

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF
COMMITTEE (in full)**USE FEC MAILING LABEL
OR TYPE OR PRINT**Example: If typing, type
over the lines

Headwaters Incorporated PAC

ADDRESS (number and street)

10653 S. River Front Parkway

Suite 300

☐Check if different
than previously
reported. (ACC)

South Jordan

UT

84095

2. **FEC IDENTIFICATION NUMBER**

CITY

STATE

ZIP CODE

C00388751

3. IS THIS
REPORT☒NEW
(N)

OR

☐AMENDED
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

☒April 15
Quarterly Report(Q1)☐July 15
Quarterly Report(Q2)☐October 15
Quarterly Report(Q3)☐January 31
Quarterly Report(YE)☐July 31 Mid-Year
Report(Non-election
Year Only) (MY)☐Termination Report
(TER)(b) Monthly
Report
Due On:☐

Feb 20 (M2)

☐

May 20 (M5)

☐

Aug 20 (M8)

☐Nov 20 (M11)
(Non-Election
Year Only)☐

Mar 20 (M3)

☐

Jun 20 (M6)

☐

Sep 20 (M9)

☐Dec 20 (M12)
(Non-Election
Year Only)☐

Apr 20 (M4)

☐

Jul 20 (M7)

☐

Oct 20 (M10)

☐

Jan 31 (YE)

(c) 12-Day
PRE-Election
Report for the:☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12G)

Election on

in the
State of(d) 30-Day
Post-Election
Report for the:☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the
State of

5. Covering Period

01

01

2006

through

03

31

2006

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Mr. John N. Ward

Signature of Treasurer

Electronically Filed by Mr. John N. Ward

Date

04

14

2006

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

| | | | | | | | |
|-----------------------|--|--|--|--|--|--|--|
| Office Use Only | | | | | | | |
|-----------------------|--|--|--|--|--|--|--|

FEC FORM 3X
(Rev. 02/2003)

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name
Headwaters Incorporated PAC

Report Covering the Period: From:

| | |
|---|---|
| M | M |
| 0 | 1 |

| | |
|---|---|
| D | D |
| 0 | 1 |

| | | | |
|---|---|---|---|
| Y | Y | Y | Y |
| 2 | 0 | 0 | 6 |

 To:

| | |
|---|---|
| M | M |
| 0 | 3 |

| | |
|---|---|
| D | D |
| 3 | 1 |

| | | | |
|---|---|---|---|
| Y | Y | Y | Y |
| 2 | 0 | 0 | 6 |

| | COLUMN A This Period | COLUMN B Calendar Year-to-Date |
|---|-------------------------|-----------------------------------|
| 6. (a) Cash on Hand January 1 | | 116825.67 |
| (b) Cash on Hand at Beginning of Reporting Period | 116825.67 | |
| (c) Total Receipts (from Line 19) | 38526.64 | 38526.64 |
| (d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) | 155352.31 | 155352.31 |
| 7. Total Disbursements (from Line 31) | 1000.00 | 1000.00 |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) | 154352.31 | 154352.31 |
| 9. Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D) | 0.00 | |
| 10. Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D) | 0.00 | |

☒ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 02/2003)

Page 3

Write or Type Committee Name

Headwaters Incorporated PAC

Report Covering the Period:

From:

M M
0 1D D
0 1Y Y Y Y
2 0 0 6

To:

