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Image# 201609199030952295

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

| FORM 3X F | or Other Than An Auth | orized Committee | Office | Use Only |
|---|---|--|----------------------------|---|
| NAME OF COMMITTEE (in full) | TYPE OR PRINT ▼ | Example: If typing, type over the lines. | 12FE4M5 | |
| Universal Technical Ins | stitute Inc. PAC (UTIP | 'AC) | | |
| | | | | |
| ADDRESS (number and street) | 16220 N. Scottsdale Road | | | |
| Check if different than previously reported. (ACC) | Suite 100 Scottsdale | | AZ 852 | 54 |
| 2. FEC IDENTIFICATION NU | IMBER ▼ CITY | (▲ | STATE ▲ | ZIP CODE ▲ |
| C C00497545 | 3. IS | THIS NEW (N) O | R AMENDEI | 0 |
| 4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15 Quarterly Report (Q July 15 Quarterly Report (Q October 15 Quarterly Report (Q January 31 Year-End Report (Y July 31 Mid-Year Report (Non-election Year Only) (MY) Termination Report (TER) | Report Due On: Mar 2 Apr 2 (c) 12-Day PRE-Election Report for the: 3) Election | General (30G) | x Sep 20 (M9 | (Non-Election Year Only) Dec 20 (M12) (Non-Election Year Only) |
| 5. Covering Period 08 | | through 08 | | 016 |
| I certify that I have examined the Type or Print Name of Treasure | | my knowledge and belief it is | s true, correct and comp | lete. |
| Signature of Treasurer Kelled | en Brennan | [Electronically Filed] | Date 09 / | 2016 |
| NOTE: Submission of false, errone | eous, or incomplete information | may subject the person signin | ng this Report to the pena | Ities of 2 U.S.C. §437g. |
| Office Use Only | | | FE | C FORM 3X Rev. 12/2004 |

| FEC Form 3X (Rev. 02/2003) Write or Type Committee Name | | Page 2 |
|--|---|-----------------------------------|
| Universal Technical Institute Inc. PA | AC (UTIPAC) | |
| Report Covering the Period: From: 08 | M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y | o: 08 31 / Y = Y = Y = Y |
| | COLUMN A This Period | COLUMN B Calendar Year-to-Date |
| 6. (a) Cash on Hand January 1, 2016 | | 40274.00 |
| (b) Cash on Hand at Beginning of Reporting Period | 44276.50 | |
| (c) Total Receipts (from Line 19) | 1267.00 | 21269.50 |
| (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) | 45543.50 | 61543.50 |
| 7. Total Disbursements (from Line 31) | 3500.00 | 19500.00 |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) | 42043.50 | 42043.50 |
| Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D) | 0.00 | |
| 10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D) | 0.00 | |
| This committee has qualified as a multican | adidate committee. (see FEC FORM 1M) | |

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

| Universal | Technical | Institute Inc. | PAC | (UTIPAC) |
|-----------|-----------|----------------|-----|----------|
| | | | | |

| I. Receipts Intributions (other than loans) From: Individuals/Persons Other Than Political Committees (i) Itemized (use Schedule A) | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|--|-------------------------------|--|
| Individuals/Persons Other Than Political Committees | 1247.00 | |
| Than Political Committees | 1247.00 | |
| | 1247.00 | |
| (i) Itemized (use Schedule A) | 1247.00 | |
| | | 18802.00 |
| (ii) Unitemized | 20.00 | 2467.50 |
| | | 2107.00 |
| | 1267.00 | 21269.50 |
| | | |
| Political Party Committees | 0.00 | 0.00 |
| Other Political Committees | 0.00 | 0.00 |
| (such as PACs) | 0.00 | 0.00 |
| • | | |
| | 1267.00 | 21269.50 |
| | 1.00 | |
| 1 ' | 0.00 | 0.00 |
| , | 7 | |
| Loans Received | 0.00 | 0.00 |
| an Panayments Passived | 0.00 | 0.00 |
| To the second se | 7 | 7 7 7 |
| - · · · · · · · · · · · · · · · · · · · | | |
| · · · · · · · · · · · · · · · · · · · | 0.00 | 0.00 |
| funds of Contributions Made | | |
| Federal Candidates and Other | | |
| litical Committees | 0.00 | 0.00 |
| · · · · · · · · · · · · · · · · · · · | | |
| | 0.00 | 0.00 |
| | | |
| | 0.00 | 0.00 |
| (Horri Gerieddie 116) | 0.00 | 0.00 |
| Levin Funds (from Schodulo H5) | 0.00 | 0.00 |
| Leviii i ulius (IIOIII Scheudle 113) | | |
| Total Transfers (add 18(a) and 18(b)) | 0.00 | 0.00 |
| | Political Party Committees | (iii) TOTAL (add Lines 11(a)(i) and (ii) |

