

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

RECEIVED FEC MAIL OPERATIONS CENTER

OCT 12 A 10:03

1. NAME OF COMMITTEE (in full)

TYPE OR PRINT

Example: If typing, type over the lines.

12PE4M5

A.O. SMITH POLITICAL ACTION COMMITTEE

ADDRESS (number and street)

112-Q W. PARK PLACE

Check if different than previously reported. (ACC)

MILWAUKEE

WI

53223

2. FEC IDENTIFICATION NUMBER

CITY

STATE

ZIP CODE

C 00104687

3. IS THIS REPORT

NEW (N) OR

AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

- April 15 Quarterly Report (Q1)
July 15 Quarterly Report (Q2)
October 15 Quarterly Report (Q3)
January 31 Year-End Report (YE)
July 31 Mid-Year Report (Non-election Year Only) (MY)
Termination Report (TER)

(b) Monthly Report Due On:

- Feb 20 (M2) May 20 (M5) Aug 20 (M8) Nov 20 (M11)
Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) Dec 20 (M12)
Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (YE)

(c) 12-Day PRE-Election Report for the:

- Primary (12P) General (12G) Runoff (12R)
Convention (12C) Special (12S)

Election on

in the State of

(d) 30-Day POST-Election Report for the:

- General (30G) Runoff (30R) Special (30S)

Election on

in the State of

5. Covering Period

07 01 2004

through

09 30 2004

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer PATRICIA K. ACKERMAN

Signature of Treasurer

Patricia K. Ackerman

Date

10 04 2004

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office Use Only

FEC FORM 3X (Rev. 02/2003)

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

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Page 2

Write or Type Committee Name

A.O. SMITH POLITICAL ACTION COMMITTEE

Report Covering the Period:

From:

07

01

2004

To:

09

30

2004

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, 2004	9,755.56	9,755.56
(b) Cash on Hand at Beginning of Reporting Period	15,094.81	
(c) Total Receipts (from Line 19)	2,810.47	8,169.72
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	17,905.28	17,905.28
7. Total Disbursements (from Line 81)	41.20	41.20
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	17,864.08	17,864.08
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	-0-	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	-0-	



This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
of Receipts

FEC Form 3X (Rev. 02/2003)

Page 3

Write or Type Committee Name

A.O. SMITH POLITICAL ACTION COMMITTEE

Report Covering the Period:

From:

07 01 2004

To:

09 30 2004

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees (i) Itemized (use Schedule A).....	857.00	3,371.00
(ii) Unitemized.....	1,951.00	4,768.00
(iii) TOTAL (add Lines 11(a)(i) and (ii).....▶	2,808.00	8,139.00
(b) Political Party Committees.....		
(c) Other Political Committees (such as PACs).....		
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5).....▶	2,808.00	8,139.00
12. Transfers From Affiliated/Other Party Committees.....		
13. All Loans Received.....		
14. Loan Repayments Received.....		
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....		
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....		
17. Other Federal Receipts (Dividends, Interest, etc.).....		
18. Transfers from Non-Federal and Levin Funds (a) Non-Federal Account (from Schedule H5).....	2.47	10.72
(b) Levin Funds (from Schedule H5).....		
(c) Total Transfers (add 18(a) and 18(b)).....	2.47	10.72
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)).....▶	2,810.47	8,149.72
20. Total Federal Receipts (subtract Line 18(c) from Line 19).....▶		

DETAILED SUMMARY PAGE
of Disbursements

PEC Form 3X (Rev. 02/2003)

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share		
(ii) Non-Federal Share		
(b) Other Federal Operating Expenditures		
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))		
22. Transfers to Affiliated/Other Party Committees		
23. Contributions to Federal Candidates/Committees and Other Political Committees		
24. Independent Expenditures (use Schedule E)		
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F)		
26. Loan Repayments Made		
27. Loans Made		
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees		
(b) Political Party Committees		
(c) Other Political Committees (such as PACs)		
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))		
29. Other Disbursements	41,20	41,20
30. Federal Election Activity (2 U.S.C. §431(2C))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share		
(ii) "Levin" Share		
(b) Federal Election Activity Paid Entirely With Federal Funds		
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))		
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	41,20	41,20
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)		

DETAILED SUMMARY PAGE
of Disbursements

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III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	2,808.00	8,139.00
34. Total Contribution Refunds (from Line 28(c))		
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	2,808.00	8,139.00
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))		
37. Offsets to Operating Expenditures (from Line 15, page 3)		
38. Net Operating Expenditures (subtract Line 37 from Line 36)		

For Federal Report

SCHEDULE A

ITEMIZED RECEIPTS

Page 6 of 8

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any person, committee to attract contributions from such committee.