M M
0 3D D
3 1Y Y Y Y
2 0 0 6

| I. Receipts | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 11. Contributions (other than loans) From: | | |
| (a) Individuals/Persons Other Than Political Committees | 34567.36 | 34567.36 |
| (i) Itemized (use Schedule A) | | |
| (ii) Unitemized | 3959.28 | 3959.28 |
| (iii) TOTAL (add Lines 11(a)(i) and (ii) ➡ | 38526.64 | 38526.64 |
| (b) Political Party Committees | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs) | 0.00 | 0.00 |
| (d) Total Contributions (add Lines 11(a)(iii), (b) and (c)) (Carry Totals to Line 33, page 5) ➡ | 38526.64 | 38526.64 |
| 12. Transfers From Affiliated/Other Party Committees | 0.00 | 0.00 |
| 13. All Loans Received | 0.00 | 0.00 |
| 14. Loan Repayments Received | 0.00 | 0.00 |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) | 0.00 | 0.00 |
| 16. Refunds of Contributions Made to Federal candidates and Other Political Committees | 0.00 | 0.00 |
| 17. Other Federal Receipts (Dividends, Interest, etc.) | 0.00 | 0.00 |
| 18. Transfers from Non-Federal and Levin Funds | | |
| (a) Non-Federal Account (from Schedule H3) | 0.00 | 0.00 |
| (b) Levin Funds (from Schedule H5) | 0.00 | 0.00 |
| (c) Total Transfer (add 18(a) and 18(b)). | 0.00 | 0.00 |
| 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) | 38526.64 | 38526.64 |
| 20. Total Federal Receipts (subtract Line 18(c) from Line 19) | 38526.64 | 38526.64 |

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

| II. DISBURSEMENTS | | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|--|-------------------------------|-----------------------------------|
| 21. Operating Expenditures: | | | |
| (a) Shared Federal/Non-Federal Activity (from Schedule H4) | | 0.00 | 0.00 |
| (i) Federal Share..... | | | |
| (ii) Non-Federal Share..... | | 0.00 | 0.00 |
| (b) Other Federal Operating Expenditures..... | | 0.00 | 0.00 |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... | | 0.00 | 0.00 |
| 22. Transfers to Affiliated/Other Party Committees..... | | 0.00 | 0.00 |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees..... | | 1000.00 | 1000.00 |
| 24. Independent Expenditure (use Schedule E) | | 0.00 | 0.00 |
| 25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)..... | | 0.00 | 0.00 |
| 26. Loan Repayments Made..... | | 0.00 | 0.00 |
| 27. Loans Made..... | | 0.00 | 0.00 |
| 28. Refunds of Contributions To: | | | |
| (a) Individuals/Persons Other Than Political Committees | | 0.00 | 0.00 |
| (b) Political Party Committees | | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs) | | 0.00 | 0.00 |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) | | 0.00 | 0.00 |
| 29. Other Disbursements..... | | 0.00 | 0.00 |
| 30. Federal Election Activity (2 U.S.C 431(20)) | | | |
| (a) Shared Federal Election Activity (from Schedule H6) | | | |
| (i) Federal Share | | 0.00 | 0.00 |
| (ii) "Levin" Share | | 0.00 | 0.00 |
| (b) Federal Election Activity Paid Entirely With Federal Funds | | 0.00 | 0.00 |
| (c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)).... | | 0.00 | 0.00 |
| 31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)).. | | 1000.00 | 1000.00 |
| 32. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30(a)(ii) from Line 31)..... | | 1000.00 | 1000.00 |

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

| III. Net Contributions/Operating Expenditures | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|-------------------------------|-----------------------------------|
| 33. Total Contributions (other than loans) from Line 11(d), page 3) | 38526.64 | 38526.64 |
| 34. Total Contribution Refunds (from Line 28(d)) | 0.00 | 0.00 |
| 35. Net Contributions (other than loans) (subtract Line 34 from Line 33) | 38526.64 | 38526.64 |
| 36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))..... | 0.00 | 0.00 |
| 37. Offsets to Operating Expenditures (from Line 15, page 3) | 0.00 | 0.00 |
| 38. Net Operating Expenditures (subtract Line 37 from Line 36) | 0.00 | 0.00 |

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

A. Full Name (Last, First, Middle Initial)

Mr. Michael James Adams

Mailing Address 107 Bayshore

City State Zip Code
Bay City MI 48706

FEC ID number of contributing federal political committee.

