

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Report Covering the Period: From: / / To: / /

| | COLUMN A This Period | COLUMN B Calendar Year-to-Date |
|--|--|---|
| 6. (a) Cash on Hand January 1, <input type="text" value="2011"/> | <input type="text" value="527994.39"/> | <input type="text" value="527994.39"/> |
| (b) Cash on Hand at Beginning of Reporting Period..... | <input type="text" value="346871.83"/> | |
| (c) Total Receipts (from Line 19) | <input type="text" value="132290.02"/> | <input type="text" value="783323.06"/> |
| (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)..... | <input type="text" value="479161.85"/> | <input type="text" value="1311317.45"/> |
| 7. Total Disbursements (from Line 31)..... | <input type="text" value="56230.57"/> | <input type="text" value="888386.17"/> |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))..... | <input type="text" value="422931.28"/> | <input type="text" value="422931.28"/> |
| 9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D) | <input type="text" value="0.00"/> | |
| 10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D) | <input type="text" value="0.00"/> | |

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
of Receipts

Write or Type Committee Name

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Report Covering the Period: From: / / To: / /

| I. Receipts | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|---------------------------------------|---|
| 11. Contributions (other than loans) From: | | |
| (a) Individuals/Persons Other Than Political Committees | | |
| (i) Itemized (use Schedule A)..... | 119149.76 | 707793.88 |
| (ii) Unitemized | 638.46 | 2193.90 |
| (iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶ | 119788.22 | 709987.78 |
| (b) Political Party Committees | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs)..... | 12500.00 | 57500.00 |
| (d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) | 132288.22 | 767487.78 |
| 12. Transfers From Affiliated/Other Party Committees..... | 0.00 | 0.00 |
| 13. All Loans Received | 0.00 | 0.00 |
| 14. Loan Repayments Received..... | 0.00 | 0.00 |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)..... | 0.00 | 0.00 |
| 16. Refunds of Contributions Made to Federal Candidates and Other Political Committees..... | 0.00 | 15500.00 |
| 17. Other Federal Receipts (Dividends, Interest, etc.)..... | 1.80 | 335.28 |
| 18. Transfers from Non-Federal and Levin Funds | | |
| (a) Non-Federal Account (from Schedule H3)..... | 0.00 | 0.00 |
| (b) Levin Funds (from Schedule H5) | 0.00 | 0.00 |
| (c) Total Transfers (add 18(a) and 18(b)).. | 0.00 | 0.00 |
| 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ▶ | 132290.02 | 783323.06 |
| 20. Total Federal Receipts (subtract Line 18(c) from Line 19)..... ▶ | 132290.02 | 783323.06 |

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

| II. Disbursements | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 21. Operating Expenditures: | | |
| (a) Allocated Federal/Non-Federal Activity (from Schedule H4) | | |
| (i) Federal Share | 0.00 | 0.00 |
| (ii) Non-Federal Share..... | 0.00 | 0.00 |
| (b) Other Federal Operating Expenditures | 0.00 | 0.00 |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) | 0.00 | 0.00 |
| 22. Transfers to Affiliated/Other Party Committees..... | 0.00 | 0.00 |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees..... | 56000.00 | 887500.00 |
| 24. Independent Expenditures (use Schedule E) | 0.00 | 0.00 |
| 25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F)..... | 0.00 | 0.00 |
| 26. Loan Repayments Made..... | 0.00 | 0.00 |
| 27. Loans Made..... | 0.00 | 0.00 |
| 28. Refunds of Contributions To: | | |
| (a) Individuals/Persons Other Than Political Committees | 0.00 | 0.00 |
| (b) Political Party Committees | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs)..... | 0.00 | 0.00 |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c))..... | 0.00 | 0.00 |
| 29. Other Disbursements | 230.57 | 886.17 |
| 30. Federal Election Activity (2 U.S.C. §431(20)) | | |
| (a) Allocated Federal Election Activity (from Schedule H6) | | |
| (i) Federal Share | 0.00 | 0.00 |
| (ii) "Levin" Share..... | 0.00 | 0.00 |
| (b) Federal Election Activity Paid Entirely With Federal Funds | 0.00 | 0.00 |
| (c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b)).... | 0.00 | 0.00 |
| 31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) .. | 56230.57 | 888386.17 |
| 32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)..... | 56230.57 | 888386.17 |

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

| III. Net Contributions/Operating Expenditures | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 33. Total Contributions (other than loans) (from Line 11(d), page 3) | 132288.22 | 767487.78 |
| 34. Total Contribution Refunds (from Line 28(d)) | 0.00 | 0.00 |
| 35. Net Contributions (other than loans) (subtract Line 34 from Line 33) | 132288.22 | 767487.78 |
| 36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) | 0.00 | 0.00 |
| 37. Offsets to Operating Expenditures (from Line 15, page 3)..... | 0.00 | 0.00 |
| 38. Net Operating Expenditures (subtract Line 37 from Line 36) | 0.00 | 0.00 |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|-----------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 6 OF 60 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | | | <input type="checkbox"/> 17 |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A. Mr. Peter H Gallary
Full Name (Last, First, Middle Initial)

Mailing Address 88 Lowell Rd

City Wellesley Hills State MA Zip Code 02481-2748

FEC ID number of contributing federal political committee. **C**

Name of Employer Investment Company Institute Occupation Chief Operating Officer

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 13 / 2011
Transaction ID : 10478923

Amount of Each Receipt this Period
 1000.00

B. Mr. Don Auerbach
Full Name (Last, First, Middle Initial)

Mailing Address 1401 H Street NW Suite 1200

City Washington State DC Zip Code 20005-2202

FEC ID number of contributing federal political committee. **C**

Name of Employer Investment Company Institute Occupation Director - Financial Services Policy

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1500.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 15 / 2011
Transaction ID : 10510580

Amount of Each Receipt this Period
 500.00

C. Ms. Amy Lancellotta
Full Name (Last, First, Middle Initial)

Mailing Address 1401 H Street NW Suite 1200

City Washington State DC Zip Code 20005-2202

FEC ID number of contributing federal political committee. **C**

Name of Employer Investment Company Institute Occupation Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 15 / 2011
Transaction ID : 10511586

Amount of Each Receipt this Period
 500.00

SUBTOTAL of Receipts This Page (optional)..... ▶ 2000.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|------------------------------|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: | PAGE 7 OF 60 |
| | (check only one) | |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 12 |
| | | <input type="checkbox"/> 15 |
| | | <input type="checkbox"/> 16 |
| | | <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

| | | | |
|---|------------------------------------|------------------------|--|
| Full Name (Last, First, Middle Initial) A. Mr. Christopher W Carlson | | | Date of Receipt M M M / D D D / Y Y Y Y Y Y 12 / 16 / 2011 |
| Mailing Address 50 S. LaSalle St | | | Transaction ID : 10511587 |
| City Chicago | State IL | Zip Code 60603-1008 | Amount of Each Receipt this Period 500.00 |
| FEC ID number of contributing federal political committee. C | | | |
| Name of Employer Northern Trust Global Investments | Occupation Senior VP | | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 500.00 | | |

| | | | |
|---|--|------------------------|--|
| Full Name (Last, First, Middle Initial) B. Mr. George C. W. Gatch | | | Date of Receipt M M M / D D D / Y Y Y Y Y Y 12 / 16 / 2011 |
| Mailing Address 245 Park Ave. 3rd Fl. | | | Transaction ID : 10511589 |
| City New York | State NY | Zip Code 10167-0001 | Amount of Each Receipt this Period 5000.00 |
| FEC ID number of contributing federal political committee. C | | | |
| Name of Employer J.P. Morgan Asset Management | Occupation President & CEO, J.P. Morgan Funds | | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 5000.00 | | |

| | | | |
|---|-------------------------------------|------------------------|--|
| Full Name (Last, First, Middle Initial) C. Mr. Robert Deutsch | | | Date of Receipt M M M / D D D / Y Y Y Y Y Y 12 / 16 / 2011 |
| Mailing Address 1049 Tilghman Rd | | | Transaction ID : 10511590 |
| City Chesterbrook | State PA | Zip Code 19087-5879 | Amount of Each Receipt this Period 1000.00 |
| FEC ID number of contributing federal political committee. C | | | |
| Name of Employer J.P. Morgan Fleming Asset Mgmt | Occupation Managing Director | | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 1000.00 | | |

| | |
|--|---------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 6500.00 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|-----------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 8 OF 60 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | | | <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A. Mr. Seth P. Bernstein
Full Name (Last, First, Middle Initial)

Mailing Address 245 Park Ave
Floor 3

City New York State NY Zip Code 10167-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer JPMorgan Asset Management Occupation Global Head of Fixed Income

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1000.00

Date of Receipt
12 / 16 / 2011
Transaction ID : 10511591

Amount of Each Receipt this Period
1000.00

B. Mr. Jed Laskowitz
Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer J.P. Morgan Chase & Co. Occupation

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
500.00

Date of Receipt
12 / 16 / 2011
Transaction ID : 10511592

Amount of Each Receipt this Period
500.00

C. Mr. John A Gunn
Full Name (Last, First, Middle Initial)

Mailing Address 555 California St.
40th Fl.

City San Francisco State CA Zip Code 94104-1501

FEC ID number of contributing federal political committee. **C**

Name of Employer Dodge & Cox Occupation CEO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
5000.00

Date of Receipt
12 / 15 / 2011
Transaction ID : 10511593

Amount of Each Receipt this Period
5000.00

| | |
|--|---------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 6500.00 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|--|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 9 OF 60 |
| | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13 | <input type="checkbox"/> 11b <input type="checkbox"/> 14 |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A. Cynthia Fry Gunn
 Full Name (Last, First, Middle Initial)
 Mailing Address 555 California St
 40th Floor
 City San Francisco State CA Zip Code 94104-1538
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Dodge & Cox Occupation Shareholder
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1000.00

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 12 / 15 / 2011
Transaction ID : 10511594
 Amount of Each Receipt this Period
 1000.00

B. Mr. Thomas Edwin Woodhouse
 Full Name (Last, First, Middle Initial)
 Mailing Address 101 California St
 Ste 1600
 City San Francisco State CA Zip Code 94111-6100
 FEC ID number of contributing federal political committee. **C**
 Name of Employer ReFlow Management, LLC Occupation Shareholder
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1000.00

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 12 / 12 / 2011
Transaction ID : 10511595
 Amount of Each Receipt this Period
 250.00

C. Mr. F. William McNabb III
 Full Name (Last, First, Middle Initial)
 Mailing Address 100 Vanguard Blvd
 City Malvern State PA Zip Code 19355-2331
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Vanguard Group Occupation President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 5000.00

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 12 / 09 / 2011
Transaction ID : 10511596
 Amount of Each Receipt this Period
 5000.00

| | |
|--|---------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 6250.00 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 10 OF 60
(check only one)

| | | | | | | | | |
|---|------------------------------|------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 | <input type="checkbox"/> 17 |
|---|------------------------------|------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A. Mr. Thomas Dugan
Full Name (Last, First, Middle Initial)
Mailing Address 270 Molino Ave.
City Mill Valley State CA Zip Code 94941-2797
FEC ID number of contributing federal political committee. **C**
Name of Employer Dodge & Cox Occupation SVP
Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ 3000.00

Date of Receipt 12 / 09 / 2011
Transaction ID : 10511632
Amount of Each Receipt this Period 3000.00

