITTEE | | REPORT COVERING PERIOD FROM JULY 1, 1996 TO SEPTEMBER 30, 1996 | |
|---|--|---|---------------------------|
| | | COLUMN A Total This Period | COLUMN B Calendar Year |
| I. Receipts | | | |
| 11. Contributions (other than loans) From: | | | |
| a. Individuals/Persons Other Than Political Committees | | | 11(a)(i) |
| i. Itemized (use Schedule A) | | | 11(a)(ii) |
| ii. Unitemized | | | 11(a)(iii) |
| iii. Total | (add i and ii) > | | 11(b) |
| b. Political Party Committees | | | 11(c) |
| c. Other Political Committees (such as PACs) | | | 11(d) |
| d. Total Contributions | (add a ii, b and c) > | | 12 |
| 12. Transfers From Affiliated/Other Party Committees | | | 13 |
| 13. All Loans Received | | | 14 |
| 14. Loan Repayments Received | | | 15 |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) | | 1000.00 | 16 |
| 16. Refunds of Contributions Made to Federal Candidates and Other Political Committees | | | 17 |
| 17. Other Federal Receipts (Dividends, Interest, etc.) | | | 18 |
| 18. Transfers from Nonfederal Account for Joint Activity | | 1000.00 | 19 |
| 19. Total Receipts | (add 11d, 12, 13, 14, 15, 16, 17, and 18) > | 1000.00 | 20 |
| 20. Total Federal Receipts | (subtract line 16 from line 19) > | | |
| II. Disbursements | | | |
| 21. Operating Expenditures: | | | |
| a. Shared Federal/Non-Federal Activity (from Schedule H4) | | | 21(a)(i) |
| i. Federal Share | | | 21(a)(ii) |
| ii. Non-Federal Share | | | 21(b) |
| b. Other Federal Operating Expenditures | | | 21(c) |
| c. Total Operating Expenditures | (add a i, a ii, and b) > | | 22 |
| 22. Transfers to Affiliated/Other Party Committees | | | 23 |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees | | | 24 |
| 24. Independent Expenditures (use Schedule E) | | | 25 |
| 25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F) | | | 26 |
| 26. Loan Repayments Made | | | 27 |
| 27. Loans Made | | | |
| 28. Refunds of Contributions To: | | | 28(a) |
| a. Individuals/Persons Other Than Political Committees | | | 28(b) |
| b. Political Party Committees | | | 28(c) |
| c. Other Political Committees (such as PACs) | | | 28(d) |
| d. Total Contribution Refunds | (add a, b and c) > | 21.00 | 29 |
| 29. Other Disbursements <u>CHECK PRINTING</u> | | 21.00 | 30 |
| 30. Total Disbursements | (add 21c, 22, 23, 24, 25, 26, 27, 29d, and 29) > | 21.00 | 31 |
| 31. Total Federal Disbursements | (subtract line 21 a ii from line 30) > | | |
| III. Net Contributions/Operating Expenditures | | | |
| 32. Total Contributions (other than loans) (from line 11d) | | | 32 |
| 33. Total Contribution Refunds (from line 29d) | | | 33 |
| 34. Net Contributions (other than loans) (subtract line 33 from 32) | | | 34 |
| 35. Total Federal Operating Expenditures | (add 21 a i and 21 b) > | | 35 |
| 36. Offsets to Operating Expenditures (from line 15) | | | 36 |
| 37. Net Operating Expenditures | (subtract line 36 from 35) > | | 37 |

Federal Election Commission
**ENVELOPE REPLACEMENT PAGE
FOR INCOMING DOCUMENTS**

The Commission has added this page to the end of this filing to indicate how it was received.

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and Registration

DATE OF RECEIPT

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Records

DATE OF RECEIPT

Other (Specify):

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and/or DATE OF RECEIPT

E.S.
PREPARER

10/7/96
DATE PREPARED