C

Name of Employer
Headwaters ResourcesOccupation
Eastern Region Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y
03 31 2006

Transaction ID: SA11A1.5937

Amount of Each Receipt this Period

450.00

Payroll Deduction Biweekly
\$75

B. Full Name (Last, First, Middle Initial)

Mr. Kirk A. Benson

Mailing Address 4184 W. Alpine Cove Drive

City State Zip Code
Alpine UT 84004

FEC ID number of contributing federal political committee.

C

Name of Employer
Headwaters IncorporatedOccupation
Chief Executive Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1346.10

Date of Receipt

M M / D D / Y Y Y Y Y
03 31 2006

Transaction ID: SA11A1.5941

Amount of Each Receipt this Period

1346.10

Payroll Deduction Biweekly
\$192.30

C. Full Name (Last, First, Middle Initial)

Mrs. Stephanie Black

Mailing Address 2826 Elk Horn Lane

City State Zip Code
Sandy UT 84093

FEC ID number of contributing federal political committee.

C

Name of Employer
Headwaters Energy SvcsOccupation
VP Covol Svcs Group

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2000.00

Date of Receipt

M M / D D / Y Y Y Y Y
01 19 2006

Transaction ID: SA11A1.5782

Amount of Each Receipt this Period

2000.00

SUBTOTAL of Receipts This Page (optional)

3796.10

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

A. Full Name (Last, First, Middle Initial)
Mr. Craig Carmichael
Mailing Address 105 Bethea Street

City State Zip Code
Latta SC 29565

FEC ID number of contributing
federal political committee.

C

Name of Employer
Headwaters Construction
Mtlis

Occupation
Plant Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y
0 1 / 2 1 / 2 0 0 6

Transaction ID: SA11A1.5929

Amount of Each Receipt this Period

500.00

B. Full Name (Last, First, Middle Initial)
Mr. William Dickinson
Mailing Address 2021 Lerner Lane

City State Zip Code
Santa Ana AL 92705

FEC ID number of contributing
federal political committee.

C

Name of Employer
Headwaters Inc.

Occupation
Board of Directors

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y
0 1 / 2 8 / 2 0 0 6

Transaction ID: SA11A1.5932

Amount of Each Receipt this Period

1000.00

C. Full Name (Last, First, Middle Initial)
Mr. Patrick Dunn
Mailing Address 22145 Daleview Drive

City State Zip Code
Nori MI 48374

FEC ID number of contributing
federal political committee.

C

Name of Employer
Hdwtrs Const Matls - Tapco

Occupation
Exec VP Specialty Sales & Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

230.76

Date of Receipt

M M / D D / Y Y Y Y
0 3 / 3 1 / 2 0 0 6

Transaction ID: SA11A1.6011

Amount of Each Receipt this Period

230.76

Payroll Deduction Weekly
\$19.23

SUBTOTAL of Receipts This Page (optional)

1730.76

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

A. Full Name (Last, First, Middle Initial)

Mr. Gary England

Mailing Address 2980 E Granite Slope Lane

City State Zip Code
 Sandy UT 84092

FEC ID number of contributing
federal political committee.

C

Name of Employer
Headwaters Resources

Occupation
Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

461.52

Date of Receipt

M M / D D / Y Y Y Y
 0 3 / 3 1 / 2 0 0 6

Transaction ID: SA11A1.5948

Amount of Each Receipt this Period

461.52

Payroll Deduction Biweekly
\$76.92

B. Full Name (Last, First, Middle Initial)

Mr. Blake O. Fisher

Mailing Address 2784 American Saddler Drive

City State Zip Code
 Park City UT 84060

FEC ID number of contributing
federal political committee.

C

Name of Employer
Headwaters Inc

Occupation
Board Member

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y
 0 1 / 2 4 / 2 0 0 6

Transaction ID: SA11A1.5928

Amount of Each Receipt this Period

1000.00

C. Full Name (Last, First, Middle Initial)

Mr. Kenneth R. Frailey

Mailing Address 263 North First East

City State Zip Code
 Tooele UT 84074

FEC ID number of contributing
federal political committee.