B. Mr. Charles F Pohl
Full Name (Last, First, Middle Initial)
Mailing Address 555 California Street 40th Floor
City San Francisco State CA Zip Code 94104-1538
FEC ID number of contributing federal political committee. **C**
Name of Employer Dodge & Cox Occupation Executive Vice President
Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ 3000.00

Date of Receipt 12 / 09 / 2011
Transaction ID : 10511633
Amount of Each Receipt this Period 3000.00

C. Mr. Kenneth E Olivier
Full Name (Last, First, Middle Initial)
Mailing Address 555 California St 40th Floor
City San Francisco State CA Zip Code 94104-1538
FEC ID number of contributing federal political committee. **C**
Name of Employer Dodge & Cox Occupation President
Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ 3000.00

Date of Receipt 12 / 09 / 2011
Transaction ID : 10511634
Amount of Each Receipt this Period 3000.00

SUBTOTAL of Receipts This Page (optional)..... ▶ 9000.00
TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|--|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 11 OF 60 |
| | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13 | <input type="checkbox"/> 11b <input type="checkbox"/> 14 |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A. Ms. Diana Strandberg
 Full Name (Last, First, Middle Initial)
 Mailing Address 2849 Pacific Ave
 City San Francisco State CA Zip Code 94115-1106
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Dodge & Cox Occupation SVP
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 3000.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 09 / 2011
Transaction ID : 10511635
 Amount of Each Receipt this Period
 3000.00

B. Ms. Dana M Emery
 Full Name (Last, First, Middle Initial)
 Mailing Address 555 California St
 40th Floor
 City San Francisco State CA Zip Code 94104-1538
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Dodge & Cox Occupation Sr. Vice President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 3000.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 09 / 2011
Transaction ID : 10511636
 Amount of Each Receipt this Period
 3000.00

C. Mr. Thomas M Mistele
 Full Name (Last, First, Middle Initial)
 Mailing Address 555 California Street
 40th Floor
 City San Francisco State CA Zip Code 94104-1501
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Dodge & Cox Occupation General Counsel
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 5000.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 09 / 2011
Transaction ID : 10511637
 Amount of Each Receipt this Period
 5000.00

| | |
|--|----------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 11000.00 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|--|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 12 OF 60 |
| | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13 | <input type="checkbox"/> 11b <input type="checkbox"/> 14 |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A. Mr. David Hoeft
 Full Name (Last, First, Middle Initial)
 Mailing Address 536A Simonds Loop
 City San Francisco State CA Zip Code 94129-1442
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Dodge & Cox Occupation SVP
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 3000.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 09 / 2011
Transaction ID : 10511638
 Amount of Each Receipt this Period
 3000.00

B. Mr. Lloyd A Wennlund
 Full Name (Last, First, Middle Initial)
 Mailing Address 50 S. LaSalle St.
 City Chicago State IL Zip Code 60675-0001
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Northern Trust Global Invest. Occupation Exec. Vice President & Managing Direct
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 5000.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 08 / 2011
Transaction ID : 10511639
 Amount of Each Receipt this Period
 5000.00

C. Mr. Alan W Robertson
 Full Name (Last, First, Middle Initial)
 Mailing Address 50 S. LaSalle St
 City Chicago State IL Zip Code 60603-1008
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Northern Trust Global Investments Occupation Senior Vice President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1000.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 09 / 2011
Transaction ID : 10511640
 Amount of Each Receipt this Period
 1000.00

| | |
|--|---------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 9000.00 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 13 OF 60 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

| | | |
|---|--|--|
| Full Name (Last, First, Middle Initial) A. Mr. Scott K Glasser | | Date of Receipt M M / D D / Y Y Y Y Y 12 / 08 / 2011 |
| Mailing Address 620 5th Ave | | Transaction ID : 10511643 |
| City New York | State NY | Zip Code 10020-2402 |
| FEC ID number of contributing federal political committee. C | Amount of Each Receipt this Period 250.00 | |
| Name of Employer ClearBridge Advisors, LLC | Occupation Managing Director | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 250.00 | |

| | | |
|---|---|--|
| Full Name (Last, First, Middle Initial) B. Mr. Timothy J O'Neill | | Date of Receipt M M / D D / Y Y Y Y Y 12 / 13 / 2011 |
| Mailing Address 32 Old Slip | | Transaction ID : 10511646 |
| City New York | State NY | Zip Code 10005-3500 |
| FEC ID number of contributing federal political committee. C | Amount of Each Receipt this Period 1500.00 | |
| Name of Employer Goldman Sachs & Co. | Occupation Managing Director | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 1500.00 | |

| | | |
|---|--|--|
| Full Name (Last, First, Middle Initial) C. Mr. Stephen Potter | | Date of Receipt M M / D D / Y Y Y Y Y 12 / 07 / 2011 |
| Mailing Address 50 S. LaSalle St | | Transaction ID : 10511647 |
| City Chicago | State IL | Zip Code 60675-0001 |
| FEC ID number of contributing federal political committee. C | Amount of Each Receipt this Period 500.00 | |
| Name of Employer Northern Trust Global Investments | Occupation President | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 500.00 | |

| | |
|--|---------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 2250.00 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 14 OF 60 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A. Mr. Eric S. Lane
Full Name (Last, First, Middle Initial)

Mailing Address 200 East 72nd St. apt. 11A

City New York State NY Zip Code 10021-4540

FEC ID number of contributing federal political committee. **C**

Name of Employer Goldman Capital Management, Inc. Occupation co-head of the Investment Management D

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1500.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 07 / 2011

Transaction ID : 10511649

Amount of Each Receipt this Period
 1500.00

B. Mr. Brian D Langstraat
Full Name (Last, First, Middle Initial)

Mailing Address Two International Place

City Boston State MA Zip Code 02110-4101

FEC ID number of contributing federal political committee. **C**

Name of Employer Eaton Vance Occupation Vice President

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 06 / 2011

Transaction ID : 10511653

Amount of Each Receipt this Period
 1000.00

C. Mr. Conrad Herrmann
Full Name (Last, First, Middle Initial)

Mailing Address One Franklin Pkwy.

City San Mateo State CA Zip Code 94403-1906

FEC ID number of contributing federal political committee. **C**

Name of Employer Franklin Resources, Inc. Occupation Portfolio Manager

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1500.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 16 / 2011

Transaction ID : 10511728

Amount of Each Receipt this Period
 1500.00

SUBTOTAL of Receipts This Page (optional)..... ▶ 4000.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 16 OF 60
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A. Ms. Sharon Wratchford
 Full Name (Last, First, Middle Initial)
 Mailing Address 399 Boylston St.
 City Boston State MA Zip Code 02116-3305
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Natixis Distributors, L.P. Occupation SVP, Chief Administrative Officer
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 500.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 29 / 2011
Transaction ID : 10530932
 Amount of Each Receipt this Period
 500.00

B. Mr. Curt Overway
 Full Name (Last, First, Middle Initial)
 Mailing Address 1999 Harrison Street Ste 1300
 City Oakland State CA Zip Code 94612-3520
 FEC ID number of contributing federal political committee. **C**
 Name of Employer IXIS Asset Management Occupation SVP, Separate Accounts
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 250.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 29 / 2011
Transaction ID : 10530933
 Amount of Each Receipt this Period
 250.00

C. Mr. John T Hailer
 Full Name (Last, First, Middle Initial)
 Mailing Address 399 Boylston St. 10th Fl.
 City Boston State MA Zip Code 02116-3305
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Natixis Global Asset Management Occupation President & CEO
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 5000.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 29 / 2011
Transaction ID : 10530934
 Amount of Each Receipt this Period
 5000.00

SUBTOTAL of Receipts This Page (optional)..... ▶ 5750.00
TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 17 OF 60 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | | <input type="checkbox"/> 15 |
| | | <input type="checkbox"/> 16 |
| | | <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

| | | | | | |
|---|-------------|-------------------------------------|--|--|--|
| Full Name (Last, First, Middle Initial) A. Mr. David Giunta | | | Date of Receipt M M / D D / Y Y Y Y Y Y 12 / 29 / 2011 Transaction ID : 10530935 | | |
| Mailing Address 399 Boylston St | | | Amount of Each Receipt this Period 2000.00 | | |
| City Boston | State MA | Zip Code 02116-3381 | | | |
| FEC ID number of contributing federal political committee. C | | | | | |
| Name of Employer Natixis Global Associates | | Occupation President & CEO | | | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | | Aggregate Year-to-Date ▼ 2000.00 | | | |

| | | | | | |
|---|-------------|-------------------------------------|--|--|--|
| Full Name (Last, First, Middle Initial) B. Coleen Downs Dinneen Esq. | | | Date of Receipt M M / D D / Y Y Y Y Y Y 12 / 29 / 2011 Transaction ID : 10530936 | | |
| Mailing Address 399 Boylston St. | | | Amount of Each Receipt this Period 500.00 | | |
| City Boston | State MA | Zip Code 02116-3305 | | | |
| FEC ID number of contributing federal political committee. C | | | | | |
| Name of Employer Natixis Global Asset Management | | Occupation SVP & General Counsel | | | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | | Aggregate Year-to-Date ▼ 500.00 | | | |

| | | | | | |
|---|-------------|------------------------------------|--|--|--|
| Full Name (Last, First, Middle Initial) C. Ms. Maureen O'Neill | | | Date of Receipt M M / D D / Y Y Y Y Y Y 12 / 29 / 2011 Transaction ID : 10530937 | | |
| Mailing Address 399 Boylston St. | | | Amount of Each Receipt this Period 250.00 | | |
| City Boston | State MA | Zip Code 02116-3305 | | | |
| FEC ID number of contributing federal political committee. C | | | | | |
| Name of Employer Natixis Distributors, L.P. | | Occupation SVP-Human Resources | | | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | | Aggregate Year-to-Date ▼ 250.00 | | | |

| | |
|--|---------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 2750.00 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 18 OF 60 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | | <input type="checkbox"/> 15 |
| | | <input type="checkbox"/> 16 |
| | | <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

| | | |
|---|---|---|
| Full Name (Last, First, Middle Initial) A. Mr. Mark Gossett | | Date of Receipt |
| Mailing Address 1408 Calcutta Ln. | | <input type="text" value="12"/> / <input type="text" value="27"/> / <input type="text" value="2011"/> |
| City State Zip Code Naperville IL 60563-2215 | | Transaction ID : 10533271 |
| FEC ID number of contributing federal political committee. <input type="text" value="C"/> | | Amount of Each Receipt this Period <input type="text" value="500.00"/> |
| Name of Employer Northern Trust Global Investments | Occupation Chief Risk Officer | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ <input type="text" value="500.00"/> | |

| | | |
|---|--|---|
| Full Name (Last, First, Middle Initial) B. Mr. Daniel K Kingsbury | | Date of Receipt |
| Mailing Address 60 State St. | | <input type="text" value="12"/> / <input type="text" value="27"/> / <input type="text" value="2011"/> |
| City State Zip Code Boston MA 02109-1801 | | Transaction ID : 10533420 |
| FEC ID number of contributing federal political committee. <input type="text" value="C"/> | | Amount of Each Receipt this Period <input type="text" value="4500.00"/> |
| Name of Employer Pioneer Investment Management USA Inc. | Occupation President & CEO | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ <input type="text" value="4500.00"/> | |

| | | |
|---|---|---|
| Full Name (Last, First, Middle Initial) C. Mr. William Porter | | Date of Receipt |
| Mailing Address 17 Gardner Way | | <input type="text" value="12"/> / <input type="text" value="27"/> / <input type="text" value="2011"/> |
| City State Zip Code Hanover MA 02339-1594 | | Transaction ID : 10533421 |
| FEC ID number of contributing federal political committee. <input type="text" value="C"/> | | Amount of Each Receipt this Period <input type="text" value="500.00"/> |
| Name of Employer Pioneer Investment Management USA Inc. | Occupation Director of U.S. consultant relations | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ <input type="text" value="500.00"/> | |

| | |
|--|--------------------------------------|
| SUBTOTAL of Receipts This Page (optional).....▶ | <input type="text" value="5500.00"/> |
| TOTAL This Period (last page this line number only).....▶ | <input type="text" value="5500.00"/> |