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

| II. Disbursements | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|-------------------------------|-----------------------------------|
| Operating Expenditures: —— (a) Allocated Federal/Non-Federal | | Calculate Four to Butto |
| Activity (from Schedule H4) | 0.00 | 0.00 |
| (i) Federal Share | 0.00 | 0.00 |
| (ii) Non-Federal Share | 0.00 | 0.00 |
| (b) Other Federal Operating | 0.00 | 0.00 |
| Expenditures(c) Total Operating Expenditures | 0.00 | 0.00 |
| (add 21(a)(i), (a)(ii), and (b))▶ | 0.00 | 0.00 |
| . Transfers to Affiliated/Other Party | | |
| Committees | 0.00 | 0.00 |
| Federal Candidates/Committees and Other Political Committees | 3500.00 | 18500.00 |
| Independent Expenditures | | |
| (use Schedule E) | 0.00 | 0.00 |
| . Coordinated Paríy Expenditures (2 U.S.C. §441a(d)) (use Schedule F) | 0.00 | 0.00 |
| (use Schedule F) | 5.55 | 0.00 |
| . Loan Repayments Made | 0.00 | 0.00 |
| | 0.00 | 0.00 |
| Loans Made | 0.00 | 0.00 |
| (a) Individuals/Persons Other Than Political Committees | 0.00 | 0.00 |
| | | |
| (b) Political Party Committees | 0.00 | 0.00 |
| (c) Other Political Committees | 0.00 | 0.00 |
| (such as PACs) | 0.00 | 3.55 |
| (d) Total Contribution Refunds | | |
| (add Lines 28(a), (b), and (c))▶ | 0.00 | 0.00 |
| O. Other Disbursements | 0.00 | 1000.00 |
| . Other bisburserients | 0.00 | 7 7 7 |
| . Federal Election Activity (2 U.S.C. §431(20)) | | |
| (a) Allocated Federal Election Activity | | |
| (from Schedule H6) (i) Federal Share | 0.00 | 0.00 |
| (7) - 555/5: 51/5/5 | | |
| (ii) "Levin" Share | 0.00 | 0.00 |
| (b) Federal Election Activity Paid Entirely | 0.00 | 0.00 |
| With Federal Funds | 0.00 | 0.00 |
| Lines 30(a)(i), 30(a)(ii) and 30(b))▶ | 0.00 | 0.00 |
| | | |
| . Total Disbursements (add Lines 21(c), 22, | | |
| 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) | 3500.00 | 19500.00 |
| . Total Federal Disbursements | | |
| (subtract Line 21(a)(ii) and Line 30(a)(ii) | | |
| from Line 31) | 3500.00 | 19500.00 |

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

| FEC FORM 3X (Rev. 02/2003) | | Page 3 |
|--|-------------------------------|-----------------------------------|
| III. Net Contributions/Operating Expenditures | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
| 33. Total Contributions (other than loans) (from Line 11(d), page 3) | 1267.00 | 21269.50 |
| 34. Total Contribution Refunds (from Line 28(d)) | 0.00 | 0.00 |
| 35. Net Contributions (other than loans) (subtract Line 34 from Line 33) | 1267.00 | 21269.50 |
| 36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶ | 0.00 | 0.00 |
| 37. Offsets to Operating Expenditures (from Line 15, page 3) | 0.00 | 0.00 |
| 38. Net Operating Expenditures (subtract Line 37 from Line 36) | 0.00 | 0.00 |