C

Name of Employer
Headwaters Energy Services

Occupation
Covol Fuels President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

616.00

Date of Receipt

M M / D D / Y Y Y Y
 0 3 / 0 3 / 2 0 0 6

Transaction ID: SA11A1.5953

Amount of Each Receipt this Period

616.00

Payroll Deduction Bi-Week-
ly \$154

SUBTOTAL of Receipts This Page (optional)

2077.52

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

| | | | |
|--|--|--|---|
| A. Full Name (Last, First, Middle Initial) Mr. Kenneth R. Frailey Mailing Address 263 North First East City Tooele State UT Zip Code 84074 FEC ID number of contributing federal political committee. C Name of Employer Headwaters Energy Services Occupation Covol Fuels President Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 923.70 | | | Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 3 1 / 2 0 0 6 Transaction ID: SA11A1.5954 Amount of Each Receipt this Period 307.70 Payroll Deduction Bi-Weekly \$153.85 |
| B. Full Name (Last, First, Middle Initial) Mr. William H. Gehrmann Mailing Address 5248 CR 302 City Nacogdoches State TX Zip Code 75961 FEC ID number of contributing federal political committee. C Name of Employer Headwaters Resources Occupation President Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 923.10 | | | Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 3 1 / 2 0 0 6 Transaction ID: SA11A1.5957 Amount of Each Receipt this Period 923.10 Payroll Deduction Bi-weekly \$153.85 |
| C. Full Name (Last, First, Middle Initial) Mr. Barton K. Gentsch Mailing Address 1103 Donwayne Drive City Oxford State MI Zip Code 48371 FEC ID number of contributing federal political committee. C Name of Employer Headwaters Construction Mtls Occupation VP, Manufacturing Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2000.00 | | | Date of Receipt M M / D D / Y Y Y Y Y 0 1 / 3 0 / 2 0 0 6 Transaction ID: SA11A1.5933 Amount of Each Receipt this Period 2000.00 |

SUBTOTAL of Receipts This Page (optional)

3230.80

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

| | | |
|--|--|--|
| A. Full Name (Last, First, Middle Initial) Mr. Harlan Hatfield Mailing Address 3618 N. Littlerock Drive City Provo State UT Zip Code 84604 FEC ID number of contributing federal political committee. C Name of Employer Headwaters Incorporated Occupation General Counsel Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 4000.00 | | Date of Receipt M M / D D / Y Y Y Y Y 0 1 / 1 7 / 2 0 0 6 Transaction ID: SA11A1.5794 Amount of Each Receipt this Period 4000.00 |
| B. Full Name (Last, First, Middle Initial) Mr. James A. Herickhoff Mailing Address 5123 E. County Road City Ft. Collins State CO Zip Code 80524 FEC ID number of contributing federal political committee. C Name of Employer Wold Talc Co. Occupation Pres & COO/Headwaters Director Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1000.00 | | Date of Receipt M M / D D / Y Y Y Y Y 0 1 / 0 8 / 2 0 0 6 Transaction ID: SA11A1.5795 Amount of Each Receipt this Period 1000.00 |
| C. Full Name (Last, First, Middle Initial) Mr. James Johnson Mailing Address 1345 E. Philadelphia Street Suite 100 City Pomona State CA Zip Code 91766 FEC ID number of contributing federal political committee. C Name of Employer Headwaters Resources Occupation Western Region General Manager Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 500.00 | | Date of Receipt M M / D D / Y Y Y Y Y 0 1 / 2 4 / 2 0 0 6 Transaction ID: SA11A1.5931 Amount of Each Receipt this Period 500.00 |

SUBTOTAL of Receipts This Page (optional)

5500.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 11 / 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

A. Full Name (Last, First, Middle Initial)
Mr. Lap-Keung Lee

Mailing Address 12 East Kincaid Drive

City State Zip Code
West Windsor NJ 08550

FEC ID number of contributing federal political committee.

