



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

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2012 MAY 14 AM 9:37

RQ-1

FEC MAIL CENTER

April 4, 2012

BRIAN H. GRAFF, TREASURER
NATIONAL TAX SHELTERED ACCOUNTS
ASSOCIATION PAC NTSAA PAC
4245 N FAIRFAX DRIVE SUITE 7₅0
ARLINGTON, VA 22203

Response Due Date
05/09/2012

IDENTIFICATION NUMBER: C00₅₅ 1 049

REFERENCE: STATEMENT OF ORGANIZATION

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 1 item(s):

- In accordance with 11 CFR §102.14(c), "The name of a separate segregated fund...shall include the full name of its connected organization. Such fund may also use a clearly recognized abbreviation or acronym by which the connected organization is commonly known". The Statement of Organization filed by your committee indicates that the name of your connected organization is "American Society of Pension Professionals & Actuaries PAC," however, the name of your separate segregated fund is "National Tax Sheltered Accounts Association PAC NTSAA PAC." Please amend your Statement of Organization to clarify this discrepancy and comply with 11 CFR §102.14(c).

Please note, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you should have any

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NATIONAL TAX SHELTERED ACCOUNTS ASSOCIATION PAC NTSAA PAC

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questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1172.

Sincerely,



Alexandra Broomhead
Senior Campaign Finance Analyst
Reports Analysis Division

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FEC
FORM 1

STATEMENT OF
ORGANIZATION

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Office Use Only

1. NAME OF
COMMITTEE (in full)

☐

(Check if name
is changed)

Example: If typing, type
over the lines.

12FE4M5

American Society of Pension Professionals & Actuaries NTSAA PAC

ADDRESS (number and street)

4245 N. Fairfax Drive

Suite 750

☐

(Check if address
is changed)

Arlington

VA

22203

CITY

STATE

ZIP CODE

COMMITTEE'S E-MAIL ADDRESS (Please provide only one e-mail address)

☐

(Check if address
is changed)

jdornan@asppa.org

COMMITTEE'S WEB PAGE ADDRESS (URL)

☐

(Check if address
is changed)

N/A

2. DATE

03

6

2012

3. FEC IDENTIFICATION NUMBER

C00515049

4. IS THIS STATEMENT

☐

NEW (N)

OR

☒

AMENDED (A)

I certify that I have examined this Statement and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Brian H. Graff

Signature of Treasurer

Brian H. Graff

Date

MM

DD

YYYY

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Statement to the penalties of 2 U.S.C. §437g.

ANY CHANGE IN INFORMATION SHOULD BE REPORTED WITHIN 10 DAYS.

Office
Use
Only

For further information contact:
Federal Election Commission
Toll Free 800-424-9530
Local 202-694-1100

FEC FORM 1
(Revised 02/2009)

5. TYPE OF COMMITTEE

Candidate Committee:

- (a) ☐ This committee is a principal campaign committee. (Complete the candidate information below.)
- (b) ☐ This committee is an authorized committee, and is NOT a principal campaign committee. (Complete the candidate information below.)

Name of Candidate

Candidate Party Affiliation

Office Sought:

House

Senate

President

State

District

- (c) ☐ This committee supports/opposes only one candidate, and is NOT an authorized committee.

Name of Candidate

Party Committee:

- (d) ☐ This committee is a ☐ (National, State or subordinate) committee of the ☐ (Democratic, Republican, etc.) Party.

Political Action Committee (PAC):

- (e) ☒ This committee is a separate segregated fund. (Identify connected organization on line 6.) Its connected organization is a:
- ☐ Corporation ☐ Corporation w/o Capital Stock ☐ Labor Organization
- ☒ Membership Organization ☐ Trade Association ☐ Cooperative
- ☐ In addition, this committee is a Lobbyist/Registrant PAC.
- (f) ☐ This committee supports/opposes more than one Federal candidate, and is NOT a separate segregated fund or party committee. (i.e., nonconnected committee)
- ☐ In addition, this committee is a Lobbyist/Registrant PAC.
- ☐ In addition, this committee is a Leadership PAC. (Identify sponsor on line 6.)