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 19 OF 60
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A. Mr. Joseph D Kringdon
 Full Name (Last, First, Middle Initial)
 Mailing Address 60 State Street
 City Boston State MA Zip Code 02109-1801
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Pioneer Funds Distributor, Inc. Occupation EVP & Head of U.S. Retail Distribution
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 500.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 27 / 2011
Transaction ID : 10533422
 Amount of Each Receipt this Period
 500.00

B. Mr. Mathew Peron
 Full Name (Last, First, Middle Initial)
 Mailing Address 1339 N Mohawk #s3
 City Chicago State IL Zip Code 60610-1728
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Northern Trust Occupation Senior VP
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 500.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 28 / 2011
Transaction ID : 10533423
 Amount of Each Receipt this Period
 500.00

C. Mr. Robert P Browne
 Full Name (Last, First, Middle Initial)
 Mailing Address 50 S. LaSalle St
 City Chicago State IL Zip Code 60675-0001
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Northern Trust Global Investments Occupation CIO
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 500.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 28 / 2011
Transaction ID : 10533424
 Amount of Each Receipt this Period
 500.00

SUBTOTAL of Receipts This Page (optional)..... ▶ 1500.00
TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 20 OF 60 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

| | | |
|---|---------------------------------------|--|
| Full Name (Last, First, Middle Initial) A. Mr. Alan Seigerman | | Date of Receipt M M / D D / Y Y Y Y Y Y 12 / 29 / 2011 Transaction ID : 10533425 |
| Mailing Address 101 California St Ste 1600 | | Amount of Each Receipt this Period 750.00 |
| City San Francisco | State CA | |
| | Zip Code 94111-6100 | |
| FEC ID number of contributing federal political committee. C | | |
| Name of Employer ReFlow Management, LLC | Occupation Chief Operating Officer | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 750.00 | |

| | | |
|---|---|--|
| Full Name (Last, First, Middle Initial) B. Mr. Michael J Cosgrove | | Date of Receipt M M / D D / Y Y Y Y Y Y 12 / 30 / 2011 Transaction ID : 10533426 |
| Mailing Address 3003 Summer St | | Amount of Each Receipt this Period 4500.00 |
| City Stamford | State CT | |
| | Zip Code 06905-4316 | |
| FEC ID number of contributing federal political committee. C | | |
| Name of Employer GE Mutual Funds | Occupation Pres. & CEO, Mutual Funds | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 5000.00 | |

| | | |
|---|------------------------------------|--|
| Full Name (Last, First, Middle Initial) C. Cecilia Herbert | | Date of Receipt M M / D D / Y Y Y Y Y Y 12 / 20 / 2011 Transaction ID : 10533427 |
| Mailing Address 101 California St Ste 1600 | | Amount of Each Receipt this Period 750.00 |
| City San Francisco | State CA | |
| | Zip Code 94111-6100 | |
| FEC ID number of contributing federal political committee. C | | |
| Name of Employer Forward Funds | Occupation Trustee | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 750.00 | |

| | |
|--|---------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 6000.00 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 21 OF 60 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A. Mr. Peter Langerman
Full Name (Last, First, Middle Initial)

Mailing Address 100 John F Kennedy Pkwy

City Short Hills State NJ Zip Code 07078-2707

FEC ID number of contributing federal political committee. **C**

Name of Employer Franklin Mutual Advisers, LLC Occupation Chief Investment Officer

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt 12 / 21 / 2011
Transaction ID : 10533428

Amount of Each Receipt this Period 1000.00

B. Mr. Jim O'Donnell CF
Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Forward Funds Occupation Chief Administrative Officer

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 750.00

Date of Receipt 12 / 22 / 2011
Transaction ID : 10533429

Amount of Each Receipt this Period 750.00

C. Mr. F. Gregory Ahern
Full Name (Last, First, Middle Initial)

Mailing Address 1401 H Street NW

City Washington State DC Zip Code 20005-2202

FEC ID number of contributing federal political committee. **C**

Name of Employer Investment Company Institute Occupation Chief Public Communications Officer

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 1100.00

Date of Receipt 12 / 28 / 2011
Transaction ID : 10533436

Amount of Each Receipt this Period 50.00

SUBTOTAL of Receipts This Page (optional).....▶ 1800.00

TOTAL This Period (last page this line number only).....▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|--|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 22 OF 60 |
| | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13 | <input type="checkbox"/> 11b <input type="checkbox"/> 14 |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

| | | |
|---|--|--|
| Full Name (Last, First, Middle Initial) A. Mr. Donald J Boteler | | Date of Receipt M M M / D D D / Y Y Y Y Y Y 12 / 28 / 2011 Transaction ID : 10533437 |
| Mailing Address 1401 H Street NW Suite 1200 | | Amount of Each Receipt this Period 45.45 |
| City Washington | State DC Zip Code 20005-2202 | |
| FEC ID number of contributing federal political committee. C | | Aggregate Year-to-Date ▼ 1136.25 |
| Name of Employer Investment Company Institute | Occupation VP - Operations & Training | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | | |

| | | |
|---|---|--|
| Full Name (Last, First, Middle Initial) B. Mr. Jim Hart | | Date of Receipt M M M / D D D / Y Y Y Y Y Y 12 / 28 / 2011 Transaction ID : 10533438 |
| Mailing Address 1401 H Street NW Suite 1200 | | Amount of Each Receipt this Period 28.84 |
| City Washington | State DC Zip Code 20005-2202 | |
| FEC ID number of contributing federal political committee. C | | Aggregate Year-to-Date ▼ 721.00 |
| Name of Employer Investment Company Institute | Occupation Political Affairs Officer | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | | |

| | | |
|---|-------------------------------|--|
| Full Name (Last, First, Middle Initial) C. Ms. Karrie McMillan | | Date of Receipt M M M / D D D / Y Y Y Y Y Y 12 / 28 / 2011 Transaction ID : 10533439 |
| Mailing Address 6411Furlong Rd | | Amount of Each Receipt this Period 38.46 |
| City McLean | State VA Zip Code 22101 | |
| FEC ID number of contributing federal political committee. C | | Aggregate Year-to-Date ▼ 1013.94 |
| Name of Employer Investment Company Institute | Occupation General Counsel | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | | |

| | |
|--|--------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 112.75 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 23 OF 60 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

| | | |
|---|--|---|
| Full Name (Last, First, Middle Initial) A. Mr. Mike McNamee | | Date of Receipt |
| Mailing Address 1401 H Street NW Suite 1200 | | <input type="text" value="12"/> / <input type="text" value="28"/> / <input type="text" value="2011"/> |
| City | State | Zip Code |
| Washington | DC | 20005-2202 |
| FEC ID number of contributing federal political committee. | <input type="text" value="C"/> | Transaction ID : 10533440 |
| Name of Employer | Occupation | Amount of Each Receipt this Period |
| Investment Company Institute | Senior Director, Public Communications | <input type="text" value="22.73"/> |
| Receipt For: | Aggregate Year-to-Date ▼ | |
| <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | <input type="text" value="568.25"/> | |

| | | |
|---|--------------------------------------|---|
| Full Name (Last, First, Middle Initial) B. Mr. Paul S Stevens | | Date of Receipt |
| Mailing Address 630 South Fairfax St | | <input type="text" value="12"/> / <input type="text" value="28"/> / <input type="text" value="2011"/> |
| City | State | Zip Code |
| Alexandria | VA | 22314-3834 |
| FEC ID number of contributing federal political committee. | <input type="text" value="C"/> | Transaction ID : 10533441 |
| Name of Employer | Occupation | Amount of Each Receipt this Period |
| Investment Company Institute | President | <input type="text" value="189.40"/> |
| Receipt For: | Aggregate Year-to-Date ▼ | |
| <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | <input type="text" value="4735.00"/> | |

| | | |
|---|--------------------------------------|---|
| Full Name (Last, First, Middle Initial) C. Ms. Sharon E Fay | | Date of Receipt |
| Mailing Address 1345 Avenue of the Americas | | <input type="text" value="12"/> / <input type="text" value="20"/> / <input type="text" value="2011"/> |
| City | State | Zip Code |
| New York | NY | 10105-0302 |
| FEC ID number of contributing federal political committee. | <input type="text" value="C"/> | Transaction ID : 10533443 |
| Name of Employer | Occupation | Amount of Each Receipt this Period |
| AllianceBernstein L.P. | CIO, Equities | <input type="text" value="700.00"/> |
| Receipt For: | Aggregate Year-to-Date ▼ | |
| <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | <input type="text" value="1300.00"/> | |

| | |
|--|-------------------------------------|
| SUBTOTAL of Receipts This Page (optional).....▶ | <input type="text" value="912.13"/> |
| TOTAL This Period (last page this line number only).....▶ | <input type="text"/> |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|--|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 24 OF 60 |
| | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13 | <input type="checkbox"/> 11b <input type="checkbox"/> 14 |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A. Mr. Patrick Scott Wallace

Full Name (Last, First, Middle Initial)
Mailing Address 1345 Avenue of the Americas
17th Floor

City New York State NY Zip Code 10105-0302

FEC ID number of contributing federal political committee. **C**

Name of Employer Alliance Bernstein, LP Occupation SVP

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1300.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 20 / 2011

Transaction ID : 10533444

Amount of Each Receipt this Period
 700.00

B. Mr. Laurence E Cranch

Full Name (Last, First, Middle Initial)
Mailing Address 1345 Avenue of the Americas

City New York State NY Zip Code 10105-0302

FEC ID number of contributing federal political committee. **C**

Name of Employer AllianceBernstein, L.P. Occupation Sr. Vice President & General Counsel

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1300.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 20 / 2011

Transaction ID : 10533445

Amount of Each Receipt this Period
 700.00

C. Mr. Seth J Masters

Full Name (Last, First, Middle Initial)
Mailing Address 1345 Avenue of the Americas

City New York State NY Zip Code 10105-0302

FEC ID number of contributing federal political committee. **C**

Name of Employer AllianceBernstein L.P. Occupation CIO, Defined Contribution Investments

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1300.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 20 / 2011

Transaction ID : 10533446

Amount of Each Receipt this Period
 700.00

| | |
|--|---------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 2100.00 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 25 OF 60 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A. Mr. Henry S D'Auria
 Full Name (Last, First, Middle Initial)
 Mailing Address 1345 Avenue of the Americas
 17th Floor
 City New York State NY Zip Code 10105-0302
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alliance Bernstein, LP Occupation SVP
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1300.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 20 / 2011
Transaction ID : 10533447
 Amount of Each Receipt this Period
 700.00