C

Name of Employer
Headwaters Tech InnovatinsOccupation
VP & CTO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

560.00

Date of Receipt

M M / D D / Y Y Y Y Y
03 31 2006

Transaction ID: SA11A1.5967

Amount of Each Receipt this Period

560.00

Payroll Deduction Biweekly
\$80

B. Full Name (Last, First, Middle Initial)
Mr. Murphy K. Lents

Mailing Address 4 Still Forest

City State Zip Code
Houston TX 77024

FEC ID number of contributing federal political committee.

C

Name of Employer
Headwaters Construction
MtlisOccupation
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4000.00

Date of Receipt

M M / D D / Y Y Y Y Y
01 05 2006

Transaction ID: SA11A1.5800

Amount of Each Receipt this Period

4000.00

C. Full Name (Last, First, Middle Initial)
Mr. Murphy K. Lents

Mailing Address 4 Still Forest

City State Zip Code
Houston TX 77024

FEC ID number of contributing federal political committee.

C

Name of Employer
Headwaters Construction
MtlisOccupation
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4924.00

Date of Receipt

M M / D D / Y Y Y Y Y
03 31 2006

Transaction ID: SA11A1.5968

Amount of Each Receipt this Period

924.00

Payroll Deduction Bi-weekly
\$154

SUBTOTAL of Receipts This Page (optional)

5484.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

| | | |
|--|--|--|
| A. Full Name (Last, First, Middle Initial) Mr. Mike Lewis Mailing Address 1771 Hillcrest Scenic Lane City Encinitas State CA Zip Code 92024 FEC ID number of contributing federal political committee. C Name of Employer Headwaters Construction Mtls Occupation President (Eldorado Stone) Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General Aggregate Year-to-Date ▼ <input type="checkbox"/> Other (specify) ▼ 4000.00 | | Date of Receipt M M / D D / Y Y Y Y 0 1 / 1 6 / 2 0 0 6 Transaction ID: SA11A1.5803 Amount of Each Receipt this Period 4000.00 |
| B. Full Name (Last, First, Middle Initial) Mr. Malyn K. Malquist Mailing Address 4919 S. Bella Vista Drive City Veradale State WA Zip Code 99037 FEC ID number of contributing federal political committee. C Name of Employer Avista Corp Occupation Sr. VP & CFO/Headwaters Director Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General Aggregate Year-to-Date ▼ <input type="checkbox"/> Other (specify) ▼ 1000.00 | | Date of Receipt M M / D D / Y Y Y Y 0 2 / 0 1 / 2 0 0 6 Transaction ID: SA11A1.5934 Amount of Each Receipt this Period 1000.00 |
| C. Full Name (Last, First, Middle Initial) Mr. David A. Marshall Mailing Address 05634 East Harbor Drive City Fruitland Park State FL Zip Code 34731 FEC ID number of contributing federal political committee. C Name of Employer Headwaters Resources Occupation Southern Region Area Manager Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General Aggregate Year-to-Date ▼ <input type="checkbox"/> Other (specify) ▼ 269.22 | | Date of Receipt M M / D D / Y Y Y Y 0 3 / 3 1 / 2 0 0 6 Transaction ID: SA11A1.5971 Amount of Each Receipt this Period 269.22 Payroll Deduction Bi-Weekly \$38.46 |

SUBTOTAL of Receipts This Page (optional)