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

FOR LINE NUMBER: PAGE 6 OF 10 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Universal Technical Institute Inc. PAC (UTIPAC) Full Name (Last, First, Middle Initial) David Cummings Date of Receipt Mailing Address 16220 N. Scottsdale Rd., Ste. 100 2016 26 City Zip Code State Transaction ID: SA11AI.5273 Scottsdale ΑZ 85254 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer Occupation August payroll deductions UTI VP Technology Solutions Receipt For: Aggregate Year-to-Date ▼ Primary General 654.50 Other (specify) Full Name (Last, First, Middle Initial) B. Chad Freed Date of Receipt Mailing Address 6411 E. Calle Rosa 80 26 2016 City State Zip Code Transaction ID: SA11AI.5269 ΑZ Scottsdale 85251 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Memo Item Name of Employer Occupation Universal Technical Institute August payroll deductions SVP/General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1275.00 Full Name (Last, First, Middle Initial) **c.** Jody Kent Date of Receipt Mailing Address 1009 E. Braeburn Dr. 80 26 2016 City State Zip Code Transaction ID: SA11AI.5278 ΑZ Phoenix 85022 Amount of Each Receipt this Period FEC ID number of contributing С 50.00 federal political committee. Memo Item Name of Employer Occupation August payroll deductions Universal Technical Institute VP Marketing Communications Receipt For: Aggregate Year-to-Date ▼ Primary General 425.00 Other (specify) 277.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 7 OF Use separate schedule(s) (check only one)

| | EMIZED RECEIPTS | for each category of the Detailed Summary Page | X 11a 11b 11c 12 13 14 15 16 17 |
|--------------|---|--|---|
| | y information copied from such Reports and Stateme for commercial purposes, other than using the name | | erson for the purpose of soliciting contributions |
| \rangle | NAME OF COMMITTEE (In Full) Universal Technical Institute Inc. PA | C (UTIPAC) | |
| ۹. | Full Name (Last, First, Middle Initial) Keith Laba Mailing Address 16220 N. Scottsdale Rd., Ste. 100 | | Date of Receipt |
| | City Sta | ate Zip Code | 08 26 2016 Transaction ID : SA11AI.5277 |
| | Scottsdale AZ | 85254 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | | 60.00 |
| | UTI VP Ir | pation stegrated Marketing & Analytics egate Year-to-Date ▼ 510.00 | August payroll deductions |
| 3. | Full Name (Last, First, Middle Initial) Michelle Lawler | , | Date of Receipt |
| | Mailing Address 16220 N Scottsdale Rd Suite 100 | | 08 26 Y = Y = Y = Y |
| | CityStaScottsdaleAZ | • | Transaction ID : SA11AI.5266 Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | | 100.00 |
| | Universal Technical Institute | pation dmissions Operations | August payroll deductions |
| | Receipt For: Primary General Other (specify) ▼ | egate Year-to-Date ▼ 850.00 | |
| - | Full Name (Last, First, Middle Initial) Jeff May | | Date of Receipt |
| | Mailing Address 16220 N Scottsdale Dr Suite 100 | | 08 26 2016 |
| | City Sta Scottsdale Az | • | Transaction ID : SA11AI.5275 Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | | 200.00 |
| | Name of Employer Occu | pation | Memo Item |
| | | larketing Operations | August payroll deductions |
| | | egate Year-to-Date ▼ | |
| | Primary General Other (specify) ▼ | 1700.00 | |
| s | UBTOTAL of Receipts This Page (optional) | | 360.00 |
| Т | OTAL This Period (last page this line number only) | | |

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

FOR LINE NUMBER: PAGE 8 OF 10 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c 14 13 15 16

Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Universal Technical Institute Inc. PAC (UTIPAC) Full Name (Last, First, Middle Initial) Eugene Putnam Date of Receipt Mailing Address 16220 N. Scottsdale Rd. 2016 26 City State Zip Code Transaction ID: SA11AI.5274 Scottsdale ΑZ 85254 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer Occupation August payroll deductions President/CFO Universal Technical Institute Receipt For: Aggregate Year-to-Date ▼ Primary General 1700.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mike Romano Date of Receipt Mailing Address 8939 W. Lone Cactus Dr. 08 26 2016 City State Zip Code Transaction ID: SA11AI.5272 ΑZ Peoria 85382 Amount of Each Receipt this Period FEC ID number of contributing 80.00 federal political committee. Memo Item Name of Employer Occupation Universal Technical Institute August payroll deductions Campus President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 680,00 Full Name (Last, First, Middle Initial) c. Sherrell Smith Date of Receipt Mailing Address 8556 S. Myrtle Ave. 80 26 2016 City State Zip Code Transaction ID: SA11AI.5268 ΑZ Tempe 85284 Amount of Each Receipt this Period FEC ID number of contributing C 80.00 federal political committee. Memo Item Name of Employer Occupation August payroll deductions **SVP Operations** Universal Technical Institute Receipt For: Aggregate Year-to-Date ▼ Primary General 680.00 Other (specify) 360.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Universal Technical Institute Inc. PAC (UTIPAC) Full Name (Last, First, Middle Initial) Roger Speer Date of Receipt Mailing Address 9494 Haven Avenue 2016 26 City Zip Code State Transaction ID: SA11AI.5267 CA 91730 Rancho Cucamonga Amount of Each Receipt this Period FEC ID number of contributing 80.00 federal political committee. Memo Item Name of Employer Occupation August payroll deductions UTI Campus President Receipt For: Aggregate Year-to-Date ▼ Primary General 680.00 Other (specify) Full Name (Last, First, Middle Initial) B. Rhonda Turner Date of Receipt Mailing Address 9795 W. Sydney Way 80 26 2016 City State Zip Code Transaction ID: SA11AI.5271 ΑZ Peoria 85383 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer Occupation Universal Technical Institute August payroll deductions **SVP People Services** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 850.00 Full Name (Last, First, Middle Initial) c. Brian Udall Date of Receipt Mailing Address 2636 W. Desert Hills Estate Dr. 80 26 2016 City State Zip Code Transaction ID: SA11AI.5270 ΑZ Phoenix 85086 Amount of Each Receipt this Period FEC ID number of contributing С 70.00 federal political committee. Memo Item Name of Employer Occupation August payroll deductions VP Assistant General Counsel Universal Technical Institute Receipt For: Aggregate Year-to-Date ▼ Primary General 595.00 Other (specify) 250.00 SUBTOTAL of Receipts This Page (optional)..... 1247.00 TOTAL This Period (last page this line number only).....

| TEMIZED DISBURSEMENTS | Llos concrete cohodulo(s) I | LINE NUMBER: PAGE 10 OF 10 ck only one) 21b |
|--|---|--|
| Any information copied from such Reports and Statem or for commercial purposes, other than using the nam NAME OF COMMITTEE (In Full) Universal Technical Institute Inc. PA | e and address of any political comm | y person for the purpose of soliciting contributions |
| Full Name (Last, First, Middle Initial) A. HOOSIERS FOR ROKITA, INC. Mailing Address 5802 OAK AVENUE City S INDIANAPOLIS Purpose of Disbursement contribution for 8/24 fundraiser event Candidate Name HOOSIERS FOR ROKITA, INC. | tate Zip Code IN 46219 011 Catego | pry/ 1000.00 |
| Office Sought: X House Disbursem X | ent For: 2016 Primary General Other (specify) | Memo Item |
| NEWPORT NEWS Purpose of Disbursement contribution Candidate Name | tate Zip Code VA 23607 011 Catego Type | pry/ 2500.00 |
| Senate President State: VA District: 03 | ent For: 2016 Primary ☐ General Other (specify) ▼ | Memo Item |
| Senate President State: VA District: 03 Full Name (Last, First, Middle Initial) Mailing Address | Other (specify) ▼ tate Zip Code Category Type | Date of Disbursement M M / D D / Y Y Y Y Amount of Each Disbursement this Period Date of Disbursement this Period |
| Senate President State: VA District: 03 Full Name (Last, First, Middle Initial) Mailing Address City S Purpose of Disbursement Candidate Name Office Sought: House Senate | Other (specify) ▼ tate Zip Code Category Type | Date of Disbursement M M M / D D / Y Y Y Y Amount of Each Disbursement this Period |