B. Mr. Antonio Sacconaghi Jr
 Full Name (Last, First, Middle Initial)
 Mailing Address 1345 Avenue of the Americas
 City New York State NY Zip Code 10105-0302
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alliance Bernstein, LP Occupation SVP
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1300.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 20 / 2011
Transaction ID : 10533448
 Amount of Each Receipt this Period
 700.00

C. Mr. Douglas J Peebles
 Full Name (Last, First, Middle Initial)
 Mailing Address 1345 Avenue of the Americas
 City New York State NY Zip Code 10105-0302
 FEC ID number of contributing federal political committee. **C**
 Name of Employer AllianceBernstein L.P. Occupation EVP, CIO & Head of Fixed Income
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1300.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 20 / 2011
Transaction ID : 10533449
 Amount of Each Receipt this Period
 700.00

| | |
|--|---------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 2100.00 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|--|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 26 OF 60 |
| | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13 | <input type="checkbox"/> 11b <input type="checkbox"/> 14 |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A. Mr. Peter S Kraus
 Full Name (Last, First, Middle Initial)
 Mailing Address 1 New York Plaza
 41st Fl.
 City New York State NY Zip Code 10004-1902
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Goldman Sachs & Co. Occupation EVP, Business Strategy & Investments
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 700.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 20 / 2011
Transaction ID : 10533450
 Amount of Each Receipt this Period
 700.00

B. Mr. James Gingrich
 Full Name (Last, First, Middle Initial)
 Mailing Address 4 Pebblebrook Way
 City Chappaqua State NY Zip Code 10514-3053
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alliance Bernstein, LP Occupation COO
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 700.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 20 / 2011
Transaction ID : 10533451
 Amount of Each Receipt this Period
 700.00

C. Mr. Robert B Davidson III
 Full Name (Last, First, Middle Initial)
 Mailing Address 1345 Avenue of the Americas
 17th Floor
 City New York State NY Zip Code 10105-0302
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alliance Bernstein, LP Occupation SVP
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1300.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 20 / 2011
Transaction ID : 10533452
 Amount of Each Receipt this Period
 700.00

| | |
|--|---------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 2100.00 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|-----------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 27 OF 60 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | | | <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

| | | |
|---|------------------------------------|--|
| Full Name (Last, First, Middle Initial) A. Mr. Patrick Whalen | | Date of Receipt M M M / D D D / Y Y Y Y Y Y 12 / 20 / 2011 |
| Mailing Address | | Transaction ID : 10533453 |
| City | State | Zip Code |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 700.00 |
| Name of Employer | Occupation | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 700.00 | |

| | | |
|---|-------------------------------------|--|
| Full Name (Last, First, Middle Initial) B. Mr. Kevin Simms | | Date of Receipt M M M / D D D / Y Y Y Y Y Y 12 / 20 / 2011 |
| Mailing Address 1345 Avenue of the Americas 17th Floor | | Transaction ID : 10533454 |
| City | State | Zip Code |
| New York | NY | 10105-0302 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 700.00 |
| Name of Employer Alliance Bernstein, LP | Occupation SVP | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 1300.00 | |

| | | |
|---|--|--|
| Full Name (Last, First, Middle Initial) C. Mr. Robert M Keith | | Date of Receipt M M M / D D D / Y Y Y Y Y Y 12 / 20 / 2011 |
| Mailing Address 1345 Avenue of the Americas 39th Floor | | Transaction ID : 10533455 |
| City | State | Zip Code |
| New York | NY | 10105-0302 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 600.00 |
| Name of Employer AllianceBernstein | Occupation President & CEO, Alliance Bernstein Fd | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 5000.00 | |

| | |
|--|---------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 2000.00 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|--|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 28 OF 60 |
| | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13 | <input type="checkbox"/> 11b <input type="checkbox"/> 14 |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A. Mr. Vadim Zlotnikov
 Full Name (Last, First, Middle Initial)
 Mailing Address 1345 Avenue of the Americas
 17th Floor
 City New York State NY Zip Code 10105-0302
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alliance Bernstein, LP Occupation SVP
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1300.00

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 12 / 20 / 2011
Transaction ID : 10533456
 Amount of Each Receipt this Period
 700.00

B. Mr. Paul J Denoon
 Full Name (Last, First, Middle Initial)
 Mailing Address 1345 Avenue of the Americas
 17th Floor
 City New York State NY Zip Code 10105-0302
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alliance Bernstein, LP Occupation SVP
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1300.00

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 12 / 20 / 2011
Transaction ID : 10533457
 Amount of Each Receipt this Period
 700.00

C. Mr. Thomas S Hexner
 Full Name (Last, First, Middle Initial)
 Mailing Address 1345 Avenue of the Americas
 City New York State NY Zip Code 10105-0302
 FEC ID number of contributing federal political committee. **C**
 Name of Employer AllianceBernstein L.P. Occupation EVP & Head, Bernstein Gl. Wealth Mgmt.
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1300.00

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 12 / 20 / 2011
Transaction ID : 10533458
 Amount of Each Receipt this Period
 700.00

| | |
|--|---------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 2100.00 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|--|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 29 OF 60 |
| | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13 | <input type="checkbox"/> 11b <input type="checkbox"/> 14 |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

| | | |
|---|-------------------------------------|--|
| Full Name (Last, First, Middle Initial) A. Mr. Jay S Nydick | | Date of Receipt M M M / D D D / Y Y Y Y Y Y 12 / 20 / 2011 |
| Mailing Address 830 Sir Thomas Court | | Transaction ID : 10533459 |
| City Harrisburg | State PA | Zip Code 17109-4813 |
| FEC ID number of contributing federal political committee. | C | Amount of Each Receipt this Period 700.00 |
| Name of Employer Alliance Benefit Group | Occupation SVP | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 1300.00 | |

| | | |
|---|-------------------------------------|--|
| Full Name (Last, First, Middle Initial) B. Mr. Brahm Cramer | | Date of Receipt M M M / D D D / Y Y Y Y Y Y 12 / 20 / 2011 |
| Mailing Address 1345 Avenue of the Americas 17th Floor | | Transaction ID : 10533460 |
| City New York | State NY | Zip Code 10105-0302 |
| FEC ID number of contributing federal political committee. | C | Amount of Each Receipt this Period 700.00 |
| Name of Employer Alliance Bernstein, LP | Occupation SVP | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 1300.00 | |

| | | |
|---|-------------------------------------|--|
| Full Name (Last, First, Middle Initial) C. Lori Anne Massad | | Date of Receipt M M M / D D D / Y Y Y Y Y Y 12 / 20 / 2011 |
| Mailing Address 1345 Avenue of the Americas- 17th Floor | | Transaction ID : 10533461 |
| City New York | State NY | Zip Code 10105-0302 |
| FEC ID number of contributing federal political committee. | C | Amount of Each Receipt this Period 700.00 |
| Name of Employer Alliance Bernstein, LP | Occupation SVP | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 1300.00 | |

| | |
|--|---------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 2100.00 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|--|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 30 OF 60 |
| | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13 | <input type="checkbox"/> 11b <input type="checkbox"/> 14 |

| | | |
|-----------------------------|-----------------------------|-----------------------------|
| <input type="checkbox"/> 12 | <input type="checkbox"/> 16 | <input type="checkbox"/> 17 |
|-----------------------------|-----------------------------|-----------------------------|

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A. Mr. Lawrence H Cohen
 Full Name (Last, First, Middle Initial)
 Mailing Address 1345 Avenue of the Americas
 17th Floor
 City New York State NY Zip Code 10105-0302
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alliance Bernstein, LP Occupation SVP
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1300.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 20 / 2011
Transaction ID : 10533462
 Amount of Each Receipt this Period
 700.00

B. Mr. Paul D Schaeffer
 Full Name (Last, First, Middle Initial)
 Mailing Address 101 California St
 Ste 1600
 City San Francisco State CA Zip Code 94111-6100
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Forward Funds Occupation Director of Strategic Planning
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 750.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 31 / 2011
Transaction ID : 10533463
 Amount of Each Receipt this Period
 750.00

C. Mr. Clay Smudsky
 Full Name (Last, First, Middle Initial)
 Mailing Address
 City State Zip Code
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Occupation Managing Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 750.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 31 / 2011
Transaction ID : 10533464
 Amount of Each Receipt this Period
 750.00

| | |
|--|---------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 2200.00 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 31 OF 60 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

| | | |
|---|-------------------------------------|---|
| Full Name (Last, First, Middle Initial) A. Mr. Norman Mains | | Date of Receipt |
| Mailing Address | | <input type="text" value="12"/> / <input type="text" value="31"/> / <input type="text" value="2011"/> |
| City State Zip Code | | Transaction ID : 10533465 |
| FEC ID number of contributing federal political committee. <input type="text" value="C"/> | | Amount of Each Receipt this Period |
| Name of Employer Occupation | | <input type="text" value="750.00"/> |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ | |
| | <input type="text" value="750.00"/> | |

| | | |
|---|-------------------------------------|---|
| Full Name (Last, First, Middle Initial) B. Ms. Michele Bousquet | | Date of Receipt |
| Mailing Address | | <input type="text" value="12"/> / <input type="text" value="31"/> / <input type="text" value="2011"/> |
| City State Zip Code | | Transaction ID : 10533466 |
| FEC ID number of contributing federal political committee. <input type="text" value="C"/> | | Amount of Each Receipt this Period |
| Name of Employer Occupation | | <input type="text" value="250.00"/> |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ | |
| | <input type="text" value="250.00"/> | |

| | | |
|---|-------------------------------------|---|
| Full Name (Last, First, Middle Initial) C. Mr. Robert S Naka | | Date of Receipt |
| Mailing Address | | <input type="text" value="12"/> / <input type="text" value="30"/> / <input type="text" value="2011"/> |
| City State Zip Code | | Transaction ID : 10533467 |
| FEC ID number of contributing federal political committee. <input type="text" value="C"/> | | Amount of Each Receipt this Period |
| Name of Employer Occupation ING Funds Executive Vice President | | <input type="text" value="750.00"/> |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ | |
| | <input type="text" value="750.00"/> | |

| | |
|--|--------------------------------------|
| SUBTOTAL of Receipts This Page (optional).....▶ | <input type="text" value="1750.00"/> |
| TOTAL This Period (last page this line number only).....▶ | <input type="text" value=""/> |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 32 OF 60 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

| | | |
|---|--|--|
| Full Name (Last, First, Middle Initial) A. Mr. James Halligan | | Date of Receipt M M M / D D D / Y Y Y Y Y Y 12 / 30 / 2011 Transaction ID : 10533468 |
| Mailing Address 101 California St. Ste 1600 | | Amount of Each Receipt this Period 500.00 |
| City San Francisco | State CA | Zip Code 94111-6100 |
| FEC ID number of contributing federal political committee. C | Name of Employer ReFlow Management, LLC | Occupation Managing Director |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 500.00 | |

| | | |
|---|------------------------------------|--|
| Full Name (Last, First, Middle Initial) B. Mr. Mike Hoover | | Date of Receipt M M M / D D D / Y Y Y Y Y Y 12 / 19 / 2011 Transaction ID : 10533469 |
| Mailing Address | | Amount of Each Receipt this Period 750.00 |
| City | State | Zip Code |
| FEC ID number of contributing federal political committee. C | Name of Employer | Occupation |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 750.00 | |