5269.22

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

| | | | |
|--|--|--|--|
| A. Full Name (Last, First, Middle Initial) Dr. Rafic Minkara Mailing Address 204 Lakeside Drive City Kennesaw State GA Zip Code 30144 FEC ID number of contributing federal political committee. C Name of Employer Headwaters Tech Innovation Occupation Vice President Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 231.00 | | | Date of Receipt M M / D D / Y Y Y Y Y 0 2 / 1 7 / 2 0 0 6 Transaction ID: SA11A1.5972 Amount of Each Receipt this Period 231.00 Payroll Deduction Biweekly \$77 |
| B. Full Name (Last, First, Middle Initial) Dr. Rafic Minkara Mailing Address 204 Lakeside Drive City Kennesaw State GA Zip Code 30144 FEC ID number of contributing federal political committee. C Name of Employer Headwaters Tech Innovation Occupation Vice President Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 306.00 | | | Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 0 3 / 2 0 0 6 Transaction ID: SA11A1.5973 Amount of Each Receipt this Period 75.00 Payroll Deduction remaind- er of pledge |
| C. Full Name (Last, First, Middle Initial) Dr. Rafic Minkara Mailing Address 204 Lakeside Drive City Kennesaw State GA Zip Code 30144 FEC ID number of contributing federal political committee. C Name of Employer Headwaters Tech Innovation Occupation Vice President Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 459.84 | | | Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 3 1 / 2 0 0 6 Transaction ID: SA11A1.5974 Amount of Each Receipt this Period 153.84 Payroll Deduction Biweekly \$76.92 |

SUBTOTAL of Receipts This Page (optional)

459.84

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

A. Full Name (Last, First, Middle Initial)
Ms Kara Nagle
Mailing Address 4605 South 1225 East

City State Zip Code
Salt Lake City UT 84117

FEC ID number of contributing
federal political committee.

C

Name of Employer
Headwaters Inc

Occupation
Global Climate Reserve Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

350.00

Date of Receipt

M M / D D / Y Y Y Y
0 3 / 3 1 / 2 0 0 6

Transaction ID: SA11A1.5975

Amount of Each Receipt this Period

350.00

Payroll Deduction Bi-Week-
ly \$50

B. Full Name (Last, First, Middle Initial)
Mr. Harvey J. North
Mailing Address 7895 Westerly Lane

City State Zip Code
Banbridge Island WA 98110

FEC ID number of contributing
federal political committee.

C

Name of Employer
Headwaters Incorporated

Occupation
Vice President, Human Resources

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

538.44

Date of Receipt

M M / D D / Y Y Y Y
0 3 / 3 1 / 2 0 0 6

Transaction ID: SA11A1.5978

Amount of Each Receipt this Period

538.44

Payroll Deduction Bi-Week-
ly \$76.92

C. Full Name (Last, First, Middle Initial)
Mr. David A Schaefer
Mailing Address 1262 Quail Gardens Court

City State Zip Code
Encinitas CA 92024

FEC ID number of contributing
federal political committee.

C

Name of Employer
Headwaters Const Mtls

Occupation
VP Operational Admin

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

538.44

Date of Receipt

M M / D D / Y Y Y Y
0 3 / 3 1 / 2 0 0 6

Transaction ID: SA11A1.5994

Amount of Each Receipt this Period

538.44

Payroll Deduction Biweekly
\$76.92

SUBTOTAL of Receipts This Page (optional)

1426.88

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

| | | | | |
|---|--|--|---|--|
| A. Full Name (Last, First, Middle Initial) Mr. Jerry S. Smith | | | Date of Receipt M M / D D / Y Y Y Y 0 3 / 3 1 / 2 0 0 6 | |
| Mailing Address 157 West Shadowpoint Circle | | | Transaction ID: SA11A1.5997 | |
| City State Zip Code The Woodlands TX 77381 | | | Amount of Each Receipt this Period 461.52 | |
| FEC ID number of contributing federal political committee. C | | | Payroll Deduction Biweekly \$76.92 | |
| Name of Employer Headwaters Resources | | Occupation Southern Region Vice President | | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | | Aggregate Year-to-Date ▼ 461.52 | | |
| B. Full Name (Last, First, Middle Initial) Mr. Scott K. Sorensen | | | Date of Receipt M M / D D / Y Y Y Y 0 3 / 3 1 / 2 0 0 6 | |
| Mailing Address 2135 Yuma Street | | | Transaction ID: SA11A1.5998 | |
| City State Zip Code Salt Lake City UT 84109 | | | Amount of Each Receipt this Period 700.00 | |
| FEC ID number of contributing federal political committee. C | | | Payroll Deduction Biweekly \$100 | |
| Name of Employer Headwaters Incorporated | | Occupation Chief Financial Officer | | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | | Aggregate Year-to-Date ▼ 700.00 | | |
| C. Full Name (Last, First, Middle Initial) Mr. Steven G. Stewart | | | Date of Receipt M M / D D / Y Y Y Y 0 2 / 2 7 / 2 0 0 6 | |
| Mailing Address 4523 S. Sunset Circle | | | Transaction ID: SA11A1.5935 | |
| City State Zip Code Bountiful UT 84060 | | | Amount of Each Receipt this Period 1600.00 | |
| FEC ID number of contributing federal political committee. C | | | | |
| Name of Employer Headwaters Incorporated | | Occupation Chief Financial Officer | | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | | Aggregate Year-to-Date ▼ 1600.00 | | |