Name of Committee: A.O. Smith Political Action Committee (FED ID # 000104897)

Full name	Name of Employer		Amount
1 Begher, Randy 201 N. Ponderosa Dr. Hartland, WI 53029	A.O. Smith Corp Hqarters 11270 West Park Place Milwaukee, WI 53224-3890 VP Information Services	JUL 15, 2004	25.00
		AUG 15, 2004	25.00
		SEP 15, 2004	25.00
		Calendar Year to Date Total >=	225.00
2 Smith, Arthur O. III 2715 Merrimont Drive Troy, OH 45373	A.O. Smith Electrical Products Co. 531 N 4th Street Tipp City, OH 45371 Manager - Cost Accounting	SEP 01, 2004	350.00
		Calendar Year to Date Total >=	350.00
3 Smith, Roger 9824 Lampforge Lane Mequon, WI 53092	A.O. Smith Corp Hqarters 11270 West Park Place Milwaukee, WI 53224-3890 Manager Public Affairs	JUL 15, 2004	44.00
		AUG 15, 2004	44.00
		SEP 15, 2004	44.00
		Calendar Year to Date Total >=	396.00
4 Kna, John 133 Birch Rd. Deistead, WI 53018	A.O. Smith Corp Hqarters 11270 West Park Place Milwaukee, WI 53224-3890 Treasurer & Controller		
		Calendar Year to Date Total >=	0.00
5 Heinrich, Donald M 1210 Wind Field Court Dayton, OH 45450	A.O. Smith Electrical Products Co. 531 N 4th Street Tipp City, OH 45371 President EPC		
		Calendar Year to Date Total >=	0.00
6 Lokovich, J.W. 3312 Poplar Grove Lane Florence, SC 29501	A.O. Smith Corp Water Products U. S. Highway 1, North McCoo SC 29701 Manager - Manufacturing		
		Calendar Year to Date Total >=	0.00
7 O'Connor, Ed J. 16815 Mary Oak Lane Brookfield, WI 53005	A.O. Smith Corp Hqarters 11270 West Park Place Milwaukee, WI 53224-3890 VP Human Resources & Public Affairs	JUL 15, 2004	50.00
		AUG 15, 2004	50.00
		SEP 15, 2004	50.00
		Calendar Year to Date Total >=	450.00
8 Rattler, Steve 11731 N. Bridgewater Dr. Mequon, WI 53082	A.O. Smith Corp Hqarters 11270 West Park Place Milwaukee, WI 53224-3890 VP - Business Development		
		Calendar Year to Date Total >=	0.00
(Subtotal of Receipts This Page >=)			707.00
Total This Period			

SCHEDULE A

ITEMIZED RECEIPTS

Page 7 of 8

Any information copied from such Reports and Statements may not be used by any person for the purpose of soliciting contributions or for campaign purposes, other than using the name and address of any public committee or other contributors from such committees.

Name of Committee: A.O. Smith Political Action Committee (FED ID # C00104687)