| | | |
|---|------------------------------------|--|
| Full Name (Last, First, Middle Initial) C. Mrs. Sharon Kostuke | | Date of Receipt M M M / D D D / Y Y Y Y Y Y 12 / 19 / 2011 Transaction ID : 10533470 |
| Mailing Address 5912 Golden Bear Dr | | Amount of Each Receipt this Period 500.00 |
| City Overland Park | State KS | Zip Code 66223-2952 |
| FEC ID number of contributing federal political committee. C | Name of Employer Sprint | Occupation Project mgr |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 500.00 | |

| | |
|--|---------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 1750.00 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 33 OF 60 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

| | | |
|---|-------------------------------------|---|
| Full Name (Last, First, Middle Initial) A. Mr. Steve J McClain | | Date of Receipt |
| Mailing Address | | <input type="text" value="12"/> / <input type="text" value="19"/> / <input type="text" value="2011"/> |
| City State Zip Code | | Transaction ID : 10533471 |
| FEC ID number of contributing federal political committee. <input type="text" value="C"/> | | Amount of Each Receipt this Period |
| Name of Employer: American Century Inv Mgmt. Occupation: Vice President, Marketing | | <input type="text" value="750.00"/> |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ | |
| | <input type="text" value="750.00"/> | |

| | | |
|---|-------------------------------------|---|
| Full Name (Last, First, Middle Initial) B. Mr. Dave MacEwen | | Date of Receipt |
| Mailing Address | | <input type="text" value="12"/> / <input type="text" value="19"/> / <input type="text" value="2011"/> |
| City State Zip Code | | Transaction ID : 10533472 |
| FEC ID number of contributing federal political committee. <input type="text" value="C"/> | | Amount of Each Receipt this Period |
| Name of Employer Occupation | | <input type="text" value="750.00"/> |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ | |
| | <input type="text" value="750.00"/> | |

| | | |
|---|-------------------------------------|---|
| Full Name (Last, First, Middle Initial) C. Mr. Mark Kopinski | | Date of Receipt |
| Mailing Address 101 Central Park West apt 6d | | <input type="text" value="12"/> / <input type="text" value="19"/> / <input type="text" value="2011"/> |
| City State Zip Code New York NY 10023-4250 | | Transaction ID : 10533473 |
| FEC ID number of contributing federal political committee. <input type="text" value="C"/> | | Amount of Each Receipt this Period |
| Name of Employer: American Century Investment Management Occupation: CIO Global | | <input type="text" value="250.00"/> |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ | |
| | <input type="text" value="250.00"/> | |

| | |
|--|--------------------------------------|
| SUBTOTAL of Receipts This Page (optional).....▶ | <input type="text" value="1750.00"/> |
| TOTAL This Period (last page this line number only).....▶ | <input type="text"/> |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 34 OF 60 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A. Mr. Phillip N Davidson
Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer: American Century Investments
Occupation

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **750.00**

Date of Receipt
M M M / D D D / Y Y Y Y Y Y
12 / 19 / 2011

Transaction ID : 10533474

Amount of Each Receipt this Period
750.00

B. Mr. Robert Gahagan
Full Name (Last, First, Middle Initial)

Mailing Address 7226 Heitz Ct

City State Zip Code
San Jose CA 95120-3401

FEC ID number of contributing federal political committee. **C**

Name of Employer: American Century Investment Management
Occupation: SVP

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **750.00**

Date of Receipt
M M M / D D D / Y Y Y Y Y Y
12 / 19 / 2011

Transaction ID : 10533475

Amount of Each Receipt this Period
750.00

C. Mr. T. Scott Wittman
Full Name (Last, First, Middle Initial)

Mailing Address 862 Renetta Ct

City State Zip Code
Los Altos CA 94024-4627

FEC ID number of contributing federal political committee. **C**

Name of Employer: American Century Investment Management
Occupation: SVP

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **750.00**

Date of Receipt
M M M / D D D / Y Y Y Y Y Y
12 / 19 / 2011

Transaction ID : 10533476

Amount of Each Receipt this Period
750.00

| | |
|--|----------------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 2250.00 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 35 OF 60 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

| | | |
|---|------------------------------------|--|
| Full Name (Last, First, Middle Initial) A. Charles A Etherington Esq. | | Date of Receipt M M / D D / Y Y Y Y Y Y 12 / 19 / 2011 |
| Mailing Address | | Transaction ID : 10533477 |
| City | State | Zip Code |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 750.00 |
| Name of Employer American Century Investments | Occupation General Counsel | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 750.00 | |

| | | |
|---|--|--|
| Full Name (Last, First, Middle Initial) B. Mr. Peter Cieszko | | Date of Receipt M M / D D / Y Y Y Y Y Y 12 / 19 / 2011 |
| Mailing Address 124 Dunning Rd | | Transaction ID : 10533478 |
| City | State | Zip Code |
| New Canaan | CT | 06840-4010 |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 750.00 |
| Name of Employer Evergreen Investments, Inc. | Occupation President, Global Distribution & Produ | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 750.00 | |

| | | |
|---|-------------------------------------|--|
| Full Name (Last, First, Middle Initial) C. Mr. Barry C Mayhew | | Date of Receipt M M / D D / Y Y Y Y Y Y 12 / 19 / 2011 |
| Mailing Address | | Transaction ID : 10533479 |
| City | State | Zip Code |
| FEC ID number of contributing federal political committee. C | | Amount of Each Receipt this Period 500.00 |
| Name of Employer American Century Investments | Occupation Senior Vice President | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 500.00 | |

| | |
|--|---------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 2000.00 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|--|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 36 OF 60 |
| | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13 | <input type="checkbox"/> 11b <input type="checkbox"/> 14 |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

| | | |
|---|--|--|
| Full Name (Last, First, Middle Initial) A. Ms. Maryanne Roepke | | Date of Receipt M M M / D D D / Y Y Y Y Y Y 12 / 19 / 2011 Transaction ID : 10533480 |
| Mailing Address 4500 Main St. | | Amount of Each Receipt this Period 750.00 |
| City Kansas City | State MO | Zip Code 64111-1816 |
| FEC ID number of contributing federal political committee. C | | |
| Name of Employer American Century Investments | Occupation Senior Vice President & Chief Compliance Officer | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 750.00 | |

| | | |
|---|-------------------------------------|--|
| Full Name (Last, First, Middle Initial) B. Mr. Jonathan S Thomas | | Date of Receipt M M M / D D D / Y Y Y Y Y Y 12 / 19 / 2011 Transaction ID : 10533481 |
| Mailing Address 4500 Main St. P.O. Box 410141 | | Amount of Each Receipt this Period 2500.00 |
| City Kansas City | State MO | Zip Code 64141-6200 |
| FEC ID number of contributing federal political committee. C | | |
| Name of Employer American Century Investments | Occupation President & CEO | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 2500.00 | |

| | | |
|---|------------------------------------|--|
| Full Name (Last, First, Middle Initial) C. Mr. David F Larrabee | | Date of Receipt M M M / D D D / Y Y Y Y Y Y 12 / 19 / 2011 Transaction ID : 10533483 |
| Mailing Address | | Amount of Each Receipt this Period 500.00 |
| City | State | Zip Code |
| FEC ID number of contributing federal political committee. C | | |
| Name of Employer American Century Investments | Occupation Sr. Vice President | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 500.00 | |

| | |
|--|---------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 3750.00 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 37 OF 60
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A. Mr. Mark Killen
 Full Name (Last, First, Middle Initial)
 Mailing Address 4500 Main St
 City Kansas City State MO Zip Code 64111-1838
 FEC ID number of contributing federal political committee. **C**
 Name of Employer American Century Investments Occupation SVP - Direct Marketing & Services
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1000.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 19 / 2011
Transaction ID : 10533484
 Amount of Each Receipt this Period
 1000.00

B. Mr. Enrique Chang
 Full Name (Last, First, Middle Initial)
 Mailing Address
 City State Zip Code
 FEC ID number of contributing federal political committee. **C**
 Name of Employer American Century Inv Mgmt. Occupation EVP & CIO
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 2500.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 19 / 2011
Transaction ID : 10533485
 Amount of Each Receipt this Period
 2500.00

C. Mr. Mark Gilstrap
 Full Name (Last, First, Middle Initial)
 Mailing Address 4500 Main St
 City Kansas City State MO Zip Code 64111-1838
 FEC ID number of contributing federal political committee. **C**
 Name of Employer American Century Investments Occupation SVP, Human Resources
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1000.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 19 / 2011
Transaction ID : 10533486
 Amount of Each Receipt this Period
 1000.00

SUBTOTAL of Receipts This Page (optional)..... ▶ 4500.00
TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 38 OF 60 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A. Mr. Barry Fink
Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer: Morgan Stanley Inv. Advisors
Occupation: EVP & COO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1500.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
12 / 19 / 2011

Transaction ID : 10533487

Amount of Each Receipt this Period
1500.00

B. Mr. Jon W Zindel
Full Name (Last, First, Middle Initial)

Mailing Address 9300 Ward Pkwy.

City State Zip Code
 Kansas City MO 64114-3317

FEC ID number of contributing federal political committee. **C**

Name of Employer: American Century Investments
Occupation: Chief Financial Officer

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **750.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
12 / 19 / 2011

Transaction ID : 10533488

Amount of Each Receipt this Period
750.00

C. Mr. F. Gregory Ahern
Full Name (Last, First, Middle Initial)

Mailing Address 1401 H Street NW

City State Zip Code
 Washington DC 20005-2202

FEC ID number of contributing federal political committee. **C**

Name of Employer: Investment Company Institute
Occupation: Chief Public Communications Officer

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1150.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
12 / 28 / 2011