Joint Fundraising Representative:

- (g) ☐ This committee collects contributions, pays fundraising expenses and disburses net proceeds for two or more political committees/organizations, at least one of which is an authorized committee of a federal candidate.
- (h) ☐ This committee collects contributions, pays fundraising expenses and disburses net proceeds for two or more political committees/organizations, none of which is an authorized committee of a federal candidate.

Committees Participating in Joint Fundraiser

- | | | | |
|----|--------------------------|---------------|--------------------------|
| 1. | <input type="checkbox"/> | FEC ID number | <input type="checkbox"/> |
| 2. | <input type="checkbox"/> | FEC ID number | <input type="checkbox"/> |
| 3. | <input type="checkbox"/> | FEC ID number | <input type="checkbox"/> |
| 4. | <input type="checkbox"/> | FEC ID number | <input type="checkbox"/> |

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Write or Type Committee Name

National Tax Sheltered Accounts Association PAC

6. Name of Any Connected Organization, Affiliated Committee, Joint Fundraising Representative, or Leadership PAC Sponsor

American Society of Pension Professionals & Actuaries PAC

Mailing Address

4245 N. Fairfax Drive

Suite 750

Arlington

VA

22203

CITY

STATE

ZIP CODE

Relationship: ☒ Connected Organization ☐ Affiliated Committee ☐ Joint Fundraising Representative ☐ Leadership PAC Sponsor

7. Custodian of Records: Identify by name, address (phone number -- optional) and position of the person in possession of committee books and records.

Full Name

Jim Dornan

Mailing Address

4245 N. Fairfax Drive

Suite 750

Arlington

VA

22203

Title or Position

CITY

STATE

ZIP CODE

Political Director

Telephone number 703 - 516 - 9300

8. Treasurer: List the name and address (phone number -- optional) of the treasurer of the committee; and the name and address of any designated agent (e.g., assistant treasurer).

Full Name
of Treasurer

Brian H. Graff

Mailing Address

4245 N. Fairfax Drive

Suite 750

Arlington

VA

22203

CITY

STATE

ZIP CODE

Title or Position

Executive Director/ASPPA

Telephone number 703 - 516 - 9300

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Full Name of
Designated
Agent

Chris Degrassi

Mailing Address

4245 N. Fairfax Drive

Suite 750

Arlington

CITY

VA

STATE

22203

ZIP CODE

Title or Position

Executive Director/NTSAA

Telephone number

703 - 516 - 9300

9. Banks or Other Depositories: List all banks or other depositories in which the committee deposits funds, holds accounts, rents safety deposit boxes or maintains funds.

Name of Bank, Depository, etc.

Suntrust Bank

Mailing Address

901 N Glebe Road

Arlington

CITY

VA

STATE

22203

ZIP CODE

Name of Bank, Depository, etc.

Mailing Address

CITY

STATE

ZIP CODE

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Federal Election Commission
ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS
The FEC added this page to the end of this filing to indicate how it was received.

☐ Hand Delivered Date of Receipt

☒ USPS First Class Mail Postmarked
4/27/12

☐ USPS Registered/Certified Postmarked (R/C)

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Delivery Confirmation™ or Signature Confirmation™ Label ☐

☐ USPS Express Mail Postmarked

☐ Postmark Illegible

☐ No Postmark

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Next Business Day Delivery ☐

☐ Received from House Records & Registration Office Date of Receipt

☐ Received from Senate Public Records Office Date of Receipt

☐ Received from Electronic Filing Office Date of Receipt

☐ Other (Specify): Date of Receipt or Postmarked



PREPARER

5/14/12

DATE PREPARED

(3/2005)

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