



RECEIVED  
FEDERAL ELECTION  
COMMISSION MAIL ROOM

February 4, 1998

FEB 19 12 11 PM '98

Ms. Debbie Manzano  
Senior Reports Analyst  
Reports Analysis Division  
Federal Election Commission  
999 E St. NW  
Washington, DC 20463

Re: Amended Mid-Year report (1/1/97-6/30/97) and response to your request for information regarding "best efforts".

Dear Ms. Manzano,

Please find enclosed the amended report noted above. Because Independent Action has moved, your original request was never received, and the follow-up request was received very late at the new address. As we discussed by phone, please excuse the inadvertent delay in responding to your request.

Regarding best efforts, Independent Action, Inc. uses "best efforts" to obtain and report required contributor information. All member solicitations include a specific request for occupation and employer information which is clear and conspicuous on the response card. The request informs the contributor that the reporting of such information is required by law.

For each \$200+ contributor, we report the occupation and employer on Schedule A as provided by the contributor. If this information is not provided, we mail a request for this information to the contributor within 30 days of receipt of the contribution. Each request includes an addressed return envelope. We then file amended reports to include the additional occupation and employer information received from the donor.

If you have any questions, please call me at 202-783-2900.

Sincerely,

A handwritten signature in black ink that reads "Mark Ingram".

Mark Ingram  
Treasurer

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

RECEIVED  
FEDERAL ELECTION  
COMMISSION

FEB 19 12 10 PM '98

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1. NAME OF COMMITTEE (in full)  
**INDEPENDENT ACTION, INC.**

ADDRESS (number and street)  Check if different than previously reported  
**645 PENN AVE SE. 2ND FL.**

CITY, STATE and ZIP CODE  
**WASHINGTON, DC 20003**

2. FEC IDENTIFICATION NUMBER  
**C00139741**

3.  This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)
- Termination Report

Monthly Report Due On:

- February 20  June 20  October 20
- March 20  July 20  November 20
- April 20  August 20  December 20
- May 20  September 20  January 31

- 12-Day Pre-Election Report for the \_\_\_\_\_  
(Type of Election)  
election on \_\_\_\_\_ in the State of \_\_\_\_\_
- 30-Day Post-Election Report following the General Election  
on \_\_\_\_\_ in the State of \_\_\_\_\_

(b) Is this Report an Amendment?  YES  NO

SUMMARY		COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period	<u>1-97</u> through <u>6-30-97</u>		
6. (a) Cash on Hand January 1, 19 <u>97</u>			\$ 803.96
(b) Cash on Hand at Beginning of Reporting Period		\$ 803.96	
(c) Total Receipts (from Line 19)		\$ 133,857.27	\$ 133,857.27
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)		\$ 134,661.23	\$ 134,661.23
7. Total Disbursements (from Line 20)		\$ 130,666.34	\$ 130,666.34
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))		\$ 3,994.89	\$ 3,994.89
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)		\$	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)		\$ 42,539.05	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

**MARK INGRAM**

Signature of Treasurer

*Mark Ingram*

Date

**2/4/98**

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

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FEC FORM 3X

(revised 07/93)

**DETAILED SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS  
PAGE 2, FEC FORM 3X**

(revised 1/1/81)

NAME OF COMMITTEE <b>INDEPENDENT ACTION, INC.</b>		REPORT COVERING PERIOD FROM <b>1-1-97</b> TO <b>6-30-97</b>	
		COLUMN A Total This Period	COLUMN B Calendar Year
<b>I Receipts</b>			
11. Contributions (other than loans) From:			
a. Individuals/Persons Other Than Political Committees			
I. Itemized (use Schedule A)	20,675.00	20,675.00	11600
II. Unitemized	54,774.50	54,774.50	11900
II. Total (add I and II) >	75,449.50	75,449.50	11900
b. Political Party Committees			1000
c. Other Political Committees (such as PACs)	47,000.00	47,000.00	1000
d. Total Contributions (add a ii, b and c) >	122,449.50	122,449.50	1100
12. Transfers From Affiliated/Other Party Committees			2
13. All Loans Received	1,400.00	1,400.00	3
14. Loan Repayments Received			4
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)			5
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees			6
17. Other Federal Receipts (Dividends, Interest, etc.)	7.77	7.77	7
18. Transfers from Nonfederal Account for Joint Activity	10,000.00	10,000.00	8
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) >	133,857.27	133,857.27	9
20. Total Federal Receipts (subtract line 18 from line 19) >	123,857.27	123,857.27	20
<b>II Disbursements</b>			
21. Operating Expenditures:			
a. Shared Federal/Non-Federal Activity (from Schedule H4)			
I. Federal Share	96,384.15	96,384.15	21600
II. Non-Federal Share	15,276.97	15,276.97	21900
b. Other Federal Operating Expenditures	16,879.22	16,879.22	2400
c. Total Operating Expenditures (add a i, a ii, and b) >	128,540.34	128,540.34	2400
22. Transfers to Affiliated/Other Party Committees			2
23. Contributions to Federal Candidates/Committees and Other Political Committees	726.00	726.00	23
24. Independent Expenditures (use Schedule E)			24
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)			25
26. Loan Repayments Made	1,400.00	1,400.00	26
27. Loans Made			27
28. Refunds of Contributions To:			
a. Individuals/Persons Other Than Political Committees			28a
b. Political Party Committees			28b
c. Other Political Committees (such as PACs)			28c
d. Total Contribution Refunds (add a, b and c) >			28d
29. Other Disbursements			29
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) >	130,666.34	130,666.34	30
31. Total Federal Disbursements (subtract line 21 a ii from line 30) >	115,389.37	115,389.37	31
<b>III Net Contributions/Operating Expenditures</b>			
32. Total Contributions (other than loans)(from line 11d)	122,449.50	122,449.50	32
33. Total Contribution Refunds (from line 28d)	0	0	33
34. Net Contributions (other than loans)(subtract line 33 from 32)	122,449.50	122,449.50	34
35. Total Federal Operating Expenditures (add 21 a i and 21 b) >	113,263.37	113,263.37	35
36. Offsets to Operating Expenditures (from line 15)	0	0	36
37. Net Operating Expenditures (subtract line 36 from 35) >	113,263.37	113,263.37	37