Transaction ID : 10536300

Amount of Each Receipt this Period
50.00

| | |
|--|----------------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 2300.00 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 39 OF 60 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

| | | |
|---|--|--|
| Full Name (Last, First, Middle Initial) A. Mr. Donald J Boteler | | Date of Receipt M M / D D / Y Y Y Y Y Y 12 / 28 / 2011 Transaction ID : 10536301 |
| Mailing Address 1401 H Street NW Suite 1200 | | Amount of Each Receipt this Period 45.45 |
| City Washington | State DC | |
| | Zip Code 20005-2202 | |
| FEC ID number of contributing federal political committee. C | | |
| Name of Employer Investment Company Institute | Occupation VP - Operations & Training | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 1181.70 | |

| | | |
|---|---|--|
| Full Name (Last, First, Middle Initial) B. Mr. Jim Hart | | Date of Receipt M M / D D / Y Y Y Y Y Y 12 / 28 / 2011 Transaction ID : 10536302 |
| Mailing Address 1401 H Street NW Suite 1200 | | Amount of Each Receipt this Period 28.84 |
| City Washington | State DC | |
| | Zip Code 20005-2202 | |
| FEC ID number of contributing federal political committee. C | | |
| Name of Employer Investment Company Institute | Occupation Political Affairs Officer | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 749.84 | |

| | | |
|---|-------------------------------------|--|
| Full Name (Last, First, Middle Initial) C. Ms. Karrie McMillan | | Date of Receipt M M / D D / Y Y Y Y Y Y 12 / 28 / 2011 Transaction ID : 10536303 |
| Mailing Address 6411Furlong Rd | | Amount of Each Receipt this Period 38.46 |
| City McLean | State VA | |
| | Zip Code 22101 | |
| FEC ID number of contributing federal political committee. C | | |
| Name of Employer Investment Company Institute | Occupation General Counsel | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 1052.40 | |

| | |
|--|--------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 112.75 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 40 OF 60 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> | <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

| | | |
|---|--|---|
| Full Name (Last, First, Middle Initial) A. Mr. Mike McNamee | | Date of Receipt 12 / 28 / 2011 Transaction ID : 10536304 |
| Mailing Address 1401 H Street NW Suite 1200 | | Amount of Each Receipt this Period 22.73 |
| City Washington | State DC | Zip Code 20005-2202 |
| FEC ID number of contributing federal political committee. C | | |
| Name of Employer Investment Company Institute | Occupation Senior Director, Public Communications | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 590.98 | |

| | | |
|---|-------------------------------------|---|
| Full Name (Last, First, Middle Initial) B. Mr. Paul S Stevens | | Date of Receipt 12 / 28 / 2011 Transaction ID : 10536305 |
| Mailing Address 630 South Fairfax St | | Amount of Each Receipt this Period 189.40 |
| City Alexandria | State VA | Zip Code 22314-3834 |
| FEC ID number of contributing federal political committee. C | | |
| Name of Employer Investment Company Institute | Occupation President | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 4924.40 | |

| | | |
|---|---|---|
| Full Name (Last, First, Middle Initial) C. Mr. Michael J Cosgrove | | Date of Receipt 12 / 30 / 2011 Transaction ID : 10584685 |
| Mailing Address 3003 Summer St | | Amount of Each Receipt this Period 500.00 |
| City Stamford | State CT | Zip Code 06905-4316 |
| FEC ID number of contributing federal political committee. C | | |
| Name of Employer GE Mutual Funds | Occupation Pres. & CEO, Mutual Funds | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 5500.00 | refund issued fro \$500 on 1/11/2012 |

| | |
|--|--------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 712.13 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|--|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 41 OF 60 |
| | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13 | <input type="checkbox"/> 11b <input type="checkbox"/> 14 |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

A. Mr. Robert M Keith
 Full Name (Last, First, Middle Initial)
 Mailing Address 1345 Avenue of the Americas
 39th Floor
 City New York State NY Zip Code 10105-0302
 FEC ID number of contributing federal political committee. **C**
 Name of Employer AllianceBernstein Occupation President & CEO, Alliance Bernstein Fd
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 5100.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 20 / 2011
Transaction ID : 10584687
 Amount of Each Receipt this Period
 100.00
 Refund issued for \$100 on 1/11/2012

B. Mr. Kenneth A Lewis
 Full Name (Last, First, Middle Initial)
 Mailing Address One Franklin Pkwy.
 City San Mateo State CA Zip Code 94010-6140
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Franklin Resources, Inc. Occupation EVP & CFO
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1000.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 28 / 2011
Transaction ID : 10588520
 Amount of Each Receipt this Period
 1000.00

C.
 Full Name (Last, First, Middle Initial)
 Mailing Address
 City State Zip Code
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Occupation
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 Amount of Each Receipt this Period

| | |
|--|-----------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 1100.00 |
| TOTAL This Period (last page this line number only).....▶ | 119149.76 |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|---|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 42 OF 60 |
| <input type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input checked="" type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)
A. The Goldman Sachs Group Political Action Comm.

Mailing Address 101 Constitution Avenue, NW
Suite 100 East

City Washington State DC Zip Code 20004

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
5000.00

Date of Receipt
12 / 08 / 2011
Transaction ID : 10511731

Amount of Each Receipt this Period
5000.00

Full Name (Last, First, Middle Initial)
B. Bipartisan Political Action Committee The Bank of New York Mellon Corporation BIPAC BNYMC

Mailing Address One Mellon Bank Center
Room 657

City Pittsburgh State PA Zip Code 15258-0001

FEC ID number of contributing federal political committee. **C C00017558**

Name of Employer Occupation

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
5000.00

Date of Receipt
12 / 22 / 2011
Transaction ID : 10533430

Amount of Each Receipt this Period
5000.00

Full Name (Last, First, Middle Initial)
C. U.S. Bancorp Political Participation Program

Mailing Address 800 Nicollet Mall
BC-MN-H210

City Minneapolis State MN Zip Code 55402

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
2500.00

Date of Receipt
12 / 16 / 2011
Transaction ID : 10533442

Amount of Each Receipt this Period
2500.00

| | |
|--|----------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 12500.00 |
| TOTAL This Period (last page this line number only).....▶ | 12500.00 |

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

| | | | | | |
|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24 | <input type="checkbox"/> 25 | <input type="checkbox"/> 26 |
| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Dave Camp For Congress

Mailing Address 5915 Eastman Avenue
Suite 100

City Midland State MI Zip Code 48640

Purpose of Disbursement
Void - Dave Camp For Congress

Candidate Name
Rep. David Lee Camp

Office Sought: House Senate President
State: MI District: 04
Disbursement For: 2012
 Primary General
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY
12 / 07 / 2011

Transaction ID : 10469930

Amount of Each Disbursement this Period

5000.00

Void - Dave Camp For Congress

Full Name (Last, First, Middle Initial)

B. Dave Camp For Congress

Mailing Address 5915 Eastman Avenue
Suite 100

City Midland State MI Zip Code 48640

Purpose of Disbursement

Candidate Name
Rep. David Lee Camp

Office Sought: House Senate President
State: MI District: 04
Disbursement For: 2012
 Primary General
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY
12 / 07 / 2011

Transaction ID : 10469934

Amount of Each Disbursement this Period

5000.00

Full Name (Last, First, Middle Initial)

C. Neugebauer Congressional Committee

Mailing Address PO Box 54175

City Lubbock State TX Zip Code 79453

Purpose of Disbursement

Candidate Name
Rep. Randy R. Neugebauer

Office Sought: House Senate President
State: TX District: 19
Disbursement For: 2012
 Primary General
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY
12 / 07 / 2011

Transaction ID : 10469997

Amount of Each Disbursement this Period

500.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

500.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24 | <input type="checkbox"/> 25 | <input type="checkbox"/> 26 |
| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Prairieland PAC

Mailing Address 228 South Washington St. suite B-2

City Alexandria State VA Zip Code 22314

Purpose of Disbursement

011

Candidate Name

Prairieland PAC

Category/
Type

Office Sought: House Senate President

Disbursement For: Primary General Other (specify) ▼

State: District:

Date of Disbursement

MM / DD / YYYY
12 / 07 / 2011

Transaction ID : 10470017

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Huizenga For Congress

Mailing Address 441 Williams Court

City Zeeland State MI Zip Code 49464

Purpose of Disbursement

011

Candidate Name

Rep. Bill Huizenga

Category/
Type

Office Sought: House Senate President

Disbursement For: 2012 Primary General Other (specify) ▼

State: MI District: 02

Date of Disbursement

MM / DD / YYYY
12 / 07 / 2011

Transaction ID : 10470018

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Prosperity PAC

Mailing Address 1006 Pendleton Street

City Alexandria State VA Zip Code 22314

Purpose of Disbursement

011

Candidate Name

Prosperity PAC

Category/
Type

Office Sought: House Senate President

Disbursement For: Primary General Other (specify) ▼

State: District:

Date of Disbursement

MM / DD / YYYY
12 / 07 / 2011

Transaction ID : 10470019

Amount of Each Disbursement this Period

3000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

5000.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24 | <input type="checkbox"/> 25 | <input type="checkbox"/> 26 |
| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Tim Johnson For South Dakota Inc

Mailing Address PO Box 1536

City State Zip Code
Sioux Falls SD 57101

Purpose of Disbursement

011

Candidate Name

Sen. Tim Johnson

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: SD District:

Date of Disbursement

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 12 | / | 07 | / | 2011 |

Transaction ID : 10470020

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

Full Name (Last, First, Middle Initial)

B. Tim Johnson For South Dakota Inc

Mailing Address PO Box 1536

City State Zip Code
Sioux Falls SD 57101

Purpose of Disbursement

011

Candidate Name

Sen. Tim Johnson

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: SD District:

Date of Disbursement

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 12 | / | 07 | / | 2011 |

Transaction ID : 10470021

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

Full Name (Last, First, Middle Initial)

C. Tim Johnson For South Dakota Inc

Mailing Address PO Box 1536

City State Zip Code
Sioux Falls SD 57101

Purpose of Disbursement
Void - Tim Johnson For South Dakota Inc

011

Candidate Name

Sen. Tim Johnson

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: SD District:

Date of Disbursement

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 12 | / | 07 | / | 2011 |

Transaction ID : 10470022

Amount of Each Disbursement this Period

| |
|----------|
| -1000.00 |
|----------|

Void - Tim Johnson For South Dakota Inc

SUBTOTAL of Disbursements This Page (optional)..... ▶

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|---------|
| 1000.00 |
|---------|

TOTAL This Period (last page this line number only)..... ▶

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
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| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Jobs, Economy & Budget Fund

Mailing Address PO BOX 40385

City WASHINGTON State DC Zip Code 20016

Purpose of Disbursement

011

Candidate Name

Jobs, Economy & Budget Fund

Category/
Type

Office Sought: House Senate President

Disbursement For: Primary General Other (specify) ▼

State: District:

Date of Disbursement

| | | | | | | | | | |
|----|---|---|----|---|---|------|---|---|---|
| M | M | / | D | D | / | Y | Y | Y | Y |
| 12 | | | 07 | | | 2011 | | | |

Transaction ID : 10470023

Amount of Each Disbursement this Period

| |
|---------|
| 1500.00 |
|---------|

Full Name (Last, First, Middle Initial)

B. Wicker For Senate

Mailing Address PO Box 64

City Jackson State MS Zip Code 39205

Purpose of Disbursement

011

Candidate Name

Sen. Roger F. Wicker

Category/
Type

Office Sought: House Senate President

Disbursement For: 2012 Primary General Other (specify) ▼

State: MS District:

Date of Disbursement

| | | | | | | | | | |
|----|---|---|----|---|---|------|---|---|---|
| M | M | / | D | D | / | Y | Y | Y | Y |
| 12 | | | 07 | | | 2011 | | | |

Transaction ID : 10470024

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

Full Name (Last, First, Middle Initial)

C. People For Pearce

Mailing Address PO Box 2696

City Hobbs State NM Zip Code 88241

Purpose of Disbursement

011

Candidate Name

Rep. Steve Pearce

Category/
Type

Office Sought: House Senate President

Disbursement For: 2012 Primary General Other (specify) ▼

State: NM District: 02

Date of Disbursement

| | | | | | | | | | |
|----|---|---|----|---|---|------|---|---|---|
| M | M | / | D | D | / | Y | Y | Y | Y |
| 12 | | | 22 | | | 2011 | | | |

Transaction ID : 10520103

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

SUBTOTAL of Disbursements This Page (optional)..... ▶

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|---------|
| 3500.00 |
|---------|

TOTAL This Period (last page this line number only)..... ▶

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

| | | | | | |
|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24 | <input type="checkbox"/> 25 | <input type="checkbox"/> 26 |
| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Bucshon For Congress