Full Name	Name of Employer	Amount
9. Romoser, W. David 11019 N. Wyncote Trace Mesaon WI 53092-5859	A.O. Smith Corp Hoopets 11270 West Park Place Milwaukee, WI 53224-3890 VP General Counsel & Secretary	
Calendar Year to Date Total >=		0.00
10. Flockender, James 894 Bayshore Dr. Hendersonville, TN 37075	A. O. Smith Water Products 500 Lindahl Parkway Ashland City, TN 37015 Vice President Retail Sales	
Calendar Year to Date Total >=		300.00
11. Watts, Franklin P. 1257 Forest Drive Harleysville, SC 29550	A.O. Smith Corp Water Products U. S. Highway 1, North McBee SC 29101. Manager Plant & Assembly	
Calendar Year to Date Total >=		0.00
12. Margoni, James R. 717 Armstrong Blvd. Coppell, TX 75019	A.O. Smith Corp. Water Products 500 Lindahl Parkway Ashland City, TN 37015 Vice President Wholesale Sales	
Calendar Year to Date Total >=		200.00
13. Parker, Michael 1698 Autumn Place Brentwood, TN 37027	A.O. Smith Corp. Water Products 500 Lindahl Parkway Ashland City, TN 37015 VP, Marketing	JUL 15, 2024 50.00 AUG 15, 2024 50.00 SEP 15, 2024 50.00
Calendar Year to Date Total >=		450.00
14. Williams, W.A. P.O. Box 124 Ashland City, TN 37015	A.O. Smith Corp. Water Products 500 Lindahl Parkway Ashland City, TN 37015 Vice President Chief Financial Officer	
Calendar Year to Date Total >=		250.00
15. Hawk, Richard 3818 West End Ave. Nashville, TN 37205	A. O. Smith Water Products 500 Lindahl Parkway Ashland City, TN 37015 Director Wholesale Sales	
Calendar Year to Date Total >=		250.00
16. Goodwin Eddie 1771 Sedberry Rd. Franklin, TN 37064	APCOM (subs) of A.O. Smith Water Products 125 S.E. Parkway Franklin, TN 37064 President of APCOM	
Calendar Year to Date Total >=		500.00
		150.00
Subtotal of Receipts This Page		857.00
Total This Period		

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)						PAGE 1 OF 1
<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26	<input type="checkbox"/> 27	<input type="checkbox"/> 28	
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 29c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30	<input type="checkbox"/> 31	<input type="checkbox"/> 32	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in full)
A.O. SMITH POLITICAL ACTION COMMITTEE

Full Name (Last, First, Middle Initial) A.		Date of Disbursement	
Mailing Address U.S. BANK		Month Day Year 08 31 2004	
City State Zip Code ST. PAUL MN 55101-0800		Amount of Each Disbursement this Period	
Purpose of Disbursement CHECK PRINTING CHARGE		41.20	
Candidate Name		Category/Type	
Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		
State: District			

Full Name (Last, First, Middle Initial) B.		Date of Disbursement	
Mailing Address			
City State Zip Code		Amount of Each Disbursement this Period	
Purpose of Disbursement			
Candidate Name		Category/Type	
Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		
State: District			

Full Name (Last, First, Middle Initial) C.		Date of Disbursement	
Mailing Address			
City State Zip Code		Amount of Each Disbursement this Period	
Purpose of Disbursement			
Candidate Name		Category/Type	
Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		
State: District			

SUBTOTAL of Disbursements This Page (optional)	41.20
TOTAL This Period (last page this line number only)	41.20

Federal Election Commission
**ENVELOPE REPLACEMENT PAGE
 FOR INCOMING DOCUMENTS**

The FEC added this page to the end of this filing to indicate how it was received.

<input type="checkbox"/> Hand Delivered	Date of Receipt
<input type="checkbox"/> USPS First Class Mail	Postmarked
<input checked="" type="checkbox"/> USPS Registered/Certified	Postmarked (R/C) 10-4-04
<input type="checkbox"/> USPS Priority Mail	Postmarked
	Delivery Confirmation™ Label <input type="checkbox"/>
<input type="checkbox"/> USPS Express Mail	Postmarked
<input type="checkbox"/> Postmark Illegible	
<input type="checkbox"/> No Postmark	
<input type="checkbox"/> Overnight Delivery Service (Specify):	Shipping Date
<input type="checkbox"/> Received from House Records & Registration Office	Date of Receipt
<input type="checkbox"/> Received from Senate Public Records Office	Date of Receipt
<input type="checkbox"/> Received from Electronic Filing Office	Date of Receipt
<input type="checkbox"/> Other (Specify):	Date of Receipt or Postmarked
JMD PREPARER (5/2004)	10-18-04 DATE PREPARED