SUBTOTAL of Receipts This Page (optional)

2761.52

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

A. Full Name (Last, First, Middle Initial)
Mr. R. Keith Thompson
Mailing Address 5565 West 10030 North

City State Zip Code
Highland UT 84003

FEC ID number of contributing
federal political committee.

C

Name of Employer
Headwaters Energy Services

Occupation
Director, Synfuel Operations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

538.44

Date of Receipt

M M / D D / Y Y Y Y
0 3 / 3 1 / 2 0 0 6

Transaction ID: SA11A1.6002

Amount of Each Receipt this Period

538.44

Payroll Deduction Biweekly
\$76.92

B. Full Name (Last, First, Middle Initial)
Mr. John N. Ward
Mailing Address 920 W. Bloomsbury

City State Zip Code
Murray UT 84123

FEC ID number of contributing
federal political committee.

C

Name of Employer
Headwaters Incorporated

Occupation
Vice President, Mktg & Govt Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

M M / D D / Y Y Y Y
0 3 / 3 1 / 2 0 0 6

Transaction ID: SA11A1.6004

Amount of Each Receipt this Period

600.00

Payroll Deduction Biweekly
\$100

C. Full Name (Last, First, Middle Initial)
Raymond Weller
Mailing Address 7602 S. Main

City State Zip Code
Midvale UT 84047

FEC ID number of contributing
federal political committee.

C

Name of Employer
Headwaters Inc

Occupation
Board Member

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y
0 1 / 0 9 / 2 0 0 6

Transaction ID: SA11A1.5813

Amount of Each Receipt this Period

1000.00

SUBTOTAL of Receipts This Page (optional)

2138.44

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

A. Full Name (Last, First, Middle Initial)
Mr. Bobby Whisnant

Mailing Address 1669 CR 101

City State Zip Code
Columbus TX 78934

FEC ID number of contributing
federal political committee.

C

Name of Employer
Headwaters Construction
Mtlis

Occupation
COO / VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

692.28

Date of Receipt

M M / D D / Y Y Y Y
0 3 / 3 1 / 2 0 0 6

Transaction ID: SA11A1.6007

Amount of Each Receipt this Period

692.28

Payroll Deduction Biweekly
\$115.38

SUBTOTAL of Receipts This Page (optional)

692.28

TOTAL This Period (last page this line number only)

34567.36

SCHEDULE B (FEC Form 3X) **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 18 / 18

| | | | | | |
|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24 | <input type="checkbox"/> 25 | <input type="checkbox"/> 26 |
| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

Full Name (Last, First, Middle Initial)

A. CANNON FOR CONGRESS

Mailing Address 190 WEST 800 NORTH SUITE 100
190 West 800 North Ste. 100

City State Zip Code
PROVO UT 84601

Purpose of Disbursement

Candidate Name
CANNON FOR CONGRESS

Office Sought: ☒ House
☐ Senate
☐ President

State: UT District: 03

Disbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼

Category/
Type

Transaction ID: SB23.6010

Date of Disbursement

/ /

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)

1000.00

TOTAL This Period (last page this line number only)

1000.00