Mailing Address PO Box 250

City Newburgh State IN Zip Code 47629

Purpose of Disbursement

011

Category/
Type

Candidate Name

Mr. Larry Bucshon

Office Sought: House
 Senate
 President

Disbursement For: 2012
 Primary General
 Other (specify) ▼

State: IN District: 08

Date of Disbursement

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 12 | | 22 | | 2011 |

Transaction ID : 10520106

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

Full Name (Last, First, Middle Initial)

B. Friends Of Dennis Ross

Mailing Address PO Box 7310

City Lakeland State FL Zip Code 33807

Purpose of Disbursement

011

Category/
Type

Candidate Name

Rep. Dennis A. Ross

Office Sought: House
 Senate
 President

Disbursement For: 2012
 Primary General
 Other (specify) ▼

State: FL District: 12

Date of Disbursement

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 12 | | 22 | | 2011 |

Transaction ID : 10520107

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

Full Name (Last, First, Middle Initial)

C. Friends Of Pat Toomey

Mailing Address 2720 Jordan Road

City Orefield State PA Zip Code 18069

Purpose of Disbursement

011

Category/
Type

Candidate Name

Sen. Pat Toomey

Office Sought: House
 Senate
 President

Disbursement For: 2016
 Primary General
 Other (specify) ▼

State: PA District:

Date of Disbursement

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 12 | | 22 | | 2011 |

Transaction ID : 10520108

Amount of Each Disbursement this Period

| |
|---------|
| 1500.00 |
|---------|

SUBTOTAL of Disbursements This Page (optional)..... ▶

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|---------|
| 3500.00 |
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TOTAL This Period (last page this line number only)..... ▶

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

| | | | | | |
|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24 | <input type="checkbox"/> 25 | <input type="checkbox"/> 26 |
| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Friends Of Pat Toomey

Mailing Address 2720 Jordan Road

City Orefield State PA Zip Code 18069

Purpose of Disbursement

011

Candidate Name

Sen. Pat Toomey

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2016
 Primary General
 Other (specify) ▼

State: PA District:

Date of Disbursement

MM / DD / YYYY
12 / 22 / 2011

Transaction ID : 10520109

Amount of Each Disbursement this Period

500.00

Full Name (Last, First, Middle Initial)

B. Perlmutter For Congress

Mailing Address 3440 Youngfield Street
#264

City Wheat Ridge State CO Zip Code 80033

Purpose of Disbursement

011

Candidate Name

Rep. Edwin Perlmutter

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2012
 Primary General
 Other (specify) ▼

State: CO District: 07

Date of Disbursement

MM / DD / YYYY
12 / 22 / 2011

Transaction ID : 10520110

Amount of Each Disbursement this Period

2500.00

Full Name (Last, First, Middle Initial)

C. Tim Bishop For Congress

Mailing Address PO Box 437

City Farmingville State NY Zip Code 11738

Purpose of Disbursement

011

Candidate Name

Rep. Timothy Bishop

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2012
 Primary General
 Other (specify) ▼

State: NY District: 01

Date of Disbursement

MM / DD / YYYY
12 / 22 / 2011

Transaction ID : 10520111

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

4000.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

| | | | | | |
|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
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| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Michael Grimm For Congress

Mailing Address PO Box 270

City Staten Island State NY Zip Code 10310

Purpose of Disbursement

011

Category/Type

Candidate Name

Rep. Michael G. Grimm

Office Sought: House Senate President

Disbursement For: 2012 Primary General Other (specify) ▼

State: NY District: 13

Date of Disbursement

MM / DD / YYYY
12 / 22 / 2011

Transaction ID : 10520112

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Lucas For Congress

Mailing Address PO Box 1726

City Oklahoma City State OK Zip Code 73101

Purpose of Disbursement

011

Category/Type

Candidate Name

Rep. Frank D. Lucas

Office Sought: House Senate President

Disbursement For: 2012 Primary General Other (specify) ▼

State: OK District: 03

Date of Disbursement

MM / DD / YYYY
12 / 22 / 2011

Transaction ID : 10520113

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Dold For Congress

Mailing Address PO Box 8145

City Northfield State IL Zip Code 60093

Purpose of Disbursement

011

Category/Type

Candidate Name

Rep. Robert J. Dold

Office Sought: House Senate President

Disbursement For: 2012 Primary General Other (specify) ▼

State: IL District: 10

Date of Disbursement

MM / DD / YYYY
12 / 22 / 2011

Transaction ID : 10520114

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

3000.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24 | <input type="checkbox"/> 25 | <input type="checkbox"/> 26 |
| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Quigley For Congress

Mailing Address PO Box 13040

City Chicago State IL Zip Code 60613

Purpose of Disbursement

011

Candidate Name

Rep. Michael Quigley

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2012
 Primary General
 Other (specify) ▼

State: IL District: 05

Date of Disbursement

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 12 | | 22 | | 2011 |

Transaction ID : 10520115

Amount of Each Disbursement this Period

| |
|---------|
| 1500.00 |
|---------|

Full Name (Last, First, Middle Initial)

B. Steve Fincher For Congress

Mailing Address PO Box 11153

City Jackson State TN Zip Code 38308

Purpose of Disbursement

011

Candidate Name

Rep. Stephen Lee Fincher

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2012
 Primary General
 Other (specify) ▼

State: TN District: 08

Date of Disbursement

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 12 | | 22 | | 2011 |

Transaction ID : 10520116

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

Full Name (Last, First, Middle Initial)

C. Scott Garrett For Congress

Mailing Address P.O. Box 905

City Newton State NJ Zip Code 07860

Purpose of Disbursement

011

Candidate Name

Rep. Scott Garrett

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2012
 Primary General
 Other (specify) ▼

State: NJ District: 05

Date of Disbursement

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 12 | | 22 | | 2011 |

Transaction ID : 10520117

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

SUBTOTAL of Disbursements This Page (optional)..... ▶

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| 3500.00 |
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TOTAL This Period (last page this line number only)..... ▶

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

| | | | | | |
|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24 | <input type="checkbox"/> 25 | <input type="checkbox"/> 26 |
| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Pete Sessions For Congress

Mailing Address PO Box 823047

City Dallas State TX Zip Code 75382

Purpose of Disbursement

011

Category/
Type

Candidate Name

Rep. Pete Sessions

Office Sought: House
 Senate
 President

Disbursement For: 2012
 Primary General
 Other (specify) ▼

State: TX District: 32

Date of Disbursement

| | | | | |
|-------|---|-------|---|-----------|
| M M M | / | D D D | / | Y Y Y Y Y |
| 12 | | 22 | | 2011 |

Transaction ID : 10520118

Amount of Each Disbursement this Period

| |
|---------|
| 1500.00 |
|---------|

Full Name (Last, First, Middle Initial)

B. VOICE FOR FREEDOM

Mailing Address 2814 Spring Road
Suite 103

City Atlanta State GA Zip Code 30339

Purpose of Disbursement

011

Category/
Type

Candidate Name

VOICE FOR FREEDOM

Office Sought: House
 Senate
 President

Disbursement For:
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

| | | | | |
|-------|---|-------|---|-----------|
| M M M | / | D D D | / | Y Y Y Y Y |
| 12 | | 22 | | 2011 |

Transaction ID : 10520119

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

Full Name (Last, First, Middle Initial)

C. Ben Cardin For Senate

Mailing Address P.O. Box 21093

City Catonsville State MD Zip Code 21228

Purpose of Disbursement

011

Category/
Type

Candidate Name

Mr. Benjamin Cardin

Office Sought: House
 Senate
 President

Disbursement For: 2012
 Primary General
 Other (specify) ▼

State: MD District:

Date of Disbursement

| | | | | |
|-------|---|-------|---|-----------|
| M M M | / | D D D | / | Y Y Y Y Y |
| 12 | | 22 | | 2011 |

Transaction ID : 10520120

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

SUBTOTAL of Disbursements This Page (optional)..... ▶

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|---------|
| 3500.00 |
|---------|

TOTAL This Period (last page this line number only)..... ▶

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

| | | | | | |
|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24 | <input type="checkbox"/> 25 | <input type="checkbox"/> 26 |
| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Menendez For Senate

Mailing Address One Gateway Center Suite 520

City Newark State NJ Zip Code 07102

Purpose of Disbursement

011

Candidate Name

Sen. Robert Menendez

Category/
Type

Office Sought: House Senate President

Disbursement For: 2012
 Primary General
 Other (specify) ▼

State: NJ District:

Date of Disbursement

| | | | | |
|-------|---|-------|---|-----------|
| M M M | / | D D D | / | Y Y Y Y Y |
| 12 | / | 22 | / | 2011 |

Transaction ID : 10520121

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

Full Name (Last, First, Middle Initial)

B. Pete King For Congress Committee

Mailing Address PO Box 1428

City Seaford State NY Zip Code 11783

Purpose of Disbursement

011

Candidate Name

Rep. Peter T. King

Category/
Type

Office Sought: House Senate President

Disbursement For: 2012
 Primary General
 Other (specify) ▼

State: NY District: 03

Date of Disbursement

| | | | | |
|-------|---|-------|---|-----------|
| M M M | / | D D D | / | Y Y Y Y Y |
| 12 | / | 22 | / | 2011 |

Transaction ID : 10520122

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

Full Name (Last, First, Middle Initial)

C. Lynn Jenkins For Congress

Mailing Address P.O. Box 1441

City Topeka State KS Zip Code 66601

Purpose of Disbursement

011

Candidate Name

Rep. Lynn Jenkins

Category/
Type

Office Sought: House Senate President

Disbursement For: 2012
 Primary General
 Other (specify) ▼

State: KS District: 02

Date of Disbursement

| | | | | |
|-------|---|-------|---|-----------|
| M M M | / | D D D | / | Y Y Y Y Y |
| 12 | / | 22 | / | 2011 |

Transaction ID : 10520123

Amount of Each Disbursement this Period

| |
|---------|
| 1500.00 |
|---------|

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

| |
|---------|
| 3500.00 |
|---------|

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

| | | | | | |
|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24 | <input type="checkbox"/> 25 | <input type="checkbox"/> 26 |
| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Lynn Jenkins For Congress

Mailing Address P.O. Box 1441

City Topeka State KS Zip Code 66601

Purpose of Disbursement

011

Candidate Name

Rep. Lynn Jenkins

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2012
 Primary General
 Other (specify) ▼

State: KS District: 02

Date of Disbursement

| | | | | |
|-------|---|-------|---|-----------|
| M M M | / | D D D | / | Y Y Y Y Y |
| 12 | | 22 | | 2011 |

Transaction ID : 10520124

Amount of Each Disbursement this Period

| |
|--------|
| 500.00 |
|--------|

Full Name (Last, First, Middle Initial)

B. Friends Of Sam Johnson

Mailing Address P.O. Box 860096

City Plano State TX Zip Code 75086

Purpose of Disbursement

011

Candidate Name

Rep. Samuel Robert Johnson

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2012
 Primary General
 Other (specify) ▼

State: TX District: 03

Date of Disbursement

| | | | | |
|-------|---|-------|---|-----------|
| M M M | / | D D D | / | Y Y Y Y Y |
| 12 | | 22 | | 2011 |

Transaction ID : 10520125

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

Full Name (Last, First, Middle Initial)

C. Friends Of Carolyn Mccarthy

Mailing Address 151 Linden Road

City Mineola State NY Zip Code 11501

Purpose of Disbursement

011

Candidate Name

Rep. Carolyn McCarthy

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2012
 Primary General
 Other (specify) ▼