SCHEDULE H4

Joint Federal/Non-Federal  
Fundraising Expense

INDEPENDENT ACTION, INC. C00139741  
For Period 1/1/97 to 6/30/97


**\*\*AMENDED\*\***

NAME	PURPOSE	DATE	Total Amount	Federal Share	Non-Federal Share
GME Productions address requested	Video production	03/03/97	500.00	375.00	125.00
	Annual Roast 1997	04/08/97	500.00	375.00	125.00
	Event YTD:	05/29/97	1,506.00	379.50	126.50
Hyatt Hotel 400 New Jersey Ave NW Washington, DC	Dinner Expense	06/05/97	1,000.00	750.00	250.00
	Annual Roast 1997 Event YTD:		2,506.00		
Sign of the Times Arlington, VA	Podium sign	06/12/97	40.19	30.14	10.05
	Annual Roast 1997 Event YTD:		2,546.19		
Mt. Vernon Printing 3229 Hubbard Rd Landover, MD 20785	Printing	05/03/97	2,816.11	2,112.08	704.03
	Annual Roast 1997 Event YTD:		5,362.30		
Neuman & Co. Washington, DC	Media consulting	06/01/97	2,500.00	1,875.00	625.00
	Annual Roast 1997 Event YTD:		7,862.30		
Ralph Santora 645 Penn Ave SE Washington, DC 20003	Event production	06/01/97	6,811.50	5,108.63	1,702.86
	Annual Roast 1997 Event YTD:		14,673.80		
Staples Washington, DC	Supplies	05/29/97	317.97	238.48	79.49
	Annual Roast 1997 Event YTD:		14,991.77		
Edward Coyle 18105 Marlboro Pike Upper Marlboro, MD 20772	Event consulting	06/06/97	4,331.86	3,249.97	1,082.99
	Annual Roast 1997	06/12/97	4,331.86	3,249.97	1,082.99
	Event YTD:		23,655.88		
Karen Ward 427 Palm Tree Dr Gaithersburg, MD 20878	Fundraising	04/17/97	2,500.00	1,875.00	625.00
	Annual Roast 1997	06/05/97	1,250.00	937.50	312.50
	Event YTD:		27,405.69		
Subtotal of Activity this page			22,043.39	16,532.54	5,510.85
Total this period			106,298.82	82,362.43	13,936.39
Total this period for the Non-Federal share					13,936.39

Federal Election Commission

**ENVELOPE REPLACEMENT PAGE  
FOR INCOMING DOCUMENTS**

The Commission has added this page to the end of this filing to indicate how it was received.

<input type="checkbox"/> Hand Delivered	Date of Receipt
<input checked="" type="checkbox"/> First Class Mail	POSTMARKED 2-10-98
<input type="checkbox"/> Registered/Certified Mail	POSTMARKED
<input type="checkbox"/> No Postmark	
<input type="checkbox"/> Postmark Illegible	
<input type="checkbox"/> Received from the House office of Records and Registration	Date of Receipt
<input type="checkbox"/> Received from the Senate Office of Public Records	Date of Receipt
<input type="checkbox"/> Other ( Specify):	Postmarked and/or Date of Receipt
<input type="checkbox"/> Electronic Filing	
 PREPARER	3-20-98 DATE PREPARED