State: NY District: 04

Date of Disbursement

| | | | | |
|-------|---|-------|---|-----------|
| M M M | / | D D D | / | Y Y Y Y Y |
| 12 | | 22 | | 2011 |

Transaction ID : 10520126

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

SUBTOTAL of Disbursements This Page (optional)..... ▶

| |
|---------|
| 2500.00 |
|---------|

TOTAL This Period (last page this line number only)..... ▶

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

| | | | | | |
|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24 | <input type="checkbox"/> 25 | <input type="checkbox"/> 26 |
| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Forward Together PAC

Mailing Address 201 NORTH UNION STREET

City ALEXANDRIA State VA Zip Code 22314

Purpose of Disbursement

011

Candidate Name

Forward Together PAC

Category/
Type

Office Sought: House Senate President

Disbursement For: Primary General Other (specify) ▼

State: District:

Date of Disbursement

MM / DD / YYYY
12 / 22 / 2011

Transaction ID : 10520127

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Geoff Davis For Congress

Mailing Address PO Box 17192

City Ft Mitchell State KY Zip Code 41017

Purpose of Disbursement

011

Candidate Name

Rep. Geoff Davis

Category/
Type

Office Sought: House Senate President

Disbursement For: 2012 Primary General Other (specify) ▼

State: KY District: 04

Date of Disbursement

MM / DD / YYYY
12 / 22 / 2011

Transaction ID : 10520128

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Michael Grimm For Congress

Mailing Address PO Box 270

City Staten Island State NY Zip Code 10310

Purpose of Disbursement

011

Candidate Name

Rep. Michael G. Grimm

Category/
Type

Office Sought: House Senate President

Disbursement For: 2012 Primary General Other (specify) ▼

State: NY District: 13

Date of Disbursement

MM / DD / YYYY
12 / 22 / 2011

Transaction ID : 10520129

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

3000.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

| | | | | | |
|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24 | <input type="checkbox"/> 25 | <input type="checkbox"/> 26 |
| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Montanans For Tester

Mailing Address PO Box 3171

City Billings State MT Zip Code 59103

Purpose of Disbursement

011

Category/
Type

Candidate Name

Sen. Jon Tester

Office Sought: House Senate President

Disbursement For: 2012
 Primary General Other (specify) ▼

State: MT District:

Date of Disbursement

| | | | | |
|-------|---|-------|---|-----------|
| M M M | / | D D D | / | Y Y Y Y Y |
| 12 | | 22 | | 2011 |

Transaction ID : 10520130

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

Full Name (Last, First, Middle Initial)

B. Pascrell For Congress

Mailing Address P.O. Box 640

City Totowa State NJ Zip Code 07511

Purpose of Disbursement

011

Category/
Type

Candidate Name

Rep. William J. Pascrell Jr.

Office Sought: House Senate President

Disbursement For: 2012
 Primary General Other (specify) ▼

State: NJ District: 08

Date of Disbursement

| | | | | |
|-------|---|-------|---|-----------|
| M M M | / | D D D | / | Y Y Y Y Y |
| 12 | | 22 | | 2011 |

Transaction ID : 10520131

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

Full Name (Last, First, Middle Initial)

C. Synergy PAC

Mailing Address 6849 OLD DOMINION DRIVE SUITE 222

City MCLEAN State VA Zip Code 22101

Purpose of Disbursement

011

Category/
Type

Candidate Name

Synergy PAC

Office Sought: House Senate President

Disbursement For:
 Primary General Other (specify) ▼

State: District:

Date of Disbursement

| | | | | |
|-------|---|-------|---|-----------|
| M M M | / | D D D | / | Y Y Y Y Y |
| 12 | | 22 | | 2011 |

Transaction ID : 10520132

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

SUBTOTAL of Disbursements This Page (optional)..... ▶

| |
|---------|
| 3000.00 |
|---------|

TOTAL This Period (last page this line number only)..... ▶

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

| | | | | | |
|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24 | <input type="checkbox"/> 25 | <input type="checkbox"/> 26 |
| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)
INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Neugebauer Congressional Committee

Mailing Address PO Box 54175

City Lubbock State TX Zip Code 79453

Purpose of Disbursement

011

Category/
Type

Candidate Name

Rep. Randy R. Neugebauer

Office Sought: House
 Senate
 President

Disbursement For: 2012
 Primary General
 Other (specify) ▼

State: TX District: 19

Date of Disbursement

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 12 | | 22 | | 2011 |

Transaction ID : 10520134

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

Full Name (Last, First, Middle Initial)

B. Friends Of Nan Hayworth

Mailing Address P. O. Box 188

City Carmel State NY Zip Code 10512

Purpose of Disbursement

011

Category/
Type

Candidate Name

Rep. Nan Hayworth

Office Sought: House
 Senate
 President

Disbursement For: 2012
 Primary General
 Other (specify) ▼

State: NY District: 19

Date of Disbursement

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 12 | | 22 | | 2011 |

Transaction ID : 10520135

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

Full Name (Last, First, Middle Initial)

C. Friends Of John Thune

Mailing Address PO Box 841

City Sioux Falls State SD Zip Code 57101

Purpose of Disbursement

011

Category/
Type

Candidate Name

Sen. John R. Thune

Office Sought: House
 Senate
 President

Disbursement For: 2016
 Primary General
 Other (specify) ▼

State: SD District:

Date of Disbursement

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 12 | | 22 | | 2011 |

Transaction ID : 10520136

Amount of Each Disbursement this Period

| |
|---------|
| 2500.00 |
|---------|

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

| |
|---------|
| 4500.00 |
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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

| | | | | | |
|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24 | <input type="checkbox"/> 25 | <input type="checkbox"/> 26 |
| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Friends Of Nan Hayworth

Mailing Address P. O. Box 188

City Carmel State NY Zip Code 10512

Purpose of Disbursement

011

Category/
Type

Candidate Name

Rep. Nan Hayworth

Office Sought: House
 Senate
 President

Disbursement For: 2012
 Primary General
 Other (specify) ▼

State: NY District: 19

Date of Disbursement

| | | | | |
|-------|---|-------|---|-----------|
| M M M | / | D D D | / | Y Y Y Y Y |
| 12 | | 22 | | 2011 |

Transaction ID : 10520137

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

Full Name (Last, First, Middle Initial)

B. Friends Of Max Baucus

Mailing Address PO Box 586

City Helena State MT Zip Code 59624

Purpose of Disbursement

011

Category/
Type

Candidate Name

Sen. Max Baucus

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: MT District:

Date of Disbursement

| | | | | |
|-------|---|-------|---|-----------|
| M M M | / | D D D | / | Y Y Y Y Y |
| 12 | | 22 | | 2011 |

Transaction ID : 10520138

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

Full Name (Last, First, Middle Initial)

C. Scott Brown For Us Senate Committee Inc

Mailing Address 337 Summer Street

City Boston State MA Zip Code 02210

Purpose of Disbursement

011

Category/
Type

Candidate Name

Sen. Scott Brown

Office Sought: House
 Senate
 President

Disbursement For: 2012
 Primary General
 Other (specify) ▼

State: MA District:

Date of Disbursement

| | | | | |
|-------|---|-------|---|-----------|
| M M M | / | D D D | / | Y Y Y Y Y |
| 12 | | 22 | | 2011 |

Transaction ID : 10520139

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

| |
|---------|
| 3000.00 |
|---------|

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

| | | | | | |
|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24 | <input type="checkbox"/> 25 | <input type="checkbox"/> 26 |
| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Scott Brown For Us Senate Committee Inc

Mailing Address 337 Summer Street

City Boston State MA Zip Code 02210

Purpose of Disbursement

011

Category/
Type

Candidate Name

Sen. Scott Brown

Office Sought: House
 Senate
 President

Disbursement For: 2012
 Primary General
 Other (specify) ▼

State: MA District:

Date of Disbursement

| | | | | |
|-------|---|-------|---|-----------|
| M M M | / | D D D | / | Y Y Y Y Y |
| 12 | | 22 | | 2011 |

Transaction ID : 10520140

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

Full Name (Last, First, Middle Initial)

B. Steve Fincher For Congress

Mailing Address PO Box 11153

City Jackson State TN Zip Code 38308

Purpose of Disbursement

011

Category/
Type

Candidate Name

Rep. Stephen Lee Fincher

Office Sought: House
 Senate
 President

Disbursement For: 2012
 Primary General
 Other (specify) ▼

State: TN District: 08

Date of Disbursement

| | | | | |
|-------|---|-------|---|-----------|
| M M M | / | D D D | / | Y Y Y Y Y |
| 12 | | 22 | | 2011 |

Transaction ID : 10520141

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

Full Name (Last, First, Middle Initial)

C. Pat Roberts For U S Senate Inc

Mailing Address PO Box 433

City Great Bend State KS Zip Code 67530

Purpose of Disbursement

011

Category/
Type

Candidate Name

Sen. Pat Roberts

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: KS District:

Date of Disbursement

| | | | | |
|-------|---|-------|---|-----------|
| M M M | / | D D D | / | Y Y Y Y Y |
| 12 | | 22 | | 2011 |

Transaction ID : 10520143

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

| |
|---------|
| 3000.00 |
|---------|

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

| | | | | | |
|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24 | <input type="checkbox"/> 25 | <input type="checkbox"/> 26 |
| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Tim Scott For Congress

Mailing Address 1405 Ashley River Road

City Charleston State SC Zip Code 29407

Purpose of Disbursement

011

Candidate Name

Rep. Tim Scott

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2012
 Primary General
 Other (specify) ▼

State: SC District: 01

Date of Disbursement

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 12 | / | 22 | / | 2011 |

Transaction ID : 10520144

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

Full Name (Last, First, Middle Initial)

B. Moderate Democrats PAC

Mailing Address 426 C STREET NE

City Washington State DC Zip Code 20002

Purpose of Disbursement

011

Candidate Name

Moderate Democrats PAC

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For:
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 12 | / | 28 | / | 2011 |

Transaction ID : 10521041

Amount of Each Disbursement this Period

| |
|---------|
| 5000.00 |
|---------|

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For:
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| | / | | / | |

Amount of Each Disbursement this Period

| |
|--|
| |
|--|

SUBTOTAL of Disbursements This Page (optional)..... ▶

| |
|---------|
| 6000.00 |
|---------|

TOTAL This Period (last page this line number only)..... ▶

| |
|----------|
| 56000.00 |
|----------|

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

| | | | | | |
|------------------------------|------------------------------|------------------------------|------------------------------|--|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input type="checkbox"/> 23 | <input type="checkbox"/> 24 | <input type="checkbox"/> 25 | <input type="checkbox"/> 26 |
| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input checked="" type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. PayPal

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Office Sought: House
 Senate
 President
State: District:

Disbursement For:
 Primary General
 Other (specify) ▼

Category/
Type

Date of Disbursement

/ /

Transaction ID : 10588519

Amount of Each Disbursement this Period

B.

Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Office Sought: House
 Senate
 President
State: District:

Disbursement For:
 Primary General
 Other (specify) ▼

Category/
Type

Date of Disbursement

/ /

Amount of Each Disbursement this Period

C.

Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Office Sought: House
 Senate
 President
State: District:

Disbursement For:
 Primary General
 Other (specify) ▼

Category/
Type

Date of Disbursement

/ /

Amount of Each Disbursement this Period

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